

Supporting Information

[3 + 3] Cycloaddition of in Situ Formed Azaoxyallyl Cations with 2-Alkenylindoles: An Approach to Tetrahydro- β -carbolinones

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1. General Information

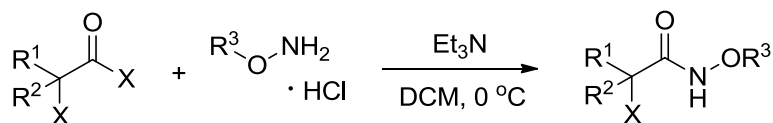
Reagents and Solvents: All solvents were purified and dried according to standard methods. PE refers to petroleum ether (b.p. 60 - 90 °C), EA refers to ethyl acetate, and DCM refers to dichloromethane.

Chromatography: Flash column chromatography was carried out using commercially available 200-300 mesh under pressure and conducted by eluting with PE/EA, which are listed as volume/volume ratios.

Data Collection: Melting point (m.p.) was measured on a microscopic melting point apparatus. ^1H and ^{13}C NMR spectra were collected on BRUKER AV-300 (300 MHz) or BRUKER AV-500 (500 MHz) spectrometer using CDCl_3 or DMSO-d_6 as solvent. Chemical shifts of ^1H NMR were recorded in parts per million (ppm, δ) relative to tetramethylsilane ($\delta = 0.00$ ppm) with the solvent resonance as an internal standard (CDCl_3 , $\delta = 7.26$ ppm, DMSO-d_6 , $\delta = 2.50$ ppm). Data are reported as follows: chemical shift in ppm (δ), multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, brs = broad singlet, m = multiplet), coupling constant (Hz), and integration. Chemical shifts of ^{13}C NMR were reported in ppm with the solvent as the internal standard (CDCl_3 , $\delta = 77.0$ ppm, DMSO-d_6 , $\delta = 39.5$ ppm). High Resolution Mass measurement was performed on Agilent QTOF 6520 mass spectrometer with electron spray ionization (ESI) as the ion source. Unless otherwise indicated, all other reagents and solvents were obtained from commercial suppliers and used without further purification.

2. General Procedures for the Preparation of α -Halohydroxamates

General Procedures¹



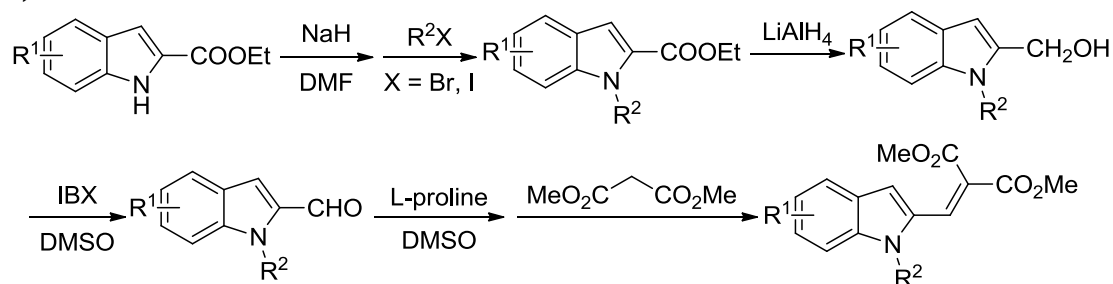
To a suspension of the *O*-alkyloxyamine hydrochloride and triethylamine in DCM (0.25 M) was added dropwise the α -haloacid halide at 0 °C. The reaction mixture was stirred at this temperature until complete consumption of starting material (detected by TLC). The mixture was warmed to room temperature and quenched with water. The organic phase was washed with water ($\times 3$), then washed with brine ($\times 1$), dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 8/1) provided the α -halohydroxamates.

¹ Jeffrey, C. S.; Barnes, K. L.; Eickhoff, J. A.; Carson, C. R. *J. Am. Chem. Soc.* **2011**, 133, 7688.

3. General Procedures for the Preparation of 2-Alkenylindoles

2-Alkenylindoles were synthesized according to general procedure A, B, C or D. Substrates **1a–f**, **1j–m** were synthesized according to general procedure A. Substrates **1g–i** were achieved according to general procedure B. Substrates **1n–r** and **1t** were obtained according to general procedure C and substrate **1s** was obtained according to general procedure D.

a) General Procedure A^{2,3}



To a DMF (10 mL) solution of ethyl indole-2-carboxylate (5 mmol) was added NaH (60% dispersion in mineral oil, 7.5 mmol) at 0 °C. The reaction mixture was stirred at the same temperature for 20 min. Then the corresponding alkyl halide (7.5 mmol) was added. The reaction mixture was stirred at room temperature until complete consumption of starting material (detected by TLC). The reaction mixture was quenched with water, extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 50/1) provided the ethyl 1-alkylindole-2-carboxylate.

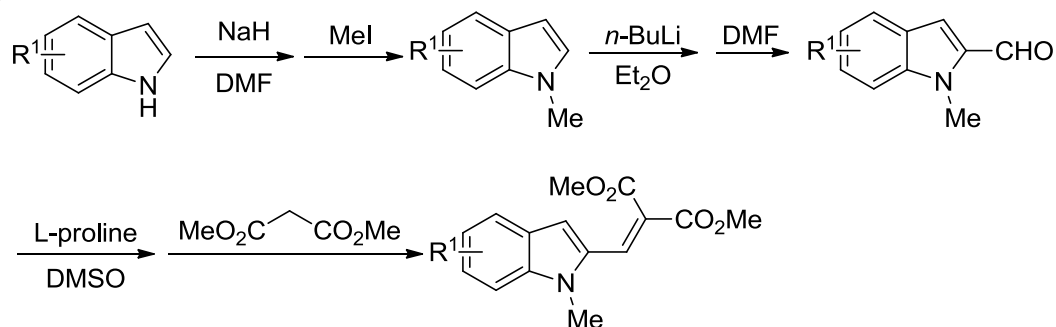
To a solution of LiAlH₄ (10 mmol) in THF (10 mL) was added dropwise the solution of ethyl 1-alkylindole-2-carboxylate (5 mmol) in THF (10 mL) at 0 °C. The reaction mixture was stirred at the same temperature for 1 h. Then it was quenched with H₂O (0.38 mL), 10% NaOH (0.76 mL), H₂O (1.14 mL) successively. Then the mixture was filtered and dried over sodium sulfate. The filtrate was evaporated in vacuo and the residue was dissolved in DMSO (10 mL), then IBX (6.5 mmol) was added. The reaction mixture was stirred for 2 hours at room temperature. Then the reaction was quenched by water and filtered. The filtrate was extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 30/1) provided the 1-alkylindole-2-carbaldehyde.

To a suspension of L-proline (0.6 mmol) in DMSO (4.0 mL), 1-alkylindole-2-carbaldehyde (2.0 mmol) was added at room temperature and the reaction mixture was stirred at the same temperature under argon for 10 minutes. Then dimethyl malonate (2.4 mmol) was added to it dropwise. The reaction mixture was stirred at room temperature for 12 h. Then the reaction was quenched by water (4 mL) and filtered. The mixture was extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 10/1) provided the 2-alkenylindoles as yellow solid.

² Wang, L.; Xie, X. Liu, Y. *Angew. Chem., Int. Ed.* **2013**, 52, 13302.

³ Talukdar, R.; Tiwari, D. P.; Saha, A.; Ghorai, M. K. *Org. Lett.* **2014**, 16, 3954.

b) General Procedure B^{3,4}



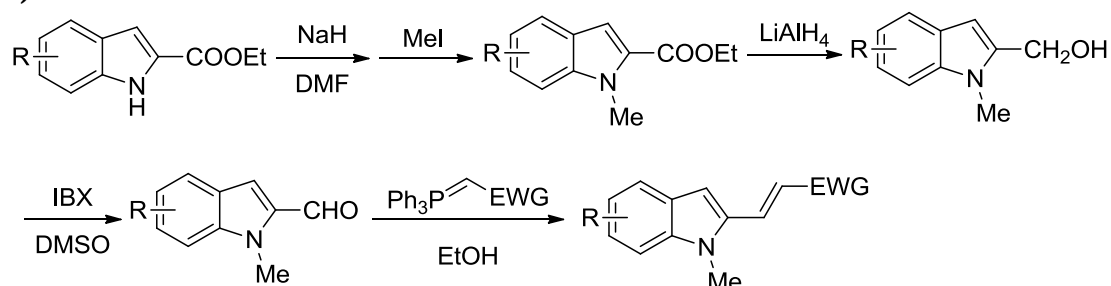
To a DMF (10 mL) solution of indole (5 mmol) was added NaH (60% dispersion in mineral oil, 7.5 mmol) at 0 °C. The reaction mixture was stirred at the same temperature for 20 min. Then iodomethane (7.5 mmol) was added. The reaction mixture was stirred at room temperature until complete consumption of starting material (detected by TLC). The reaction mixture was quenched with water, extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 80/1) provided the 1-methyl-1*H*-indole.

Under a argon atmosphere, to a solution of 1-methyl-1*H*-indole (5 mmol) in anhydrous ether (8 mL) was added *n*-BuLi (6 mmol) dropwise at room temperature. The reaction mixture was heated to reflux for 3 h followed by the addition of anhydrous DMF (7.5 mmol) dropwise. The mixture was refluxed for 5 h. Then the reaction was quenched with a saturated solution of ammonium chloride at room temperature. The aqueous layer was extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 30/1) provided the 1-methylindole-2-carbaldehyde.

To a suspension of L-proline (0.6 mmol) in DMSO (4.0 mL), 1-methylindole-2-carbaldehyde (2.0 mmol) was added at room temperature and the reaction mixture was stirred at the same temperature under argon for 10 minutes. Then dimethyl malonate (2.4 mmol) was added to it dropwise. The reaction mixture was stirred at room temperature for 12 h. Then the reaction was quenched by water (4 mL) and filtered. The mixture was extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 10/1) provided the 2-alkenylindoles as yellow solid.

⁴ Sha, F.; Tao, Y.; Tang, C.-Y.; Zhang, F.; Wu, X.-Y. *J. Org. Chem.* **2015**, *80*, 8122.

c) General Procedure C^{2,4}

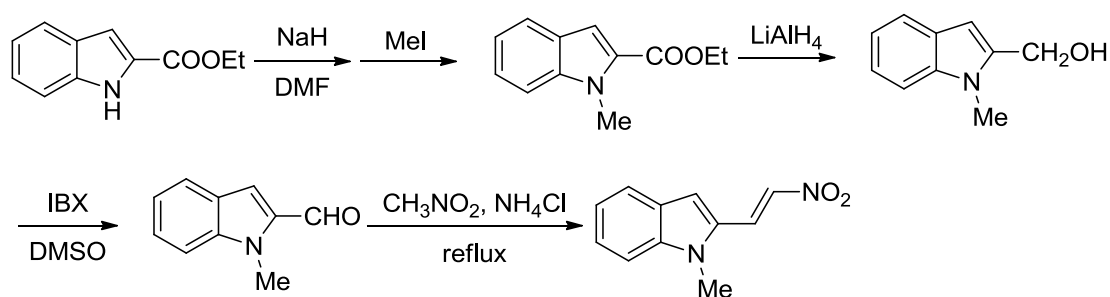


To a DMF (10 mL) solution of ethyl indole-2-carboxylate (5 mmol) was added NaH (60% dispersion in mineral oil, 7.5 mmol) at 0 °C. The reaction mixture was stirred at the same temperature for 20 min. Then iodomethane (7.5 mmol) was added. The reaction mixture was stirred at room temperature until complete consumption of starting material (detected by TLC). The reaction mixture was quenched with water, extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 50/1) provided the ethyl 1-methylindole-2-carboxylate.

