

Supporting Information

Neutral Radical and Singlet Biradical Forms of meso-Free, Keto, and Diketo Hexaphyrins(1.1.1.1.1.1): Effects on Aromaticity and Photophysical Properties

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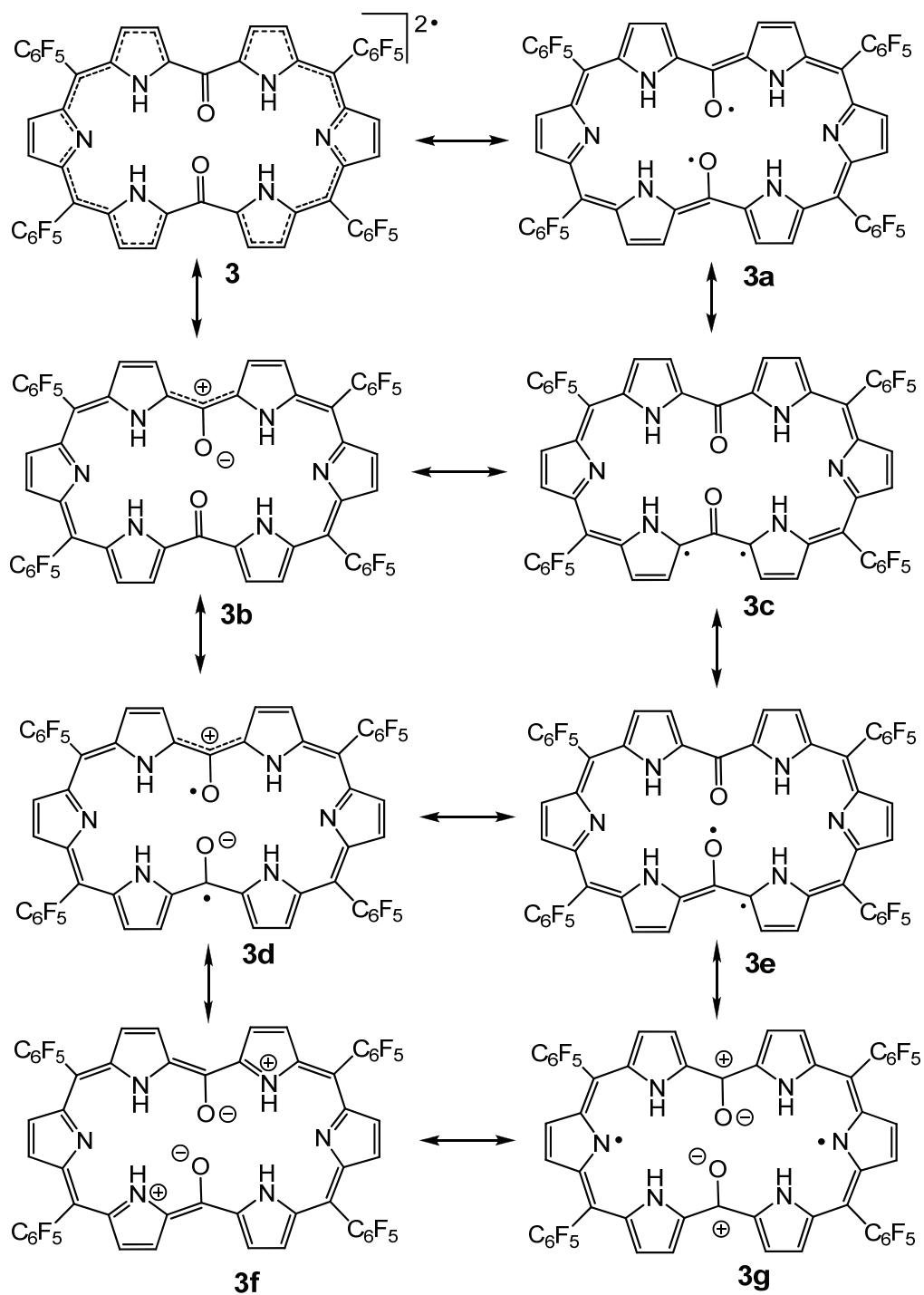


Table S1. Comparison of the crystal structural data of the core unit with that of the theoretical optimized structure for **1**. Selected Bond lengths (BLs, Å) and angles (BAs, °) are shown with the numbered atoms in the figure below. The data of the optimized structures in the table were obtained from DFT calculations of **1** carried out at the B3LPY/6-31G(d,p) level.

BLs and BAs	1 (x-ray)	1 (opt)	BLs and BAs	1 (x-ray)	1 (opt)
C(1)-C(2)	1.455	1.464	C(26)-C(27)	1.431	1.435
C(2)-C(3)	1.344	1.352	C(27)-C(28)	1.363	1.367
C(3)-C(4)	1.464	1.468	C(28)-C(29)	1.430	1.435
C(4)-C(5)	1.396	1.402	C(29)-C(30)	1.396	1.406
C(5)-C(6)	1.396	1.402	C(30)-C(1)	1.403	1.410
C(6)-C(7)	1.463	1.468	C(1)-N(1)	1.358	1.355
C(7)-C(8)	1.388	1.352	N(1)-C(4)	1.367	1.364
C(8)-C(9)	1.456	1.464	C(6)-N(2)	1.362	1.364
C(9)-C(10)	1.407	1.410	N(2)-C(9)	1.360	1.355
C(10)-C(11)	1.392	1.406	C(11)-N(3)	1.367	1.374
C(11)-C(12)	1.413	1.435	N(3)-C(14)	1.389	1.374
C(12)-C(13)	1.363	1.367	C(16)-N(4)	1.358	1.355
C(13)-C(14)	1.430	1.435	N(4)-C(19)	1.367	1.364
C(14)-C(15)	1.396	1.406	C(21)-N(5)	1.362	1.364
C(15)-C(16)	1.403	1.410	N(5)-C(24)	1.360	1.355
C(16)-C(17)	1.455	1.464	C(26)-N(6)	1.367	1.374
C(17)-C(18)	1.344	1.352	N(6)-C(29)	1.369	1.374
C(18)-C(19)	1.464	1.468	C(1)-N(1)-C(4)	105.78	106.03
C(19)-C(20)	1.396	1.402	C(6)-N(2)-C(9)	105.70	106.03
C(20)-C(21)	1.396	1.402	C(11)-N(3)-C(14)	109.67	110.19
C(21)-C(22)	1.463	1.468	C(16)-N(4)-C(19)	105.78	106.03
C(22)-C(23)	1.338	1.352	C(21)-N(5)-C(24)	105.70	106.03
C(23)-C(24)	1.456	1.464	C(26)-N(6)-C(29)	109.67	110.19
C(24)-C(25)	1.407	1.410			
C(25)-C(26)	1.392	1.406			

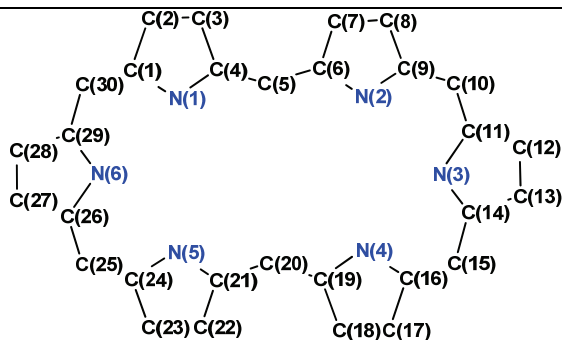


Table S2. Comparison of the crystal structural data of the core unit with that of the theoretical optimized structure for **2**. Selected Bond lengths (BLs, Å) and angles (BAs, °) are shown with the numbered atoms in the figure below. The data of the optimized structures in the table were obtained from DFT calculations of **2** carried out at the UB3LPY/6-31G(d,p) level.

BLs and BAs	2 (x-ray)	2 (opt)	BLs and BAs	2 (x-ray)	2 (opt)
C(1)-C(2)	1.419	1.436	C(26)-C(27)	1.461	1.447
C(2)-C(3)	1.357	1.371	C(27)-C(28)	1.351	1.345
C(3)-C(4)	1.410	1.434	C(28)-C(29)	1.464	1.457
C(4)-C(5)	1.402	1.394	C(29)-C(30)	1.420	1.396
C(5)-C(6)	1.415	1.394	C(30)-C(1)	1.406	1.409
C(6)-C(7)	1.416	1.430	C(1)-N(1)	1.368	1.353
C(7)-C(8)	1.364	1.373	N(1)-C(4)	1.386	1.381
C(8)-C(9)	1.420	1.435	C(6)-N(2)	1.385	1.378
C(9)-C(10)	1.407	1.406	N(2)-C(9)	1.370	1.364
C(10)-C(11)	1.404	1.420	C(11)-N(3)	1.359	1.368
C(11)-C(12)	1.459	1.464	N(3)-C(14)	1.385	1.371
C(12)-C(13)	1.342	1.350	C(16)-N(4)	1.365	1.357
C(13)-C(14)	1.460	1.461	N(4)-C(19)	1.366	1.377
C(14)-C(15)	1.404	1.395	C(21)-N(5)	1.371	1.376
C(15)-C(16)	1.406	1.430	N(5)-C(24)	1.368	1.362
C(16)-C(17)	1.418	1.415	C(26)-N(6)	1.385	1.375
C(17)-C(18)	1.373	1.395	N(6)-C(29)	1.356	1.365
C(18)-C(19)	1.409	1.406	C(20)-O(1)	1.248	1.281
C(19)-C(20)	1.416	1.458	C(1)-N(1)-C(4)	111.23	111.44
C(20)-C(21)	1.417	1.455	C(6)-N(2)-C(9)	111.28	111.03
C(21)-C(22)	1.412	1.405	C(11)-N(3)-C(14)	105.65	104.85
C(22)-C(23)	1.364	1.394	C(16)-N(4)-C(19)	110.85	111.30
C(23)-C(24)	1.409	1.413	C(21)-N(5)-C(24)	110.59	110.83
C(24)-C(25)	1.409	1.433	C(26)-N(6)-C(29)	105.76	105.58
C(25)-C(26)	1.395	1.393			

