Preparation of thiol modified Fe <sub>3</sub> O <sub>4</sub> @Ag magnetic SERS probe for
PAHs detection and identification
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20 Abstract

21 In situ detection and identification of PAHs, a group of well-known persistent organic 22 pollutants, presents a great challenge to environmental researchers. This study developed 23 a novel substrate based on thiol-functionalized Fe<sub>3</sub>O<sub>4</sub>@Ag core-shell magnetic 24 nanoparticles for surface enhanced Raman scattering (SERS) sensing of PAHs. The 25 surface morphology, structure, and magnetic properties of the substrate were characterized using multiple complimentary techniques including transmission electron 26 27 microscopy, energy-dispersive X-ray spectroscopy, vibrating sample magnetometry 28 analysis, and extended X-ray absorption fine structure spectroscopy. The high saturation magnetization at 48.35 emu  $g^{-1}$  enabled the complete and rapid separation of the substrate 29 30 from the PAHs solution. Benzene, naphthalene, anthracene, phenanthrene, fluorene, 31 pyrene, perylene, and BaP were chosen as probe molecules. Qualitative and quantitative 32 determination of PAHs was achieved using a portable Raman spectrometer. The SERS 33 sensitivity was positively correlated with the hydrophobic nature of PAHs. The SERS 34 response exhibited a linear dependence on the PAHs concentration between 1 to 50 mg/L, and the detection limit in the order  $10^{-5}$  to  $10^{-7}$  M was obtained. The SERS platform with 35 36 magnetic substrate provides a new way for in situ PAHs monitoring. 37 Keywords: SERS; PAHs; magnetic; core-shell; modification

### 39 Introduction

40 Polycyclic aromatic hydrocarbons (PAHs) are mainly formed during the incomplete combustion of coal and fuels.<sup>1</sup> Most PAHs are highly carcinogenic and persistent in the 41 42 environment and their concentrations could accumulate up to 300 g/kg in some coal gasification sites.<sup>2,3</sup> According to a recent review, the total PAHs in nature water sources 43 may reach the mg/L level despite their hydrophobic properties.<sup>4</sup> Traditional PAHs 44 identification and quantification methods heavily rely on the usage of expensive 45 46 instrumentation including high performance liquid chromatography (HPLC), gas 47 chromatography (GC), and GC/mass spectrometry (MS). Most conventional analysis techniques also need a pre-concentration step, which is time and labor-consuming.<sup>5</sup> 48 49 In general, PAHs are not present individually but in mixtures, which makes the in situ

identification of PAHs a challenge.<sup>6</sup> Recently, the surface-enhanced Raman scattering 50 51 (SERS) provides an alternative method for environmental analyses mainly due to its substantial electromagnetic enhancement induced by local surface plasmon resonance.<sup>7,8</sup> 52 53 SERS-active analytes must generally have an inherent affinity towards the noble metal 54 surface, where the electromagnetic fields are localized. Unfortunately, the apolar nature 55 of PAHs prevents their approach to polar metal surfaces, thus limiting the SERS 56 application in PAHs detection. Therefore, two approaches have been investigated: the first one is functionalizing silver colloids to capture PAHs to metal surfaces. The 57

functional entities include calixarenes,<sup>9</sup> dicarbamates,<sup>10</sup> viologen,<sup>11</sup> humic acids,<sup>12</sup> and cyclodextrin.<sup>13</sup> Another method is employing self-assembled thiol and alkylsilane monolayers on the immobilized SERS substrate,<sup>14-17</sup> which makes the metal surface hydrophobic and consequently capable of PAHs adsorption.

62 SERS substrates are commonly colloidal or immobilized noble metal nanopartilces 63 (NPs). The passive contact between these NPs and the target analyte may constrain the active concentrating of the analyte to the substrate. During the last decades, 64 65 functionalized core-shell magnetic NPs have been widely investigated with respect to industrial, biomedical, and environmental applications.<sup>18-20</sup> Many efforts have been made 66 67 to fabricate uniform nanostructures such as silica-coated, silver-coated, and gold-coated magnetic NPs.<sup>21-23</sup> Our recent study shows that with the introduction of functionalized 68 69 core-shell magnetic NPs, environmental pollutants could be actively adsorbed to the vicinity of SERS substrate and subsequently the SERS sensitivity could be enhanced.<sup>24</sup> 70

The purpose of this study is to provide a potential application platform for monitoring of PAHs contamination. In the present report, we synthesized a sensitive and recyclable SERS substrate for PAHs identification and detection based on thiol-functionalized  $Fe_3O_4@Ag$  core-shell magnetic nanomaterial. Benzene and seven PAHs were selected because of their different size and structure, ranging from one to five aromatic-rings (Figure 1), and acridine was chosen as a control. Because thiol layer could embed the analyte onto the substrate surface, qualitative and quantitative determination of PAHs
with good selectivity was achieved using a portable Raman spectrometer. To the best of
our knowledge, it is the first time functionalized core-shell magnetic NPs have been used
in PAHs determination.