To a solution of LiAlH₄ (10 mmol) in THF (10 mL) was added dropwise the solution of ethyl 1-methylindole-2-carboxylate (5 mmol) in THF (10 mL) at 0 °C. The reaction mixture was stirred at the same temperature for 1 h. Then it was quenched with H₂O (0.38 mL), 10% NaOH (0.76 mL), H₂O (1.14 mL) successively. Then the mixture was filtered and dried over sodium sulfate. The filtrate was evaporated in vacuo and the residue was dissolved in DMSO (10 mL), then IBX (6.5 mmol) was added. The reaction mixture was stirred for 2 hours at room temperature. Then the reaction was quenched by water and filtered. The filtrate was extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 30/1) provided the 1-methylindole-2-carbaldehyde.

To a solution of 1-methylindole-2-carbaldehyde (2.0 mmol) in anhydrous EtOH (15 mL) was added phosphorus ylide (2.2 mmol) in one portion at room temperature. The reaction mixture was stirred at the same temperature and monitored by TLC. The mixture was then concentrated under reduced pressure, and the residue was purified *via* a flash column chromatography (PE/EA = 15/1) provided the 2-alkenylindoles as light yellow solid.

d) General Procedure D^{2,5}



To a DMF (10 mL) solution of ethyl indole-2-carboxylate (5 mmol) was added NaH (60% dispersion in mineral oil, 7.5 mmol) at 0 °C. The reaction mixture was stirred at the same temperature for 20 min. Then iodomethane (7.5 mmol) was added. The reaction mixture was stirred at room temperature until complete consumption of starting material (detected by TLC). The reaction mixture was quenched with water, extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 50/1) provided the ethyl 1-methylindole-2-carboxylate.

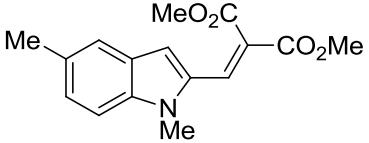
To a solution of LiAlH₄ (10 mmol) in THF (10 mL) was added dropwise the solution of ethyl 1-methylindole-2-carboxylate (5 mmol) in THF (10 mL) at 0 °C. The reaction mixture was stirred at the same temperature for 1 h. Then it was quenched with H₂O (0.38 mL), 10% NaOH (0.76 mL), H₂O (1.14 mL) successively. Then the mixture was filtered and dried over sodium sulfate. The filtrate was evaporated in vacuo and the residue was dissolved in DMSO (10 mL), then IBX (6.5 mmol) was added. The reaction mixture was stirred for 2 hours at room temperature. Then the reaction was quenched by water and filtered. The filtrate was extracted with EA (×3). The organic layer was combined, washed with brine, dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 30/1) provided the 1-methylindole-2-carbaldehyde.

A solution of 1-methylindole-2-carbaldehyde (2.0 mmol) and ammonium acetate (1.0 mmol) in nitromethane (4 mL) was heated at reflux for 4 h under argon. After the mixture cooled to room temperature, ethyl acetate was added. The organic phase was washed with water (×3), then washed with brine (×1), dried over sodium sulfate, filtered and evaporated. Purification *via* a flash column chromatography (PE/EA = 15/1) provided the 2-alkenylindoles as yellow solid.

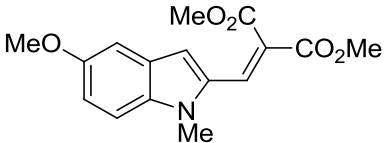
⁵ Yang, W.-L.; Li, C.-Y.; Qin, W.-J.; Tang, F.-F.; Yu, X.; Deng, W.-P. *ACS Catal.* **2016**, 6, 5685.

e) Characterization of the Products

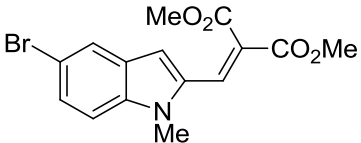
dimethyl 2-((1,5-dimethyl-1H-indol-2-yl)methylene)malonate (1b)

 Yellow solid, m. p. 98 – 99 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.83 (s, 1H), 7.37 (s, 1H), 7.19 (d, *J* = 8.5 Hz, 1H), 7.11 (m, *J* = 8.5, 1.1 Hz, 1H), 6.80 (s, 1H), 3.92 (s, 3H), 3.86 (s, 3H), 3.78 (s, 3H), 2.42 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.3, 164.5, 137.3, 130.2, 131.6, 130.0, 127.7, 126.5, 123.2, 121.2, 109.4, 105.8, 52.9, 52.6, 29.8, 21.3 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₄+H]⁺ 288.1230, found 288.1228.

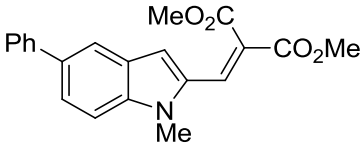
dimethyl 2-((5-methoxy-1-methyl-1H-indol-2-yl)methylene)malonate (1c)

 Yellow solid, m. p. 127 – 129 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.81 (s, 1H), 7.20 (d, *J* = 8.9 Hz, 1H), 7.02 – 6.91 (m, 2H), 6.80 (s, 1H), 3.93 (s, 3H), 3.86 (s, 3H), 3.83 (s, 3H), 3.78 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.3, 164.5, 154.6, 134.4, 131.8, 129.9, 127.7, 123.0, 116.1, 110.7, 105.7, 101.7, 55.6, 52.9, 52.6, 29.9 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₅+H]⁺ 304.1179, found 304.1177.

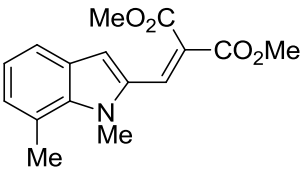
dimethyl 2-((5-bromo-1-methyl-1H-indol-2-yl)methylene)malonate (1e)

 Yellow solid, m. p. 121 – 122 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.80 (s, 1H), 7.72 (d, *J* = 1.7 Hz, 1H), 7.34 (dd, *J* = 8.8, 1.8 Hz, 1H), 7.17 (d, *J* = 8.8 Hz, 1H), 6.78 (s, 1H), 3.92 (s, 3H), 3.87 (s, 3H), 3.79 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 166.9, 164.2, 137.2, 132.7, 129.5, 128.9, 127.2, 124.9, 124.1, 113.8, 111.2, 105.3, 53.0, 52.8, 30.0 ppm. HRMS(ESI) *m/z* calcd for [C₁₅H₁₄BrNO₄+H]⁺ 352.0179, found 352.0187.

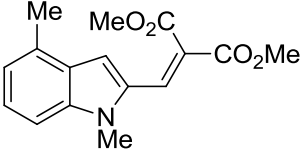
dimethyl 2-((1-methyl-5-phenyl-1H-indol-2-yl)methylene)malonate (1f)

 Light yellow solid, m. p. > 250 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.79 – 7.65 (m, 2H), 7.52 (d, *J* = 7.4 Hz, 2H), 7.44 (dd, *J* = 8.7, 1.4 Hz, 1H), 7.33 (t, *J* = 7.5 Hz, 2H), 7.28 – 7.17 (m, 2H), 6.83 (s, 1H), 3.84 (s, 3H), 3.76 (s, 3H), 3.69 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.1, 164.3, 141.6, 138.2, 134.0, 132.3, 129.8, 128.7, 127.9, 127.1, 126.6, 124.4, 123.9, 120.0, 110.0, 106.6, 52.9, 52.7, 29.9 ppm. HRMS(ESI) *m/z* calcd for [C₂₁H₁₉NO₄+H]⁺ 372.1206, found 372.1208.

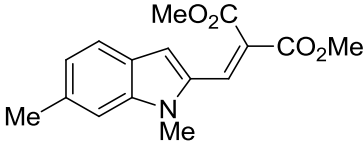
dimethyl 2-((1,7-dimethyl-1H-indol-2-yl)methylene)malonate (1g)


Yellow solid, m. p. 119 – 121 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.84 (s, 1H), 7.46 – 7.37 (m, 1H), 7.02 – 6.90 (m, 2H), 6.83 (s, 1H), 4.04 (s, 3H), 3.90 (s, 3H), 3.86 (s, 3H), 2.75 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.2, 164.4, 137.8, 132.3, 130.5, 128.3, 127.4, 123.9, 121.3, 120.6, 120.0, 107.0, 52.9, 52.7, 32.9, 20.5 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₄+H]⁺ 288.1230, found 288.1231.

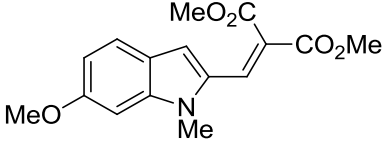
dimethyl 2-((1,4-dimethyl-1H-indol-2-yl)methylene)malonate (1h)


Yellow solid, m. p. 121 – 123 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.86 (s, 1H), 7.28 – 7.08 (m, 2H), 6.95 – 6.82 (m, 2H), 3.92 (s, 3H), 3.86 (s, 3H), 3.79 (s, 3H), 2.51 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.3, 164.5, 138.7, 131.5, 131.2, 130.2, 127.7, 124.7, 123.4, 120.6, 107.3, 104.9, 52.9, 52.6, 30.0, 18.5 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₄+H]⁺ 288.1230, found 288.1231.

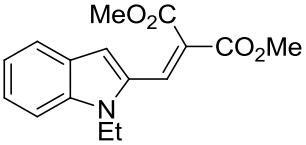
dimethyl 2-((1,6-dimethyl-1H-indol-2-yl)methylene)malonate (1i)


Yellow solid, m. p. 121 – 122 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.83 (s, 1H), 7.47 (d, *J* = 8.2 Hz, 1H), 7.08 (s, 1H), 6.94 (d, *J* = 8.2 Hz, 1H), 6.85 (s, 1H), 3.92 (s, 3H), 3.85 (s, 3H), 3.76 (s, 3H), 2.48 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.3, 164.5, 139.3, 134.8, 131.2, 130.1, 125.5, 122.8, 122.7, 121.5, 109.5, 106.5, 52.9, 52.6, 29.7, 22.1 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₄+H]⁺ 288.1230, found 288.1233.

