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Rev

Supporting Information

Catalytic Asymmetric Epoxidation of α , β -Unsaturated Ketones Promoted by Lanthanoid Complexes

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General: Enones 3 and 9 were recrystallized from ethanol and petroleum ether, respectively. Enones 5, 11, 13 and 15 were distilled under reduced pressure. Enone 7 was synthesized from 2-hydroxychalcone.

Anhydrous TBHP in toluene was prepared as follows: To a 70% TBHP solution in H_2O (35 mL) was added toluene (30 mL) and the solution was swirled. The aqueous layer was separated and the organic layer was dried over anhydrous MgSO₄ twice, then stored at room temperature over activated 4A molecular sieves.

Anhydrous CMHP in toluene was prepared by adding the commercially available CMHP (70%, 25 mL) slowly and with agitation to 80 mL of 25% NaOH in water, keeping the temperature below 15 °C. The resulting crystals were filtered off, washed twice with petroleum ether (25 mL), then stirred with petroleum ether (50 mL) 30 min. After filtering off of the crystals, they were suspended in 30 mL of water and the pH was adjusted to 7.5 by addition of 4 M HCl. The free CMHP was extracted into two 20 mL portions of petroleum ether, dried over anhydrous MgSO₄, and solvent evaporated under vacuum at room temperature. The residue was dissolved in toluene and then stored at room temperature over activated 4A molecular sieves.

All reactions were performed under an argon atmosphere. THF was distilled from sodium benzophenone ketyl.

General procedure for the catalytic asymmetric epoxidation with La-(R)-BINOL catalyst

Synthesis of trans-(2S, 3R)-epoxy-1,3-diphenylpropan-1-one (4)

To a suspension of MS 4A (1.0 g) in THF (25 mL) was added (R)-BINOL in THF (0.1 M, 2.5 mL, 0.25 mmol) and then La(OⁱPr)₃ in THF (0.2 M, 1.25 mL, 0.25 mmol) at room temperature. After stirring at room temperature for 30 min, transchalcone (3)(1.04 g, 5.0 mmol) was added and the resulting mixture was stirred for an additional 5 min at the same temperature. To this solution was added CMHP in toluene (ca. 3.5 M, 2.1 mL, 7.5 mmol) in one portion. After stirring for 6 h at room temperature, the reaction mixture was treated with saturated NH₄Cl aq (3 mL) and extracted with EtOAc (25 mL x 3). The combined organic extracts were washed with

brine, dried (Na₂SO₄), and concentrated to give a residue. Purification of this by flash chromatography (SiO₂, AcOEt/hexane = 1: 30) gave the trans-(2S,3R)-epoxy-1,3-diphenylpropan-1-one (4) (1.04 g, 93 %) in 83% ee as a colorless oil.

General procedure for the catalytic asymmetric epoxidation with La-(R)-3-hydroxymethyl-BINOL catalyst

To a suspension of MS 4A(100 mg) in THF (2.6 mL) were added (R)-3hydroxymethyl-BINOL in THF (0.1 M, 188 µL, 0.0188 mmol) and then La(OPr)3 in THF (0.2 M, 75 µL, 0.015 mmol) at 0 °C. After being stirred at room temperature for 60 min, trans-chalcone (3)(62.4 mg, 0.3 mmol) was added and the resulting mixture was stirred for an additional 10 min at the same temperature. To this solution was added CMHP in toluene (ca. 3.5 M, 130 µL, 0.45 mmol) in one portion. After being stirred for 7 h at room temperature, the reaction mixture was treated with saturated NH₄Cl aq The usual workup gave 4 (62.5 mg, 93 %) in 91% ee as a colorless oil. IR (neat) 1688, 1230 cm⁻¹; ¹H-NMR (CDCl₃) δ 4.07 (d, J = 2.0 Hz, 1 H), 4.29 (d, J = 2.0 Hz, 1 H), 7.30 - 7.70 (m, 8 H), 8.19 (m, 2 H); 13 C-NMR (CDCl₃) δ 59.3, 60.9. 125.7, 128.2, 128.7, 128.8, 128.8, 129.0, 133.9, 135.4, 193.0; MS m/z 224 (M⁺). Anal. calcd for $C_{15}H_{12}O_2$: C, 80,34; H, 5.39; Found: C, 80,32; H, 5.31 $[\alpha]_D^{25}$ +182.2° (c 1.14, CHCl₃) (91% ee). Enantiomeric excess of 4 was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALCEL OD, i-PrOH-hexane = 2:98, flow rate 1.0 ml/min, 16.2 min (2S,3R) isomer and 18.7 min (2R,3S) isomer); detection at 254 nm. The absolute configuration was determined by comparing the measured optical rotation with the reported one, see: Marsman, B.; Wynberg, H. J. Org. Chem. 44, 1979, 2312-2314.