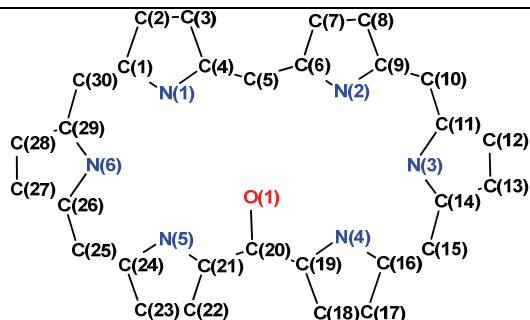


Table S3. Comparison of the crystal structural data of the core unit with that of the theoretical optimized structure for **3**. Selected Bond lengths (BLs, Å) and angles (BAs, °) are shown with the numbered atoms in the figure below. The data of the optimized structures in the table were obtained from DFT calculations of **3** carried out at the BS-UB3LPY/6-31G(d,p) level.

BLs and BAs	3 (x-ray)	3 (opt)	BLs and BAs	3 (x-ray)	3 (opt)
C(1)-C(2)	1.408	1.417	C(26)-C(27)	1.468	1.461
C(2)-C(3)	1.374	1.389	C(27)-C(28)	1.344	1.353
C(3)-C(4)	1.404	1.409	C(28)-C(29)	1.460	1.461
C(4)-C(5)	1.466	1.470	C(29)-C(30)	1.393	1.406
C(5)-C(6)	1.476	1.465	C(30)-C(1)	1.419	1.420
C(6)-C(7)	1.398	1.410	C(1)-N(1)	1.378	1.374
C(7)-C(8)	1.383	1.389	N(1)-C(4)	1.366	1.365
C(8)-C(9)	1.409	1.416	C(6)-N(2)	1.359	1.367
C(9)-C(10)	1.419	1.423	N(2)-C(9)	1.381	1.373
C(10)-C(11)	1.393	1.406	C(11)-N(3)	1.355	1.367
C(11)-C(12)	1.460	1.461	N(3)-C(14)	1.373	1.367
C(12)-C(13)	1.344	1.353	C(16)-N(4)	1.378	1.374
C(13)-C(14)	1.468	1.461	N(4)-C(19)	1.366	1.366
C(14)-C(15)	1.408	1.406	C(21)-N(5)	1.359	1.368
C(15)-C(16)	1.417	1.420	N(5)-C(24)	1.381	1.372
C(16)-C(17)	1.409	1.417	C(26)-N(6)	1.355	1.367
C(17)-C(18)	1.374	1.389	N(6)-C(29)	1.373	1.367
C(18)-C(19)	1.404	1.409	C(5)-O(1)	1.223	1.237
C(19)-C(20)	1.466	1.470	C(20)-O(2)	1.223	1.237
C(20)-C(21)	1.476	1.465	C(1)-N(1)-C(4)	110.92	110.48
C(21)-C(22)	1.398	1.409	C(6)-N(2)-C(9)	110.92	110.61
C(22)-C(23)	1.383	1.389	C(11)-N(3)-C(14)	105.29	105.58
C(23)-C(24)	1.409	1.416	C(16)-N(4)-C(19)	110.92	110.48
C(24)-C(25)	1.409	1.423	C(21)-N(5)-C(24)	110.92	110.61
C(25)-C(26)	1.393	1.406	C(26)-N(6)-C(29)	105.29	105.58

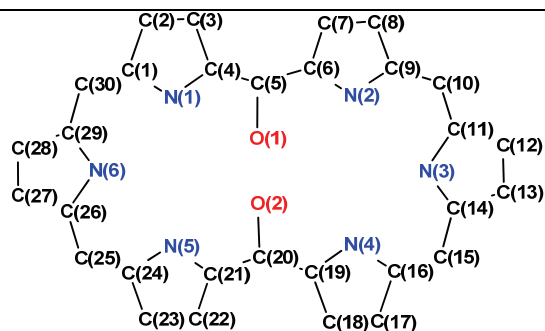


Table S4. Selected simulated excited states of **1** as inferred from TD-DFT analyses

Excited state	Transition Energy (eV, nm)	Oscillator strength (f)		
CI transition	CI coefficient			
			277 -> 283	.46988
			280 -> 283	.11245
			281 -> 282	.10607
1: 1.4316 eV 866.04 nm f=0.0399				
280 -> 283	-.47744			
281 -> 282	.56462			
2: 1.5911 eV 779.25 nm f=0.0008				
280 -> 282	.48533			
281 -> 283	.52422			
3: 2.4495 eV 506.17 nm f=0.9611				
277 -> 282	.12034			
280 -> 282	.39527			
281 -> 283	-.33444			
4: 2.4979 eV 496.36 nm f=2.0807				
276 -> 282	.20060			
277 -> 283	-.13687			
280 -> 283	.42977			
281 -> 282	.26306			
5: 2.6254 eV 472.24 nm f=0.0000				
278 -> 283	-.22045			
279 -> 282	.65500			
6: 2.7103 eV 457.46 nm f=0.0000				
275 -> 282	.15091			
278 -> 282	.65186			
280 -> 284	-.12163			
281 -> 285	.10136			
7: 2.7597 eV 449.27 nm f=0.0498				
277 -> 282	.67945			
8: 2.8824 eV 430.14 nm f=0.0000				
278 -> 283	-.38306			
279 -> 282	-.12368			
280 -> 285	.11828			
281 -> 284	.56669			
9: 2.8869 eV 429.47 nm f=0.0000				
275 -> 282	-.13492			
279 -> 283	.61473			
281 -> 285	.28646			
10: 3.0161 eV 411.08 nm f=0.0706				
276 -> 282	.48615			
277 -> 283	.48082			
11: 3.0301 eV 409.18 nm f=0.0000				
266 -> 282	.10215			
270 -> 282	-.13741			
275 -> 283	.17086			
278 -> 283	.49211			
279 -> 282	.10492			
280 -> 285	.24592			
281 -> 284	.30095			
12: 3.0523 eV 406.20 nm f=0.8923				
276 -> 282	-.44319			

Table S5. Selected simulated excited states of **2** as derived from TD-DFT calculations carried out at the UB3LYP/6-31G** level. Transitions A and B stand for the α -spin and β -spin.

Excited state	Transition Energy (eV, nm)	Oscillator strength (f)	CI transition	CI coefficient
1: 0.7156 eV 1732.61 nm f=0.0061			285A -> 288A	-.13931
			286A -> 287A	1.00029
			285B -> 286B	.31683
2: 1.1641 eV 1065.02 nm f=0.1070			285A -> 287A	-.38854
			286A -> 287A	-.15258
			286A -> 288A	.19837
			286A -> 289A	.18011
			284B -> 287B	-.24733
			285B -> 286B	.69606
			285B -> 287B	-.57285
3: 1.1693 eV 1060.32 nm f=0.0776			285A -> 287A	.44675
			286A -> 287A	-.11197
			286A -> 288A	-.25747
			286A -> 289A	.14874
			284B -> 287B	-.20587
			285B -> 286B	.59678
			285B -> 287B	.67155
4: 1.6206 eV 765.05 nm f=0.0062			285A -> 287A	-.11372
			286A -> 288A	.52699
			283B -> 287B	.10969
			284B -> 286B	.82411
			285B -> 287B	.33907
5: 1.7603 eV 704.34 nm f=0.0024			284A -> 287A	-.51497
			285A -> 288A	.20129
			286A -> 289A	.45432
			283B -> 286B	.17355
			284B -> 287B	.71720
			285B -> 288B	-.21178
6: 1.8431 eV 672.69 nm f=0.0010			285A -> 287A	.71179
			286A -> 288A	.63410
			284B -> 286B	-.19639
			285B -> 287B	-.23642
7: 2.1225 eV 584.15 nm f=0.0494			284A -> 287A	.59962
			286A -> 289A	.75406
			283B -> 286B	.19084
			284B -> 287B	-.13494
			285B -> 286B	-.12192
8: 2.2994 eV 539.20 nm f=0.0322			283A -> 287A	.45582
9: 2.3327 eV 531.51 nm f=0.0053			284A -> 287A	-.19328
			285A -> 288A	.40573
			286A -> 289A	-.16574
			286A -> 290A	-.35847
			283B -> 286B	.51972
			284B -> 287B	-.40011
			285B -> 288B	-.44979
10: 2.3788 eV 521.20 nm f=0.8006			283A -> 287A	.12401
			285A -> 287A	.35145
			286A -> 288A	-.40725
			282B -> 286B	.15303
			283B -> 287B	.10238
			284B -> 286B	.46341
			285B -> 287B	-.28541
			285B -> 288B	.11592
11: 2.4336 eV 509.47 nm f=0.0298			282A -> 287A	.28025
			285A -> 288A	-.19885
			286A -> 290A	.17058
			281B -> 286B	.21922
			282B -> 287B	.47251
			283B -> 286B	.61615
			285B -> 288B	.39009
12: 2.5388 eV 488.35 nm f=0.0073			281A -> 287A	.26793
			282A -> 288A	-.10795
			285A -> 289A	-.15061
			281B -> 287B	.38495
			282B -> 286B	.76692
			285B -> 289B	.32639
13: 2.5790 eV 480.75 nm f=0.6676			281A -> 288A	-.10363
			282A -> 287A	.19878
			284A -> 287A	.45298
			284A -> 289A	.13557
			285A -> 288A	.47889
			286A -> 289A	-.25483
			281B -> 286B	.21239
			281B -> 288B	.10261
			282B -> 287B	.26499
			283B -> 286B	-.12960
			284B -> 287B	.35423
			285B -> 288B	-.12940
14: 2.6241 eV 472.49 nm f=0.3503			281A -> 288A	.16530
			282A -> 287A	-.39520