### 81 **Experimental Methods**

82 Reagents. All reagents were of analytical reagent grade and used without further 83 purification. Ferric chloride and ferrous chloride were obtained from Beijing Chemicals Corporation (China); silver nitrate (>99.8%), aqueous ammonia (25%), sodium 84 85 hydroxide (>99.5%), absolute ethanol and hydroxylamine hydrochloride (>99.5%) were from Beijing Chemical Reagents Company (China). PAHs were purchased from J&K 86 Scientific Ltd. (China), 3-aminopropyltrimethoxysilane (APTMS, 97%) was from 87 88 Aldrich Chemicals Corporation (USA). 1-pentanethiol (99%) was purchased from Tokyo 89 Chemical Industry. Co. Ltd. (Japan), and was referred to as C5 for brevity. Milli-Q water 90 was used in all experiments. A standard reference material, the coal fly ash sample (GBW) 91 08403) were obtained from the State Quality Inspection Administration of China, and 92 their concentrations are listed in Table S1 in the Supporting Information (SI).

# 93 Preparation of thoil functionalized Fe<sub>3</sub>O<sub>4</sub>@Ag NPs. The protocol used for substrate 94 preparation and SERS detection of PAHs is shown in Figure 2. The magnetic Fe<sub>3</sub>O<sub>4</sub>@Ag 95 NPs were prepared following our previous work.<sup>24</sup> The as-prepared Fe<sub>3</sub>O<sub>4</sub>@Ag particles

96 were washed by ethanol three times and redispersed in 10 mL ethanol. To the above 97 solutions, 10 µL thiol was slowly added and the reaction was kept for 4 h. The product 98 was washed with 20 mL ethanol twice to remove the excess thiol. The thiol coated 99 substrate can be stored in ethanol for more than three months before use.

100 Characterization. The size and morphology of Fe<sub>3</sub>O<sub>4</sub> and Fe<sub>3</sub>O<sub>4</sub>@Ag NPs were 101 characterized by transmission electron microscopy (TEM, JEM-1400 from JEOL Ltd.) 102 The energy dispersive X-ray spectrometry (EDX) analysis was carried out using a 103 HITACHI S-3000N SEM with an Oxford energy dispersive X-ray analyzer. Magnetic 104 properties were measured by a vibration sample magnetometer at room temperature. 105 Raman and SERS spectra were obtained using a portable Raman spectrometer (Enwave Optronics, Inc. USA) with a 4 cm<sup>-1</sup> resolution at 785 nm excitation energy. The EXAFS 106 107 spectra at the Ag K-edge (25,514 eV) were collected at beamline BL01C at National 108 Synchrotron Radiation Research Center, Taiwan. The electron storage ring was operated 109 at 1.5 GeV with a fixed current of 300 mA. The SERS substrate samples were sealed in two layers of Kapton tape and positioned at 45° to the X-ray beam in a cryostat for 110 111 fluorescence measurement. The EXAFS data analysis followed the standard procedure detailed in our previous report.<sup>25</sup> 112

Sample preparation for SERS analysis. The PAHs alcohol solutions were prepared
in concentration range 0.1 to 50 mg/L. To collect the SERS spectra of PAHs mixture,

perylene, benzo[a]pyrene (BaP), pyrene, anthracene, and phenanthrene were diluted in ethanol at concentrations of 1.0 and 10 mg/L, respectively. A control sample was also prepared by adding acridine into the PAHs mixture. The thiol-coated NPs were immersed in a 10 mL ethanol solution containing various concentrations of PAHs for 1 h. Then, the NPs were assembled by an applied magnetic field, and exposed to the laser for 5 s to measure the SERS signal.

### 121 **Result and Discussion**

122 Substrate characterization. The TEM micrograph in Figure 3 demonstrates that 123  $Fe_3O_4@Ag$  NPs with particle size of  $50 \pm 20$  nm and silver shell thickness at 5 nm were 124 successfully synthesized. The EDX spectrum as shown in SI Figure S1 also served as an 125 important evidence for the existence of Ag shell on the  $Fe_3O_4$  surface. The superpara 126 magnetism of Fe<sub>3</sub>O<sub>4</sub>@Ag NPs was confirmed with a vibrating sample magnetometer. The 127 maximum magnetic strength was evaluated by the saturation magnetization (SM), which 128 were 59.15 emu/g for Fe<sub>3</sub>O<sub>4</sub> and 48.35 emu/g for Fe<sub>3</sub>O<sub>4</sub>@Ag NPs (Figure S2). The slight 129 decrease in SM due to Ag coating did not hamper the magnetic separation, because a SM value higher than 16.3 emu/g is sufficient to achieve magnetic separation.<sup>26</sup> The 130 131  $k^3$ -weighted Ag K-edge EXAFS spectra are shown in Figure 4-I, and the corresponding 132 radial structure functions are shown in Figure 4-II as magnitude of the Fourier 133 transformation versus radial distance. The EXAFS results listed in SI Table S2 demonstrate the formation of metallic Ag nanoparticles in the substrate with a first shell
distance of 0.287 nm.<sup>27</sup>