Synthesis of trans-(2S, 3R)-epoxy-4-methyl-1-phenylpentan-1-one (6)

43.4 mg of 6 (95 %) was obtained from 5 (41.8 mg, 0.24 mmol), according to the general procedure for the synthesis of 4 by La-(R)-3-hydroxymethyl-BINOL catalyst (rt, 7 h).

Colorless oil; IR (neat) 1691, 1230 cm⁻¹; ¹H-NMR (CDCl₃) δ 1.07 (d, J = 6.9 Hz, 3 H), 1.10 (d, J = 6.9 Hz, 3 H), 1.78 (sept, J = 6.9 Hz, 1 H), 2.95 (dd, J = 2.3, 6.6 Hz, 1 H), 4.08 (d, J = 2.3 Hz, 1 H), 7.50 (m, 2 H), 7.60 (m, 1 H), 8.02 (m, 2 H); ¹³C-NMR (CDCl₃) δ 18.1, 18.8, 30.4, 56.3, 64.9, 128.1, 128.7, 133.6, 135.4, 194.6; MS m/z 190 (M⁺). [α]D²⁵ -22.8° (c 1.47, CHCl₃) (94% ee). Enantiomeric excess of δ was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALCEL OD, i-PrOH-hexane = 2:98, flow rate 1.0 ml/min, 8.2 min (2S,3R) isomer and 10.2 min (2R,3S) isomer); detection at 254 nm. The absolute configuration was determined by comparing the measured optical rotation with the reported one after converted into β -

hydroxyketone. Conversion into β-hydroxyketone, see: Marsman, B.; Wynberg, H. J. Org. Chem., 44, 1979, 2312-2314. Optical rotation, see: Ramachandran, P.V.; Xu, W-C.; Brown, H. C. Tetrahedron 1996, 37, 4911-4914.

Synthesis of trans-(2S, 3R)-epoxy-3-phenyl-1-(2-methoxymethyloxy phenyl)propan-1-one (8)

48.3 mg of **8** (85 %) was obtained from **7** (53.6 mg, 0.2 mmol), according to the general procedure for the synthesis of **4** by La-(R)-BINOL catalyst (rt, 20 h). White solid; mp 77 °C; IR (nujol) 1635, 1265 cm⁻¹; ¹H-NMR (CDCl₃) δ 3.09 (s, 3 H), 4.02 (d, J = 2.0 Hz, 1H), 4.30 (d, J = 2.0 Hz, 1 H), 4.83 (d, J = 7.0 Hz, 1 H), 4.93 (d, J = 6.9 Hz, 1 H), 7.10 (m, 2 H), 7.37 (m, 5 H), 7.48 (dt, J = 2.0, 9.0 Hz, 1 H), 7.81 (dd, J = 1.7, 7.6 Hz, 1 H); ¹³C NMR (CDCl₃) δ 56.2, 59.8, 64.9, 94.4, 114.4, 121.9, 125.7, 126.5, 128.6, 128.8, 130.5, 134.7, 136.4, 157.2, 194.7; MS m/z 284 (M⁺). Anal. calcd for C₁₇H₁₆O₄: C, 71.82; H, 5.67; Found: C,71.68; H, 5.53. [α]_D²⁵ +120.5 °(c 1.03, CHCl₃) (85% ee). Enantiomeric excess of **8** was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALPAK AD, i-PrOH-hexane = 1:9, flow rate 1.0 ml/min, 15.2 min (2S,3R) isomer and 18.9 min (2R,3S) isomer); detection at 254 nm. The absolute configuration was determined by comparing the measured optical rotation with the reported one, see: Augustyn, J. A. N.; Bezuidenhoudt, B. C. B.; Swanepoel, A.; Ferreira, D. *Tetrahedron* **1990**, 46, 4429-4442.