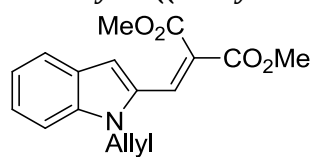
dimethyl 2-((6-methoxy-1-methyl-1H-indol-2-yl)methylene)malonate (1j)


Yellow solid, m. p. 139 – 141 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.81 (s, 1H), 7.46 (d, *J* = 8.8 Hz, 1H), 6.85 (s, 1H), 6.78 (dd, *J* = 8.7, 2.2 Hz, 1H), 6.68 (d, *J* = 1.9 Hz, 1H), 3.93 (s, 3H), 3.87 (s, 3H), 3.85 (s, 3H), 3.75 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.5, 164.7, 158.4, 139.9, 130.8, 130.0, 122.8, 122.1, 121.6, 112.0, 107.0, 91.9, 55.5, 52.9, 52.5, 29.8 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₅+H]⁺ 304.1179, found 304.1184.

dimethyl 2-((1-ethyl-1H-indol-2-yl)methylene)malonate (1k)

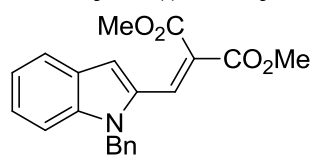

Yellow solid, m. p. 110 – 112 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.83 (s, 1H), 7.60 (d, *J* = 8.0 Hz, 1H), 7.38 – 7.22 (m, 2H), 7.11 (t, *J* = 7.3 Hz, 1H), 6.88 (s, 1H), 4.29 (q, *J* = 7.2 Hz, 2H), 3.92 (s, 3H), 3.86 (s, 3H), 1.38 (t, *J* = 7.2 Hz, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.2, 164.4, 137.7, 130.7, 129.9, 127.7, 124.4, 123.9, 122.0, 120.5, 109.7, 106.4, 52.9, 52.7, 38.0, 15.7 ppm. HRMS(ESI) *m/z* calcd for [C₁₆H₁₇NO₄+H]⁺ 288.1230, found 288.1234.

dimethyl 2-((1-allyl-1H-indol-2-yl)methylene)malonate (1l)



Yellow solid, m. p. 54 – 56 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.77 (s, 1H), 7.61 (d, *J* = 8.0 Hz, 1H), 7.34 – 7.22 (m, 2H), 7.17 – 7.07 (m, 1H), 6.91 (s, 1H), 6.09 – 5.82 (m, 1H), 5.17 (d, *J* = 10.5 Hz, 1H), 4.96 – 4.72 (m, 3H), 3.92 (s, 3H), 3.85 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.2, 164.4, 138.2, 132.6, 131.4, 130.1, 127.6, 124.6, 124.0, 121.9, 120.7, 117.0, 109.8, 106.6, 53.0, 52.7, 45.4 ppm. HRMS(ESI) *m/z* calcd for [C₁₇H₁₇NO₄+H]⁺ 300.1230, found 300.1230.

dimethyl 2-((1-benzyl-1H-indol-2-yl)methylene)malonate (1m)



Yellow solid, m. p. 99 – 100 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.78 (s, 1H), 7.64 (d, *J* = 8.0 Hz, 1H), 7.34 – 7.19 (m, 5H), 7.17 – 7.08 (m, 1H), 7.05 – 6.98 (m, 2H), 6.96 (s, 1H), 5.44 (s, 2H), 3.92 (s, 3H), 3.80 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 167.1, 164.2, 138.5, 136.8, 131.6, 130.0, 128.9, 127.7, 126.0, 124.7, 124.3, 122.0, 120.9, 110.1, 106.9, 53.0, 52.6, 46.7 ppm. HRMS(ESI) *m/z* calcd for [C₂₁H₁₉NO₄+H]⁺ 350.1387, found 350.1385.

4. General Procedures for [3 + 3] Annulation Reaction

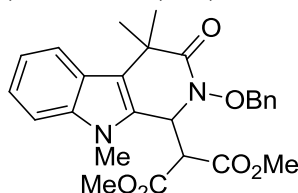
a) General Procedures

In a 10 mL test tube was sequentially added 2-alkenylindoles **1** (0.2 mmol, 1.0 equiv), Na₂CO₃ (0.8 mmol, 4.0 equiv), α -halohydroxamates **2** (0.4 mmol, 2.0 equiv) and HFIP (1.0 mL). Then, the tube was sealed and stirred at room temperature. Once the 2-alkenylindoles was complete consumption, the reaction mixture was diluted with EA, filtered through celite and washed with EA. The filtrate was concentrated under reduced pressure and the resulting residue was purified by flash column chromatography (PE/EA = 6/1) on silica gel to afford the pure product.

b) Characterization of the Products

dimethyl

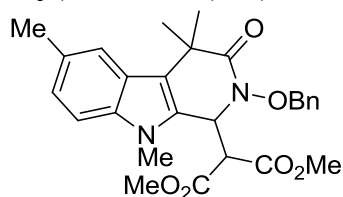
2-(2-(benzyloxy)-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3aa)



80.2 mg, 86% yield, White solid, m. p. 106 – 108 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.66 (d, J = 7.9 Hz, 1H), 7.55 – 7.42 (m, 2H), 7.40 – 7.20 (m, 5H), 7.13 (dd, J = 10.7, 3.9 Hz, 1H), 5.75 (d, J = 2.8 Hz, 1H), 5.00 (d, J = 10.0 Hz, 1H), 4.91 (d, J = 10.0 Hz, 1H), 3.83 (d, J = 2.8 Hz, 1H), 3.62 (s, 3H), 3.60 (s, 3H), 3.30 (s, 3H), 1.71 (s, 6H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.7, 167.4, 166.8, 138.7, 134.4, 130.1, 128.7, 128.2, 124.9, 124.2, 122.3, 120.0, 119.6, 115.6, 109.6, 75.9, 57.5, 54.7, 52.6, 52.6, 40.8, 30.0, 28.9, 26.1 ppm. HRMS(ESI) m/z calcd for [C₂₆H₂₉N₂O₆+H]⁺ 465.2020, found 465.2024.

dimethyl

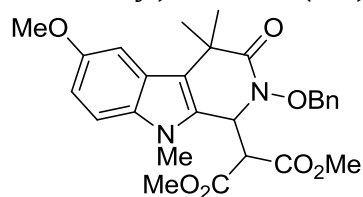
2-(2-(benzyloxy)-4,4,6,9-tetramethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ba)



90.2 mg, 94% yield, White solid, m. p. 124 – 125 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.55 – 7.40 (m, 3H), 7.40 – 7.26 (m, 3H), 7.18 (d, J = 8.4 Hz, 1H), 7.07 (d, J = 8.4 Hz, 1H), 5.72 (d, J = 2.9 Hz, 1H), 4.99 (d, J = 9.9 Hz, 1H), 4.90 (d, J = 10.0 Hz, 1H), 3.81 (d, J = 2.9 Hz, 1H), 3.61 (s, 3H), 3.57 (s, 3H), 3.30 (s, 3H), 2.46 (s, 3H), 1.71 (s, 6H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.7, 167.4, 166.8, 137.1, 134.4, 130.1, 128.8, 128.7, 128.2, 124.8, 124.3, 123.8, 119.7, 115.0, 109.3, 75.8, 57.5, 54.7, 52.6, 52.5, 40.8, 30.0, 28.9, 26.0, 21.4 ppm. HRMS(ESI) m/z calcd for [C₂₇H₃₀N₂O₆+H]⁺ 479.2177, found 479.2188.

dimethyl

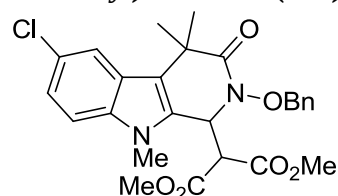
2-(2-(benzyloxy)-6-methoxy-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ca)



86.0 mg, 87% yield, White solid, m. p. 98 – 100 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.57 – 7.42 (m, 2H), 7.42 – 7.38 (m, 3H), 7.19 (d, J = 8.9 Hz, 1H), 7.09 (d, J = 2.1 Hz, 1H), 6.91 (dd, J = 8.9, 2.3 Hz, 1H), 5.72 (d, J = 2.9 Hz, 1H), 5.00 (d, J = 9.9 Hz, 1H), 4.90 (d, J = 9.9 Hz, 1H), 3.86 (s, 3H), 3.81 (d, J = 2.9 Hz, 1H), 3.63 (s, 3H), 3.57 (s, 3H), 3.30 (s, 3H), 1.70 (s, 6H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.8, 167.4, 166.9, 153.8, 134.4, 134.1, 130.1, 128.7, 128.2, 125.5, 124.5, 115.1, 112.0, 110.3, 102.4, 75.9, 57.5, 55.9, 54.8, 52.7, 52.7, 40.8, 30.2, 28.7, 25.9 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{27}\text{H}_{31}\text{N}_2\text{O}_7+\text{H}]^+$ 495.2126, found 495.2124.

dimethyl

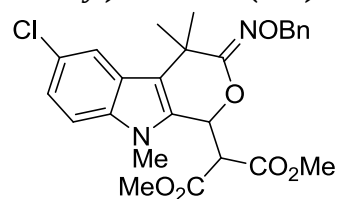
2-(2-(benzyloxy)-6-chloro-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3da)



75.8 mg, 76% yield, White solid, m. p. 162 – 164 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.61 (s, 1H), 7.54 – 7.42 (m, 2H), 7.40 – 7.28 (m, 3H), 7.20 (s, 2H), 5.73 (d, J = 2.6 Hz, 1H), 5.00 (d, J = 10.0 Hz, 1H), 4.90 (d, J = 10.0 Hz, 1H), 3.85 (d, J = 2.3 Hz, 1H), 3.65 (s, 3H), 3.58 (s, 3H), 3.29 (s, 3H), 1.68 (s, 6H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.5, 167.2, 167.1, 137.2, 134.5, 130.1, 128.8, 128.3, 126.5, 125.4, 125.2, 122.7, 119.5, 110.7, 76.1, 57.5, 55.1, 52.8, 52.7, 40.8, 30.3, 28.9, 26.2 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{26}\text{H}_{27}\text{ClN}_2\text{O}_6+\text{H}]^+$ 499.1630, found 499.1637.

dimethyl

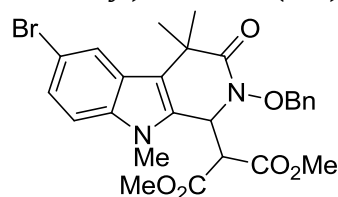
2-(3-((benzyloxy)imino)-6-chloro-4,4,9-trimethyl-1,3,4,9-tetrahydropyrano[3,4-b]indol-1-yl)malonate (4da)



41.9 mg, 42% yield, White solid, m. p. 125– 127 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.52 – 7.32 (m, 5H), 7.22 – 7.09 (m, 2H), 7.05 (s, 1H), 6.34 (d, J = 8.4 Hz, 1H), 5.29 (d, J = 9.6 Hz, 1H), 4.89 (d, J = 9.6 Hz, 1H), 3.83 (s, 1H), 3.79 (s, 3H), 3.23 (s, 3H), 2.83 (s, 3H), 1.37 (s, 3H), 1.31 (s, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 175.2, 164.5, 162.8, 147.7, 144.8, 134.2, 132.1, 129.4, 128.9, 128.6, 128.6, 126.9, 126.3, 123.4, 107.5, 85.8, 77.3, 59.5, 52.9, 52.0, 40.3, 31.0, 28.6, 23.0 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{26}\text{H}_{27}\text{ClN}_2\text{O}_6+\text{H}]^+$ 499.1630, found 499.1634.

dimethyl

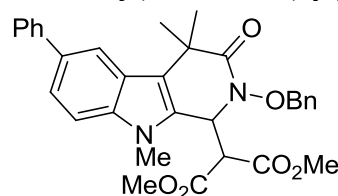
2-(2-(benzyloxy)-6-bromo-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ea)



