A direct asymmetric hetero-Claisen approach to 3-alkyl-3-aryloxindoles

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General information

All reactions involving moisture sensitive reagents were performed under inert atmosphere (nitrogen or argon) via standard vacuum line techniques and with freshly dried solvents. All glassware was flame dried and allowed to cool under vacuum. Diethylether (Et₂O), tetrahydrofuran (THF), toluene, hexane and dichloromethane were obtained dry from a solvent purification system (MBraun, SPS-800). Petroleum ether is defined as petroleum ether 40-60 °C. All solvents and commercial reagents were used as supplied without further purification unless stated otherwise. Room temperature refers to 20-25 °C. Reduced pressure refers to the use of a Büchi Rotavapor R-2000 rotary evaporator with a Vacubrand CVC₂ vacuum controller or a Heidolph Laborota 4001 rotary evaporator with a vacuum controller. Analytical thin layer chromatography was performed on aluminium sheets coated with 60 F₂₅₄ silica. TLC visualisation was carried out with ultraviolet light (254 nm), followed by staining 1% aqueous KMnO₄ solution. Flash column chromatography was performed on Kieselgel 60 silica in the solvent system stated. ¹H, ¹³C and ¹⁹F NMR spectra were acquired on either a Bruker Avance 300 (300 MHz ¹H, 75 MHz ¹³C, 282 MHz ¹⁹F) or a Bruker Avance II 400 (400 MHz ¹H, 100 MHz ¹³C. 377 MHz ¹⁹F) spectrometer at ambient temperature and in the deuterated solvent stated. Coupling constants (J) are reported in Hz. Data are expressed in chemical shifts in parts per million (ppm) relative to residual CHCl₃ solvent as the internal standard. Multiplicities are indicated by: s (singlet), d (doublet), t (triplet), q (quartet), quint (quintet), sextet, sept (septet) and m (multiplet). *app* stands for apparent and *br* for broad. Infrared spectra (v_{max}) were recorded on a Perkin-Elmer Spectrum GX FT-IR Spectrometer using either NaCl plates (thin film) or KBr disc as stated. Only the characteristic peaks are quoted. Melting points were recorded on an Electrothermal apparatus and are uncorrected. HPLC analyses were performed on a GILSON apparatus using Daicel Chiralpak AD-H, Daicel Chiralcel OD-H column or Daicel Chiralcel OJ columns. Mass spectrometric (m/z) data was acquired either at the University of St Andrews Mass Spectrometry facility or at the EPSRC National Mass Spectrometry Service Centre in Swansea. HRMS carried out in St Andrews are quoted [M + H] and those carried out in Swansea are quoted $[M + H]^+$. Low and high resolution MS (ES) and MS (CI) were carried out on a Micromass LCT spectrometer and on a Micromass GCT spectrometer, respectively. Optical rotations were measured on a Perkin Elmer/Model-343 digital polarimeter operating at the sodium D line with a 100 mm path cell, and are reported as follows: $[\alpha]_{D}^{T}$ deg.cm³g⁻¹dm⁻¹ (concentration g/100cm³).

1. Preparation of alkylarylketenes

All alkylarylketenes were prepared from the corresponding acid chlorides according to literature procedures.¹

Typical procedure for the preparation of alkylarylketenes. Triethylamine (1.0 equiv) was added dropwise over a 30-min period to a solution of acid chloride (1.0 equiv) in Et_2O (2 mL / mmol of starting material) at 0°C under nitrogen atmosphere and the reaction mixture was stirred overnight (16 hours) at 0°C. After warming to room temperature, Et_3N salts were removed by filtration under nitrogen and the filtrate was transferred *via* cannula to a Kugelrohr flask and concentrated. Distillation under reduced pressure afforded the corresponding alkylarylketenes.

2. Racemic version

2.1. Preparation of nitrones 1-4

General procedure for the preparation of nitrones. The nitrones were prepared following the procedure reported by Fu^2 . Nitroarene (1.0 equiv), aldehyde (1.0 equiv) and NH₄Cl (1.3 equiv) were added to a mixture of EtOH (2 mL / mmol of starting material) and H₂O (2 mL / mmol of starting material) and the resulting mixture was cooled to 0°C. Zinc powder (2.0 equiv) was added at 0°C over a 4-hour period, then the reaction mixture was allowed to warm to room temperature and stirred for 16 hours. The reaction mixture was filtered through a pad of celite and washed with CH₂Cl₂. The filtrate was extracted with CH₂Cl₂ (4 * 50 mL) and the combined organic layers were dried (MgSO₄), filtered and concentrated to give the crude nitrone. The nitrones were purified by recrystallization. Yields were not optimized.



¹ a) Houdous, B. L.; Fu G. C. J. Am. Chem. Soc. **2002**, 124, 1578-1579; b) Baigrie, L. M.; Seiklay, H. R.; Tidwell, T. T. J. Am. Chem. Soc. **1985**, 107, 5391-5396; c) Sudo, A.; Uchino, S.; Endo, T. J. Polym. Sci. Part A: Polym. Chem. **2001**, 39, 2093-2102.

² Lo, M. M.-C.; Fu, G. C. J. Am. Chem. Soc. **2002**, 124, 4572-4573.

(*Z*)-*N*-(4-bromobenzylidene)aniline oxide 1 . The nitrone was obtained from 4bromobenzaldehyde (9.25 g, 50 mmol, 1.0 equiv) and nitrobenzene (6.03 mL, 50 mmol, 1.0 equiv) following the above general procedure. The residue was purified by recrystallization from EtOH to give 1 (10.5 g, 90% yield) as a white solid (mp = 152-153°C). IR (KBr disc) γ 3056, 1559, 1545, 1485, 1459, 1397, 1300, 1285, 1191, 1173, 1072, 1011, 894, 840, 766, 687; ¹H NMR (400 MHz, CDCl₃) δ 7.46-7.50 (3H, m), 7.59-7.61 (2H, m), 7.75-7.77 (2H, m), 7.89 (1H, s), 8.27-8.29 (2H, m); ¹³C NMR (100 MHz, CDCl₃) δ 121.8 (2 CH), 124.9 (C), 129.4 (2 CH), 129.7 (C), 130.3 (CH), 130.4 (2 CH), 132.0 (2 CH), 133.6 (CH), 149.1 (C); MS (ES⁺) *m/z* 300 ([M(⁸¹Br) + Na]⁺, 98), 298 ([M(⁷⁹Br) + Na]⁺, 100), 278 ([M(⁸¹Br) + H]⁺, 73), 276 ([M(⁷⁹Br) + H]⁺, 74); HRMS (ES⁺) [M(⁷⁹Br) + Na]⁺ C₁₃H₁₀⁷⁹BrNNaO requires 297.9838, found 297.9836 (-0.7 ppm).



(*Z*)-*N*-benzylidene-4-methylaniline oxide 2. The nitrone was obtained from benzaldehyde (5.08 mL, 50 mmol, 1.0 equiv) and 4-nitrotoluene (6.86 g, 50 mmol, 1.0 equiv) following the above general procedure. The residue was purified by recrystallization from CH₂Cl₂ / hexane to give 2 (5.88 g, 56% yield) as a yellowish solid (mp = 114-115°C). IR (KBr disc) γ 3108, 3073, 3050, 3022, 2919, 2857, 2360, 2342, 1597, 1574, 1550, 1503, 1445, 1420, 1394, 1295, 1191, 1175, 1082, 1068; ¹H NMR (400 MHz, CDCl₃) δ 2.42 (3H, s), 7.25-7.28 (2H, m), 7.47 (3H, dt, *J* = 6.2, 1.4), 7.66-7.68 (2H, m), 7.90 (1H, s), 8.39 (2H, dt, *J* = 5.0, 2.4); ¹³C NMR (100 MHz, CDCl₃) δ 21.3 (CH₃), 121.6 (2 CH), 128.8 (2 CH), 129.1 (2 CH), 129.8 (2 CH), 130.90 (C), 130.94 (CH), 134.3 (CH), 140.3 (C), 147.0 (C); MS (ES⁺) *m/z* 234 ([M + Na]⁺, 44), 212 ([M + H]⁺, 100), 195 (M – O, 32); HRMS (ES⁺) [M + H]⁺ C₁₄H₁₄NO requires 212.1075, found 212.1070 (-2.3 ppm).