136 Functionalization of substrate with thiol. Functionalization of Fe<sub>3</sub>O<sub>4</sub>@Ag NPs with 1-pentanethiol (C5) was confirmed with SERS spectra illustrated in Figure 5-I. After 137 coating C5 on Fe<sub>3</sub>O<sub>4</sub>@Ag NPs, the Raman shifts were observed at 638 cm<sup>-1</sup> (gauche C-S 138 stretch), 700 cm<sup>-1</sup> (trans C-S stretch), 744 cm<sup>-1</sup> (gauche CH<sub>2</sub> rock), 895 cm<sup>-1</sup> (CH<sub>3</sub> rock), 139 1056 cm<sup>-1</sup> (trans C-C), 1107 cm<sup>-1</sup> (trans C-C), and 1443 cm<sup>-1</sup> (CH<sub>3</sub> deformation) (Figure 140 5-I, c).<sup>28</sup> As compared with normal Raman spectrum of C5 (Figure 5-I, b), a dramatic 141 decrease in intensity at 657 cm<sup>-1</sup> (gauche C-S stretch) and increase of trans C-S stretch 142 (731 cm<sup>-1</sup>) was detected upon C5 modification, suggesting the bonding of C5 to Ag 143 through S heading group.<sup>29</sup> 144

145 To confirm the formation of self assembled thiol monolayer (SAM) on substrate 146 surface, SERS analysis of acridine was performed as a control experiment. The peak intensity of acridine at 402, 743, 1401, and 1559 cm<sup>-1</sup> on thiol-modified Ag surface 147 148 (Figure 5-II, c) decreased dramatically comparing with that on blank Ag surface (Figure 149 5-II, b). The peak assignments for acridine are listed in SI Table S3. Because acridine is vertically adsorbed to Ag surface through nitrogen lone pair electrons,<sup>30,31</sup> the force of 150 151 interactions between acridine and Ag surface would be significantly reduced upon the 152 addition of thiol. Our hypothesized adsorption mechanisms are sketched in Figure 6. The good agreement between observation and proposed mechanism proved the successfulformation of SAM on Ag surface.

155 To justify our hypothesis that the PAHs were bound to SAM rather than the surface of 156 Fe<sub>3</sub>O<sub>4</sub>@Ag NPs, the SERS signals between Fe<sub>3</sub>O<sub>4</sub>@Ag and Fe<sub>3</sub>O<sub>4</sub>@Ag@C5 NPs were 157 compared for a mixture of five PAHs and acridine. No PAHs characteristic peak but 158 acridine was observed on the Fe<sub>3</sub>O<sub>4</sub>@Ag NPs (Figure 7-b). In contrast, characteristic 159 peaks corresponding to each PAHs were observed on the Fe<sub>3</sub>O<sub>4</sub>@Ag@C5 NPs as shown 160 in Figure 7-d. The stark contrast demonstrates that the PAHs bind to the thiol layer. 161 Effect of SAM density on SERS sensitivity. To examine the SAM density on PAHs 162 detection, SERS sensitivity of anthracene, pyrene, and perylene as a function of C5 163 concentration was compared. As shown in SI Figure S3, the optimal C5 concentration 164 was 0.08 M. The SAM generated with 0.04 and 0.12 M C5 led to a dramatic decrease in 165 SERS intensity. The results demonstrate the importance of desirable SAM density for the 166 successful adsorption of analyte.

SERS detection of PAHs. SERS spectra of PAHs on thiol modified substrate are illustrated in Figure 8. The spectra were subtracted from the SERS spectrum of C5 to provide clear information. Figure 8-I shows the SERS difference spectra of perylene, BaP, and pyrene. Normal Raman spectra of the solid PAHs are also presented for comparison, and the positions and assignments of Raman shifts for perylene, BaP, and pyrene are