Table S6. Selected simulated excited states of **3** as derived from TD-DFT calculations carried out at the UB3LYP/6-31G** level. Transitions A and B stand for the α -spin and β -spin,

Excited state	Transition Energy (eV, nm)	Oscillator strength (f)	CI transition	CI coefficient
1:	0.9282 eV 1335.73 nm	f=0.0000	289A -> 290A	.73895
			289B -> 290B	.73894
2:	1.1899 eV 1041.99 nm	f=0.2375	288A -> 291A	-.11927
			289A -> 290A	-.64979
			288B -> 291B	.11927
			289B -> 290B	.64979
3:	1.3187 eV 940.17 nm	f=0.0000	287A -> 290A	.15617
			288A -> 290A	.15645
			289A -> 291A	.71732
			287B -> 290B	.15617
			288B -> 290B	.15645
			289B -> 291B	.71732
4:	1.4534 eV 853.05 nm	f=0.0100	287A -> 290A	.20660
			288A -> 290A	.42066
			289A -> 291A	-.55060
			289A -> 292A	.18892
			287B -> 290B	-.20660
			288B -> 290B	-.42066
			289B -> 291B	.55061
			289B -> 292B	-.18892
5:	1.5812 eV 784.12 nm	f=0.0000	288A -> 290A	.70200
			289A -> 291A	-.17159
			289A -> 292A	-.12848
			288B -> 290B	.70200
			289B -> 291B	-.17158
			289B -> 292B	-.12848
6:	2.1643 eV 572.87 nm	f=0.0155	287A -> 290A	.47307
			289A -> 291A	.22967
			289A -> 292A	.45503
			287B -> 290B	-.47306
			289B -> 291B	-.22968
			289B -> 292B	-.45503
7:	2.2102 eV 560.96 nm	f=0.0000	286A -> 290A	.24531
			287A -> 291A	-.14175
			287A -> 292A	.19119
			288A -> 291A	.64098
			286B -> 290B	.24532
			287B -> 291B	-.14174
			287B -> 292B	.19119
			288B -> 291B	.64098
8:	2.2567 eV 549.41 nm	f=0.0575	286A -> 290A	.53924
			287A -> 290A	-.19767
			287A -> 291A	.24246
			288A -> 290A	.20833
			288A -> 292A	.13272
			289A -> 291A	.10205
			289A -> 293A	.16782
			286B -> 290B	-.53923
			287B -> 290B	.19766
			287B -> 291B	-.24246
			288B -> 290B	-.20833
			288B -> 292B	-.13272
			289B -> 291B	-.10205
			289B -> 293B	-.16782
9:	2.2612 eV 548.31 nm	f=0.1374	286A -> 290A	.28098
			287A -> 290A	.31613
			287A -> 291A	.13550
			288A -> 290A	-.36319
			289A -> 291A	-.22232
			289A -> 292A	-.26489
			286B -> 290B	-.28097
			287B -> 290B	-.31612
			287B -> 291B	-.13550
			288B -> 290B	.36319
			289B -> 291B	.22232
			289B -> 292B	.26488
10:	2.3220 eV 533.95 nm	f=0.0000	287A -> 290A	.59661
			288A -> 290A	-.14251
			289A -> 291A	-.10329
			289A -> 292A	-.31687
			287B -> 290B	.59662
			288B -> 290B	-.14252
			289B -> 291B	-.10330
			289B -> 292B	-.31687
11:	2.3616 eV 525.01 nm	f=0.0000	285A -> 290A	.13334
			286A -> 290A	.62689
			288A -> 291A	-.25233
			285B -> 290B	.13334
			286B -> 290B	.62690
			288B -> 291B	-.25233
12:	2.4081 eV 514.87 nm	f=0.6134	287A -> 290A	-.29875
			287A -> 291A	.17687
			288A -> 290A	-.27082
			289A -> 291A	-.15657
			289A -> 292A	.40028
			287B -> 290B	.29875
			287B -> 291B	-.17687
			288B -> 290B	.27082
			289B -> 291B	.15657
			289B -> 292B	-.40026
13:	2.4525 eV 505.53 nm	f=0.0918	286A -> 290A	-.30860
			287A -> 291A	.50396
			288A -> 292A	.26517
			289A -> 292A	-.13391
			289A -> 293A	.13272
			286B -> 290B	.30860
			287B -> 291B	-.50396
			288B -> 292B	-.26517
			289B -> 292B	.13389
			289B -> 293B	-.13272

Table S7. HOMA values of the full structures of **1**, **2** and **3**, along with their π -conjugated pathways. The values were obtained using of the geometries of the X-ray crystal structural data and that of optimizations carried out at the RB3LPY/6-31G(d, p) the level for **1**, UB3LPY/6-31G(d, p) level for **2** and the BS-UB3LYP/6-31G(d, p) level for **3**, respectively.

Compound	HOMA ^a	EN	GEO
1 (Opt) ^b	0.844	0.090	0.066
1 (X-ray) ^c	0.890	0.050	0.061
2 (Opt) ^b	0.731	0.156	0.113
2 (X-ray) ^c	0.875	0.052	0.073
3 (Opt) ^b	0.672	0.144	0.185
3 (X-ray) ^c	0.680	0.131	0.189

- a) $\text{HOMA} = 1 - \text{EN} - \text{GEO} = 1 - \left[\alpha(R_{\text{opt}} - R_{\text{av}})^2 + \frac{\alpha}{n} \sum (R_{\text{opt}} - R_i)^2 \right]$ where α is an empirical constant chosen to give $\text{HOMA} = 0$ for the hypothetical Kekulé structure and $\text{HOMA} = 1$ for a system with all bonds equal to an optimal bond length R_{opt} , n is the number of bonds in the summation, and R_{av} is an averaged bond length of R_i , where R_i is the heteroatom-corrected bond length of the i th bond in the π -conjugated pathway. The EN term in this equation describes changes in the aromatic character due to deviations of the average bond length away from the optimal value, while the GEO term reflects the consequences of bond-length alteration.
- b) The value was determined using the optimized structural data
- c) The value was derived from the X-ray crystallographic data^{9a, b}.