(*Z*)-*N*-benzylidene-2-methylaniline oxide 3. The nitrone was obtained from benzaldehyde (2.54 mL, 25 mmol, 1.0 equiv) and 2-nitrotoluene (2.94 mL, 25 mmol, 1.0 equiv) following the above general procedure. The residue was purified by recrystallization from EtOH / petroleum ether to give 3 (4.62 g, 88% yield) as a yellowish solid (mp = 95-96°C). IR (KBr disc) γ 3052, 1605, 1573, 1559, 1554, 1489, 1463, 1444, 1404, 1320, 1304, 1236, 1207, 1188, 1125, 1084, 1068, 1039, 889, 825, 769, 757, 696; ¹H NMR (400 MHz, CDCl₃) δ 2.46 (3H, s), 7.27-7.33 (2H, m), 7.37 (1H, dt, *J* = 7.3, 1.4), 7.41 (1H, dd, *J* = 7.6, 1.3), 7.49-7.51 (3H, m), 7.59 (1H, s), 8.38-8.40 (2H, m); ¹³C NMR (100 MHz, CDCl₃) δ 17.1 (CH₃), 123.4 (CH), 126.7 (CH), 128.7 (2 CH), 128.8 (2 CH), 129.4 (CH), 130.5 (C), 130.9 (CH), 131.5 (CH), 131.8 (C), 137.6 (CH), 148.8 (C); MS (CI) *m/z* 212 ([M + H]⁺, 100), 211 (M⁺, 16), 196 ([M – O + H]⁺, 33), 195 (M – O, 30), 194 ([M – OH]⁺, 8), 118 ([M – O – C₆H₅]⁺, 4), 107 ([Me-C₆H₄-NH₂]⁺, 7); HRMS (CI) [M + H] C₁₄H₁₄NO requires 212.1075, found 212.1078 (+1.2 ppm).



(*Z*)-*N*-benzylidene-2-methoxyaniline oxide 4. The nitrone was obtained from benzaldehyde (5.08 mL, 50 mmol, 1.0 equiv) and 2-nitroanisole (6.1 mL, 50 mmol, 1.0 equiv) following the above general procedure. The residue was purified by recrystallization from CH₂Cl₂ / hexane to give 4 (5.46 g, 48% yield) as a yellowish solid (mp = 143-144°C). IR (KBr disc) γ 3105, 3068, 3046, 3018, 2975, 2940, 2834, 2322, 1601, 1573, 1557, 1496, 1485, 1452, 1444, 1406, 1302, 1282, 1261, 1231, 1194, 1124, 1068; ¹H NMR (300 MHz, CDCl₃) δ 3.89 (3H, s), 7.02-7.07 (2H, m), 7.39 (1H, ddd, *J* = 8.4, 7.5, 1.7), 7.46-7.48 (3H, m), 7.62 (1H, dd, *J* = 8.2, 1.7), 7.65 (1H, s), 8.36-8.39 (2H, m); ¹³C NMR (100 MHz, CDCl₃) δ 56.3 (CH₃), 112.6 (CH), 121.0 (CH), 125.4 (CH), 128.7 (2 CH), 129.2 (2 CH), 130.6 (CH), 130.7 (C), 130.9 (CH), 138.7 (C), 139.2 (CH), 151.5 (C); MS (ES⁺) *m/z* 250 ([M + Na]⁺, 100), 228 ([M + H]⁺, 79), 211 (M - O, 20); HRMS (ES⁺) [M + Na]⁺ C₁₄H₁₃NNaO₂ requires 250.0838, found 250.0833 (-2.2 ppm).

2.2. General procedure

General procedure for alkylarylketene-*N*-arylnitrone rearrangement. A solution of ketene (0.4 mmol, 1.0 equiv) in THF (1.5 mL) was added dropwise to a solution of

nitrone (0.4 mmol, 1.0 equiv) in THF (1.5 mL) at room temperature under nitrogen atmosphere and the reaction mixture was stirred for 30 minutes at room temperature. Aqueous 1M HCl (0.5 mL) was added, the mixture was stirred for 5 minutes and then extracted with Et_2O (3 * 10 mL). The combined organic layers were dried (MgSO₄), filtered and concentrated under reduced pressure.

2.3. Characterisation of racemic oxindoles 5-15



 (\pm) -3-methyl-3-phenylindolin-2-one 5. The oxindole (\pm) -5 was obtained from methylphenylketene (52.9)0.4 mmol. mg. 1.0 equiv) and (Z)-N-(4bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-5 (76.1 mg, 85% yield) as a white solid (mp = 139-140°C). IR (KBr disc) v 3184 (NH), 3086, 3031, 2985, 2929, 2346, 1708 (C=O), 1618, 1597, 1473, 1453, 1442, 1374, 1325, 1301, 1221; ¹H NMR (400 MHz, CDCl₃) δ 1.84 (3H, s), 6.99 (1H, d, J = 7.8), 7.07 (1H, td, J = 7.5, 0.9), 7.15 $(1H, dd, J = 7.4, 0.6), 7.24 (1H, dd, J = 7.6, 1.2), 7.25-7.35 (5H, m), 8.88 (1H, br s); {}^{13}C$ NMR (100 MHz, CDCl₃) & 23.6 (CH₃), 52.8 (C), 110.3 (CH), 122.9 (CH), 124.5 (CH), 126.8 (2 CH), 127.5 (CH), 128.2 (CH), 128.8 (2 CH), 135.7 (C), 140.5 (C), 140.7 (C), 182.3 (C). MS (ES⁺) m/z 246 ([M + Na]⁺, 18), 241 ([M + NH₄]⁺, 29), 224 ([M + H]⁺, 100); HRMS (ES⁺) $[M + H]^+ C_{15}H_{14}NO$ requires 224.1070, found 224.1070 (0.0 ppm).



(±)-3-ethyl-3-phenylindolin-2-one 6. The oxindole (±)-6 was obtained from ethylphenylketene (58.5 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-(4-bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above

general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-6 (78.3 mg, 83% yield) as a white solid (mp = 149-150°C). IR (KBr disc) γ 3200 (NH), 3085, 2980, 2965, 2934, 2869, 2364, 2345, 1705 (C=O), 1613, 1466; ¹H NMR (300 MHz, CDCl₃) δ 0.77 (3H, t, *J* = 7.4), 2.26 (1H, dq, *J* = 14.7, 7.5), 2.47 (1H, dq, *J* = 14.7, 7.5), 6.97 (1H, ddd, *J* = 7.8, 0.9, 0.6), 7.10 (1H, dt, *J* = 7.5, 1.1), 7.19 (1H, dt, *J* = 7.5, 0.7), 7.23-7.35 (4H, m), 7.36-7.42 (2H, m), 8.61 (1H, *br* s); ¹³C NMR (75 MHz, CDCl₃) δ 9.4 (CH₃), 30.9 (CH₂), 58.2 (C), 110.4 (CH), 123.0 (CH), 125.4 (CH), 127.4 (2 CH), 127.7 (CH), 128.5 (CH), 129.0 (2 CH), 133.2 (C), 140.5 (C), 141.6 (C), 181.5 (C); MS (CI) *m/z* 238 ([M + H]⁺, 100), 208 ([M - C₂H₅]⁺, 7), 160 ([M - C₆H₅]⁺, 10); HRMS (CI) [M + H] C₁₆H₁₆NO requires 238.1232, found 238.1228 (-1.6 ppm).