172	summarized in SI Tables S4-S6. The SERS peak position of perylene centered at 1571,
173	1373, 1296, 980, 546, and 362 $\text{cm}^{-1}$ corresponded to the Raman shifts of solid perylene. <sup>32</sup>
174	SERS measurement of BaP resulted in peaks at 1583, 1412, 1385, and 1240 cm <sup>-1</sup> , which
175	is consistent with BaP Raman peaks. <sup>33</sup> In the case of pyrene, small frequency shifts
176	around 2-4 cm <sup>-1</sup> were observed as compared to its normal Raman spectrum. <sup>34</sup> An
177	intensification was identified with the symmetric $a_g$ mode at 594 cm <sup>-1</sup> for pyrene,
178	suggesting an enhancement through the Frank-Condon resonance mechanism. <sup>35</sup>
179	Having detected the three PAHs with 4-5 aromatic rings, SERS measurement of PAHs
180	with three rings was conducted, and the results are shown in Figure 8-II and SI Tables
181	S7-S8. SERS spectrum of anthracene exhibited four major peaks at 393, 756, 1403, and
182	1555 cm <sup>-1</sup> . <sup>13,14</sup> Compared with anthracene, phenanthrene with an asymmetrical structure
183	resulted in more SERS peaks at 411, 547, 712, 1044, 1246, 1420, 1441, 1524, and 1570
184	cm <sup>-1</sup> . <sup>36</sup> The stability of the substrate after mixing with pyrene was examined by EXAFS
185	analysis as shown in Figure 4. The results suggest that the oxidation state and the local
186	coordination environment of metallic Ag were not changed upon the reaction with PAHs
187	(Table S2).

188 **Chemical selectivity of substrate.** In addition to increasing the surface affinity 189 towards PAHs, the functionalization by thiol group enhanced the selectivity of substrate. 190 The lowest detectable concentrations and the octanol-water partition coefficient, K<sub>ow</sub>, of

191 each PAHs are shown in Table 1. A decrease of SERS sensitivity was observed following 192 the  $K_{ow}$  order perylene > BaP > pyrene > anthracene > phenanthrene. On the other hand, 193 no feature peak was detected for benzene, naphthalene, and fluorene (Figure 8-III). The 194 undetected PAHs have lower molecular mass and  $K_{ow}$  than the detectable ones. The 195 substrate preference for larger PAHs may attribute to increased van der Waals interaction, 196 hydrophobicity, and symmetry.

197 Quantitative analysis of PAHs. To realize the quantitative measurement of PAHs using our technique, SERS spectrum was obtained by exposing the substrate to increasing 198 199 concentrations of PAHs. The spectra were averaged from ten randomly chosen points on 200 one substrate to obtain reliable results. Since the concentration of C5 was kept constant, the most pronounced C5 band at 895  $\text{cm}^{-1}$  was chosen as a reference peak for the spectra 201 202 normalization. The intensity ratio of the PAHs bands listed in Table 1 to the C5 bands at 895 cm<sup>-1</sup> was employed to study the SERS sensitivity as a function of PAHs 203 concentrations. As shown in Figure 9, the SERS signal was linearly correlated with the 204 PAHs concentration with a  $R^2$  range 0.937 to 0.987. A linear response between PAH 205 concentration and SERS peak magnitude was also observed by Carron et al..<sup>37</sup> The 206 207 enhancement factor (EF) for each PAHs was calculated using an equation reported in a previous study.<sup>38</sup> As shown in Table 1, the EF in the order of  $10^3$  to  $10^5$  was achieved for 208 209 small and large PAHs, respectively.

210 Identification of PAHs. Having successfully detected the five PAHs, the thiol 211 functionalized magnetic NPs were also applied in SERS sensing of PAHs in more 212 complex mixtures. The obtained spectra are shown in Figure 10. The key SERS peaks of 213 individual PAHs also are clearly observed and distinguished in the more complex mixture 214 at 1 and 10 mg/L concentration. Furthermore, concentrations of each PAHs in the mixture 215 were calculated using the linear regression equation obtained from Figure 9. Despite the 216 competition among each PAHs, the calculated concentrations (1.03, 1.05, 1.29, and 1.54 217 mg/L for anthracene, pyrene, perylene, and BaP) were comparable with the added 218 concentration of 1 mg/L. SERS detection of PAHs from the coal fly ash sample was 219 conducted to prove the suitability of our method for screening purposes. A sufficient 220 number of characteristic peaks of individual PAHs were clearly resolved (Figure 10-c). 221 These peaks could be used for rapid screening of PAHs. However, the overlap of peak positions at around 390 cm<sup>-1</sup> prevented the accurate quantitative determination of each 222 223 PAHs.

## 224 Conclusions

The present work has demonstrated a facile method to prepare the SAM-functionalized Fe<sub>3</sub>O<sub>4</sub>@Ag magnetic SERS substrate. The C5 adsorption modifies the substrate surface for selective PAHs partitioning. The SERS enhancement is observed for the PAHs containing more than three aromatic rings. Qualitative and quantitative determination of PAHs with detection limit at  $\mu g/L$  level was achieved, and the SERS response exhibited a linear dependence on PAHs concentrations between 1 to 50 mg/L. The present approach does not require expensive instrumentation or large sample volumes, which should have great applications in the rapid identification of PAHs in complex samples. The sensitivity of this analytical method have opened a new way towards a generalized use of our technique, not only in the laboratory but also in field assays with a portable Raman spectrometer.