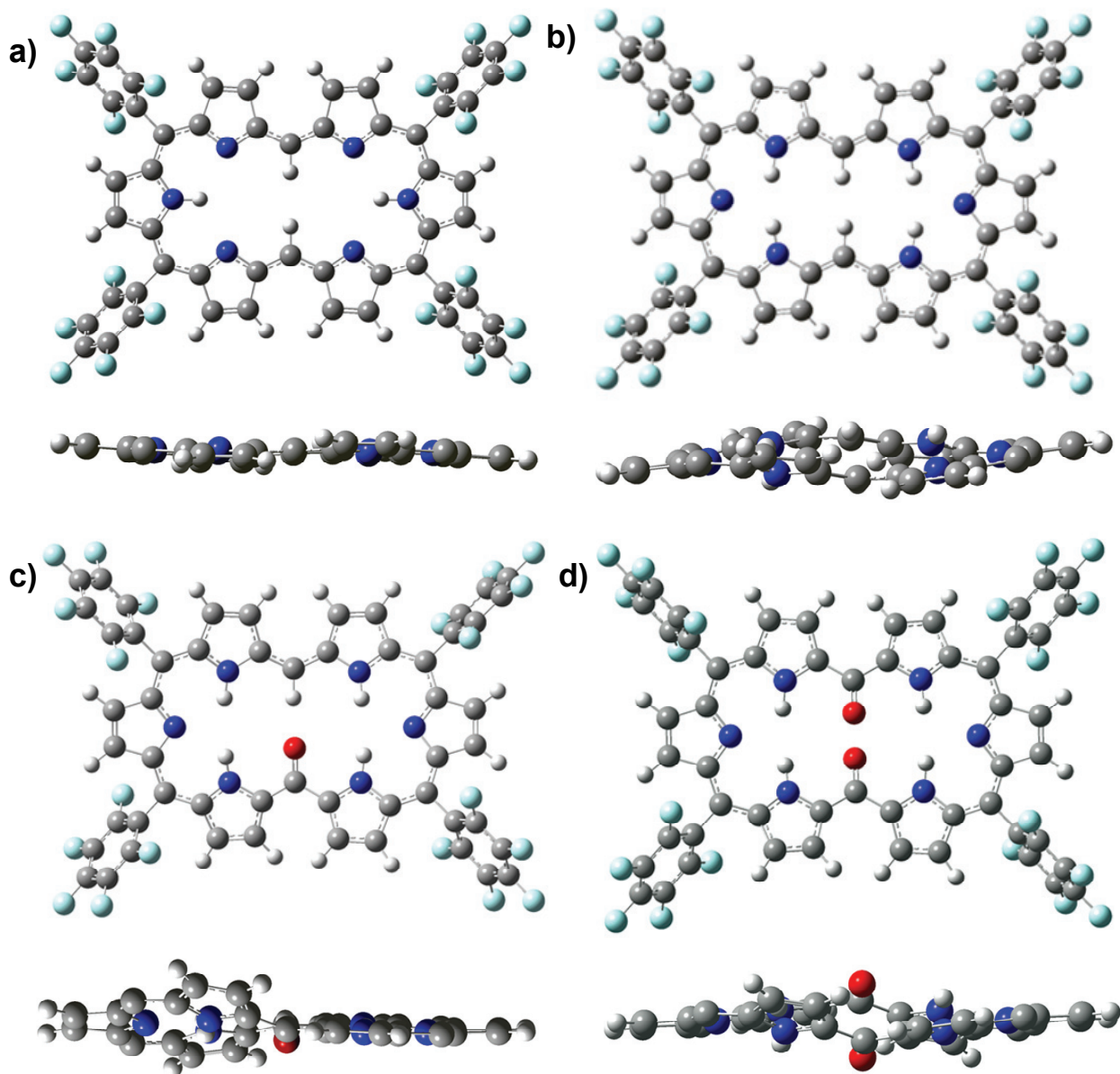


Figure S1. Optimized structures of (a) **1**, (b) **4**, (c) **2** and (d) **3** calculated at the (U)B3LYP/6-31G(d,p) level. The top view (above) and side view (bottom) of the resulting models are shown. The meso-aryl substituents of the derivative in question have been omitted for clarity in the side views.

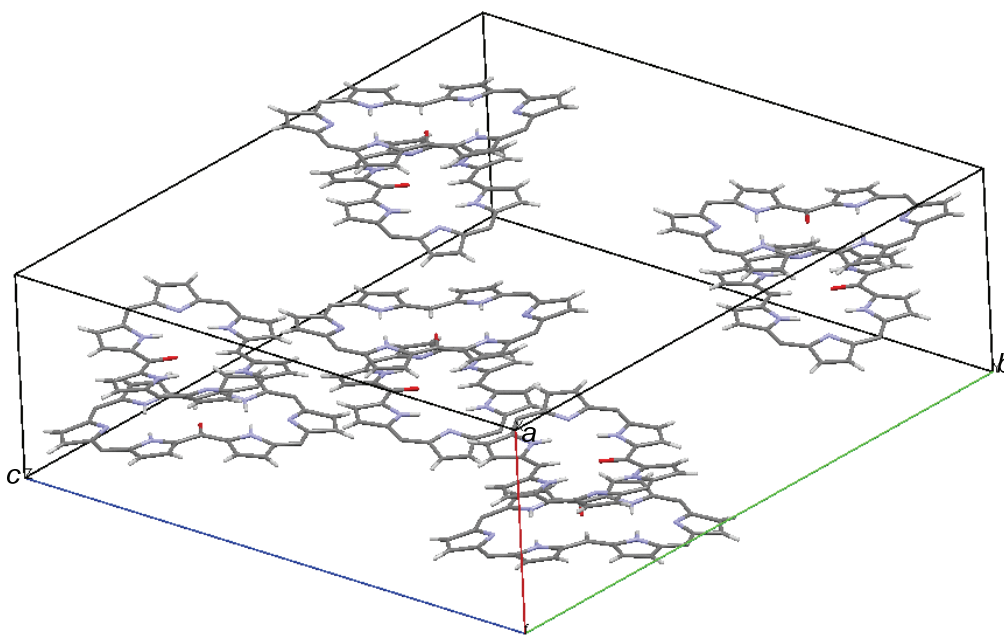


Figure S2. Crystal packing diagram of **2** with the structure shown in stick model form. This structure was first reported in ref 9a. In this view, the meso pentafluorophenyl groups are omitted for clarity. Various intermolecular interactions are found in the crystal packing of **2** in which each of dimers is separated by ca. 3.5 Å and oriented in a nearly perpendicular manner. This result provides support for the antiferromagnetic coupling inferred from the temperature dependent magnetic susceptibility measurements carried out in the solid state. Intermolecular π -radical interactions similar to those proposed here are typical features seen in neutral phenalenyl radicals with planar geometry (Reference: Suzuki, S.; Morita, Y.; Fukui, K.; Sato, K.; Shiomi, D.; Takui, T.; Nakasuji, K. *J. Am. Chem. Soc.* **2006**, *128*, 2530.).

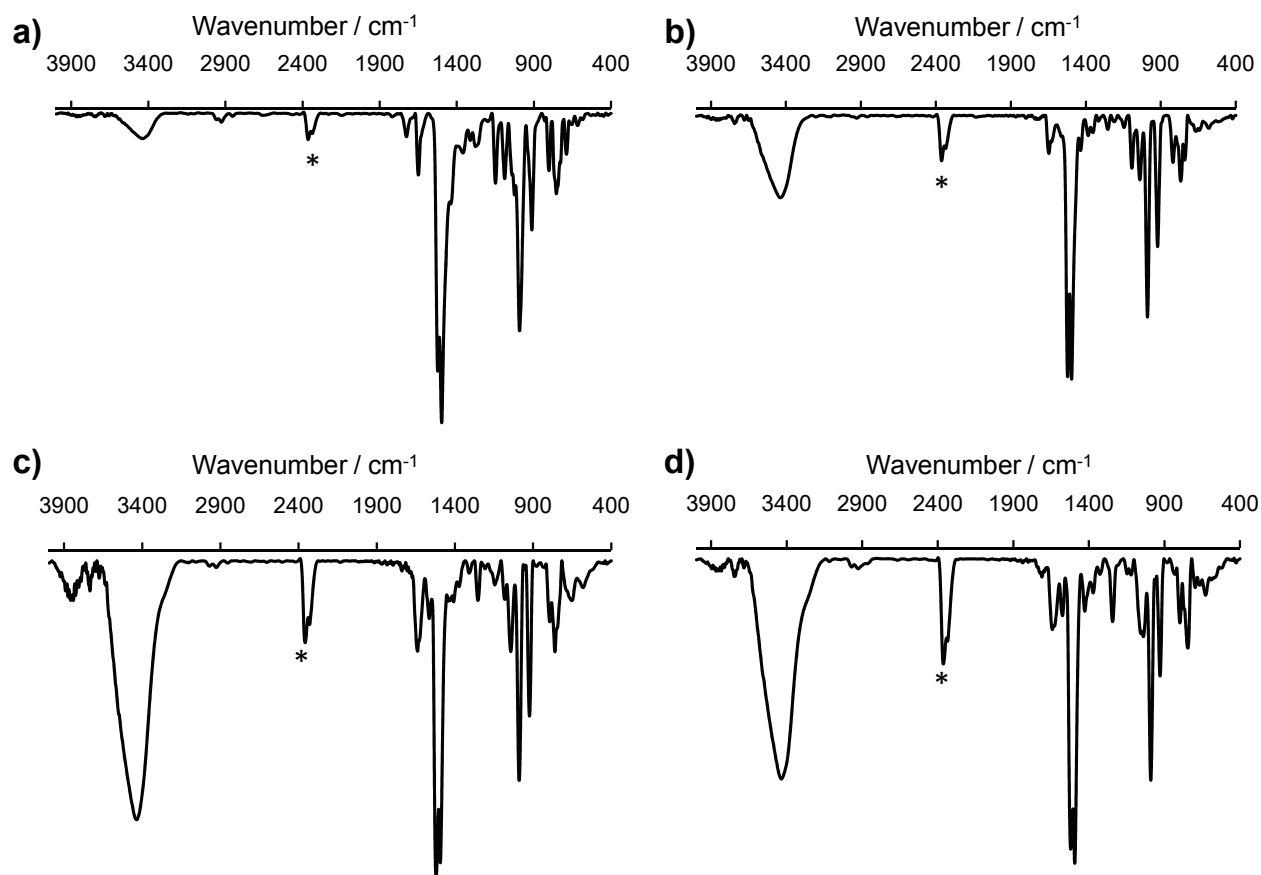


Figure S3. FTIR spectra of (a) **5** (b) **1**, (c) **2** and (d) **3** recorded in a KBr pellet. The asterisk shows peaks arising from CO₂ present as a contaminant.