79.0 mg, 73% yield, White solid, m. p. 181 – 183 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.77 (d, *J* = 1.4 Hz, 1H), 7.53 – 7.41 (m, 2H), 7.41 – 7.27 (m, 4H), 7.16 (d, *J* = 8.7 Hz, 1H), 5.74 (d, *J* = 2.8 Hz, 1H), 5.00 (d, *J* = 9.9 Hz, 1H), 4.90 (d, *J* = 10.0 Hz, 1H), 3.84 (d, *J* = 2.8 Hz, 1H), 3.65 (s, 3H), 3.59 (s, 3H), 3.29 (s, 3H), 1.68 (s, 6H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.4, 167.3, 166.9, 137.3, 134.3, 130.1, 128.8, 128.3, 126.3, 125.7, 125.2, 122.5, 115.3, 112.9, 111.1, 76.0, 57.4, 54.8, 52.8, 52.8, 40.7, 30.3, 28.9, 26.1 ppm. HRMS(ESI) *m/z* calcd for [C₂₆H₂₇BrN₂O₆+H]⁺ 543.1125, found 543.1132.

dimethyl

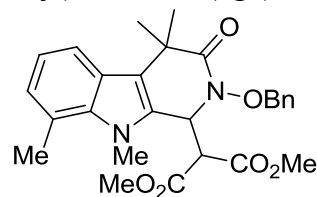
2-(2-(benzyloxy)-4,4,9-trimethyl-3-oxo-6-phenyl-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3fa)



72.2 mg, 67% yield, White solid, m. p. 166 – 168 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.83 (d, *J* = 0.7 Hz, 1H), 7.70 – 7.57 (m, 2H), 7.55 – 7.41 (m, 5H), 7.40 – 7.27 (m, 5H), 5.74 (d, *J* = 2.8 Hz, 1H), 5.01 (d, *J* = 10.0 Hz, 1H), 4.92 (d, *J* = 10.0 Hz, 1H), 3.84 (d, *J* = 2.8 Hz, 1H), 3.64 (s, 3H), 3.63 (s, 3H), 3.33 (s, 3H), 1.75 (s, 6H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.7, 167.4, 166.8, 142.1, 138.3, 134.4, 133.3, 130.1, 128.8, 128.7, 128.2, 127.4, 126.6, 125.6, 124.7, 122.2, 118.6, 116.0, 109.9, 76.0, 57.6, 54.7, 52.7, 52.7, 40.9, 30.2, 29.1, 26.1 ppm. HRMS(ESI) *m/z* calcd for [C₃₂H₃₂N₂O₆+H]⁺ 541.2333, found 541.2343.

dimethyl

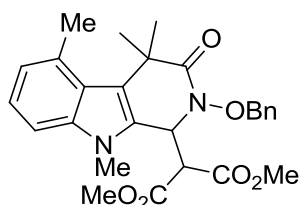
2-(2-(benzyloxy)-4,4,8,9-tetramethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ga)



78.2 mg, 82% yield, White solid, m. p. 151 – 153 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.60 – 7.42 (m, 3H), 7.40 – 7.26 (m, 3H), 7.08 – 6.86 (m, 2H), 5.67 (d, *J* = 2.7 Hz, 1H), 5.00 (d, *J* = 10.0 Hz, 1H), 4.91 (d, *J* = 10.0 Hz, 1H), 3.92 – 3.70 (m, 4H), 3.62 (s, 3H), 3.34 (s, 3H), 2.73 (s, 3H), 1.70 (s, 3H), 1.69 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.7, 167.4, 166.7, 138.0, 134.4, 130.1, 128.7, 128.2, 125.6, 125.4, 125.2, 121.7, 119.7, 118.1, 115.8, 75.9, 57.7, 54.7, 52.6, 52.6, 40.7, 33.2, 28.7, 25.7, 20.2 ppm. HRMS(ESI) *m/z* calcd for [C₂₇H₃₀N₂O₆+H]⁺ 479.2177, found 479.2180.

dimethyl

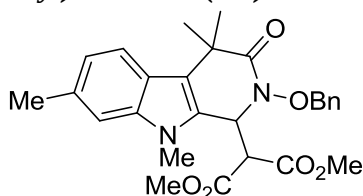
2-(2-(benzyloxy)-4,4,8,9-tetramethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ha)



51.4 mg, 54% yield, White solid, m. p. 65 – 67 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.57 – 7.41 (m, 2H), 7.41 – 7.27 (m, 3H), 7.23 – 7.09 (m, 2H), 6.97 (d, *J* = 3.9 Hz, 1H), 5.71 (d, *J* = 2.6 Hz, 1H), 5.01 (d, *J* = 10.1 Hz, 1H), 4.92 (d, *J* = 10.1 Hz, 1H), 3.77 (d, *J* = 2.6 Hz, 1H), 3.63 (s, 3H), 3.58 (s, 3H), 3.30 (s, 3H), 2.78 (s, 3H), 1.80 (s, 3H), 1.72 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 175.9, 167.4, 166.9, 139.5, 134.5, 130.1, 129.6, 128.8, 128.3, 125.3, 124.1, 123.1, 122.5, 116.4, 107.3, 75.9, 57.0, 55.0, 52.7, 52.7, 41.4, 31.3, 30.1, 27.6, 24.5 ppm. HRMS(ESI) *m/z* calcd for [C₂₇H₃₀N₂O₆+H]⁺ 479.2177, found 479.2175.

dimethyl

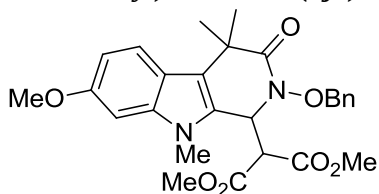
2-(2-(benzyloxy)-4,4,7,9-tetramethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ia)



80.5 mg, 84% yield, White solid, m. p. 136 – 138 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.50 (dd, *J* = 18.4, 6.1 Hz, 3H), 7.33 (d, *J* = 4.5 Hz, 3H), 7.09 (s, 1H), 6.97 (d, *J* = 8.0 Hz, 1H), 5.72 (d, *J* = 2.5 Hz, 1H), 4.99 (d, *J* = 9.9 Hz, 1H), 4.90 (d, *J* = 9.9 Hz, 1H), 3.81 (d, *J* = 2.5 Hz, 1H), 3.61 (s, 3H), 3.57 (s, 3H), 3.31 (s, 3H), 2.48 (s, 3H), 1.70 (s, 6H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.7, 167.4, 166.8, 139.1, 134.4, 132.3, 130.1, 128.7, 128.2, 124.1, 122.0, 121.3, 119.6, 115.5, 109.7, 75.9, 57.6, 54.7, 52.6, 52.6, 40.8, 30.0, 28.9, 26.1, 21.7 ppm. HRMS(ESI) *m/z* calcd for [C₂₇H₃₀N₂O₆+H]⁺ 479.2177, found 479.2183.

dimethyl

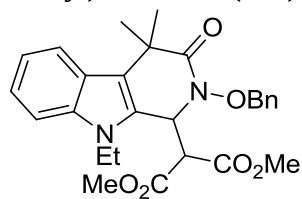
2-(2-(benzyloxy)-7-methoxy-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ja)



58.8 mg, 59% yield, White solid, m. p. 129 – 130 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.58 – 7.41 (m, 3H), 7.41 – 7.28 (m, 3H), 6.86 – 6.68 (m, 2H), 5.73 (d, *J* = 2.9 Hz, 1H), 4.99 (d, *J* = 9.9 Hz, 1H), 4.90 (d, *J* = 9.9 Hz, 1H), 3.87 (s, 3H), 3.81 (d, *J* = 2.8 Hz, 1H), 3.63 (s, 3H), 3.56 (s, 3H), 3.31 (s, 3H), 1.68 (s, 6H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.7, 167.5, 166.9, 156.6, 139.7, 134.5, 130.1, 128.7, 128.2, 123.5, 120.6, 118.4, 115.7, 109.3, 93.3, 75.9, 57.6, 55.6, 54.9, 52.6, 52.6, 40.8, 30.1, 29.0, 26.2 ppm. HRMS(ESI) *m/z* calcd for [C₂₇H₃₀N₂O₇+H]⁺ 495.2126, found 495.2127.

dimethyl

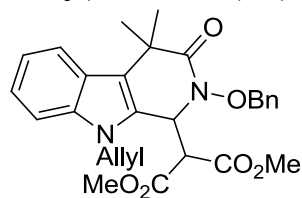
2-(2-(benzyloxy)-9-ethyl-4,4-dimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ka)



84.0 mg, 88% yield, White solid, m. p. 54 – 56 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.66 (d, J = 7.9 Hz, 1H), 7.56 – 7.41 (m, 2H), 7.40 – 7.17 (m, 5H), 7.12 (t, J = 7.4 Hz, 1H), 5.56 (d, J = 2.7 Hz, 1H), 5.05 – 4.85 (m, 2H), 4.15 – 3.85 (m, 2H), 3.77 (d, J = 2.7 Hz, 1H), 3.63 (s, 3H), 3.36 (s, 3H), 1.71 (s, 3H), 1.71 (s, 3H), 1.20 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.8, 167.5, 166.7, 137.5, 134.5, 130.3, 128.8, 128.2, 124.7, 124.1, 122.2, 120.2, 119.5, 115.9, 110.0, 75.9, 57.9, 54.8, 52.8, 52.7, 40.9, 38.3, 29.1, 25.9, 14.6 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{27}\text{H}_{30}\text{N}_2\text{O}_6+\text{H}]^+$ 479.2177, found 479.2181.

dimethyl

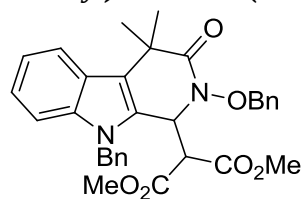
2-(9-allyl-2-(benzyloxy)-4,4-dimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3la)



89.2 mg, 91% yield, White solid, m. p. 93 – 95 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.67 (d, J = 7.9 Hz, 1H), 7.54 – 7.40 (m, 2H), 7.38 – 7.18 (m, 5H), 7.18 – 7.07 (m, 1H), 7.05 – 7.04 (m, 1H), 5.95 – 5.74 (m, 1H), 5.65 (d, J = 2.3 Hz, 1H), 5.15 (d, J = 10.4 Hz, 1H), 5.04 – 4.86 (m, J = 10.2 Hz, 2H), 4.80 (d, J = 17.2 Hz, 1H), 4.70 – 4.53 (m, 2H), 3.81 (d, J = 2.3 Hz, 1H), 3.60 (s, 3H), 3.37 (s, 3H), 1.73 (s, 3H), 1.69 (s, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.6, 167.6, 166.4, 138.2, 134.4, 132.1, 130.2, 128.7, 128.1, 124.6, 124.4, 122.4, 120.1, 119.7, 117.2, 116.1, 110.2, 75.8, 57.6, 54.1, 52.7, 52.5, 45.6, 40.8, 29.1, 25.8 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{28}\text{H}_{31}\text{N}_2\text{O}_6+\text{H}]^+$ 491.2177, found 491.2187.

dimethyl

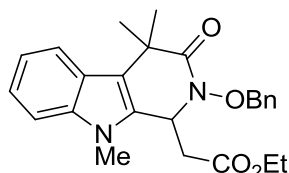
2-(9-benzyl-2-(benzyloxy)-4,4-dimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ma)