General procedure for the catalytic asymmetric epoxidation with Yb-(R)-BINOL catalyst

Synthesis of trans-(3S, 4R)-epoxy-4-phenylbutan-2-one (10)

To a suspension of MS 4A (100 mg) in THF (2.0 mL) was added (R)-BINOL in THF (0.1 M, 150 μL, 0.015 mmol) and then Yb(OⁱPr)₃ in THF (0.1 M, 150 μL, 0.015 mmol) at room temperature. After being stirred at 40 °C for 60 min and cooling to room temperature, trans-benzalacetone (9) (44 mg, 0.3 mmol) was added and the resulting mixture was stirred for an additional 5 min at the same temperature. To this solution was added a toluene solution of TBHP (ca. 3 M, 150μL, 0,45 mmol) in one portion. After being stirred for 9 h at room temperature, the reaction mixture was treated with saturated NH₄Cl aq (1.0 mL) and extracted with EtOAc (10 mL x 3). The combined organic extracts were washed with brine, dried (Na₂SO₄), and concentrated to give a residue. Purification by flash chromatography (SiO₂, CH₂Cl₂) gave the trans-(3S,4R)-epoxy-4-phenylbutan-2-one (10)(46 mg, 94 %) in 89% ee as a colorless oil.

General procedure for the catalytic asymmetric epoxidation with Yb-(R)-3-hydroxymethyl-BINOL catalyst

To a suspension of MS 4A(100 mg) in THF (2.5 mL) were added (R)-3-hydroxymethyl BINOL in THF (0.1 M, 188 μ L, 0.0188 mmol) and then Yb(OⁱPr)₃ in THF (0.1 M, 150 μ L, 0.015 mmol) at 0 °C. After being stirred at 40 °C for 60 min and cooling to room temperature, *trans*-benzalacetone (9) (44 mg, 0.3 mmol) was added and the resulting mixture was stirred for an additional 10 min at the same temperature. To this solution was added a toluene solution of TBHP (ca. 3 M, 150 μ L, 0.45 mmol) in one portion. After being stirred for 96 h at room temperature, the reaction mixture was treated with saturated NH₄Cl aq (1.0 mL). The usual workup gave 10 (40.3 mg, 83 %) in 94% ee as a colorless oil.

IR (neat) 1710, 1249 cm⁻¹; ¹H-NMR (CDCl₃) δ 2.17 (s, 3 H), 3.48 (d, J = 2.0 Hz, 1 H), 4.00 (d, J = 2.0 Hz, 1 H), 7.27 (m, 5 H); ¹³C NMR (CDCl₃) δ 24.8, 57.7, 63.4, 125.7, 128.7, 129.0, 135.0, 204.1; MS m/z 162 (M⁺). [α]D²⁵ +96.5° (c 1.0, CHCl₃) (94% ee). Enantiomeric excess of 10 was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALPAK AD, i-PrOH-hexane = 2:98, flow rate 1.25 ml/min, 9.8 min (2R,3S) isomer and 12.6 min (2S,3R) isomer); detection at 254 nm. The absolute configuration was determined by comparing the measured optical rotation with the reported one after converted into β -hydroxyketone, see: Awano, K.; Yanai, T.; Watanabe, I.; Takagi, Y.; Kitahara, T.; Mori, K. Biosci. Biotech. Biochem. 1995, 59, 1251-1254.

Synthesis of trans-(4S, 5R)-epoxy-2-methyl-5-phenylpentan-3-one (12)

15.8 mg of 12 (55 %) was obtained from 11 (26.1 mg, 0.15 mmol), according to the general procedure for the synthesis of 10 by Yb-(R)-3-hydroxymethyl-BINOL catalyst (rt, 159 h).