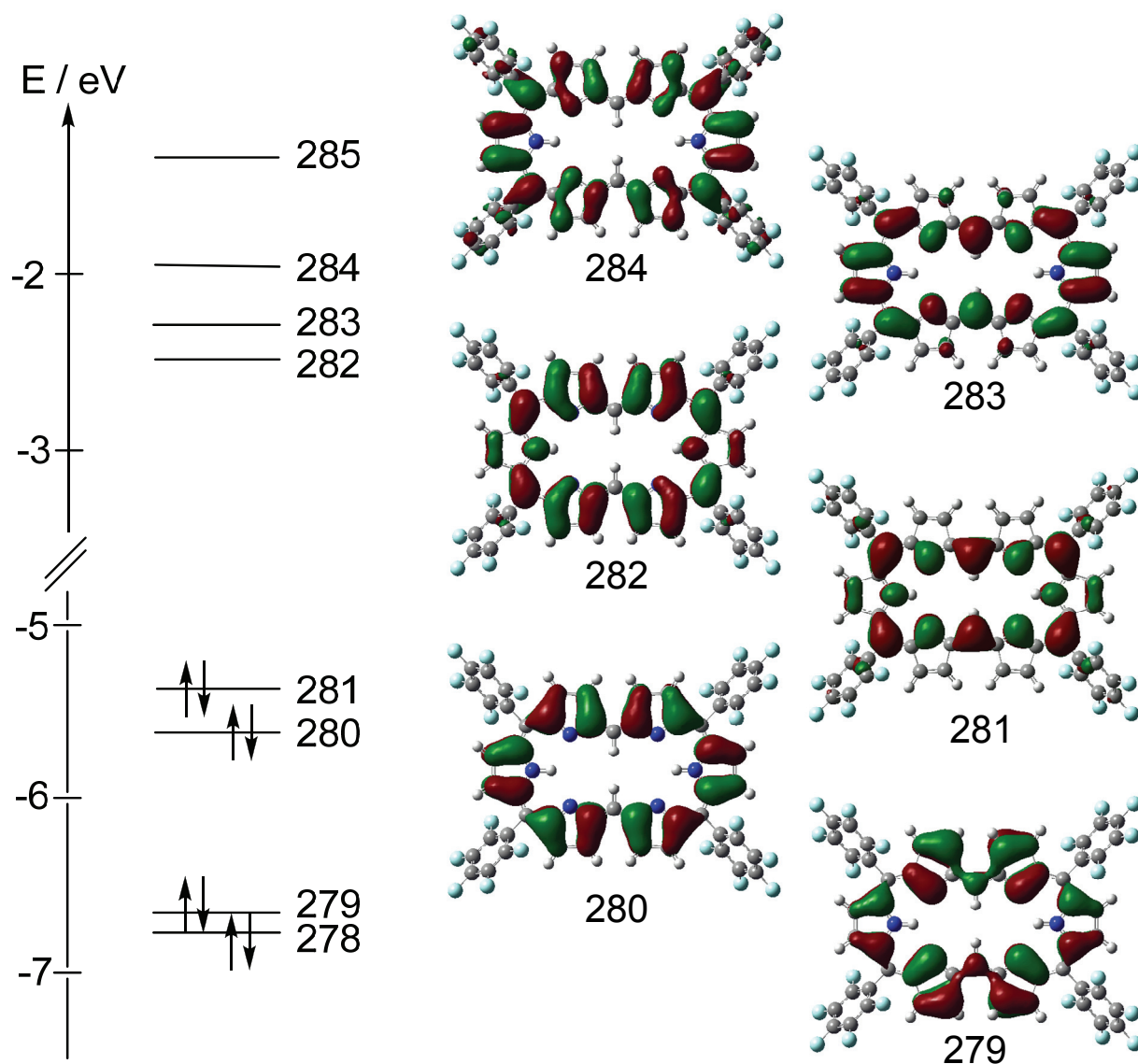


Figure S4. Calculated MO energy levels and energy diagrams for **1** as derived from analyses carried out at the B3LYP/6-31G** level.

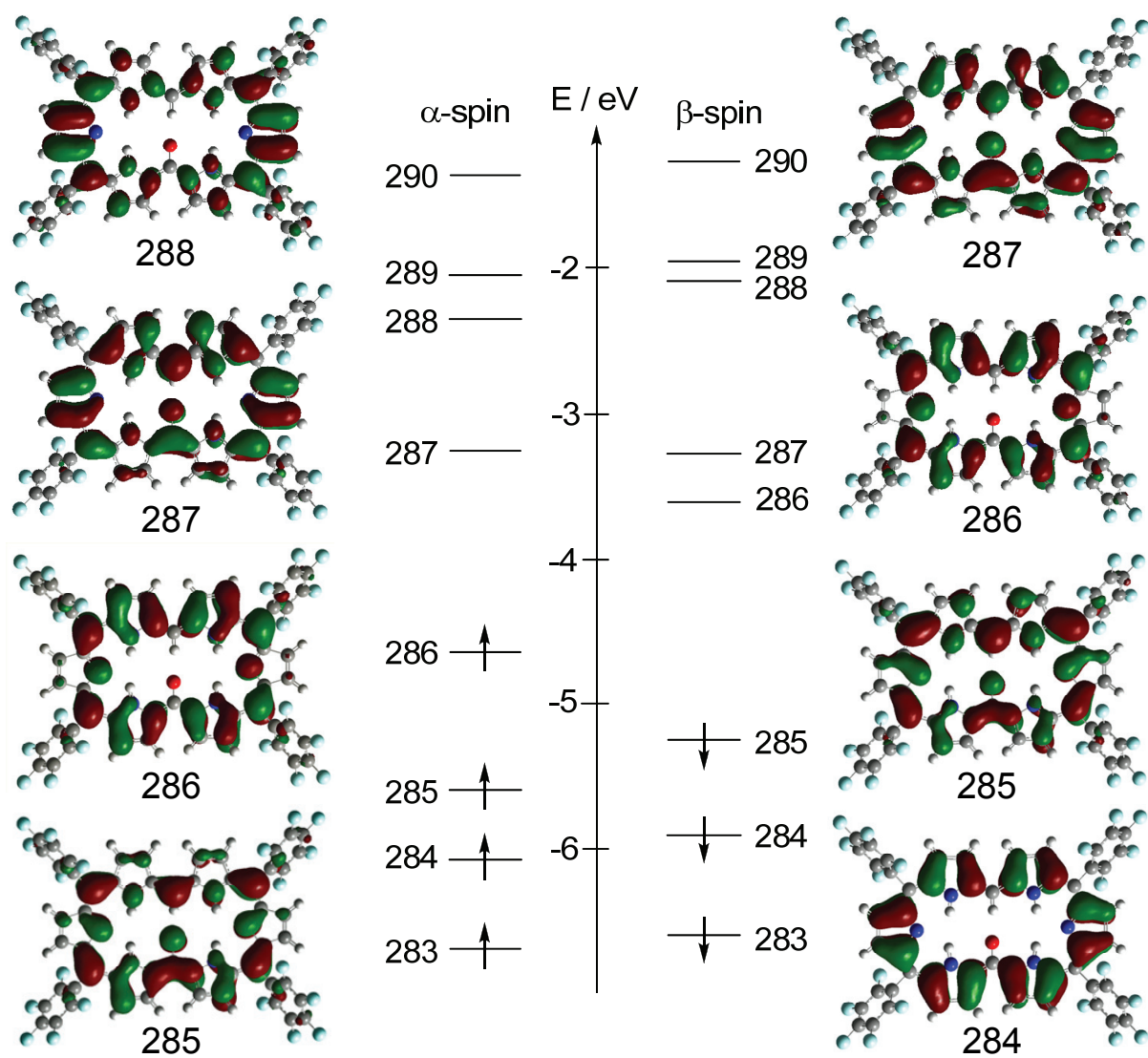


Figure S5. Calculated MO levels and energy diagrams for **2** from analyses carried out at the UB3LYP/6-31G** level.