77.9 mg, 72% yield, White solid, m. p. 151 – 152 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.69 (d, J = 7.1 Hz, 1H), 7.46 – 7.34 (m, 2H), 7.33 – 7.22 (m, 6H), 7.21 – 7.08 (m, 3H), 6.99 – 6.83 (m, 2H), 5.68 (d, J = 2.6 Hz, 1H), 5.25 (s, 2H), 4.90 (d, J = 10.2 Hz, 1H), 4.82 (d, J = 10.2 Hz, 1H), 3.65 (d, J = 2.6 Hz, 1H), 3.58 (s, 3H), 3.31 (s, 3H), 1.75 (s, 3H), 1.69 (s, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.5, 167.4, 166.4, 138.5, 136.4, 134.3, 130.0, 128.8, 128.6, 128.0, 127.5, 125.8, 124.9, 124.5, 122.6, 120.1, 119.8, 116.5, 110.4, 75.6, 57.5, 54.4, 52.5, 52.3, 46.9, 40.8, 29.1, 25.8 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{32}\text{H}_{32}\text{N}_2\text{O}_6+\text{H}]^+$ 541.2333, found 541.2343.

ethyl

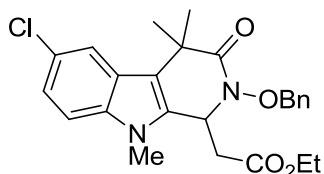
2-(2-(benzyloxy)-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (3na)



79.8 mg, 95% yield, White solid, m. p. 115 – 116 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.67 (d, J = 7.9 Hz, 1H), 7.56 – 7.44 (m, 2H), 7.41 – 7.23 (m, 5H), 7.14 (t, J = 7.3 Hz, 1H), 5.52 – 5.35 (m, 1H), 5.02 (d, J = 9.7 Hz, 1H), 4.95 (d, J = 9.7 Hz, 1H), 4.12 – 3.88 (m, 2H), 3.61 (s, 3H), 2.87 – 2.62 (m, 2H), 1.76 (s, 3H), 1.67 (s, 3H), 1.08 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 173.5, 170.5, 138.4, 134.6, 129.9, 128.7, 128.2, 127.5, 124.2, 122.1, 119.9, 119.6, 113.8, 109.5, 75.7, 60.9, 55.1, 41.1, 40.6, 29.6, 27.8, 27.5, 13.8 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{25}\text{H}_{28}\text{N}_2\text{O}_4 + \text{H}]^+$ 421.2122, found 421.2133.

ethyl

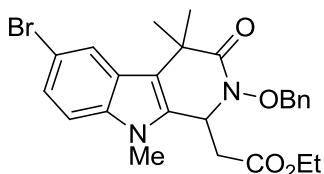
2-(2-(benzyloxy)-6-chloro-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (3oa)



82.5 mg, 91% yield, White solid, m. p. 121 – 123 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.62 (s, 1H), 7.55 – 7.43 (m, 2H), 7.42 – 7.29 (m, 3H), 7.24 – 7.13 (m, 2H), 5.42 (dd, J = 5.9, 3.9 Hz, 1H), 5.02 (d, J = 9.7 Hz, 1H), 4.94 (d, J = 9.7 Hz, 1H), 4.11 – 3.88 (m, 2H), 3.61 (s, 3H), 2.88 – 2.63 (m, 2H), 1.73 (s, 3H), 1.64 (s, 3H), 1.08 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 173.2, 170.4, 136.8, 134.5, 129.9, 128.9, 128.7, 128.3, 125.3, 125.1, 122.3, 119.3, 113.7, 110.5, 75.8, 61.0, 55.0, 40.9, 40.4, 29.9, 27.7, 27.5, 13.8 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{25}\text{H}_{27}\text{ClN}_2\text{O}_4 + \text{H}]^+$ 455.1732, found 455.1732.

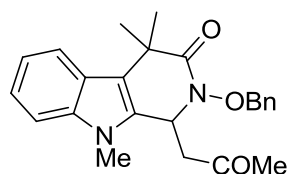
ethyl

2-(2-(benzyloxy)-6-bromo-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (3pa)



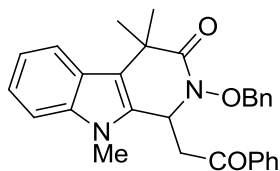
89.7 mg, 90% yield, White solid, m. p. 175 – 176 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.77 (d, J = 1.1 Hz, 1H), 7.58 – 7.43 (m, 2H), 7.43 – 7.27 (m, 4H), 7.16 (d, J = 8.7 Hz, 1H), 5.43 (dd, J = 5.9, 3.9 Hz, 1H), 5.02 (d, J = 9.7 Hz, 1H), 4.94 (d, J = 9.7 Hz, 1H), 4.10 – 3.88 (m, 2H), 3.61 (s, 3H), 2.84 – 2.64 (m, 2H), 1.73 (s, 3H), 1.64 (s, 3H), 1.08 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 172.8, 134.0, 133.8, 131.3, 130.7, 130.5, 129.4, 128.8, 128.8, 128.2, 126.7, 126.5, 125.9, 125.0, 123.0, 85.8, 78.2, 78.0, 24.8, 22.7 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{25}\text{H}_{27}\text{BrN}_2\text{O}_4 + \text{H}]^+$ 499.1227, found 499.1228.

2-(benzyloxy)-4,4,9-trimethyl-1-(2-oxopropyl)-4,9-dihydro-1H-pyrido[3,4-b]indol-3(2H)-one (3qa)



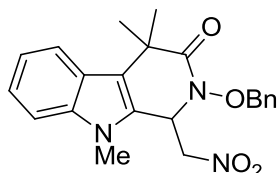
71.1 mg, 91% yield, White solid, m. p. 159 – 161 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.66 (d, *J* = 7.9 Hz, 1H), 7.53 – 7.41 (m, 2H), 7.40 – 7.20 (m, 5H), 7.14 (t, *J* = 7.0 Hz, 1H), 5.63 (dd, *J* = 7.5, 1.6 Hz, 1H), 5.00 – 4.82 (m, 2H), 3.61 (s, 3H), 3.00 (dd, *J* = 16.6, 7.6 Hz, 1H), 2.63 (dd, *J* = 16.6, 1.5 Hz, 1H), 2.16 (s, 3H), 1.75 (s, 3H), 1.68 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 205.5, 173.5, 138.3, 134.1, 130.1, 128.8, 128.4, 127.8, 124.2, 122.1, 119.8, 119.6, 113.5, 109.5, 75.6, 54.2, 49.3, 40.6, 30.9, 29.6, 27.8, 27.6 ppm. HRMS(ESI) *m/z* calcd for [C₂₄H₂₆N₂O₃+H]⁺ 391.2016, found 391.2012.

2-(benzyloxy)-4,4,9-trimethyl-1-(2-oxo-2-phenylethyl)-4,9-dihydro-1H-pyrido[3,4-b]indol-3(2H)-one (3ra)



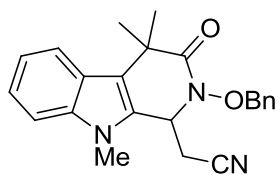
86.7 mg, 96% yield, White solid, m. p. 134 – 136 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.85 (d, *J* = 7.4 Hz, 2H), 7.68 (d, *J* = 7.9 Hz, 1H), 7.51 (t, *J* = 7.3 Hz, 1H), 7.45 – 7.33 (m, 4H), 7.32 – 7.19 (m, 5H), 7.18 – 7.05 (m, 1H), 5.86 (dd, *J* = 6.5, 2.4 Hz, 1H), 4.93 (s, 2H), 3.74 (dd, *J* = 17.0, 6.6 Hz, 1H), 3.61 (s, 3H), 3.06 (dd, *J* = 17.0, 2.4 Hz, 1H), 1.77 (s, 3H), 1.72 (s, 3H) ppm. ¹³C NMR (126 MHz, CDCl₃) δ 196.7, 173.7, 138.5, 136.4, 134.5, 133.3, 129.8, 128.5, 128.5, 128.2, 128.2, 124.4, 122.0, 119.8, 119.6, 113.7, 109.5, 75.6, 54.1, 44.9, 40.7, 29.7, 27.9, 27.6 ppm. HRMS(ESI) *m/z* calcd for [C₂₉H₂₈N₂O₃+H]⁺ 453.2173, found 453.2179.

2-(benzyloxy)-4,4,9-trimethyl-1-(nitromethyl)-4,9-dihydro-1H-pyrido[3,4-b]indol-3(2H)-one (3sa)



49.3 mg, 63% yield, White solid, m. p. 191 – 192 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.66 (d, *J* = 7.9 Hz, 1H), 7.53 – 7.22 (m, 7H), 7.22 – 7.08 (m, 1H), 5.36 (d, *J* = 2.4 Hz, 1H), 5.13 – 4.91 (m, 2H), 4.81 – 4.58 (m, 2H), 3.56 (s, 3H), 1.76 (s, 3H), 1.67 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 173.8, 138.8, 134.5, 129.8, 129.0, 128.6, 124.1, 122.9, 122.8, 120.2, 120.0, 116.1, 109.8, 77.3, 76.5, 57.4, 40.9, 29.7, 28.2, 27.5 ppm. HRMS(ESI) *m/z* calcd for [C₂₂H₂₃N₃O₄+H]⁺ 394.1761, found 394.1767.

2-(2-(benzyloxy)-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetonitrile (3ta)

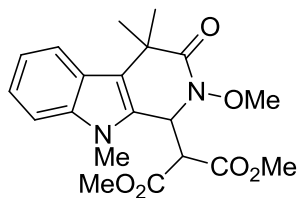


70.1 mg, 94% yield, Yellow solid, m. p. 226 – 227 °C; ¹H NMR (300 MHz, CDCl₃) δ 7.69 (d, *J* = 8.0 Hz, 1H), 7.53 – 7.41 (m, 2H), 7.41 – 7.22 (m, 5H), 7.20 – 7.08 (m, 1H), 5.10 (d, *J* = 10.8 Hz, 1H), 5.01 (d, *J* = 10.8 Hz, 1H), 4.73 (dd, *J* = 4.6, 3.6 Hz, 1H), 3.51 (s, 3H), 3.17 (dd, *J* = 17.0, 4.9 Hz, 1H), 2.85 (dd, *J* = 17.0, 3.3 Hz, 1H), 1.82 (s, 3H), 1.78 (s, 3H) ppm. ¹³C NMR (75 MHz, CDCl₃) δ 174.2, 138.8, 134.9, 129.7, 129.0, 128.7, 124.8, 124.1, 122.7, 120.3, 119.9,

115.6, 115.5, 109.7, 76.6, 55.5, 40.9, 30.0, 28.5, 27.8, 23.7 ppm. HRMS(ESI) m/z calcd for $[C_{23}H_{23}N_3O_2+H]^+$ 374.1863, found 374.1865.

dimethyl

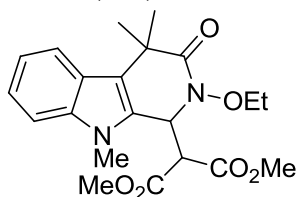
2-(2-methoxy-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ab)



69.8 mg, 90% yield, White solid, m. p. 149 – 151 °C; 1H NMR (300 MHz, $CDCl_3$) δ 7.67 (d, J = 8.0 Hz, 1H), 7.41 – 7.20 (m, 2H), 7.19 – 7.03 (m, 1H), 5.99 (d, J = 2.6 Hz, 1H), 3.86 (d, J = 2.6 Hz, 1H), 3.78 (s, 3H), 3.70 (s, 3H), 3.68 (s, 3H), 3.54 (s, 3H), 1.73 (s, 3H), 1.70 (s, 3H) ppm. ^{13}C NMR (75 MHz, $CDCl_3$) δ 174.4, 167.5, 166.7, 138.7, 124.7, 124.1, 122.4, 120.0, 119.6, 115.6, 109.6, 61.2, 56.3, 54.6, 52.8, 52.7, 40.7, 30.1, 28.8, 26.2 ppm. HRMS(ESI) m/z calcd for $[C_{20}H_{24}N_2O_6+H]^+$ 389.1707, found 389.1710.