 (\pm) -3-isobutyl-3-phenylindolin-2-one 7. The oxindole (\pm) -7 was obtained from isobutvlphenvlketene (70.0)0.4 mmol. 1.0 mg. equiv) and (Z)-N-(4bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-7 (88.2 mg, 83% yield) as a yellowish solid (mp = $101-102^{\circ}$ C). IR (KBr disc) γ 3214 (NH), 3090, 3060, 2958, 2931, 2870, 2361, 2343, 1710 (C=O), 1619, 1599, 1497, 1472, 1447, 1387, 1368, 1326, 1265, 1233, 1201; ¹H NMR (400 MHz, CDCl₃) δ 0.67 (3H, d, J = 6.7), 0.80 (3H, d, J = 6.6), 1.46 (1H, *app* dquint, J = 12.7, 6.4), 2.17 (1H, dd, J = 13.8, 5.2), 2.44 (1H, dd, J = 13.8, 7.4), 6.95 (1H, d, *J* = 7.7), 7.06 (1H, td, *J* = 7.5, 0.8), 7.17 (1H, d, *J* = 7.1), 7.20-7.28 (4H, m), 7.35-7.37 (2H, m), 9.27 (1H, br s); ¹³C NMR (100 MHz, CDCl₃) δ 23.2 (CH), 24.5 (CH₃), 25.8 (CH₃), 46.2 (CH₂), 57.0 (C), 110.5 (CH), 122.4 (CH), 125.6 (CH), 126.8 (2) CH), 127.3 (CH), 128.2 (CH), 128.6 (2 CH), 132.8 (C), 141.4 (C), 141.6 (C), 182.2 (C); MS (CI) m/z 266 ([M + H]⁺, 100), 208 ([M - C₄H₉]⁺, 4), 188 ([M - C₆H₅]⁺, 2); HRMS (CI) $[M + H] C_{18}H_{20}NO$ requires 266.1545, found 266.1547 (+0.8 ppm).



 (\pm) -3-butyl-3-phenylindolin-2-one 8. The oxindole (\pm) -8 was obtained from butylphenylketene (70.0)0.4 mmol, 1.0 equiv) and (Z)-N-(4mg, bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-8 (95.2 mg, 90% yield) as a yellowish solid (mp = $102-103^{\circ}$ C). IR (KBr disc) $\gamma 3192$ (NH), 3089, 2954, 2926, 2858, 2364, 1723 (C=O), 1695, 1618, 1472; ¹H NMR (400 MHz, CDCl₃) δ 0.81 (3H, t, J = 7.2), 0.88-0.97 (1H, m), 1.15-1.35 (3H, m), 2.19 (1H, td, J = 12.6, 3.9), 2.39 (1H, td, J =12.7, 4.2), 6.94 (1H, d, J = 7.7), 7.09 (1H, td, J = 7.5, 0.9), 7.19 (1H, d, J = 7.1), 7.22-7.32 (4H, m), 7.37 (2H, dd, J = 8.4, 1.2), 7.92 (1H, br s); ¹³C NMR (100 MHz, CDCl₃) δ 14.0 (CH₃), 23.0 (CH₂), 26.7 (CH₂), 37.4 (CH₂), 57.4 (C), 110.4 (CH), 122.6 (CH), 125.0 (CH), 127.0 (2 CH), 127.4 (CH), 128.1 (CH), 128.7 (2 CH), 133.3 (C), 140.4 (C), 141.4 (C), 181.9 (C); MS (CI) m/z 266 ([M + H]⁺, 100), 208 ([M - C₄H₉]⁺, 5), 188 ([M - $C_6H_5^{\dagger}$, 6); HRMS (CI) [M + H] $C_{18}H_{20}$ NO requires 266.1545, found 266.1541 (-1.5 ppm).



(±)-3-ethyl-3-(4-methoxyphenyl)indolin-2-one 9. The oxindole (±)-9 was obtained from ethyl-(4-methoxyphenyl)ketene (70.5 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-(4-bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-9 (90.5 mg, 85% yield) as a white solid (mp = 99-100°C). IR (KBr disc) γ 3184 (NH), 3081, 3028, 2965, 2932, 2839, 2365, 2346, 1708 (C=O), 1617, 1606, 1510, 1473, 1334, 1295, 1252, 1211, 1183, 1029; ¹H NMR (400 MHz, CDCl₃) δ 0.74 (3H, t, *J* = 7.3), 2.20 (1H, dq, *J* = 13.8, 7.0), 2.41 (1H, dq, *J* = 13.7, 7.0), 3.76 (3H, s), 6.83 (2H, d, *J* = 8.9), 6.94 (1H, d, *J* = 7.7), 7.06 (1H,

t, J = 7.5), 7.15 (1H, d, J = 7.1), 7.23 (1H, td, J = 7.6, 0.8), 7.27 (2H, d, J = 8.9), 8.73 (1H, br s); ¹³C NMR (100 MHz, CDCl₃) δ 9.1 (CH₃), 30.7 (CH₂), 55.4 (CH₃), 57.3 (C), 110.2 (CH), 114.0 (2 CH), 122.6 (CH), 125.0 (CH), 128.1 (CH), 128.2 (2 CH), 132.3 (C), 133.1 (C), 141.5 (C), 158.8 (C), 181.8 (C); MS (CI) m/z 268 ([M + H]⁺, 100), 238 ([M - C₂H₅]⁺, 17), 160 ([M - C₆H₄-OMe]⁺, 69); HRMS (CI) [M + H] C₁₇H₁₈NO₂ requires 268.1338, found 268.1334 (-1.3 ppm).



(±)-3-ethyl-3-(4-fluorophenyl)indolin-2-one 10. The oxindole (±)-10 was obtained from ethyl-(4-fluorophenyl)ketene (65.7 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-(4-bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-10 (85.9 mg, 84% yield) as a white solid (mp = 180°C). IR (KBr disc) v 3199 (NH), 3087, 2979, 2967, 2936, 2879, 2346, 1706 (C=O), 1613, 1509, 1467, 1404, 1321, 1225, 1163; ¹H NMR (400 MHz, CDCl₃) δ 0.76 (3H, t, *J* = 7.3), 2.22 (1H, dq, *J* = 13.8, 7.1), 2.43 (1H, dq, *J* = 13.7, 7.0), 6.97-7.02 (3H, m), 7.11 (1H, td, *J* = 7.5, 0.9), 7.18 (1H, d, *J* = 7.2), 7.28 (1H, td, *J* = 7.6, 1.3), 7.32-7.38 (2H, m), 8.39 (1H, *br* s); ¹³C NMR (100 MHz, CDCl₃) δ 9.1 (CH₃), 31.0 (CH₂), 57.3 (C), 110.2 (CH), 115.4 and 115.6 (2 CH), 122.8 (CH), 125.2 (CH), 128.4 (CH), 128.8 and 128.9 (2 CH), 132.5 (C), 141.2 (C), 160.9 (C), 163.4 (C), 180.8 (C); ¹⁹F NMR (377 MHz, CDCl₃) δ -116.0; MS (CI) *m/z* 256 ([M + H]⁺, 100), 236 ([M - F]⁺, 3), 226 ([M - C₂H₅]⁺, 12), 160 ([M - C₆H₄-F]⁺, 39); HRMS (CI) [M + H] C₁₆H₁₅FNO requires 256.1138, found 256.1139 (+0.5 ppm).



(±)-3-(4-bromophenyl)-3-ethylindolin-2-one 11. The oxindole (±)-11 was obtained from (4-bromophenyl)ethylketene (90.0 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-(4-bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 40:60) to give the title product (±)-11 (108.9 mg, 86% yield) as a white solid (mp = 132-133°C). IR (KBr disc) γ 3204 (NH), 3083, 2969, 2927, 2878, 2367, 1703 (C=O), 1617, 1600, 1587, 1490, 1470, 1396, 1325, 1207, 1078, 1008; ¹H NMR (300 MHz, CDCl₃) δ 0.77 (3H, t, *J* = 7.3), 2.23 (1H, dq, *J* = 13.7, 7.0), 2.43 (1H, dq, *J* = 13.7, 7.0), 6.99 (1H, d, *J* = 7.7), 7.11 (1H, td, *J* = 7.3, 0.7), 7.16 (1H, d, *J* = 6.1), 7.22-7.34 (3H, m), 7.42-7.45 (2H, m), 9.41 (1H, *br* s); ¹³C NMR (75 MHz, CDCl₃) δ 9.0 (CH₃), 30.6 (CH₂), 57.6 (C), 110.5 (CH), 121.6 (C), 122.8 (CH), 124.9 (CH), 128.4 (CH), 128.9 (2 CH), 131.7 (2 CH), 132.2 (C), 139.2 (C), 141.5 (C), 181.3 (C); MS (ES⁺) *m/z* 335 ([M(⁸¹Br) + NH₄]⁺, 53), 333 ([M(⁷⁹Br) + NH₄]⁺, 53), 318 ([M(⁸¹Br) + H]⁺, 98), 316 ([M(⁷⁹Br) + H]⁺, 100); HRMS (ES⁺) [M(⁷⁹Br) + H]⁺ C₁₆H₁₅BrNO requires 316.0332, found 316.0336 (+1.4 ppm).