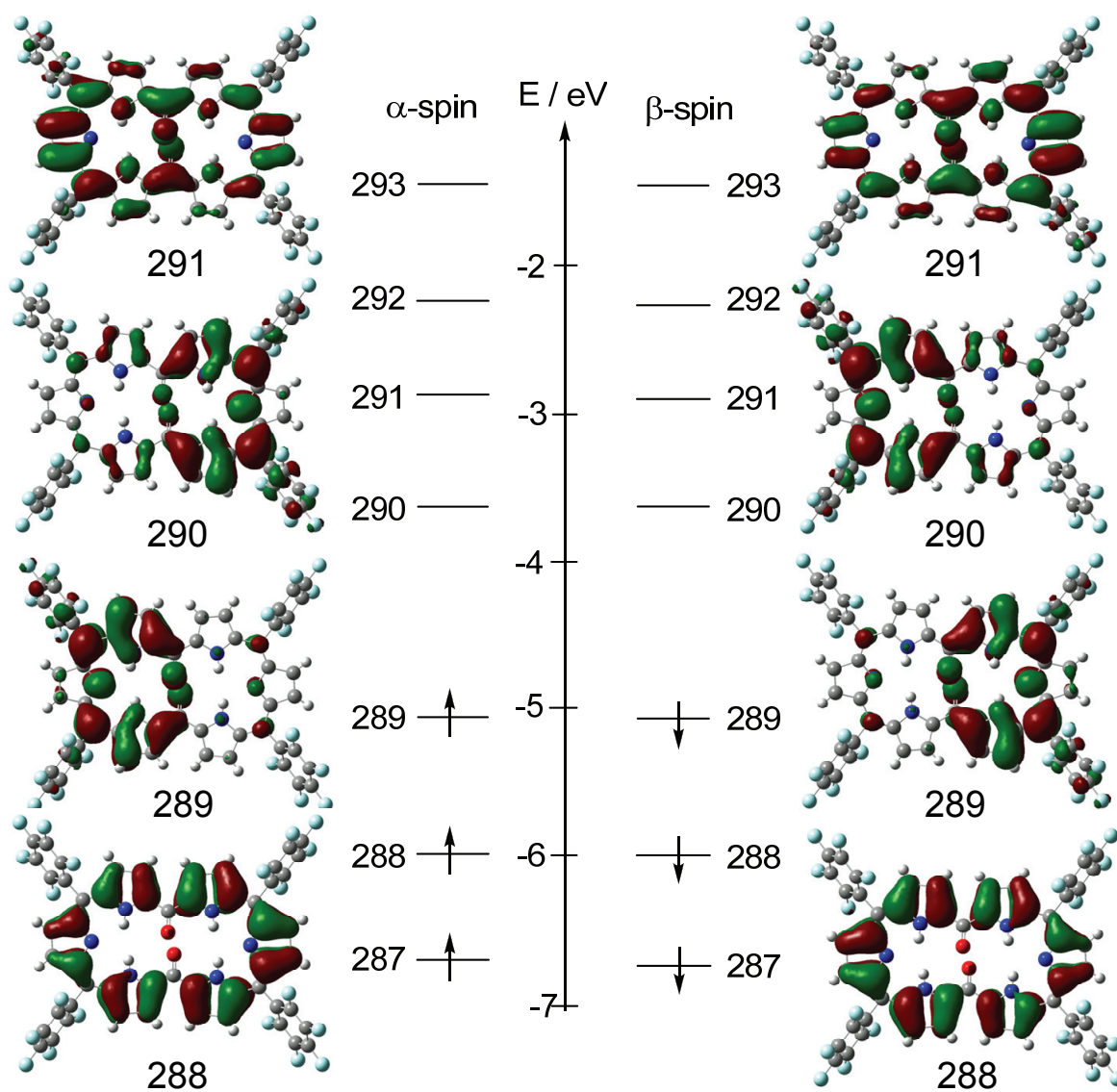


Figure S6. Calculated MO levels and energy diagrams for **3** derived from analyses carried out at the BS-UB3LYP/6-31G** level.

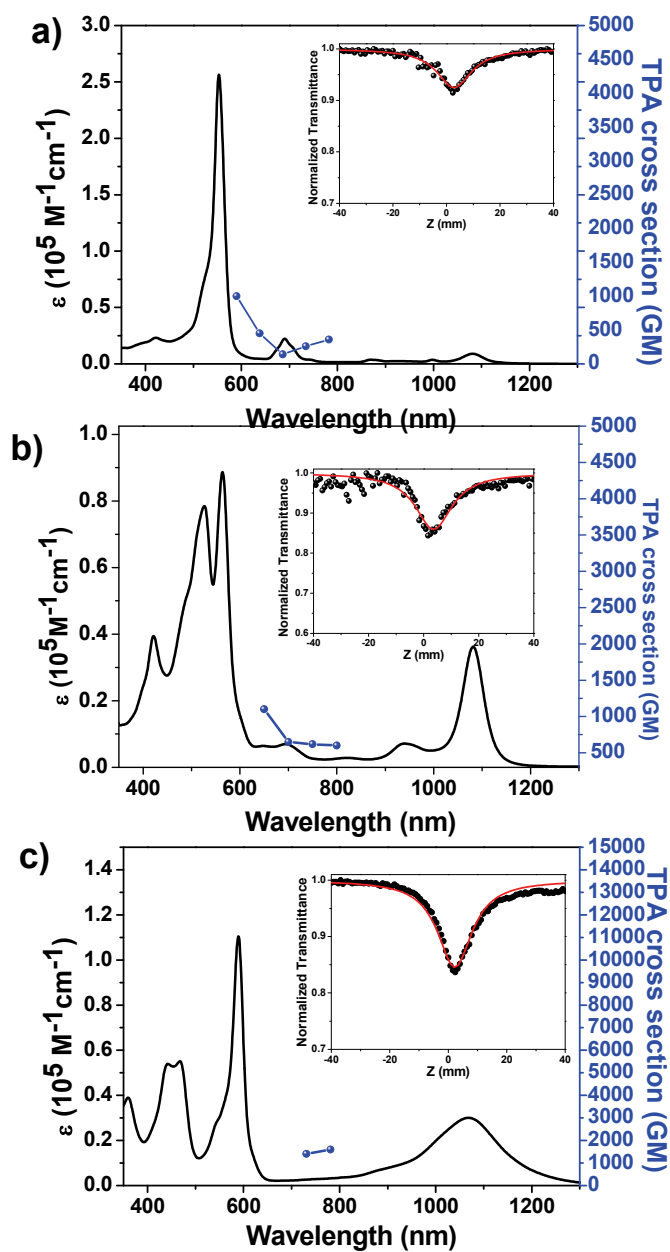


Figure S7. Two-photon absorption spectra and z-scan traces (inset) of (a) **1**, (b) **2**, and (c) **3** recorded in toluene using an excitation wavelength of 1600 nm.

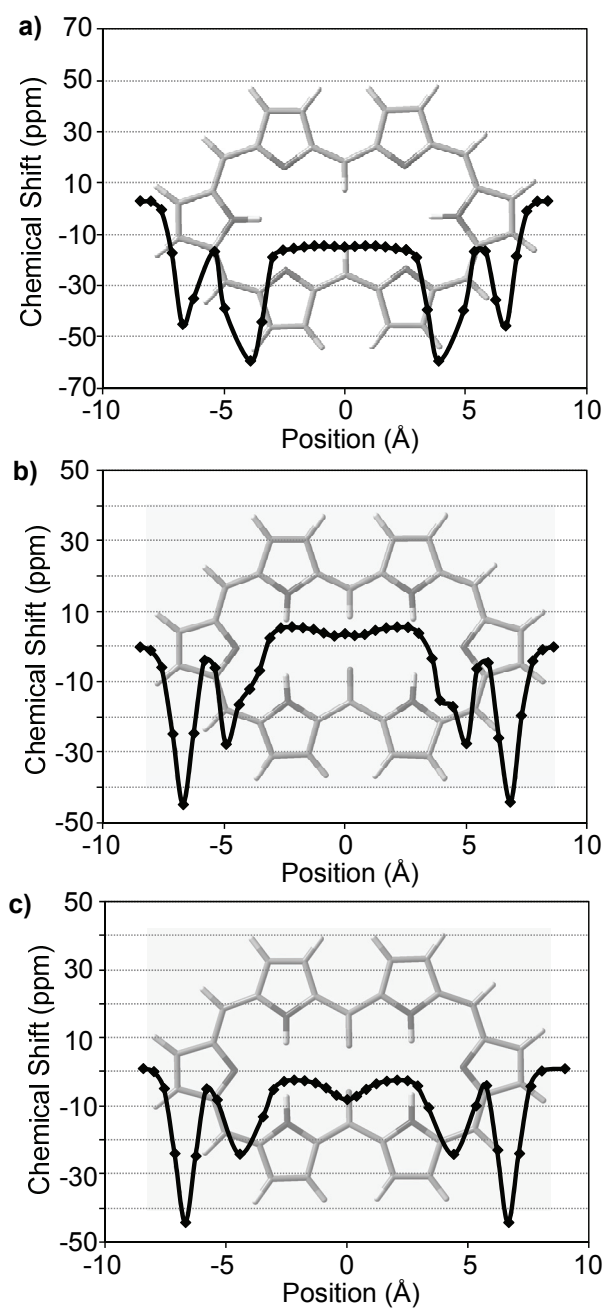


Figure S8. Transverse NICS scan spectra of (a) **1**, (b) **2** and (c) **3**. The meso pentafluorophenyl substituents have been omitted for clarity. Selected NICS(0) values were calculated at zero height and within the hexaphyrin macrocyclic planes. These calculations were carried out at the (U)B3LYP/6-31G(d,p) level.