dimethyl

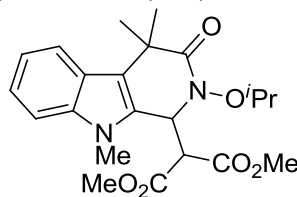
2-(2-ethoxy-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ac)



73.3 mg, 91% yield, White solid, m. p. 149 – 151 °C; 1H NMR (300 MHz, $CDCl_3$) δ 7.67 (d, J = 7.9 Hz, 1H), 7.41 – 7.20 (m, 2H), 7.20 – 7.05 (m, 1H), 5.95 (d, J = 2.8 Hz, 1H), 4.15 – 3.90 (m, 2H), 3.86 (d, J = 2.8 Hz, 1H), 3.69 (s, 6H), 3.51 (s, 3H), 1.73 (s, 3H), 1.70 (s, 3H), 1.28 (t, J = 7.0 Hz, 3H) ppm. ^{13}C NMR (75 MHz, $CDCl_3$) δ 174.8, 167.6, 166.9, 138.8, 124.9, 124.2, 122.4, 120.1, 119.6, 115.8, 109.6, 69.3, 57.0, 54.9, 52.8, 52.7, 40.8, 30.2, 28.9, 26.3, 13.3 ppm. HRMS(ESI) m/z calcd for $[C_{21}H_{26}N_2O_6+H]^+$ 403.1864, found 403.1865.

dimethyl

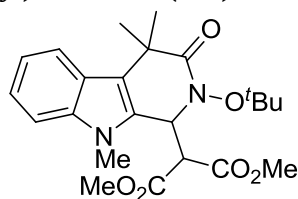
2-(2-isopropoxy-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ad)



71.1 mg, 85% yield, White solid, m. p. 136 – 138 °C; 1H NMR (300 MHz, $CDCl_3$) δ 7.67 (d, J = 7.9 Hz, 1H), 7.40 – 7.24 (m, 2H), 7.19 – 7.07 (m, 1H), 5.89 (d, J = 3.1 Hz, 1H), 4.42 – 4.22 (m, 1H), 3.88 (d, J = 3.1 Hz, 1H), 3.71 (s, 3H), 3.68 (s, 3H), 3.44 (s, 3H), 1.74 (s, 3H), 1.71 (s, 3H), 1.31 – 1.20 (m, 6H) ppm. ^{13}C NMR (75 MHz, $CDCl_3$) δ 176.06 (s), 167.5, 167.3, 138.7, 125.2, 124.2, 122.3, 120.0, 119.6, 115.8, 109.7, 76.0, 58.0, 55.2, 52.7, 52.6, 41.1, 30.1, 29.3, 26.0, 20.6, 20.4 ppm. HRMS(ESI) m/z calcd for $[C_{22}H_{28}N_2O_6+H]^+$ 417.2020, found 417.2017.

dimethyl

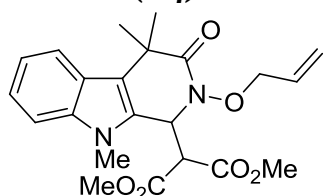
2-(2-(tert-butoxy)-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3ae)



69.1 mg, 80% yield, White solid, m. p. 145 – 147 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.67 (d, J = 7.9 Hz, 1H), 7.40 – 7.19 (m, 2H), 7.20 – 7.15 (m, 1H), 5.87 (d, J = 3.6 Hz, 1H), 3.90 (d, J = 3.6 Hz, 1H), 3.75 (s, 3H), 3.66 (s, 3H), 3.33 (s, 3H), 1.76 (s, 3H), 1.71 (s, 3H), 1.32 (s, 9H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 179.2, 167.9, 167.4, 138.7, 125.7, 124.2, 122.2, 119.8, 119.5, 115.6, 109.7, 83.9, 59.8, 55.7, 52.7, 52.5, 41.7, 30.0, 29.8, 26.8, 25.7 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{23}\text{H}_{30}\text{N}_2\text{O}_6+\text{H}]^+$ 431.2177, found 431.2181.

dimethyl

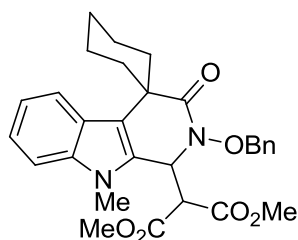
2-(2-(allyloxy)-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)malonate (3af)



52.2 mg, 63% yield, White solid, m. p. 130 – 132 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.67 (d, J = 7.9 Hz, 1H), 7.49 – 7.20 (m, 2H), 7.20 – 7.06 (m, 1H), 6.20 – 5.97 (m, 1H), 5.92 (d, J = 2.6 Hz, 1H), 5.47 – 5.17 (m, 2H), 4.57 – 4.30 (m, 2H), 3.86 (d, J = 2.6 Hz, 1H), 3.69 (s, 3H), 3.68 (s, 3H), 3.52 (s, 3H), 1.71 (s, 6H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.9, 167.6, 166.8, 138.8, 131.8, 124.8, 124.2, 122.4, 120.9, 120.1, 119.7, 115.7, 109.7, 75.1, 57.6, 54.7, 52.9, 52.7, 40.8, 30.2, 29.2, 26.1 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{22}\text{H}_{26}\text{N}_2\text{O}_6+\text{H}]^+$ 415.1864, found 415.1863.

dimethyl

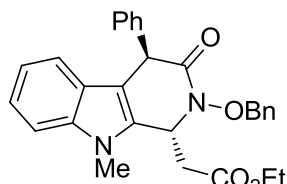
2-(2'-(benzyloxy)-9'-methyl-3'-oxo-1',2',3',9'-tetrahydrospiro[cyclohexane-1,4'-pyrido[3,4-b]indol]-1'-yl)malonate (3ag)



83.6 mg, 83% yield, White solid, m. p. 141– 143 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.76 (d, J = 8.0 Hz, 1H), 7.53 – 7.40 (m, 2H), 7.39 – 7.22 (m, 5H), 7.17 – 7.06 (m, 1H), 5.73 (d, J = 2.9 Hz, 1H), 4.97 (d, J = 10.2 Hz, 1H), 4.86 (d, J = 10.2 Hz, 1H), 3.85 (d, J = 2.9 Hz, 1H), 3.64 (s, 3H), 3.59 (s, 3H), 3.25 (s, 3H), 2.48 – 2.25 (m, 2H), 2.22 – 2.02 (m, 3H), 1.97 – 1.85 (m, 1H), 1.77 – 1.59 (m, 3H), 1.52 – 1.37 (m, 1H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.1, 167.5, 167.2, 138.8, 134.8, 129.9, 128.6, 128.2, 126.0, 123.8, 122.2, 120.4, 119.3, 116.3, 109.8, 75.8, 57.5, 55.1, 52.7, 52.6, 42.5, 36.8, 33.9, 30.1, 25.4, 22.5 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{29}\text{H}_{32}\text{N}_2\text{O}_6+\text{H}]^+$ 505.2333, found 505.2341.

ethyl

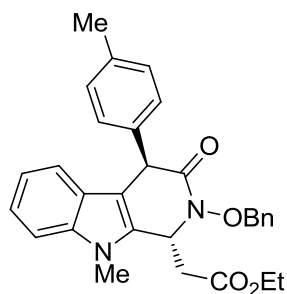
2-(2-(benzyloxy)-9-methyl-3-oxo-4-phenyl-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (3nh)



51.5 mg, 55% yield, White solid, m. p. 154–156 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.48 – 7.37 (m, 2H), 7.35 – 7.24 (m, 9H), 7.21 – 7.12 (m, 1H), 6.96 – 6.78 (m, 2H), 5.40 – 5.27 (m, 1H), 5.04 – 4.81 (m, 3H), 4.10 – 3.90 (m, 2H), 3.64 (s, 3H), 3.00 (dd, J = 16.2, 2.7 Hz, 1H), 2.79 (dd, J = 16.2, 6.4 Hz, 1H), 1.10 (t, J = 7.2 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 170.3, 169.0, 139.7, 138.3, 134.8, 130.0, 129.5, 128.7, 128.7, 128.5, 128.3, 127.3, 124.8, 122.3, 119.8, 119.7, 109.1, 108.1, 76.0, 61.0, 56.2, 47.7, 39.2, 30.0, 13.9 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{29}\text{H}_{28}\text{N}_2\text{O}_4+\text{H}]^+$ 469.2122, found 469.2128.

ethyl

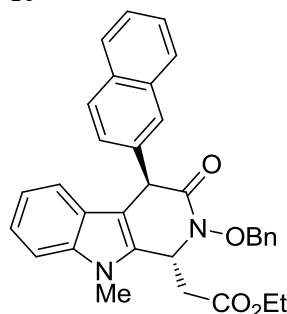
2-((1R,4S)-2-(benzyloxy)-9-methyl-3-oxo-4-(p-tolyl)-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (3ni)



52.0 mg, 54% yield, White solid, m. p. 128–130 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.49 – 7.28 (m, 5H), 7.27 – 7.21 (m, 1H), 7.20 – 7.03 (m, 5H), 6.96 – 6.80 (m, 2H), 5.39 – 5.26 (m, 1H), 4.91 (q, J = 10.4 Hz, 3H), 4.09 – 3.90 (m, 2H), 3.63 (s, 3H), 2.97 (dd, J = 16.1, 2.6 Hz, 1H), 2.79 (dd, J = 16.1, 6.3 Hz, 1H), 2.32 (s, 3H), 1.08 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 170.3, 169.1, 138.4, 136.8, 136.7, 135.0, 129.9, 129.6, 129.2, 128.7, 128.5, 128.3, 124.9, 122.3, 119.8, 119.7, 109.1, 108.3, 76.0, 60.9, 56.3, 47.4, 39.3, 29.9, 21.1, 13.9 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{30}\text{H}_{30}\text{N}_2\text{O}_4+\text{H}]^+$ 483.2278, found 483.2271.

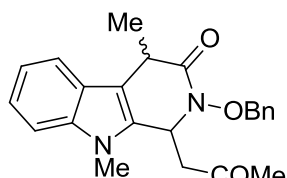
ethyl

2-((1R,4S)-2-(benzyloxy)-9-methyl-4-(naphthalen-2-yl)-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (3nj)



59.5 mg, 57% yield, White solid, m. p. 147–149 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.93 – 7.69 (m, 4H), 7.54 – 7.36 (m, 4H), 7.35 – 7.21 (m, 5H), 7.20 – 7.07 (m, 1H), 6.81 (d, J = 3.8 Hz, 2H), 5.43 – 5.33 (m, 1H), 5.15 (d, J = 1.5 Hz, 1H), 4.96 (d, J = 10.5 Hz, 1H), 4.88 (d, J = 10.5 Hz, 1H), 4.12 – 3.93 (m, 2H), 3.66 (s, 3H), 3.02 (dd, J = 16.1, 2.7 Hz, 1H), 2.83 (dd, J = 16.1, 6.2 Hz, 1H), 1.11 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 170.3, 168.9, 138.4, 137.2, 135.0, 133.4, 132.9, 129.9, 129.8, 128.7, 128.4, 128.3, 128.0, 128.0, 127.6, 126.3, 125.9, 125.7, 124.9, 122.3, 119.8, 119.7, 109.1, 108.1, 76.1, 61.0, 56.4, 48.0, 39.3, 30.0, 13.9 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{33}\text{H}_{30}\text{N}_2\text{O}_4+\text{H}]^+$ 519.2278, found 519.2276.