(±)-3-(2-chlorophenyl)-3-ethylindolin-2-one 12. The oxindole (±)-12 was obtained from (2-chlorophenyl)ethylketene (72.3 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-(4-bromobenzylidene)aniline oxide 1 (92.7 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-12 (91.0 mg, 84% yield) as a white solid (mp = 138-140°C). IR (KBr disc) v 3180 (NH), 3085, 3035, 2989, 2974, 2939, 2923, 2882, 2856, 2360, 2336, 1707 (C=O), 1617, 1472, 1460, 1456, 1238, 1208, 1064; ¹H NMR (400 MHz, CDCl₃) δ 0.82 (3H, t, *J* = 7.3), 2.31 (1H, dq, *J* = 13.2, 6.8), 2.44 (1H, dq, *J* = 13.2, 6.8), 6.78 (1H, d, *J* = 7.3), 6.92 (1H, d, *J* = 7.7), 6.98 (1H, t, *J* = 7.5), 7.19-7.25 (1H, m), 7.27 (1H, d, *J* = 6.8), 7.31 (1H, d, *J* = 6.5), 7.39 (1H, td, *J* = 7.6, 1.2), 7.77 (1H, d, *J* = 7.7), 9.29 (1H, *br* s); ¹³C NMR (100 MHz, CDCl₃) δ 8.0 (CH₃), 31.1 (CH₂), 57.0 (C), 109.7 (CH), 122.6 (CH), 123.0 (CH), 126.9 (CH), 128.0 (CH), 128.9 (CH), 129.4 (CH), 131.1 (CH), 132.8 (C), 134.6 (C), 137.9 (C), 142.3 (C), 181.1 (C); MS (ES⁺) *m/z* 291 ([M(³⁷Cl) + NH4]⁺, 6), 289 ([M(³⁵Cl) + NH4]⁺, 17), 274 ([M(³⁷Cl)

+ H]⁺, 33), 272 ([M(³⁵Cl) + H]⁺, 100); HRMS (ES⁺) [M(³⁵Cl) + H]⁺ C₁₆H₁₅ClNO requires 272.0837, found 272.0838 (+0.5 ppm).



(±)-3-ethyl-5-methyl-3-phenylindolin-2-one 13. The oxindole (±)-13 was obtained from ethylphenylketene (58.5 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-benzylidene-4-methylaniline oxide 2 (84.5 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-13 (87.1 mg, 87% yield) as a white solid (mp = 160-161°C). IR (KBr disc) γ 3179 (NH), 3079, 3059, 3038, 2974, 2918, 2878, 2856, 2358, 1701 (C=O), 1623, 1601, 1491, 1457, 1448, 1337, 1215, 1131; ¹H NMR (400 MHz, CDCl₃) δ 0.78 (3H, t, *J* = 7.3), 2.25 (1H, dq, *J* = 13.7, 7.0), 2.34 (3H, s), 2.47 (1H, dq, *J* = 13.7, 7.0), 6.87 (1H, d, *J* = 7.9), 6.98 (1H, s), 7.06 (1H, d, *J* = 7.8), 7.24-7.28 (1H, m), 7.33 (2H, t, *J* = 7.5), 7.39 (2H, t, *J* = 7.4), 8.93 (1H, *br* s); ¹³C NMR (100 MHz, CDCl₃) δ 9.1 (CH₃), 21.4 (CH₃), 30.4 (CH₂), 58.1 (C), 109.9 (CH), 125.6 (CH), 127.1 (2 CH), 127.3 (CH), 128.5 (CH), 128.7 (2 CH), 132.1 (C), 133.1 (C), 139.0 (C), 140.5 (C), 181.5 (C); MS (CI) *m*/*z* 252 ([M + H]⁺, 100), 222 ([M - C₂H₅]⁺, 7), 174 ([M - C₆H₅]⁺, 9); HRMS (CI) [M + H] C₁₇H₁₈NO requires 252.1388, found 252.1383 (- 2.1 ppm).



(±)-3-ethyl-7-methyl-3-phenylindolin-2-one 14. The oxindole (±)-14 was obtained from ethylphenylketene (58.5 mg, 0.4 mmol, 1.0 equiv) and (Z)-N-benzylidene-2-methylaniline oxide 3 (84.5 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 20:80) to give the title product (±)-14 (86.2 mg, 86% yield) as a white

solid (mp = 138-139°C). IR (KBr disc) γ 3215 (NH), 3074, 3025, 2959, 2923, 2875, 2364, 2345, 1703 (C=O), 1624, 1605, 1492, 1459, 1397, 1382, 1320, 1203; ¹H NMR (400 MHz, CDCl₃) δ 0.77 (3H, t, J = 7.3), 2.25 (1H, dq, J = 13.8, 7.1), 2.31 (3H, s), 2.46 (1H, dq, J = 13.7, 7.0), 7.00-7.05 (2H, m), 7.08-7.09 (1H, m), 7.23-7.27 (1H, m), 7.29-7.33 (2H, m), 7.42 (2H, dd, J = 8.5, 1.2), 9.19 (1H, br s); ¹³C NMR (100 MHz, CDCl₃) δ 9.2 (CH₃), 16.7 (CH₃), 30.9 (CH₂), 58.3 (C), 119.5 (C), 122.53 (CH), 122.55 (CH), 127.1 (2 CH), 127.3 (CH), 128.6 (2 CH), 129.5 (CH), 132.3 (C), 140.2 (C), 140.4 (C), 181.7 (C); MS (CI) m/z 252 ([M + H]⁺, 100), 222 ([M – C₂H₅]⁺, 4), 174 ([M – C₆H₅]⁺, 4); HRMS (CI) [M + H] C₁₇H₁₈NO requires 252.1388, found 252.1385 (-1.3 ppm).



(±)-3-ethyl-7-methoxy-3-phenylindolin-2-one 15. The oxindole (±)-15 was obtained from ethylphenylketene (58.5 mg, 0.4 mmol, 1.0 equiv) and (*Z*)-*N*-benzylidene-2methoxyaniline oxide 4 (90.9 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the title product (±)-15 (99.6 mg, 93% yield) as a white solid (mp = 142-143°C). IR (KBr disc) γ 3201 (NH), 3076, 3031, 2963, 2929, 2875, 2835, 2364, 2345, 1710 (C=O), 1629, 1597, 1497, 1442, 1398, 1273, 1207, 1053; ¹H NMR (400 MHz, CDCl₃) δ 0.77 (3H, t, *J* = 7.4), 2.24 (1H, dq, *J* = 13.8, 7.1), 2.24 (1H, dq, *J* = 13.7, 7.0), 3.91 (3H, s), 6.84 (1H, d, *J* = 7.6), 6.87 (1H, d, *J* = 8.0), 7.07 (1H, t, *J* = 7.9), 7.23-7.27 (1H, m), 7.29-7.33 (2H, m), 7.39-7.41 (2H, m), 7.65 (1H, *br* s); ¹³C NMR (100 MHz, CDCl₃) δ 9.2 (CH₃), 30.8 (CH₂), 55.7 (CH₃), 58.6 (C), 110.4 (CH), 117.4 (CH), 123.1 (CH), 127.0 (2 CH), 127.3 (CH), 128.6 (2 CH), 130.0 (C), 133.5 (C), 140.2 (C), 144.0 (C), 179.8 (C); MS (ES⁺) *m*/z 285 ([M + NH₄]⁺, 12), 268 ([M + H]⁺, 100); HRMS (ES⁺) [M + H]⁺ C₁₇H₁₈NO₂ requires 268.1332, found 268.1333 (+0.4 ppm).