Colorless oil; IR (neat) 1720, 1237 cm⁻¹; ¹H-NMR (CDCl₃) δ 1.16 (d, J = 6.9 Hz, 3 H), 1.17 (d, J = 6.9 Hz, 3 H), 2.82 (sept, J = 6.9 Hz, 1 H), 3.60 (d, J = 2.0 Hz, 1 H), 3.92 (d, J = 2.0 Hz, 1 H), 7.33 (m, 5 H); ¹³C NMR (CDCl₃) δ 18.0, 18.7, 37.6, 59.1, 62.6, 126.3, 129.4, 129.6, 136.0, 209.3; MS m/z 190 (M⁺). [α]_D²⁵ +144.8° (c 1.0, CHCl₃) (88% ee). Enantiomeric excess of 12 was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALPAK AS, i-PrOH-hexane = 2:98, flow rate 1.0 ml/min, 8.9 min (4S,5S) isomer and 12.6 min (4S,5S) isomer; detection at 254 nm. The absolute configuration was determined by Mosher method after converted into β -hydroxyketone.

Synthesis of trans-(3S, 4R)-epoxy-6-phenylhexan-2-one (14)

25.9 mg of 14 (91 %) was obtained from 13 (26.1 mg, 0.15 mmol), according to the general procedure for the synthesis of 10 by Yb-(R)-3-hydroxymethyl-BINOL catalyst (rt, 118 h).

Colorless oil; IR (neat) 1709, 1248 cm⁻¹; ¹H-NMR (CDCl₃) δ 1.95 (dt, J = 5.6, 7.6 Hz, 2 H), 2.02 (s, 3 H), 2.80 (m, 2 H), 3.08 (dt, J = 2.0, 5.6 Hz, 1 H), 3.17 (d, J = 2.0 Hz, 1

H), 7.25 (m, 5 H); 13 C NMR (CDCl₃) δ 24.5, 31.9, 33.4, 57.4, 59.9, 126.3, 128.3, 128.6, 140.4, 205.6; MS m/z 190 (M⁺). Anal. calcd for $C_{12}H_{14}O_2$: C, 75.76; H, 7.42; Found: C, 75.48; H, 7.60. [α]_D²⁶ -21.9° (c 1.24, CHCl₃) (88% ee). Enantiomeric excess of 16 was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALPAK AD, i-PrOH-hexane = 2:98, flow rate 1.0 ml/min, 8.9 min (3R,4S) isomer and 11.5 min (3S,4R) isomer); detection at 254 nm. The absolute configuration was determined by comparing the measured optical rotation with the reported one after converted into β-hydroxyketone. see; Narasaka, K.; Miwa, T.; Hayashi, H.; Ohta, M. Chem. Lett. 1984, 1399-1402.

Synthesis of trans-(3S, 4R)-epoxynonan-2-one (16)

26.6 mg of 16 (71%) was obtained from 15 (33.7 mg, 0.24 mmol), according to the general procedure for the synthesis of 10 by Yb-(R)-3-hydroxymethyl-BINOL catalyst (rt, 67 h).

Colorless oil; IR(neat) 1711 cm⁻¹; ¹H-NMR (CDCl₃) δ 0.89 (m, 3 H), 1.20 - 1.75 (m, 8 H), 2.06 (s, 3 H), 3.07 (dt, J = 2.0, 5.0 Hz, 1 H), 3.18 (d, J = 2.0 Hz, 1 H); ¹³C NMR (CDCl₃) δ 14.5, 23.1, 24.9, 26.1, 32.0, 32.3, 58.7, 60.5, 206.7; MS m/z 156 (M⁺).; [α]D²⁵ -38.7° (c 0.75, CHCl₃) (91% ee). Enantiomeric excess of **16** was determined by chiral stationary phase HPLC analysis (DAICEL CHIRALPAK AD, i-PrOH-hexane = 1:100, flow rate 0.4 ml/min, 17.2 min (3R,4S) isomer and 19.5 min (3S,4R) isomer); detection at 280 nm. The absolute configuration was determined by Mosher method after converted into β -hydroxyketone.