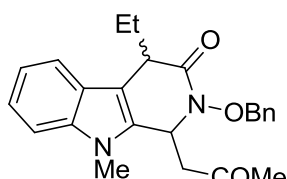
2-(benzyloxy)-4,9-dimethyl-1-(2-oxopropyl)-4,9-dihydro-1H-pyrido[3,4-b]indol-3(2H)-one (3qk)



For the **major diastereomer**: 41.4 mg, 55% yield, Yellow solid, m. p. 149 – 150 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.57 (d, J = 7.9 Hz, 1H), 7.52 – 7.42 (m, 2H), 7.42 – 7.30 (m, 5H), 7.20 – 7.05 (m, 1H), 5.60 (d, J = 7.3 Hz, 1H), 5.02 – 4.77 (m, 2H), 4.02 – 3.84 (m, 1H), 3.61 (s, 3H), 3.01 (dd, J = 16.7, 7.4 Hz, 1H), 2.64 (dd, J = 16.7, 1.5 Hz, 1H), 2.15 (s, 3H), 1.70 (d, J = 7.2 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 205.5, 170.8, 138.3, 134.2, 130.1, 128.8, 128.4, 124.8, 122.3, 119.8, 119.2, 109.4, 109.2, 75.8, 54.9, 48.7, 35.9, 30.8, 29.8, 20.1 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{23}\text{H}_{24}\text{N}_2\text{O}_3+\text{H}]^+$ 377.1860, found 377.1853.

For the **minor diastereomer**: 18.0 mg, 24% yield, Yellow solid, m. p. 161 – 162 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.54 (d, J = 7.8 Hz, 1H), 7.50 – 7.42 (m, 2H), 7.42 – 7.26 (m, 5H), 7.22 – 7.10 (m, 1H), 5.59 (d, J = 7.2 Hz, 1H), 4.97 (d, J = 9.3 Hz, 1H), 4.90 (d, J = 9.3 Hz, 1H), 3.98 (q, J = 7.4 Hz, 1H), 3.63 (s, 3H), 3.04 (dd, J = 16.5, 7.4 Hz, 1H), 2.70 (dd, J = 16.5, 2.2 Hz, 1H), 2.17 (s, 3H), 1.64 (d, J = 7.3 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 205.6, 169.8, 138.1, 134.2, 130.1, 129.2, 128.9, 128.5, 124.8, 122.4, 119.9, 118.6, 109.9, 109.4, 75.9, 54.1, 49.6, 35.5, 31.1, 29.8, 20.5 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{23}\text{H}_{24}\text{N}_2\text{O}_3+\text{H}]^+$ 377.1860, found 377.1858.

2-(benzyloxy)-4-ethyl-9-methyl-1-(2-oxopropyl)-4,9-dihydro-1H-pyrido[3,4-b]indol-3(2H)-one (3ql)

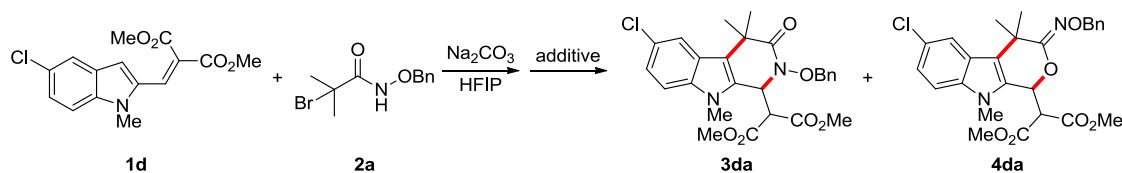


For the **major diastereomer**: 53.2mg, 68% yield, White solid, m. p. 194 – 195 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.56 (d, J = 7.9 Hz, 1H), 7.52 – 7.42 (m, 2H), 7.42 – 7.22 (m, 5H), 7.19 – 7.05 (m, 1H), 5.69 (d, J = 7.4 Hz, 1H), 4.98 (d, J = 9.6 Hz, 1H), 4.88 (d, J = 9.6 Hz, 1H), 4.15 – 3.95 (m, 1H), 3.63 (s, 3H), 3.00 (dd, J = 16.7, 7.4 Hz, 1H), 2.63 (dd, J = 16.7, 1.5 Hz, 1H), 2.51 – 2.19 (m, 2H), 2.14 (s, 3H), 0.66 (t, J = 7.4 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 205.6, 169.6, 138.3, 134.3, 130.3, 130.0, 128.8, 128.4, 124.7, 122.2, 119.7, 119.2, 109.3, 106.8, 76.0, 54.7, 48.9, 41.4, 30.8, 29.9, 25.2, 8.9 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{24}\text{H}_{26}\text{N}_2\text{O}_3+\text{H}]^+$ 391.2016, found 391.2012.

For the **minor diastereomer**: 17.8 mg, 23% yield, White solid, m. p. 198 – 200 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.54 (d, J = 7.8 Hz, 1H), 7.50 – 7.42 (m, 2H), 7.41 – 7.26 (m, 5H), 7.20 – 7.09 (m, 1H), 5.66 (d, J = 7.4 Hz, 1H), 4.95 (d, J = 9.2 Hz, 1H), 4.90 (d, J = 9.2 Hz, 1H), 4.11 – 3.96 (m, 1H), 3.65 (s, 3H), 3.07 (dd, J = 16.7, 7.5 Hz, 1H), 2.72 (dd, J = 16.7, 2.0 Hz, 1H), 2.34 – 2.01 (m, 5H), 0.84 (t, J = 7.4 Hz, 3H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 205.6, 168.8, 138.1, 134.0, 130.5, 130.2, 128.9, 128.5, 125.0, 122.3, 119.8, 118.9, 109.3, 107.5, 75.8, 53.7, 49.9, 41.6, 31.0, 29.8, 26.3, 10.6 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{24}\text{H}_{26}\text{N}_2\text{O}_3+\text{H}]^+$ 391.2016, found 391.2014.

5. Optimization for the Synthesis of 3da

Table S1 Screening of reaction conditions^a



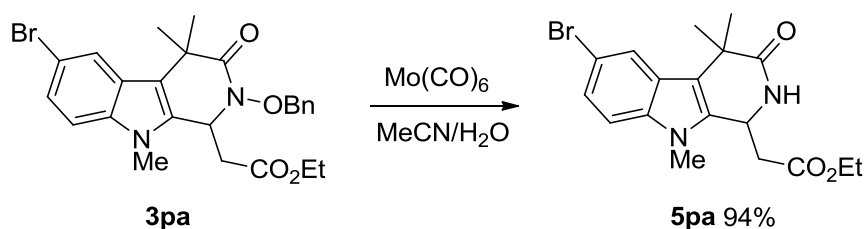
entry	Temperature (°C)	additive	time (h)	yield of 3da (%) ^b	yield of 4da (%) ^c
1	rt	/	3	41	42
2	40	/	3	38	27
3	60	/	3	41	< 10
4	80	/	3	41	trace
5 ^d	rt	TFA (1 equiv)	3	48	36
6 ^d	rt	TFA (2 equiv)	3	55	27
7 ^d	rt	TFA (3 equiv)	3	61	25
8 ^d	rt	TFA (4 equiv)	3	63	23
9 ^d	rt	TFA (5 equiv)	3	67	18
10 ^d	rt	TFA (6 equiv)	3	71	10
11 ^d	rt	TFA (7 equiv)	3	76	trace
12 ^d	rt	TFA (8 equiv)	3	76	trace

^a Reaction conditions: **1a** (0.2 mmol), **2a** (0.6 mmol), Na_2CO_3 (1.2 mmol) in HFIP (1.5 mL).

^b Isolated yields. ^c Yields were determined by ^1H NMR using dibromomethane ($\delta = 4.80$) as an internal standard. ^d TFA was added until **1d** was completely consumed and stirred at room temperature for another 3 h.

6. General Procedure for N–O Bond Cleavage Reaction

a) General Procedure⁶



To a solution of tetrahydro- β -carbolinone **3pa** (99.6 mg, 0.2 mmol, 1 equiv) in acetonitrile/water (9/1, 2 mL), Mo(CO)_6 (63.4 mg, 0.24 mmol, 1.2 equiv) was added. The reaction was stirred at 120 °C under argon for 12 h. After cooling to room temperature, the mixture was filtered through celite and washed with EA. Then, filtrate was concentrated under rotary evaporation, and the resulting residue was purified by silica gel chromatography (PE/EA = 1/1) to afford **5pa** as a white solid (73.5 mg, 94%).

b) Characterization of the Products

ethyl

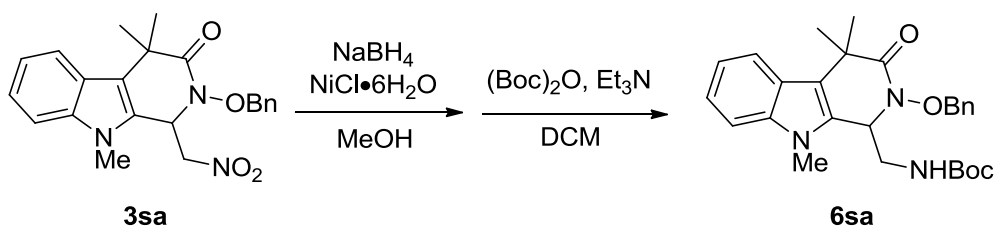
2-(6-bromo-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)acetate (**5pa**)

73.5 mg, 94% yield, White solid, m. p. 168 – 170 °C; ^1H NMR (300 MHz, DMSO-d_6) δ 7.95 (s, 1H), 7.80 (s, 1H), 7.44 (d, J = 8.7 Hz, 1H), 7.28 (d, J = 8.7 Hz, 1H), 5.24 – 5.09 (m, 1H), 4.01 – 3.83 (m, 2H), 3.69 (s, 3H), 2.94 (dd, J = 15.1, 2.7 Hz, 1H), 2.75 (dd, J = 15.1, 6.8 Hz, 1H), 1.52 (d, J = 15.8 Hz, 6H), 0.96 (t, J = 7.1 Hz, 3H) ppm. ^{13}C NMR (75 MHz, DMSO-d_6) δ 175.4, 169.6, 136.9, 131.0, 125.8, 123.7, 121.4, 113.8, 111.8, 111.5, 60.1, 47.2, 41.7, 37.9, 30.0, 27.7, 26.9, 13.7 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{18}\text{H}_{21}\text{BrN}_2\text{O}_3+\text{H}]^+$ 393.0808, found 393.0809.

⁶ DiPoto, M. C.; Hughes, R. P.; Wu, J. *J. Am. Chem. Soc.* **2015**, *137*, 14861.