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Supporting Information Available: EDX spectra of  $Fe_3O_4@Ag$  magnetic nanoparticles; The hysteresis loop of  $Fe_3O_4$  and  $Fe_3O_4@Ag$  magnetic nanoparticles; The peak intensity of PAHs as a function of C5 concentration; The pretreatment procedure and PAHs concentrations in the coal fly ash sample; Ag K-edge EXAFS fitting results of  $Fe_3O_4@Ag$  and  $Fe_3O_4@Ag@C5$  reacted with PAHs; Experimental Raman frequencies of acridine, perylene, BaP, pyrene, anthracene, and phenanthrene.

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319	quantitative analysis and calculation of enhancement factor.					
	PAHs Conc.(mg/L)		Conc.(µM)	$\mathrm{EF}^{\mathrm{a}}$	Peak (cm <sup>-1</sup> )	log K <sub>ow</sub> <sup>39-41</sup>
	acridine	0.05	0.25	$8 \times 10^{5}$	1401	/
	perylene	0.2	0.8	$1.2 \times 10^{5}$	1571	6.40
	BaP	0.2	0.8	$8 \times 10^4$	1385	6.20
	pyrene	1.0	1	$1.5 \times 10^{4}$	407	5.20
	anthracene	1.0	5	$5 \times 10^{3}$	396	4.20
	phenanthrene	5.0	20	$1.5 \times 10^{3}$	410	4.07
	fluorene	/	/	/	/	3.96
	naphthalene	/	/	/	/	3.32
	benzene	/	/	/	/	2.13

# Table 1. Detection limit of PAHs, Kow, and peak position for

321 <sup>a</sup>: Enhance factor, calculated by the following equation: <sup>38</sup>

 $EF=(I_{SERS}/I_{RS})(C_{RS}/C_{SERS})$ 

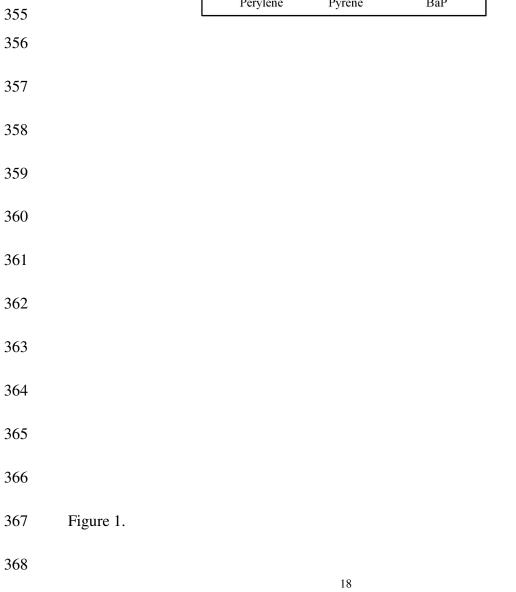
*I*<sub>SERS</sub>: Peak Intensity of the selected Raman band;

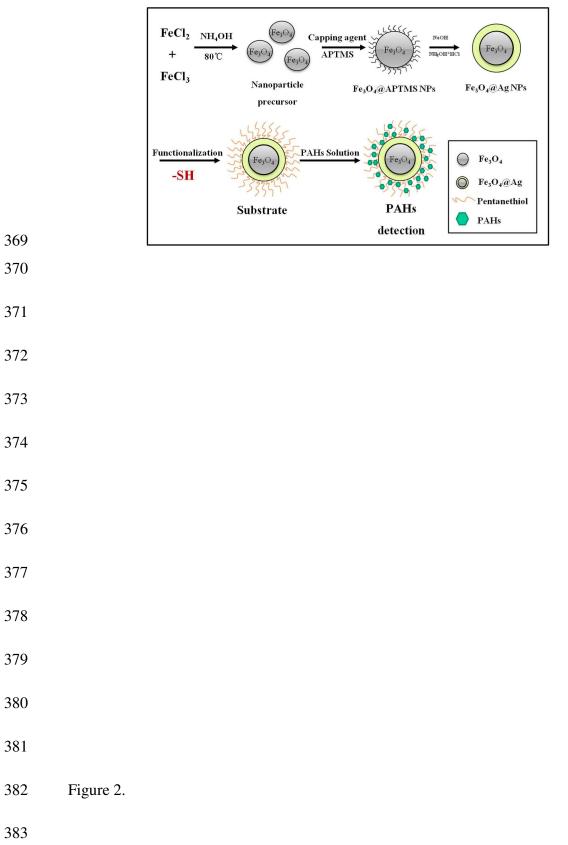
 $I_{RS}$ : The corresponding band intensity of the PAHs neat;

 $C_{\rm RS}/C_{\rm SERS}$ : The concentration ratio of the PAHs in neat solution and SERS sample.