3. Asymmetric version

3.1. Preparation of chiral nitrone 16



(R,Z)-N-((3-(tert-butoxycarbonyl)-2,2-dimethyloxazolidin-4-yl)methylene)aniline oxide 16. MgSO₄ (1.32 g, 11 mmol, 1.1 equiv) was added to a solution of (S)-Garner's aldehyde³ (2.29 g, 10 mmol, 1.0 equiv) in CH₂Cl₂ (70 mL) under nitrogen atmosphere and the reaction mixture was stirred for 5 minutes at room temperature. Nphenylhydroxylamine⁴ (1.09 g, 10 mmol, 1.0 equiv) was added as a solid and the reaction mixture was stirred overnight (16 hours) at room temperature. MgSO₄ was removed by filtration under nitrogen and the filtrate was concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / petroleum ether 30:70 to 100:0) to give a yellowish solid (2.78 g, 87% yield). An analytical sample was obtained by recrystallization from dry Et_2O / dry hexane to give the title compound (*R*,*Z*)-16 as a white solid (mp = 101° C). IR (KBr disc) v 3072, 2979, 2935, 2369, 2346, 1696 (C=O), 1561, 1485, 1458, 1378, 1365, 1318, 1263, 1253, 1161, 1089; ¹H NMR (400 MHz, CDCl₃, 293K) *δ* 1.44-1.65 (15H, m), 4.20-4.30 (2H, m), 5.16 (1H, s), 7.29-7.36 (1H, m), 7.37-7.48 (3H, m), 7.67 (2H, s); ¹³C NMR (100 MHz, CDCl₃, 293K, mixture of rotamers) δ 23.3 and 24.4 (CH₃), 26.6 and 27.4 (CH₃), 28.6 (3 CH₃), 55.5 and 56.3 (CH), 66.0 and 66.6 (CH₂), 80.6 and 81.1 (C), 94.2 and 94.7 (C), 121.5 and 121.7 (2 CH), 129.1 and 129.3 (CH), 130.3 and 130.5 (2 CH), 140.1 and 140.2 (CH), 146.8 (C), 151.7 and 152.4 (C); MS (CI) m/z 321 ([M + H]⁺, 23), 265 (33), 207 (100); HRMS (CI) [M + H] $C_{17}H_{25}N_2O_4$ requires 321.1814, found 321.1808 (-2.0 ppm). The analysis of the product by ¹H NMR at 80°C revealed a diastereometrically pure compound (300 MHz, d_6 -DMSO, 353K) δ 1.42 (9H, s), 1.51 (3H, s), 1.60 (3H, s), 4.01 (1H, dd, J = 9.1, 3.1), 4.25 (1H, dd, J = 9.1, 6.8), 5.06 (1H, td, J = 6.3, 2.7), 7.50-7.52 (3H, m), 7.72 (1H, d, J = 5.8), 7.77-7.81 (2H, m). $[\alpha]_{D}^{20}$ –94.4 (*c* 1.00, CHCl₃).

³ (S)-Garner's aldehyde was prepared from *L*-serine according to a literature procedure: Foss, F. W. Jr.; Snyder, A. H.; Davis, M. D.; Rouse, M.; Okusa, M. D.; Lynch, K. R.; Macdonald, T. L. *Bioorg. Med. Chem.* **2007**, *15*, 663-677.

⁴ *N*-phenylhydroxylamine was prepared from nitrobenzene according to a literature procedure: Tian, L.; Xu, G.-Y.; Ye, Y.; Liu, L.-Z. *Synthesis* **2003**, *9*, 1329-1334.

3.2. General procedure

General procedure for asymmetric alkylarylketene-*N*-arylnitrone rearrangement. A solution of alkylarylketene (0.4 mmol, 1.0 equiv) in THF (1.5 mL) was added dropwise to a solution of chiral nitrone (R,Z)-16 (128.2 mg, 0.4 mmol, 1.0 equiv) in THF (1.5 mL) at -78° C under nitrogen atmosphere and the reaction mixture was stirred for 3 hours at -78° C. Saturated aqueous NH₄Cl (0.5 mL) was added at -78° C and the mixture was allowed to warm to room temperature, then, extracted with Et₂O (3 * 10 mL). The combined organic layers were washed with saturated aqueous NaHCO₃ (10 mL), brine (10 mL), dried (MgSO₄), filtered and concentrated under reduced pressure.

3.3. Characterisation of enantiomerically enriched oxindole 5 and determination of absolute configuration



(*S*)-3-methyl-3-phenylindolin-2-one 5. The oxindole (*S*)-5 was obtained from methylphenylketene (52.9 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give (*S*)-Garner's aldehyde (73.7 mg, 80% yield) as a yellowish oil and the desired product (*S*)-5 (76.2 mg, 85% yield) as a white solid. HPLC analysis: 87% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 9.7 min (major) and 21.6 min (minor)). $[\alpha]_{D}^{20}$ –86.9 (*c* 1.22, CHCl₃, 87% ee).





(S)-1,3-dimethyl-3-phenylindolin-2-one 17. A solution of unknown absolute configuration 3-methyl-3-phenylindolin-2-one 5 (54.2 mg, 0.24 mmol, 1.0 equiv, 87% ee) in dry DMF (3 mL) was added to a suspension of NaH (60% dispersion in oil, 120.0 mg, 0.29 mmol, 1.2 equiv) in dry DMF (3 mL) at 0°C under nitrogen atmosphere and the reaction mixture was stirred for 30 minutes at 0°C. MeI (0.02 mL, 0.29 mmol, 1.2 equiv) was added at 0°C and the reaction mixture was allowed to warm to room temperature. Water was gradually added and the mixture was extracted with Et₂O (3 * 10 mL). The combined organic layers were washed with brine (10 mL), dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 15:85) to give the desired product **17** (52.0 mg, 90% yield) as a yellowish oil. ¹H NMR (400 MHz, CDCl₃) δ 1.75 (3H, s), 3.20 (3H, s), 6.88 (1H, d, *J* = 7.8), 7.05 (1H, t, *J* = 7.5), 7.15 (1H, dt, *J* = 7.4, 0.6), 7.18-7.27 (5H, m), 7.29 (1H, dd, *J* = 7.7, 0.8). HPLC analysis: 87% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 99:1, flow: 1 mL/min, wavelength: 254 nm, retention

times: 19.8 min (major) and 25.6 min (minor)). $[\alpha]_{D}^{20}$ -81.2 (*c* 1.10, CH₂Cl₂, 87% ee). Lit.⁵ $[\alpha]_{D}^{20}$ -86.0 (*c* 1.00, CH₂Cl₂, 94% ee) for *S*-enantiomer (absolute configuration determined by X-Ray).



3.4. Characterisation of oxindoles 6, 8-10, 18-20



⁵ Kündig, E. P.; Seidel, T. M.; Jia, Y.; Bernardinelli, G. Angew. Chem. Int. Ed. 2007, 46, 8484-8487.

(*S*)-3-ethyl-3-phenylindolin-2-one 6. The oxindole (*S*)-6 was obtained from ethylphenylketene (58.5 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give (*S*)-Garner's aldehyde (71.9 mg, 78% yield) as a yellowish oil and the desired product (*S*)-6 (76.1 mg, 80% yield) as a white solid. HPLC analysis: 81% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 8.4 min (major) and 22.8 min (minor)). $[\alpha]_{D}^{20}$ –99.4 (*c* 0.89, CHCl₃, 81% ee).





(*S*)-3-butyl-3-phenylindolin-2-one 8. The oxindole (*S*)-8 was obtained from butylphenylketene (70.0 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give (*S*)-Garner's aldehyde (75.0 mg, 82% yield) as a yellowish oil and the desired product (*S*)-8 (86.7 mg, 82% yield) as a white solid. HPLC analysis: 78% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 5.5 min (major) and 13.5 min (minor)). $[\alpha]_{D}^{20}$ –80.3 (*c* 0.96, CHCl₃, 78% ee).





(*S*)-3-ethyl-3-(4-methoxyphenyl)indolin-2-one 9. The oxindole (*S*)-9 was obtained from ethyl-(4-methoxyphenyl)ketene (70.5 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 40:60) to give (*S*)-Garner's aldehyde (79.3 mg, 86% yield) as a yellowish oil and the desired product (*S*)-9 (94.0 mg, 88% yield) as a white solid. HPLC analysis: 90% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 80:20, flow: 1 mL/min, wavelength: 254 nm, retention times: 9.5 min (major) and 31.6 min (minor)). $[\alpha]_D^{20}$ –137.3 (*c* 1.03, CHCl₃, 90% ee).





(*S*)-3-ethyl-3-(4-fluorophenyl)indolin-2-one 10. The oxindole (*S*)-10 was obtained from ethyl-(4-fluorophenyl)ketene (65.7 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give (*S*)-Garner's aldehyde (72.1 mg, 79% yield) as a yellowish oil and the desired product (*S*)-10 (79.5 mg, 78% yield) as a white solid. HPLC analysis: 80% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 7.8 min (major) and 30.9 min (minor)). $[\alpha]_D^{20}$ –107.6 (*c* 1.07, CHCl₃, 80% ee).