7. General Procedure for Transformations of the Cycloadduct **3sa**

a) General Procedure⁷

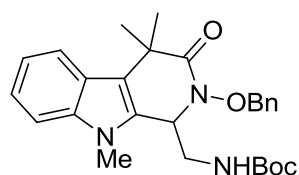


To a suspension of **3sa** (78.6 mg, 0.2 mmol, 1 equiv.), $\text{NiCl}_2 \cdot 6\text{H}_2\text{O}$ (47.5 mg, 0.2 mmol, 1 equiv.) in THF/MeOH (2/1 3 mL) was carefully added NaBH_4 (37.8 mg, 1 mmol, 5 equiv.) at 0 °C. The reaction mixture was stirred at the same temperature for 1 h. The mixture was then quenched with a saturated solution of ammonium chloride and extracted with DCM (3×20 mL). The combined organic layers were washed with brine and dried over sodium sulfate. The filtrate was evaporated in vacuo and the residue was dissolved in DCM at 0 °C, followed by adding NEt_3 (40.5 mg, 0.4 mmol, 2 equiv) and Boc anhydride (48.0 mg, 0.22 mmol, 1.1 equiv). After 3 h stirring at room temperature the mixture was quenched by water and extracted with DCM (3×20 mL). The organic layer was washed with brine, dried over sodium sulfate, filtered and evaporated. Purification via a flash column chromatography (PE/EA = 3/1) provided **6sa** as a white solid (62.2 mg, 67%).

b) Characterization of the Products

tert-butyl

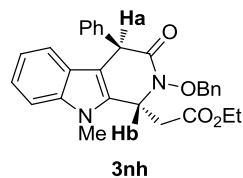
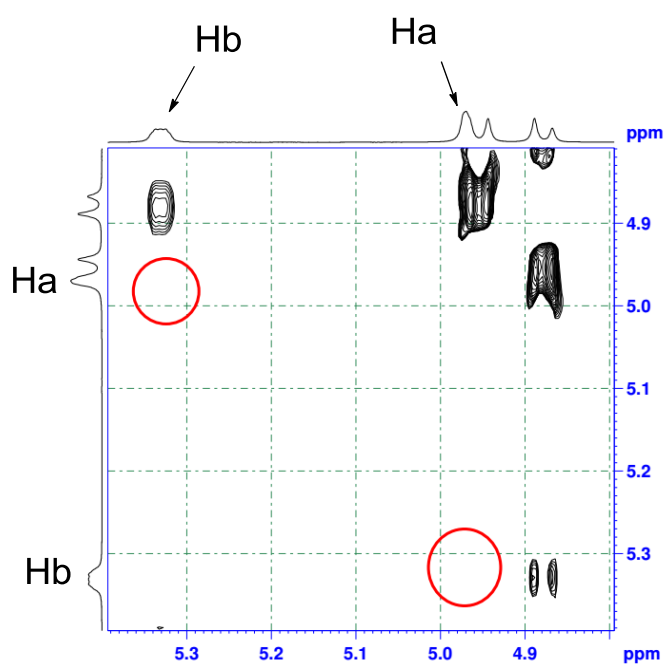
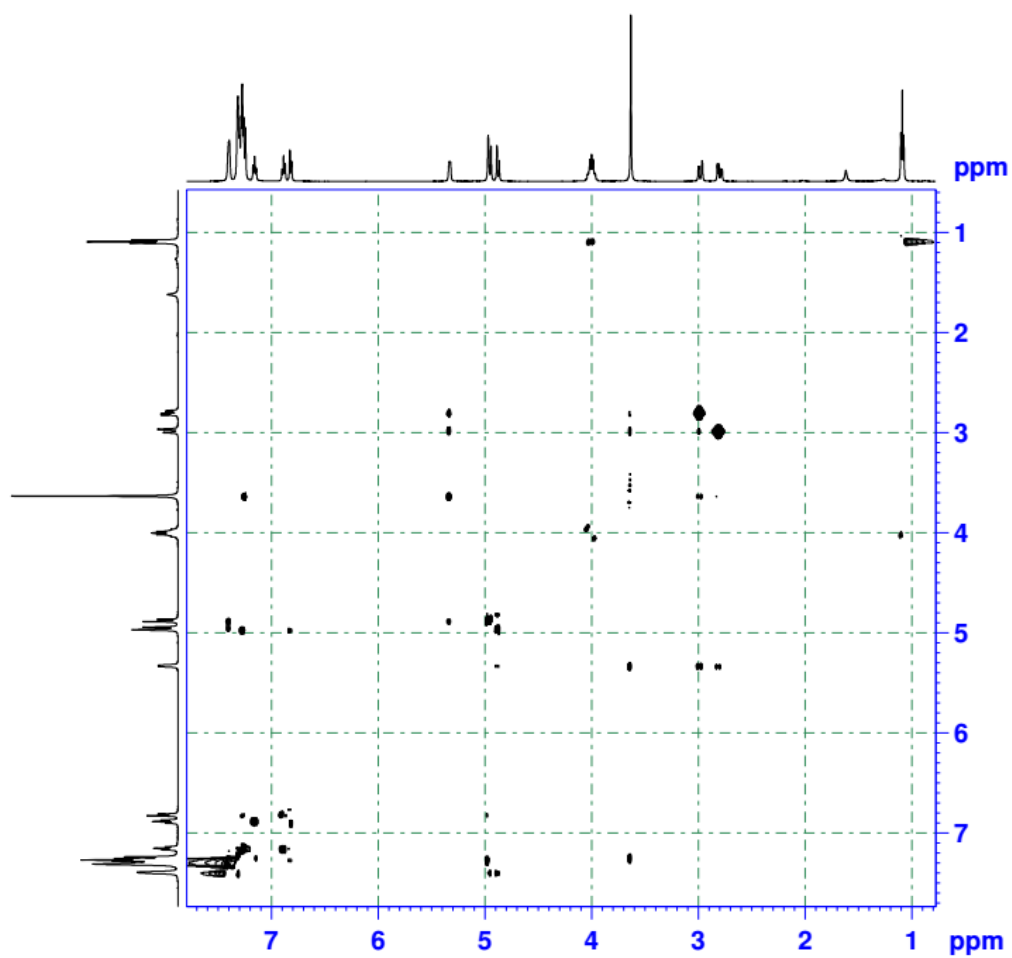
((2-(benzyloxy)-4,4,9-trimethyl-3-oxo-2,3,4,9-tetrahydro-1H-pyrido[3,4-b]indol-1-yl)methyl)carbamate (6sa)



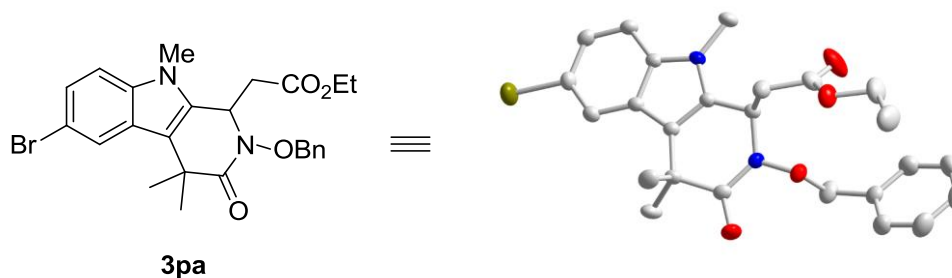
62.2 mg, 67% yield, White solid, m. p. 136 – 137 °C; ^1H NMR (300 MHz, CDCl_3) δ 7.63 (d, J = 7.9 Hz, 1H), 7.52 – 7.42 (m, 2H), 7.40 – 7.18 (m, 5H), 7.09 (t, J = 7.3 Hz, 1H), 5.09 (d, J = 10.5 Hz, 1H), 4.96 (d, J = 10.5 Hz, 1H), 4.85 – 4.60 (m, 2H), 3.84 – 3.70 (m, 1H), 3.70 – 3.40 (m, 4H), 1.75 (s, 3H), 1.74 (s, 3H), 1.14 (s, 9H) ppm. ^{13}C NMR (75 MHz, CDCl_3) δ 174.5, 155.3, 138.3, 135.0, 129.7, 128.8, 128.5, 125.2, 124.0, 121.8, 119.5, 119.2, 114.6, 109.5, 79.5, 76.1, 59.8, 42.4, 40.7, 29.7, 28.7, 27.9, 27.2 ppm. HRMS(ESI) m/z calcd for $[\text{C}_{27}\text{H}_{33}\text{N}_3\text{O}_4+\text{H}]^+$ 464.2544, found 464.2540.

⁷ Ni, Q.; Zhang, H.; Grossmann, A.; Loh, C. C. J.; Merckens, C.; Enders, D. *Angew. Chem., Int. Ed.* **2013**, 52, 13562.

8. NOE analysis of 3nh

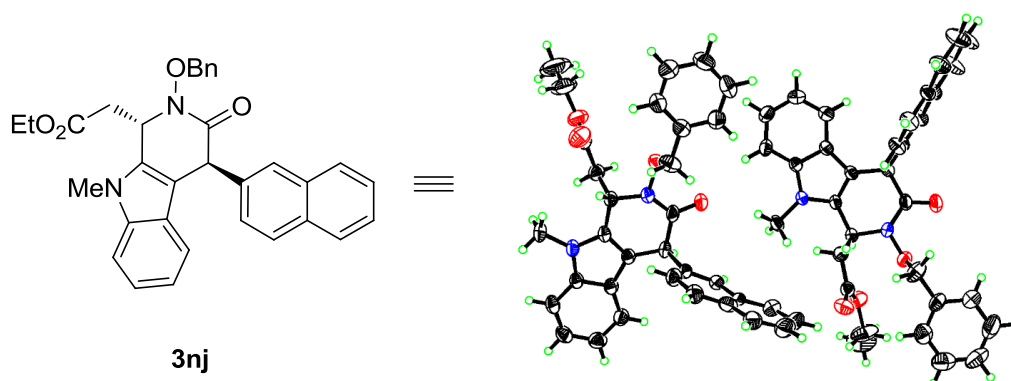


9. X-ray Crastallography Data of 3pa and 3nj



CCDC–1539525 (**3pa**) contains the supplementary crystallographic data. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.

Bond precision	C-C = 0.0037 Å, Wavelength = 0.71073		
Unit cell dimensions	a = 13.452(5)	Å	= 90 °
	b = 9.374(3)	Å	= 104.972(4) °
	c = 19.421(7)	Å	= 90 °
Temperature	296 K		
Volume	2365.9(15) Å ³		
Space group	P 21/c		
Hall group	-P 2ybc		
Sum formula	C ₂₅ H ₂₇ BrN ₂ O ₄		
Mr	499.39		
Dx, g cm ⁻³	1.402		
Z	4		
Mu (mm ⁻¹)	1.771		
F000	1032.0		
h, k, lmax	17, 11, 24		
Nref	4691		
Data completeness	0.918		
Theta(max)	26.884		
R(reflections)	0.0369(3305)		
wR2(reflections)	0.1044(4691)		
S	1.025		
Npar	294		



CCDC-1546162 (**3nj**) contains the supplementary crystallographic data. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.

Bond precision	C-C = 0.0053Å, Wavelength = 0.71073		
Unit cell dimensions	a = 14.008(3)	Å	= 115.266(5) °
	b = 14.182(4)	Å	= 90.430(5) °
	c = 15.949(4)	Å	= 105.169(5) °
Temperature	173 K		
Volume	2739.7(12) Å ³		
Space group	P -1		
Hall group	-P 1		
Sum formula	C ₃₃ H ₃₀ N ₂ O ₄		
Mr	518.59		
Dx, g cm ⁻³	1.257		
Z	4		
Mu (mm ⁻¹)	0.083		
F000	1096.0		
h, k, lmax	17, 17, 20		
Nref	11466		
Data completeness	0.979		
Theta(max)	26.802		
R(reflections)	0.0663(5586)		
wR2(reflections)	0.2152(11466)		
S	0.943		
Npar	707		

10. Copies of ^1H NMR and ^{13}C NMR Spectra of the Titled Compounds