327	Figure Caption
328	Figure 1. Molecular structures of analytes in this study.
329	Figure 2. Schematic representation of the procedure for SERS detection of PAHs.
330	Figure 3. TEM micrographs of $Fe_3O_4$ (a) and $Fe_3O_4$ @Ag NPs (b). The HR-TEM image
331	(inset) shows Ag shell thickness of 5 nm.
332	Figure 4. $k^3$ -weighted observed (dotted line) and model calculated (solid line) Ag
333	K-edge EXAFS spectra (I) and Fourier transform magnitude (II) resulting in a radial
334	distance structure for Fe <sub>3</sub> O <sub>4</sub> @Ag NPs and pyrene reacted substrate.
335	Figure 5. (I) Raman spectrum of $Fe_3O_4@Ag NPs$ (a) and C5 (b); SERS spectrum of C5
336	on Fe <sub>3</sub> O <sub>4</sub> @Ag NPs (c). (II) Raman spectrum of acridine (a); SERS spectrum of acridine
337	on Fe <sub>3</sub> O <sub>4</sub> @Ag NPs (b) and C5 modified substrate (c). The concentration of acridine was
338	1 mg/L.
339	Figure 6. Schematic representations of adsorption mechanism for acridine.
340	Figure 7. Raman spectrum of Fe <sub>3</sub> O <sub>4</sub> @Ag (a); SERS spectrum of C5 on Fe <sub>3</sub> O <sub>4</sub> @Ag
341	NPs (c); SERS spectrum of PAHs mixture on $Fe_3O_4@Ag$ NPs (b) and $Fe_3O_4@Ag@C5$
342	(d). "A" stands for main band of acridine.
343	Figure 8. (I) Raman spectrum of pyrene (a), BaP (c) and perylene (e); SERS difference
344	spectrum of 1 mg/L pyrene (b), 200 $\mu$ g/L BaP (d) and 200 $\mu$ g/L perylene (f). (II) Raman
345	spectrum of phenanthrene (a) and anthracene (c); SERS difference spectrum of 5 mg/L
346	phenanthrene (b), and 1 mg/L anthracene (d). (III) Raman spectrum of benzene (a),
347	naphthalene (c) and fluorene (e); SERS spectrum of 200 mg/L benzene (b), 50 mg/L
348	naphthalene (d) and 50 mg/L fluorene (f).
349	Figure 9. Calibration curve for perylene (a), BaP (b), pyrene (c), anthracene (d), and
350	phenanthrene (e). The dashed lines show the 95% confidence limits. The data points
351	represented the average $\pm$ standard deviation for ten randomly chosen points on substrate.
352	Signal collecting time = $5 \text{ s.}$
353	Figure 10. Difference SERS spectra of PAHs at the concentration 1 mg/L (a), 10 mg/L
354	(b) and PAHs extracted from the coal fly ash sample (c).

Benzene	Naphthalene	Acridine
Anthracene	Fluorene	Phenanthrene
Anthracene	Fluorene	Phenanthrene