(S)-3-(4-methoxyphenyl)-3-methylindolin-2-one 18. The oxindole (S)-18 was obtained from (4-methoxyphenyl)methylketene (64.9 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 40:60) to give (S)-Garner's aldehyde (79.6 mg, 87% yield) as a yellowish oil and the desired product (S)-18 (92.2 mg, 91% yield) as a white solid (mp = 97-98°C). IR (KBr disc) v 3186 (NH), 3083, 2933, 2836, 2367, 2346, 1710 (C=O), 1618, 1514, 1473, 1442, 1375, 1328, 1294, 1256, 1218, 1179, 1026; ¹H NMR (400 MHz, CDCl₃) δ1.82 (3H, s), 3.79 (3H, s), 6.86 (1H, d, J = 8.9), 6.98 (1H, d, J = 7.6), 7.06 (1H, t, J = 7.5), 7.14 (1H, d, J = 7.4), 7.24-7.27 (3H, m), 9.36 (1H, br s); ¹³C NMR (100 MHz, CDCl₃) δ 23.6 (CH₃), 52.2 (C), 55.4 (CH₃), 110.4 (CH), 114.1 (2 CH), 122.8 (CH), 124.3 (CH), 127.9 (2 CH), 128.1 (CH), 132.7 (C), 135.9 (C), 140.6 (C), 158.9 (C), 182.9 (C); MS (CI) *m/z* 254 ([M + H]⁺, 100), 253 (M^+ , 21), 238 ($[M - Me]^+$, 3), 146 ($[M - C_6H_4 - OMe]^+$, 4); HRMS (CI) [M + H] C₁₆H₁₆NO₂ requires 254.1181, found 254.1188 (+2.7 ppm). HPLC analysis: 90% ee (Daicel Chiralcel OD-H column, eluent: hexane/iPrOH 80:20, flow: 1 mL/min, wavelength: 254 nm, retention times: 8.4 min (major) and 26.6 min (minor)). $[\alpha]_{D}^{20}$ – 121.5 (c 1.00, CHCl₃, 90% ee).



(S)-3-methyl-3-p-tolylindolin-2-one 19. The oxindole (S)-19 was obtained from methyl-(p-tolyl)ketene (58.5 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give the desired product (S)-19 (79.3 mg, 84% yield) as a white solid (mp = $118-120^{\circ}$ C). IR (KBr disc)

 γ 3147 (NH), 3086, 3034, 2987, 2929, 2895, 2842, 2369, 2347, 1710 (C=O), 1620, 1509, 1475, 1457, 1373, 1328, 1299, 1216, 1018; ¹H NMR (400 MHz, CDCl₃) δ 1.81 (3H, s), 2.32 (3H, s), 6.98 (1H, d, *J* = 7.8), 7.06 (1H, t, *J* = 7.5), 7.12-7.14 (3H, m), 7.20-7.27 (3H, m), 8.87 (1H, *br* s); ¹³C NMR (100 MHz, CDCl₃) δ 21.1 (CH₃), 23.5 (CH₃), 52.6 (C), 110.4 (CH), 122.9 (CH), 124.4 (CH), 126.6 (2 CH), 128.1 (CH), 129.4 (2 CH), 135.9 (C), 137.1 (C), 137.7 (C), 140.6 (C), 182.7 (C); MS (CI) *m/z* 238 ([M + H]⁺, 100), 165, 146 ([M - C₆H₄-Me]⁺, 15), 119 ([C₉H₁₁]⁺, 97); HRMS (CI) [M + H] C₁₆H₁₆NO requires 238.1232, found 238.1235 (+1.3 ppm). HPLC analysis: 87% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 80:20, flow: 1 mL/min, wavelength: 254 nm, retention times: 6.3 min (major) and 15.2 min (minor)). [α]²⁰_D -83.1 (*c* 2.78, CHCl₃, 87% ee).





(S)-3-ethyl-3-p-tolylindolin-2-one 20. The oxindole (S)-20 was obtained from ethyl-(ptolyl)ketene (64.1 mg, 0.4 mmol, 1.0 equiv) and chiral nitrone 16 (128.2 mg, 0.4 mmol, 1.0 equiv) following the above general procedure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 30:70) to give (S)-Garner's aldehyde (80.0 mg, 87% yield) as a yellowish oil and the desired product (S)-20 (91.6 mg, 91% yield) as a white solid (mp = $105-106^{\circ}$ C). IR (KBr disc) y 3200 (NH), 3085, 3031, 2972, 2964, 2934, 2919, 2879, 2852, 2363, 1701 (C=O), 1621, 1600, 1510, 1473, 1461, 1334, 1328, 1220, 1211, 1184, 1105, 1025; ¹H NMR (400 MHz, CDCl₃) δ 0.77 (3H, t, J = 7.4), 2.25 (1H, dg, J = 13.8, 7.1), 2.32 (3H, s), 2.46 (1H, dg, J = 13.7, 7.0), 6.98 (1H, d, J = 13.7, 7.0) 7.7), 7.09 (1H, td, J = 7.5, 1.0), 7.13 (2H, d, J = 8.0), 7.17 (1H, dd, J = 6.9, 0.3), 7.25 (1H, td, J = 8.0, 1.6), 7.27 (2H, d, J = 8.4), 9.06 (1H, br s); ¹³C NMR (100 MHz, CDCl₃) δ 9.1 (CH₃), 21.1 (CH₃), 30.5 (CH₂), 57.7 (C), 110.2 (CH), 122.6 (CH), 125.0 (CH), 127.0 (2 CH), 128.1 (CH), 129.4 (2 CH), 133.1 (C), 137.1 (C), 137.3 (C), 141.5 (C), 181.7 (C); MS (CI) m/z 252 ([M + H]⁺, 100), 222 ([M - C₂H₅]⁺, 14), 160 ([M - C₆H₄- Me_{1}^{+} , 23); HRMS (CI) $[M + H] C_{17}H_{18}NO$ requires 252.1388, found 252.1383 (-2.1) ppm). HPLC analysis: 86% ee (Daicel Chiralcel OD-H column, eluent: hexane/PrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 8.2 min (major) and 33.8 min (minor)). $[\alpha]_{p}^{20}$ -101.4 (c 1.16, CHCl₃, 86% ee).





4. Application to a natural product analogue

4.1. Preparation of acid chloride 25



(±)-2-phenylpent-4-enoic acid. A solution of *n*-butyllithium (2.5 M in hexanes, 32.3 mL, 80.8 mmol, 2.2 equiv) was added dropwise to a solution of phenylacetic acid (5.00 g, 36.72 mmol, 1.0 equiv) in THF (150 mL) at -78° C under nitrogen atmosphere and the reaction mixture was allowed to warm to room temperature and stirred for 45 minutes. Neat allylbromide (12.7 mL, 146.9 mmol, 4.0 equiv) was added and the resulting solution was stirred at room temperature for 16 hours. The reaction mixture was quenched with aqueous 1M HCl solution and extracted with Et₂O. The combined organic layers were washed with brine, dried (MgSO₄) and concentrated under reduced pressure to give the title compound (6.30 g, 97% yield) as a yellowish oil. ¹H NMR (400 MHz, CDCl₃) δ 2.47 (1H, dddt, J = 14.4, 7.1, 6.8, 1.2), 2.77 (1H, dddt, J = 14.4, 8.4, 6.8, 1.2), 3.59 (1H, dd, J = 8.4, 7.1), 4.95 (1H, ddt, J = 10.2, 1.6, 0.9), 5.02 (1H, dq, J = 17.1, 1.6), 5.66 (1H, ddt, J = 17.1, 10.2, 6.8), 7.17-7.28 (5H, m).