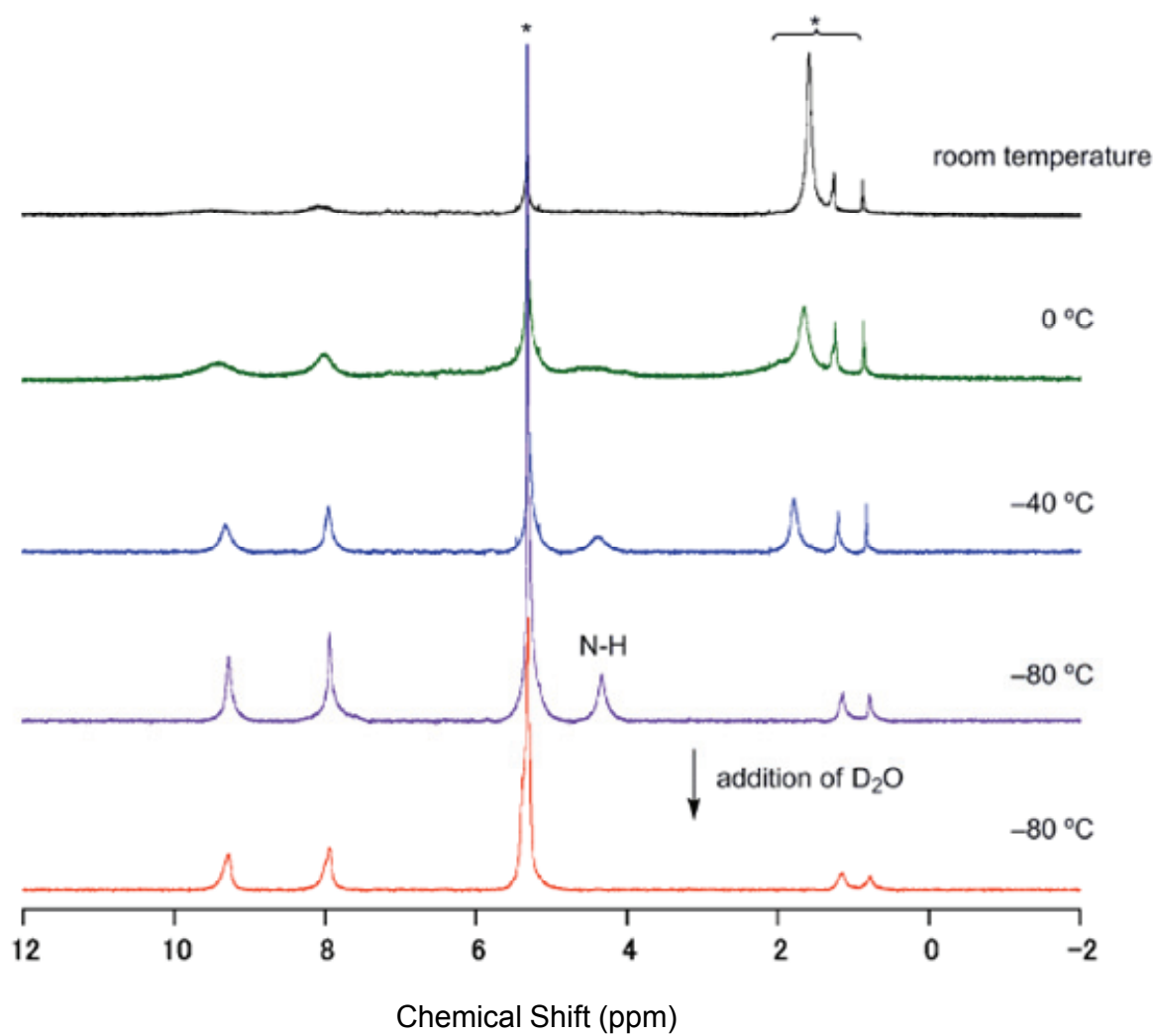


Figure S9. Variable temperature ¹H-NMR spectra of **3** recorded in CD₂Cl₂. An asterisk is used to denote the residual solvent and signals originating from impurities.

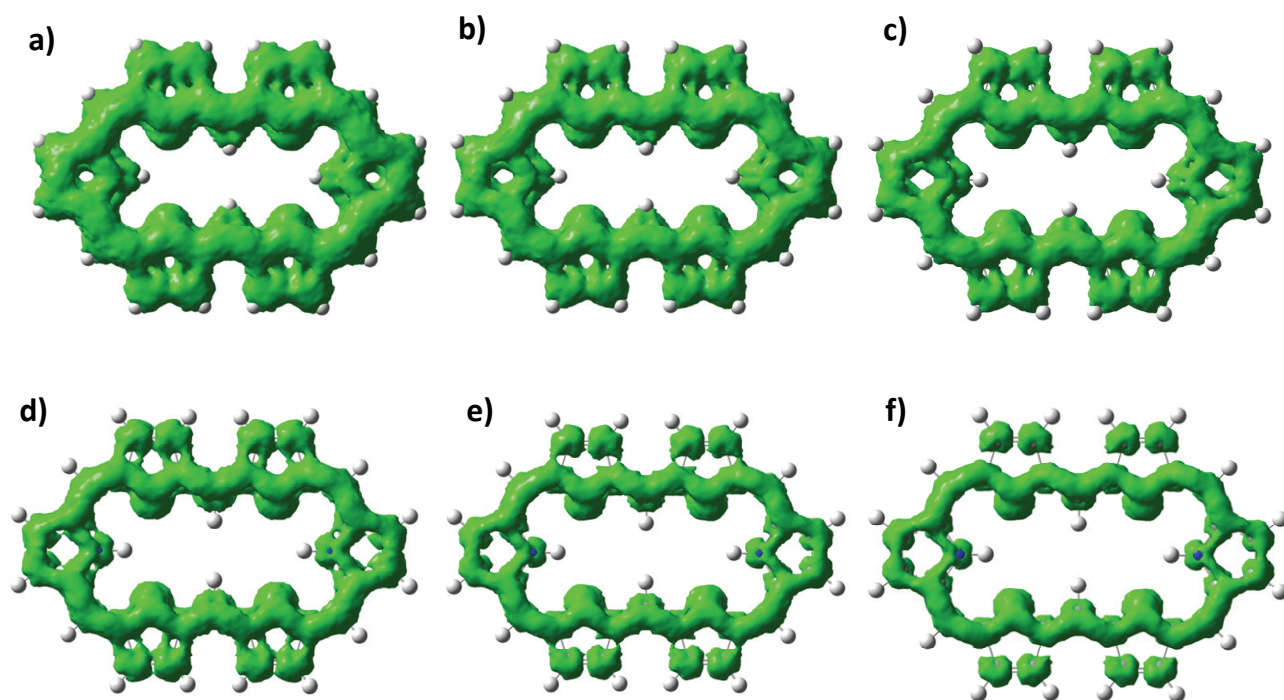


Figure S10. AICD plots of **1** with isosurface values of a) 0.03, b) 0.04, c) 0.05, d) 0.06, e) 0.07 and f) 0.08, respectively.

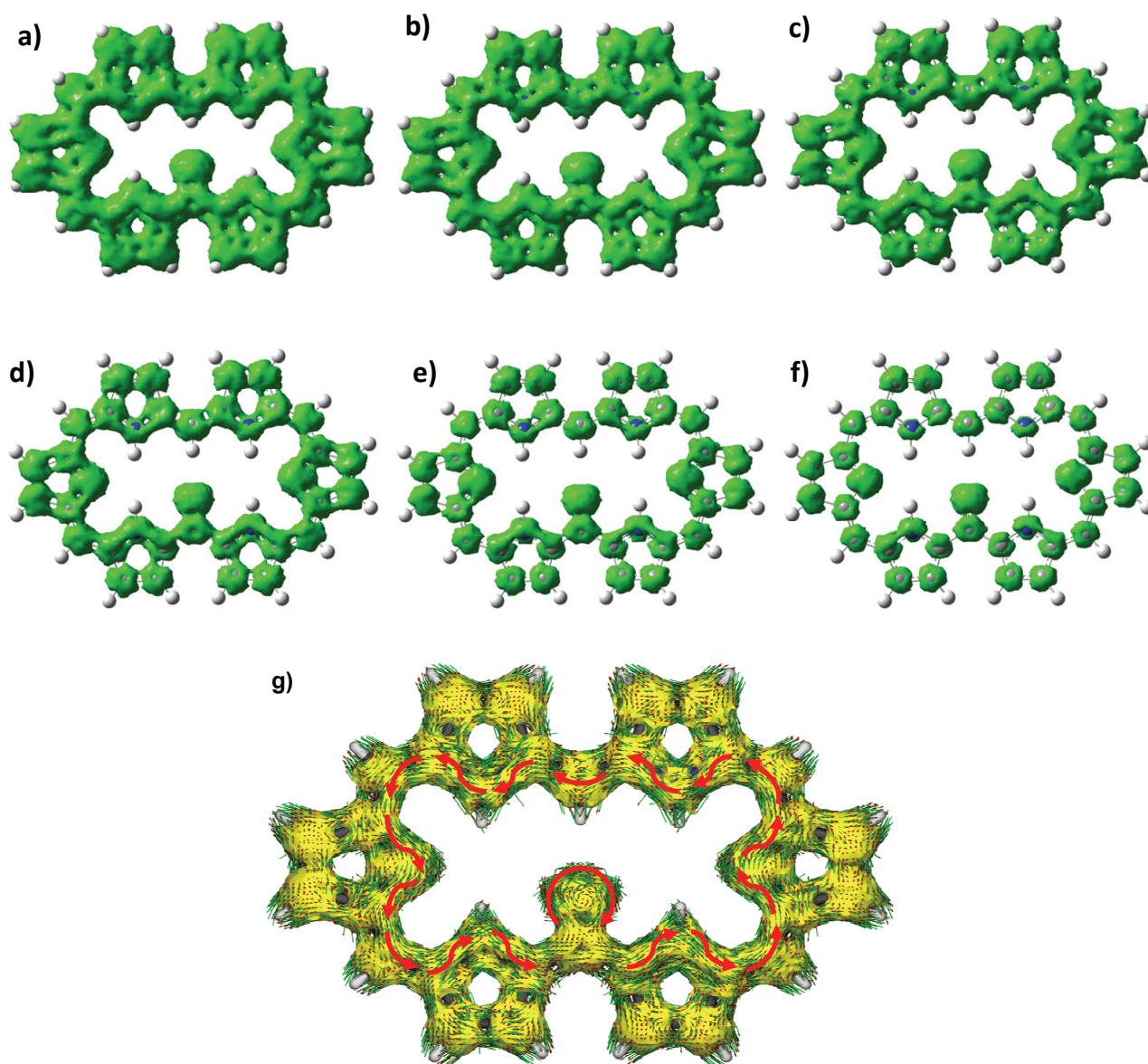


Figure S11. AICD plots of **2** with isosurface values of a) 0.03, b) 0.04, c) 0.05, d) 0.06, e) 0.07 and f) 0.08, respectively. (g) The illustrated red (counter-clockwise) vectors represent the direction of the overall ring currents when viewed in the direction opposite to that of the magnetic field vector.