			a IIR-TEM	b
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398	Figure 3.			
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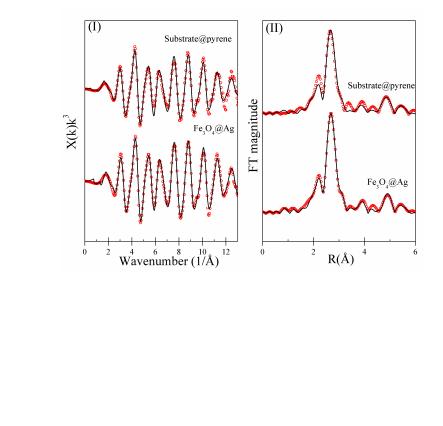
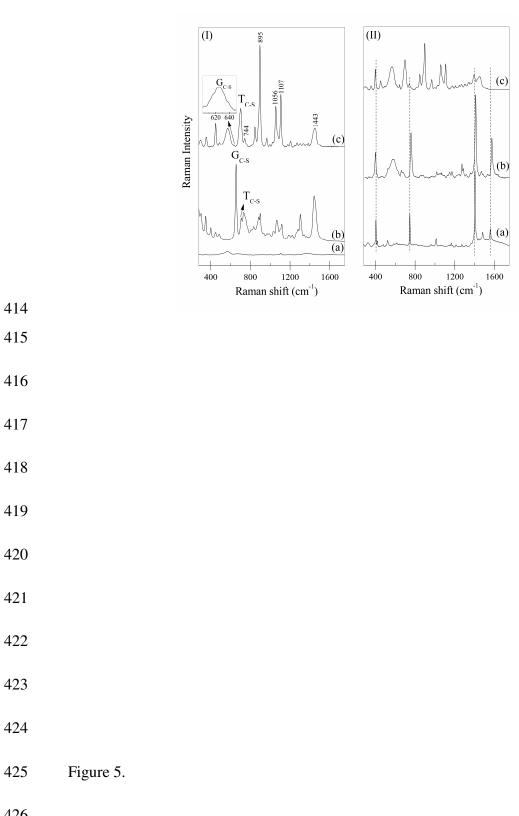
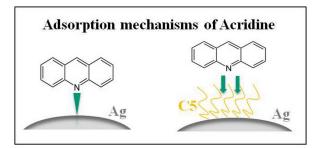
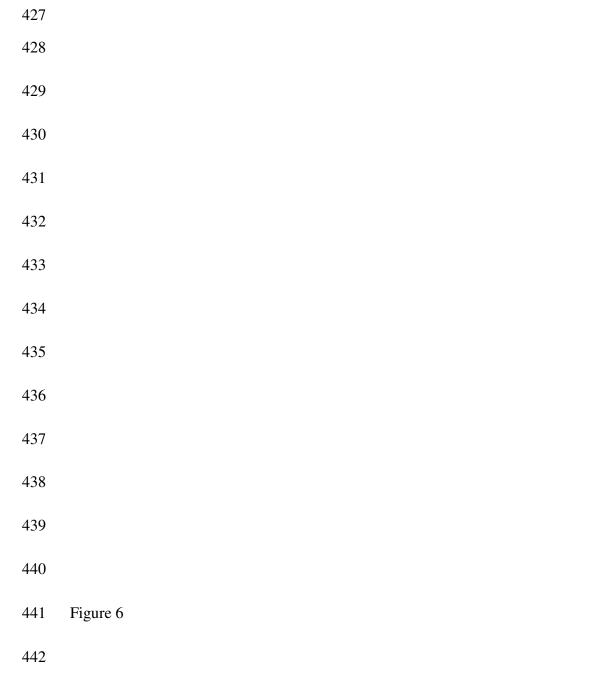
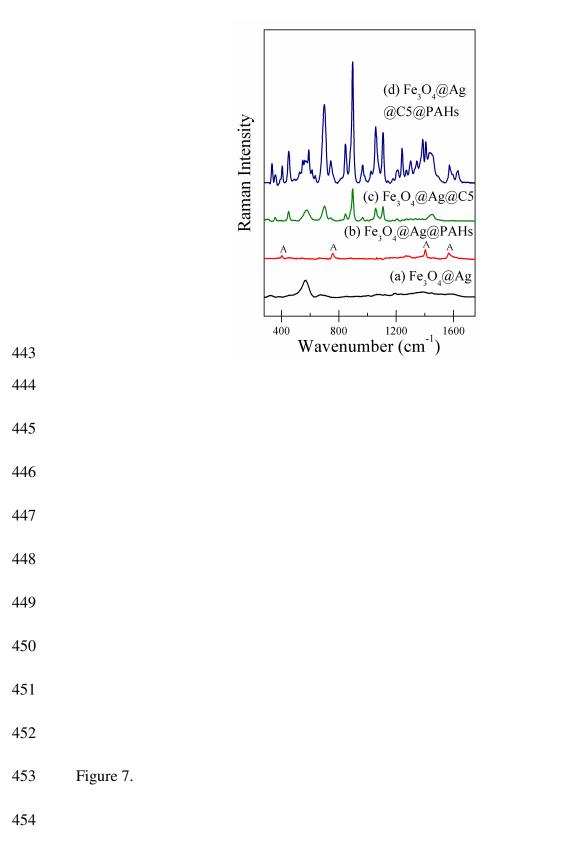


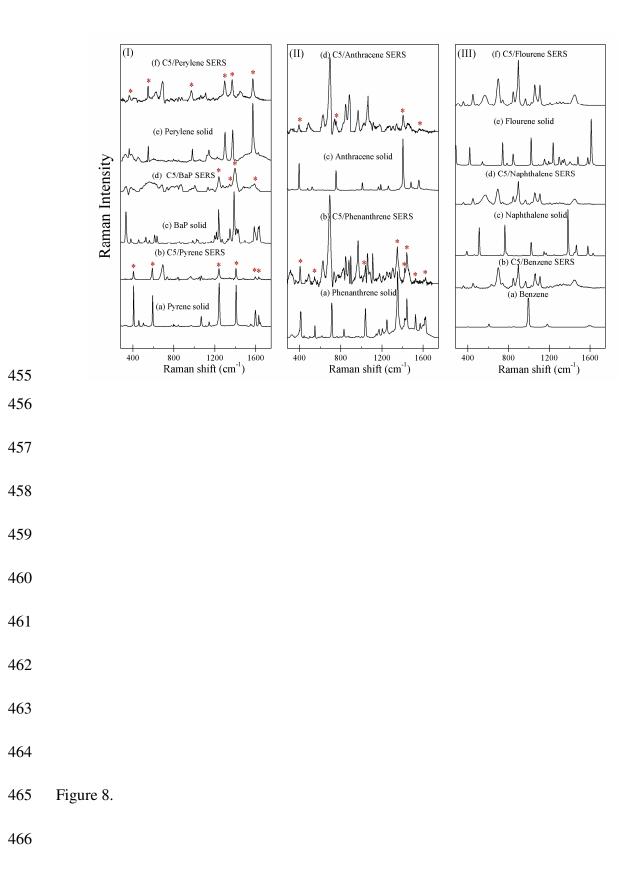
Figure 4.