(±)-2-phenylpent-4-enoyl chloride 25. Thionyl chloride (SOCl₂, 13.0 mL, 178.8 mmol, 5.0 equiv) was added to a solution of (±)-2-phenylpent-4-enoic acid (6.30 g, 35.7 mmol, 1.0 equiv) in toluene (40 mL) at room temperature and the reaction mixture was heated overnight (16 hours) at 80°C. Toluene and excess SOCl₂ were removed under reduced pressure, then, traces of SOCl₂ were azeotropically removed with toluene (3 times). The residue was purified by Kugelrohr distillation (135°C / 2 mmHg) to give the title compound (5.78 g, 83% yield) as a colorless oil. ¹H NMR (400 MHz, CDCl₃) δ 2.52-2.64 (1H, m), 2.86-2.98 (1H, m), 4.07 (1H, t, *J* = 7.6), 5.08 (1H, *app* dt, *J* = 10.2, 1.3), 5.13 (1H, ddt, *J* = 17.1, 2.3, 1.1), 5.71 (1H, ddt, *J* = 17.1, 10.2, 6.7), 7.27-7.32 (2H, m), 7.32-7.43 (3H, m).

4.2. Preparation of oxindole 27 and determination of absolute configuration



(S)-3-allyl-3-phenylindolin-2-one 27. Triethylamine (0.75 mL, 5.34 mmol, 2.0 equiv) was added dropwise over 2 hours to a solution of (\pm) -2-phenylpent-4-enoyl chloride 25 (1.04 g, 5.34 mmol, 2.0 equiv) in Et₂O (35 mL) at 0°C under nitrogen atmosphere and the reaction mixture was stirred for 2 hours at 0°C. After warming to room temperature, Et₃N salts were removed by filtration under nitrogen to yield a yellow solution of crude allylphenylketene **26** (¹H NMR analysis of the filtrate revealed about 50% purity). The etheral solution of the crude ketene was added dropwise to a solution of chiral nitrone 16 (0.86 g, 2.67 mmol, 1.0 equiv) in THF (35 mL) at -78°C under nitrogen atmosphere and the reaction mixture was stirred for 3 hours at -78° C. Saturated aqueous NH₄Cl (3 mL) was added at -78° C and the mixture was allowed to warm to room temperature, then, extracted with Et₂O (3 * 50 mL). The combined organic layers were washed with saturated aqueous NaHCO₃ (50 mL), brine (50 mL), dried (MgSO₄), filtered and concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / Petroleum ether 5:95 to 30:70) to give the desired product (S)-27 (0.62 g, 93% yield) as a white solid (mp = 110-111°C). IR (KBr disc) γ 3193 (NH), 3087, 3055, 3022, 2929, 2816, 2364, 2346, 1706 (C=O), 1613, 1498, 1467, 1445, 1434,

1407, 1322, 1223; ¹H NMR (400 MHz, CDCl₃) δ 2.93-3.02 (2H, m), 4.84 (1H, dd, J = 10.0, 1.2), 4.97 (1H, dd, J = 17.0, 1.4), 5.37 (1H, ddt, J = 17.1, 10.0, 7.1), 6.85 (1H, d, J = 7.7), 6.97 (1H, dt, J = 7.2, 0.8), 7.07-7.18 (3H, m), 7.22 (2H, t, J = 7.4), 7.29-7.31 (2H, m), 9.30 (1H, *br* s); ¹³C NMR (100 MHz, CDCl₃) δ 41.7 (CH₂), 57.2 (C), 110.4 (CH), 119.4 (CH₂), 122.5 (CH), 125.3 (CH), 127.1 (2 CH), 127.5 (CH), 128.3 (CH), 128.7 (2 CH), 132.3 (CH), 132.6 (C), 139.5 (C), 141.3 (C), 181.1 (C); MS (CI) *m/z* 250 ([M + H]⁺, 100), 208 ([M – allyl]⁺, 40); HRMS (CI) [M + H] C₁₇H₁₆NO requires 250.1232, found 250.1230 (-0.8 ppm). HPLC analysis: 80% ee (Daicel Chiralcel OD-H column, eluent: hexane/ⁱPrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 7.1 min (major) and 27.4 min (minor)). The product was recrystallized from Et₂O / hexane to give a white solid of 99.2% ee (Same conditions as above, retention times: 7.1 min (major) and 24.9 min (minor)). [α]_D²⁰ -148.4 (*c* 0.28, CHCl₃, >99% ee).





(S)-1-benzyl-3-(2-hydroxyethyl)-3-phenylindolin-2-one. A solution of unknown absolute configuration 3-allyl-3-phenylindolin-2-one 27 (84.2 mg, 0.34 mmol, 1.0 equiv, 80% ee) in dry DMF (5 mL) was added to a suspension of NaH (60% dispersion in oil, 16.2 mg, 0.41 mmol, 1.2 equiv) in dry DMF (5 mL) at 0°C under nitrogen atmosphere and the reaction mixture was stirred for 30 minutes at 0°C. Benzyl bromide (0.05 mL, 0.41 mmol, 1.2 equiv) was added at 0°C and the reaction mixture was allowed to warm to room temperature. Water was gradually added and the mixture was extracted with Et₂O (3 * 10 mL). The combined organic layers were washed with brine (10 mL), dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / Petroleum ether 5:95 to 10:90) to give 3-allyl-1-benzyl-3phenylindolin-2-one (104.3 mg, 91% yield) as a white solid (mp = 81-82°C). IR (KBr disc) v 3085, 3068, 3035, 3007, 2934, 2909, 2851, 2366, 2346, 1703 (C=O), 1608, 1490, 1471, 1433, 1369, 1350, 1192, 1172, 1109, 1082, 991, 925; ¹H NMR (400 MHz, CDCl₃) δ 3.10 (2H, dq, J = 13.5, 7.2), 4.83 (1H, d, J = 15.7), 4.91-4.96 (1H, m), 4.96 (1H, d, J = 15.7) 15.6), 5.08 (1H, d, J = 17.0), 5.36-5.47 (1H, m), 6.75 (1H, dd, J = 7.8, 0.4), 7.04-7.08 (1H, m), 7.17-7.21 (1H, m), 7.22-7.33 (9H, m), 7.37-7.40 (2H, m); ¹³C NMR (100 MHz, CDCl₃) & 42.1 (CH₂), 44.1 (CH₂), 56.5 (C), 109.4 (CH), 119.5 (CH₂), 122.6 (CH), 125.3

(CH), 127.1 (2 CH), 127.4 (2 CH), 127.5 (CH), 127.7 (CH), 128.2 (CH), 128.7 (2 CH), 128.8 (2 CH), 131.9 (C), 132.6 (CH), 136.0 (C), 139.8 (C), 143.1 (C), 178.2 (C); MS (CI) m/z 340 ([M + H]⁺, 100), 298 ([M – allyl]⁺, 12); HRMS (CI) [M + H] C₂₄H₂₂NO requires 340.1701, found 340.1699 (-0.7 ppm); HPLC analysis: 81% ee (Daicel Chiralpak AD-H column, eluent: hexane/ⁱPrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 19.2 min (major) and 23.7 min (minor)). [α]²⁰_D -78.4 (*c* 2.00, CHCl₃, 81% ee).



A solution of unknown absolute configuration 3-allyl-1-benzyl-3-phenylindolin-2-one (98.3 mg, 0.29 mmol, 1.0 equiv, 81% ee) in CH₂Cl₂ (30 mL) at -78° C was purged with oxygen for about 3 minutes, then ozone was bubbled in the solution until the solution turns blue. The reaction mixture was purged with oxygen until complete discoloration (about 5-6 minutes) then Me₂S (0.04 mL, 0.58 mmol, 2.0 equiv) was added at -78° C. The

reaction mixture was allowed to warm to room temperature before concentration under reduced pressure. The crude aldehyde was dissolved in EtOH (6 mL) and NaBH₄ (109.6 mg, 2.90 mmol, 10 equiv) was added at room temperature. After stirring for 1 hour at room temperature, the reaction was guenched with 0.5M agueous NaOH (30 mL) and extracted with EtOAc (3 * 20 mL). The organic layers were combined, dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / Petroleum ether 40:60 to 50:50) to give (S)-1-benzyl-3-(2hydroxyethyl)-3-phenylindolin-2-one (60.4 mg, 61% yield over 2 steps) as a white solid. ¹H NMR (400 MHz, CDCl₃) δ 2.47 (1H, dt, J = 13.9, 6.0), 2.86 (1H, dt, J = 13.9, 6.9), 3.51-3.63 (2H, m), 4.95 (2H, s), 6.80 (1H, d, J = 7.7), 7.09 (1H, t, J = 7.5), 7.22 (1H, dt, J = 7.7, 1.1), 7.25-7.35 (9H, m), 7.38-7.41 (2H, m). $[\alpha]_{D}^{20}$ -44.9 (*c* 2.48, CHCl₃), lit.⁶ $[\alpha]_{D}^{23}$ -40.58 (c 0.36, CHCl₃, 84% ee). HPLC analysis: Daicel Chiralcel OJ column, eluent: hexane/¹PrOH 90:10, flow: 1 mL/min, wavelength: 254 nm, retention times: 20.7 min (major) and 47.5 min (minor). The absolute (S)-configuration was assigned by comparison of the HPLC retention times with that of the literature reported by Overman and co-workers:⁷ Daicel Chiracel OJ column, eluent: hexane/ⁱPrOH 70:30, flow: 1 mL/min, wavelength: 254 nm, retention times: 12.2 min (S-enantiomer, major) and 24.2 min (R-enantiomer, minor). Overman et al. assigned the absolute (S)-configuration by chemical derivatization to (S)-5,7-dibromo-3-(2-hydroxyethyl)-3-phenylindolin-2-one and subsequent X-ray crystallographic analysis.