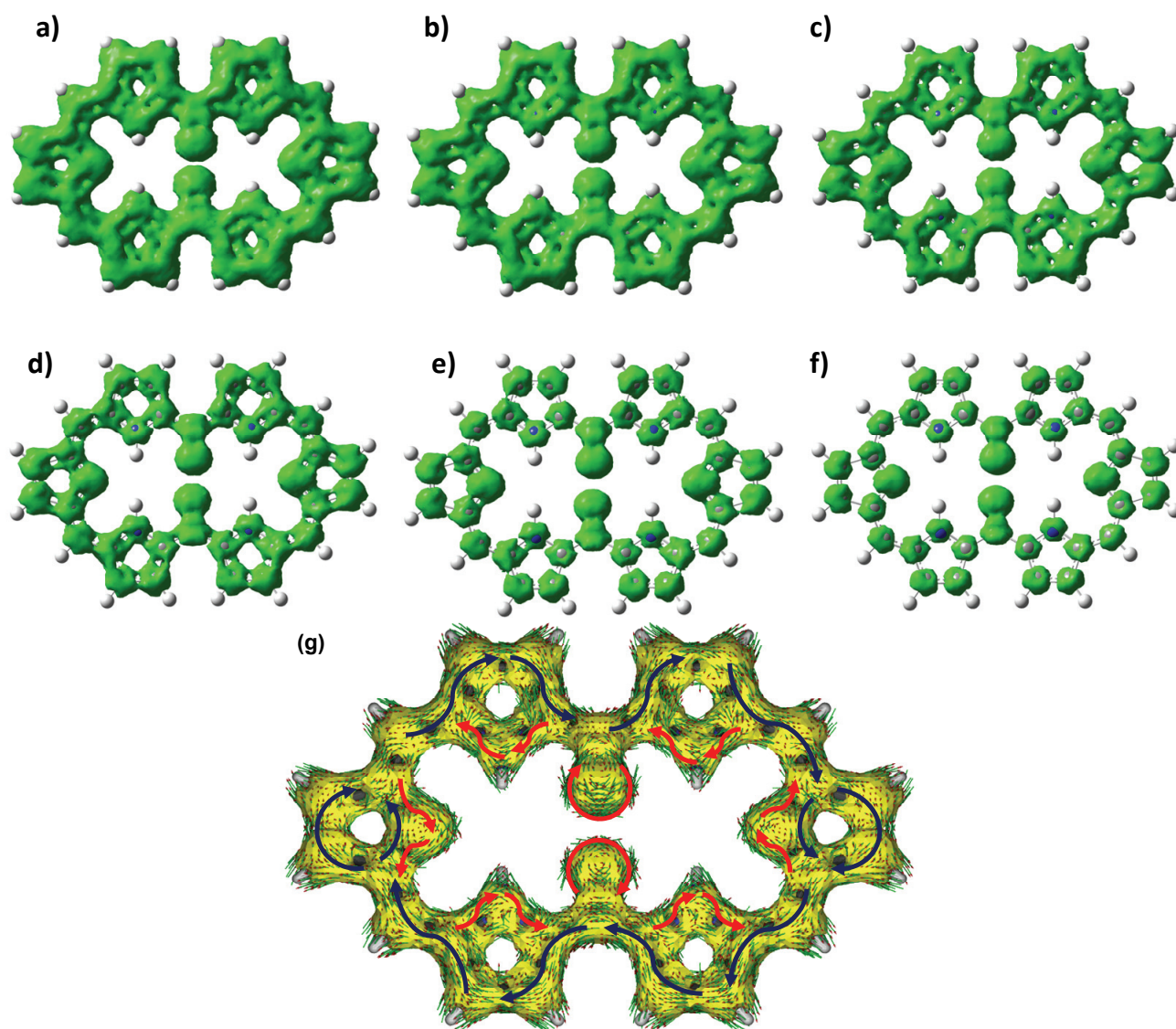


Figure S12. AICD plots of **3** with isosurface values of a) 0.03, b) 0.04, c) 0.05, d) 0.06, e) 0.07 and f) 0.08, respectively. (g) The illustrated blue (clockwise) and red (counter-clockwise) vectors represent each of the direction of the overall ring currents, respectively, when viewed in the direction opposite to that of the magnetic field vector.

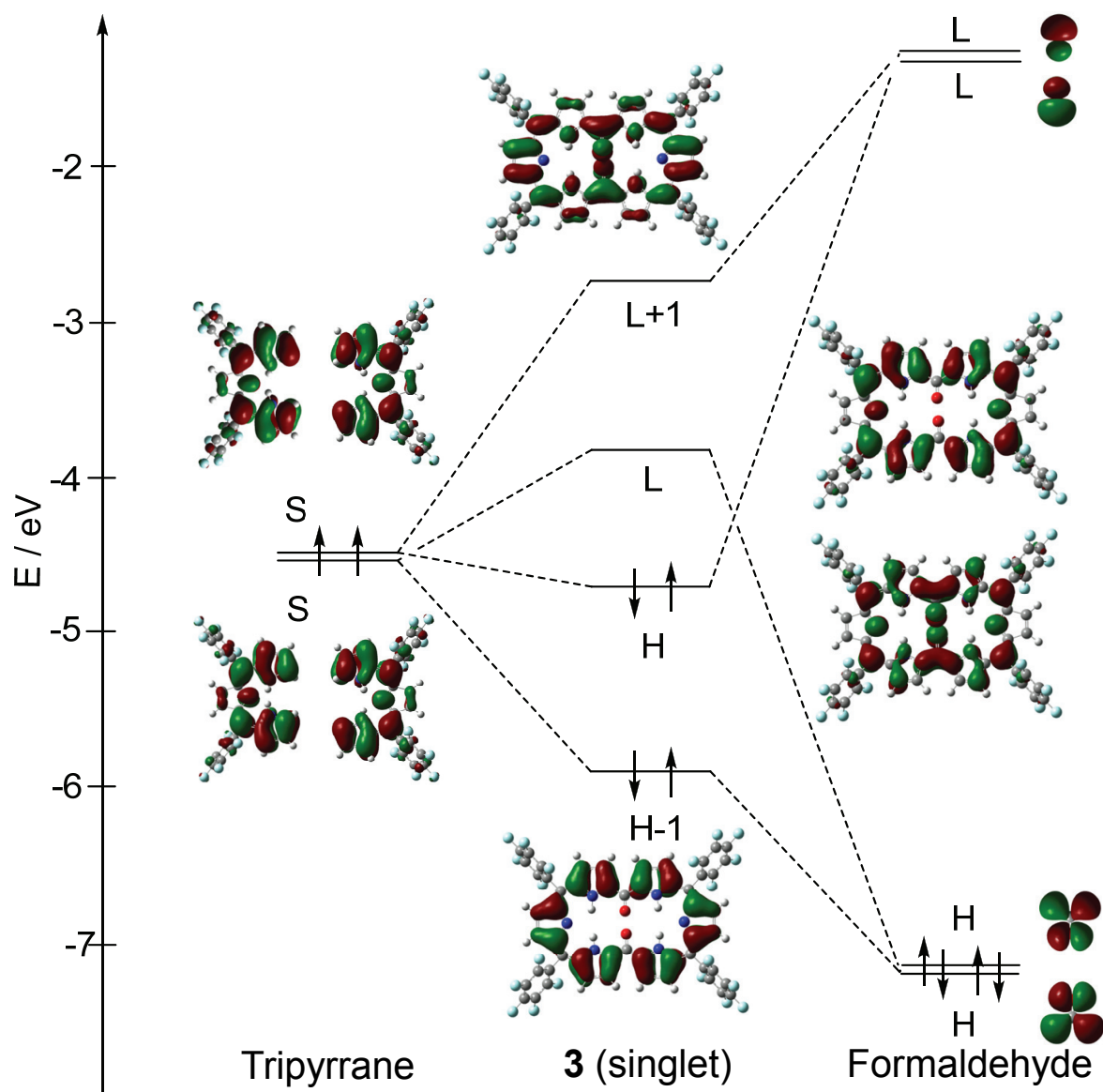


Figure S13. Orbital correlation diagram for **3**. The frontier orbitals of **3** are shown in the center, and those of two isolated tripyrrane radicals and the formaldehyde linker are shown at both ends, respectively. MOs were calculated using the RB3LYP/6-31G(d, p) method. Symbols H, L, and S represent the HOMO, LUMO and SOMO, respectively.

Full references moved from the main text

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