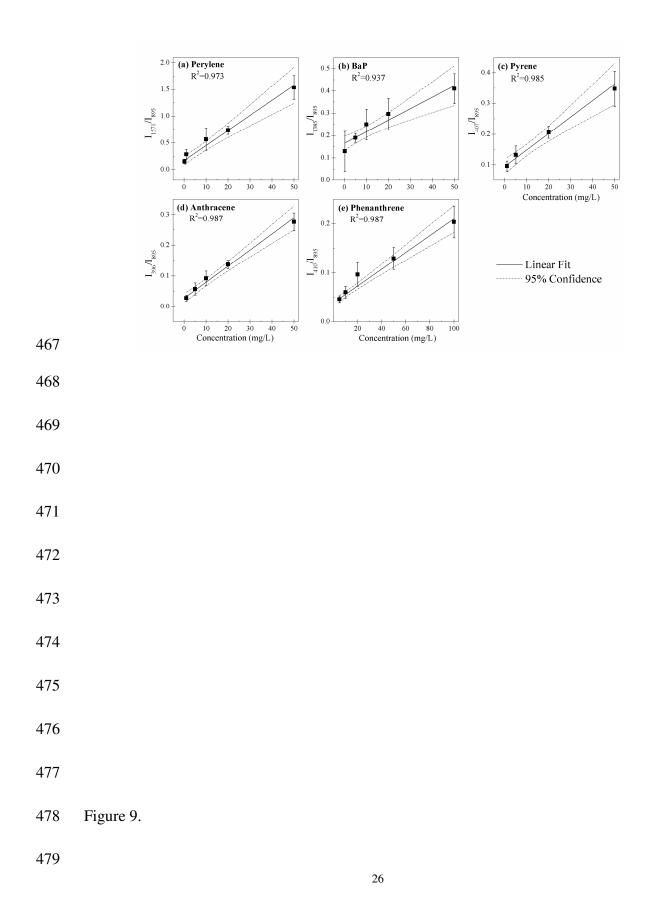


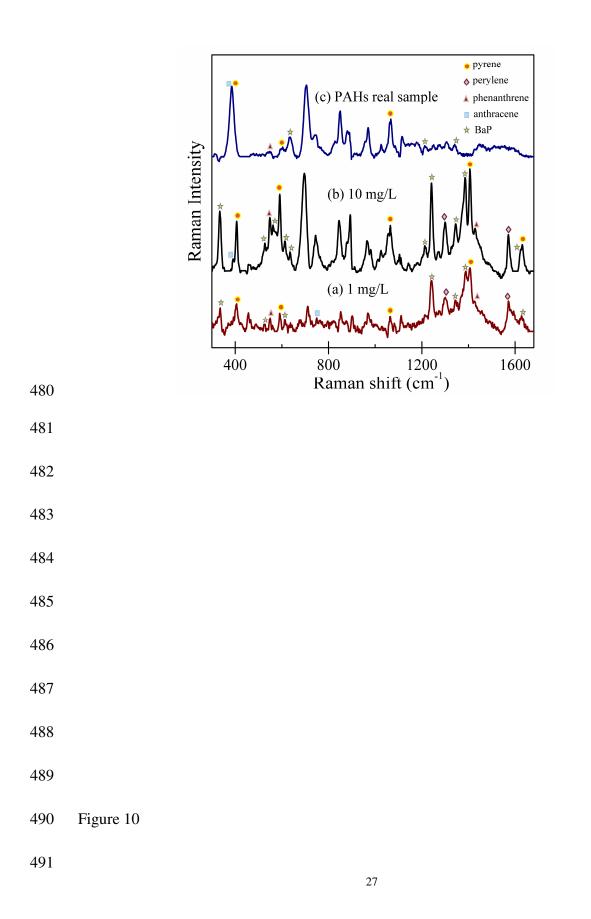












TOC Image