⁶ He, R.; Ding, C.; Maruoka, K. Angew. Chem., Int. Ed. 2009, 48, 4559-4561.

⁷ Dounay, A. B.; Hatanaka, K.; Kodanko, J.; Oestreich, M.; Overman, L.; Pfeifer, L. A.; Weiss, M. M. J. Am. Chem. Soc. **2003**, *125*, 6261-6271.



4.3. Synthesis of hexahydropyrroloindole 29



(S)-3-allyl-1-methyl-3-phenylindolin-2-one 28. А solution of (S)-3-allyl-3phenylindolin-2-one (S)-27 (61.2 mg, 0.246 mmol, 1.0 equiv, >99% ee) in dry DMF (4 mL) was added to a suspension of NaH (60% dispersion in oil, 11.8 mg, 0.295 mmol, 1.2 equiv) in dry DMF (4 mL) at 0°C under nitrogen atmosphere and the reaction mixture was stirred for 30 minutes at 0°C. MeI (0.02 mL, 0.295 mmol, 1.2 equiv) was added at 0° C and the reaction mixture was allowed to warm to room temperature. Water was gradually added and the mixture was extracted with Et₂O (3 * 10 mL). The combined organic layers were washed with brine (10 mL), dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / Petroleum ether 10:90 to 20:80) to give the title product (S)-28 (59.8 mg, 93% yield) as a colorless cloudy oil. IR (NaCl) y 3057, 3035, 2979, 2936, 1715 (C=O), 1641, 1612, 1494, 1471, 1447, 1373, 1348, 1254, 1080, 922; ¹H NMR (400 MHz, CDCl₃) δ 2.96 (2H, d, J =7.1), 3.13 (3H, s), 4.84 (1H, d, J = 10.2), 4.95 (1H, d, J = 17.0), 5.32 (1H, ddt, J = 17.1, 10.0, 7.2), 6.82 (1H, d, J = 7.8), 7.04 (1H, t, J = 7.5), 7.16-7.26 (5H, m), 7.31 (2H, d, J = 8.1); ¹³C NMR (100 MHz, CDCl₃) δ 26.5 (CH₃), 42.1 (CH₂), 56.5 (C), 108.3 (CH), 119.3 (CH₂), 122.6 (CH), 125.3 (CH), 127.2 (2 CH), 127.5 (CH), 128.3 (CH), 128.7 (2 CH), 131.8 (C), 132.5 (CH), 139.6 (C), 144.0 (C), 178.1 (C); MS (CI) m/z 264 ([M + H]⁺,

100), 222 ($[M - allyl]^+$, 35), 186 ($[M - C_6H_5]^+$, 3); HRMS (CI) $[M + H] C_{18}H_{18}NO$ requires 264.1388, found 264.1391 (+1.0 ppm). HPLC analysis: >99% ee (Daicel Chiralpak AD-H column, eluent: hexane/ⁱPrOH 99:1, flow: 1 mL/min, wavelength: 254 nm, retention times: 23.7 min (major) and 28.6 min (minor)). $[\alpha]_D^{20}$ –160.7 (*c* 0.30, CHCl₃, >99% ee).



Pn N N H Me

(3a*S*,8a*S*)-1,8-dimethyl-3a-phenyl-1,2,3,3a,8,8a-hexahydropyrrolo[2,3-*b*]indole 29.⁶ A solution of (*S*)-3-allyl-1-methyl-3-phenylindolin-2-one (*S*)-28 (55.0 mg, 0.209 mmol,

1.0 equiv, >99% ee) in CH₂Cl₂ (21 mL) at -78°C was purged with oxygen for about 5 minutes, then ozone was bubbled in the solution until the solution turns blue. The reaction mixture was purged with oxygen until complete discoloration (about 10 minutes) then Me₂S (0.03 mL, 0.418 mmol, 2.0 equiv) was added at -78°C. The reaction mixture was allowed to warm to room temperature before concentration under reduced pressure. The crude aldehyde was dissolved in THF (10 mL) and MgSO₄ (50.3 mg, 0.418 mmol) was added. After stirring for 5 minutes at room temperature, a solution of methylamine (2M in THF, 1.04 mL, 2.09 mmol, 10 equiv) was added dropwise and the reaction mixture was stirred overnight (16 hours) at room temperature. MgSO₄ was removed by filtration under nitrogen and the excess of methylamine was removed under reduced pressure. A solution of LiAlH₄ (2M in THF, 0.21 mL, 0.418 mmol, 2.0 equiv) was added dropwise to the resulting solution of imine under nitrogen atmosphere at room temperature and the reaction mixture was heated at reflux for 2 hours. After cooling to room temperature, excess LiAlH₄ was decomposed by careful addition of EtOAc (12 mL). Saturated aqueous NaHCO₃ (15 mL) was added and the phases were separated. The aqueous layer was extracted with EtOAc (2 * 15 mL) and the combined organic layers were washed with brine (15 mL), dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by column chromatography (EtOAc / Petroleum ether / Et₃N 50:50:1) to give the title compound (3aS,8aS)-29 (34.7 mg, 63% yield over 3 steps) as a colorless oil. IR (NaCl) y 3447, 3055, 3025, 2962, 2793, 1684, 1603, 1493, 1445, 1427, 1381, 1345, 1300, 1255, 1153, 1122, 1039, 1022, 960, 919, 740, 699; ¹H NMR (400 MHz, CDCl₃) δ 2.30 (1H, dt, J = 10.2, 3.4), 2.55 (3H, s), 2.74 (2H, dt, J = 7.1, 4.5), 2.90 (1H, dq, J = 8.3, 2.6), 3.00 (3H, s), 4.52 (1H, s), 6.49 (1H, d, J = 7.9), 6.67 (1H, tt, J = 7.4, 1.7), 6.92 (1H, dd, J = 7.3, 0.8), 7.12 (1H, dt, J = 7.7, 1.2), 7.17-7.22 (1H, m), 7.29-7.30 (4H, m); ¹³C NMR (100 MHz, CDCl₃) δ 36.0 (CH₃), 38.6 (CH₃), 39.9 (CH₂), 53.5 (CH₂), 61.5 (C), 98.3 (CH), 106.7 (CH), 117.8 (CH), 124.6 (CH), 126.3 (CH), 126.6 (2 CH), 128.1 (CH), 128.5 (2 CH), 135.2 (C), 146.9 (C), 152.3 (C); MS (CI) m/z 265 ([M $(H^{+}, 100)$, 264 (M⁺, 79); HRMS (CI) [M + H] C₁₈H₂₁N₂ requires 265.1705, found 265.1707 (+0.9 ppm). $[\alpha]_{D}^{20}$ +114.2 (c 1.06, CHCl₃, >99% ee⁸). Lit.⁶ $[\alpha]_{D}^{23}$ +111.63 (c 0.62, CHCl₃, 90% ee) for (3aS,8aS)-enantiomer.

⁸ The enantiopurity of **29** could not be assigned unambiguously by HPLC analysis, but was assigned as >99% ee on the assumption that no racemization had taken place in the conversion from **28**.

5. X-Ray crystal structure of chiral nitrone 16



X-Ray crystal structure of (R,Z)-16 (thermal ellipsoids drawn at the 50% probability level)

6. ¹H and ¹³C NMR Spectra of new compounds





