Synthesis of (R)-3-hydroxymethyl-BINOL (2)

For the synthesis of racemic 3-hydroxymethyl-BINOL, see: Cram, D. J.; Helgeson, R. C.; Koga, K.; Kyba, E. P.; Madan, K.; Sousa, L.; Siegel, M. G.; Moreau, P.; Gokel, G. W.; Timko, J. M.; Sogah, D. Y. J. Org. Chem. 1978, 43, 2758-2772. Li, J.; Li, W.; Li, Y.; Li, Y.; Yang, S. Org. Prep. Proced. Int. 1995, 27, 685-690.

(R)-2,2'-bis(methoxymethoxy)-1,1'-binaphthalene

(R)-BINOL (3.62 g, 12.6 mmol) in 30mL of DMF was added to a stirred suspension of NaH (60% dispersion in mineral oil; 3.54 g, 88.5 mmol) in 30 mL of DMF at 0 °C. After stirring for 20 min, MOMCl (3.80 ml, 50.6 mmol) was added to the reaction mixture and the mixture was allowed to warm to rt. Water was added to the reaction mixture after 40 min, and the resulting mixture was extracted with ether. The combined extracts were washed with water (4 times), sat.NaHCO₃, and brine, and dried over Na₂SO₄. The solvent was removed under reduced pressure at rt. The crude

(R)-3-formyl-2,2'-bis(methoxymethyloxy)-1,1'-binaphthalene

To a solution of (R)-2,2'-bis(methoxymethyloxy)-1,1'-binaphthalene (2.77 g, 7.4 mmol) in 90 mL of THF was added 1.57 N t-BuLi in pentane (10.4 mL, 16.3 mmol) dropwise at -78 °C. After stirring for 1 h, DMF (740 µL, 9.62 mmol) was added dropwise, after 1.5 h, additional DMF (285µL, 3.7 mmol) was added to the reaction mixture. The mixture was allowed to warm to rt slowly, quenched with sat. NH,Cl after stirring for 9 h (total reaction time), and extracted with AcOEt. extracts were washed with water and brine, and dried over Na2SO4. The solvent was removed under reduced pressure at rt. Purification by flash chromatography (SiO2, hexane / AcOEt = $12: 1 \sim 5: 1$) gave the (R)-3-formyl-2,2'-bis(methoxmethyloxy)-1,1'binaphthalene in 53% yield (1.57 g) as a yellow viscous oil. 31% (851 mg) of the starting material was also recovered.

IR (neat) 1690, 1241, 1153, 1048, 1013, 754 cm⁻¹; ¹H-NMR (CDCl₃) δ 3.00 (s, 3 H), 3.16 (s, 3 H), 4.63 (d, J = 6.2 Hz, 1 H), 4.75 (d, J = 6.2 Hz, 1 H), 5.04 (d, J = 7.0 Hz. 1 H), 5.14 (d, J = 7.0 Hz, 1 H), 7.12 - 7.49 (m, 6 H), 7.61 (d, J = 9.2 Hz, 1 H), 7.89 (br-d, J = 8.0 Hz, 1 H), 7.96 - 8.07 (m, 2 H), 8.57 (s, 1 H), 10.59 (s, 1 H); ¹³C NMR (CDCl₃) δ 55.98, 57. 11, 94.88, 100.14, 116.33, 119.44, 124.30, 125.16, 125.88, 125.97, 126.79, 126.92, 128.01, 129.00, 129.00, 129.65, 130.15, 130.15, 130.28, 131.00, 133.73, 136.98, 152. 88, 153.82, 191.18; MS m/z 402 (M+), 296, 269, 45 (bp); HRMS calcd for $C_{25}H_{22}O_5$ (M⁺) 402.1467, found (M⁺) 402.1479; $[\alpha]_D^{25} + 74.2^{\circ}$ (c 1.28, CHCl₃)

(R)-3-hydroxymethyl-2,2'-bis(methoxymethyloxy)-1,1'-binaphthalene

NaBH₄ (139 mg, 3.67 mmol) was added to a solution of (R)-3-formyl-2,2'bis(methoxmethyloxy)-1,1'-binaphthalene (1.477 g, 3.67 mmol) in MeOH (36 mL) at 0 After stirring for 15 min at 0 °C, water was added to the reaction mixture. After being stirred for 30 min, the solvent was removed under reduced pressure at rt. mixture were added sat. NH4Cl and AcOEt, and the AcOEt extracts were washed with brine and dried over Na₂SO₄. The solvent was removed under reduced pressure at rt. Purification by flash chromatography (SiO₂, hexane / acetone = $5:1 \sim 4:1$) gave (R)-3hydroxymethyl-2,2'-bis(methoxymethyloxy)-1,1'-binaphthalene in 98% yield (1.46 g) as a colorless viscous oil.

IR (neat) 3442, 1240, 1150, 1033, 1012, 751 cm⁻¹; ¹H-NMR (CDCl₃) δ 3.15 (s, 3 H), 3.25 (s, 3 H), 3.44 (dd, J = 7.0, 7.0 Hz, 1 H), 4.47 (d, J = 6.0 Hz, 1 H), 4.67 (d, J = 6.0 Hz, 6.0 Hz, 1 H), 4.89 (dd, J = 7.0, 12.5 Hz, 1 H), 4.93 (dd, J = 7.0, 12.5 Hz, 1 H), 5.03

(d, J = 7.0 Hz, 1 H), 5.11 (d, J = 7.0 Hz, 1 H), 7.11 - 7.44 (m, 6 H), 7.59 (d, J = 9.1 Hz, 1 H), 7.85 - 7.92 (m, 2 H), 7.97 (s, 1 H), 7.98 (d, J = 9.1 Hz, 1 H); ¹³C-NMR (CDCl₃) δ 55.90, 56.98, 61.98, 94.81, 99.25, 116.41, 120.40, 124.19, 125.12, 125.28, 125.43, 125.59, 126.18, 126.79, 127.91, 127.96, 129.00, 129.63, 129.97, 131.00, 133.66, 133.73, 134.29, 152.69, 153.06; MS m/z 404 (M⁺), 298, 84 (bp); HRMS calcd for $C_{25}H_{24}O_5$ (M⁺) 404.1624, found (M⁺) 404.1634; $[\alpha]_D^{24} + 65.3^{\circ}(c 0.79, CHCl_3)$

(R)-3-hydroxymethyl-1,1'-bi-2-naphthol (2)

To a solution of (R)-3-hydroxymethyl-2,2'-bis(methoxymethyloxy)-1,1'-binaphthalene (1.46 g, 3.61 mmol) in 15 mL of THF was added 15 mL of c. HCl at 0 °C. After stirring for 30 min the reaction mixture was extracted with AcOEt (2 times). The combined extracts were washed with water (2 times), sat.NaHCO₃ (2 times), and brine, and dried over Na₂SO₄. Purification by flash chromatography (SiO₂, CH₂Cl₂ / MeOH = $50:1\sim40:1$) gave (R)-3-hydroxymethyl-1,1'-bi-2-naphthol in 96% yield (1.09 g) as a colorless amorphous solid.

IR (CHCl₃) 3528, 3372 cm⁻¹; ¹H-NMR (CDCl₃) δ 2.47 (br-t, J = 6.0 Hz, 1 H), 4.99 (br-d, J = 6.0 Hz, 2 H), 5.10 (br-s, 1 H), 5.83 (br-s, 1 H), 7.10 - 7.17 (m, 2 H), 7.25 - 7.42 (m, 4 H), 7.38 (d, J = 9.0 Hz, 1 H), 7.85 - 7.95 (m, 3 H), 7.97 (d, J = 9.0 Hz, 1 H); ¹³C-NMR (CDCl₃) δ 62.88, 111.21, 111.86, 117.79, 123.94, 124.22, 124.26, 124.35, 127.35, 127.35, 128.34, 128.39, 128.66, 129.09, 129.10, 129.40, 131.25, 133.14, 133.42, 151.37, 152.58; MS m/z 316 (M⁺), 298 (bp), 269, 253; HRMS calcd for $C_{21}H_{16}O_3$ (M⁺) 316.1099, found (M⁺) 316.1099; $[\alpha]_D^{25}$ +14.9° (c 0.57, CHCl₃)









































