

One-Pot Synthesis of Novel Photochromic Oxazine Compounds

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Supplementary Material

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General information:

All reactions were performed using oven dried glassware. All chemicals were purchased from Aldrich and Fluka, and used as received. For flash chromatography technical grade solvents were used, which were distilled prior to use. Chromatographic purification was performed as flash chromatography using Brunschwig silica 32-63, 60 Å, with 0.3-0.5 bar pressure.

¹H NMR spectra were recorded on a VARIAN Mercury 300 MHz spectrometer in chloroform-d, all signals are reported in ppm with the internal chloroform signal at 7.26 ppm. The data are reported as (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet, coupling constant(s), integration). ¹³C NMR spectra were recorded with ¹H decoupling on a VARIAN Mercury 75 MHz spectrometer in chloroform-d, all signals are reported in ppm with the internal chloroform signal at 77.0 ppm as standard.

Infrared spectra were recorded on a Perkin Elmer Spectrum RX-I FT-IR spectrophotometer as thin films or as solution in chloroform and are reported as cm⁻¹.

Mass spectrometric measurements were performed by the mass spectrometry service of the LOC at the ETHZ.

UV-Vis spectra were recorded in Varian Cary 50 UV-Vis spectrometer.

ReactIR spectra were recorded in ReactIR™ 4000 (Mettler Toledo).

General procedure: A mixture of disubstituted acrylic acid (1.0 mmol), DPPA (95%, 348 mg, 1.2 mmol), Et₃N (506 mg, 5.0 mmol), 9,10-phenanthrenequinone (146 mg, 0.7 mmol) and Ph₃AsO (16 mg, 0.05 mmol) in toluene (12 mL) was maintained at 60 °C over 3 h. Solvent was removed *in vacuo*. The photochromic oxazine compound was purified by chromatography (silica gel, dichloromethane-hexane as eluent) and recrystallization (from dichloromethane-hexane).

Characterization data for oxazine compounds:

2a: 2,2-diphenyl-phenanthro (9,10)-[2H]-[1,4]-oxazine

M.p. 185.7-186.5 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.66-8.53 (m, 3H), 8.52-8.43 (m, 1H), 8.12 (s, 1H), 7.70-7.46 (m, 8H), 7.29-7.24 ppm (m, 6H). ¹³C NMR (75MHz, CDCl₃): δ 155.7, 141.4, 138.0, 131.3, 129.8, 128.6, 128.4, 127.6, 127.3, 127.1, 126.9, 126.9, 125.2, 125.1, 123.0, 122.8, 122.7, 122.5, 79.5 ppm. IR (KBr): ν = 3056 (w), 3028 (w), 1609 (w), 1577 (m), 1488 (m), 1448 (m), 1320 (m), 1230 (m), 1111 (m), 1018 (m), 753 (s), 723 (m), 697 (s) cm⁻¹. HRMS (MALDI) Calcd for C₂₈H₁₉NO: m/z (%): 386.1539 (MH⁺). Found: 386.1535 (100%).

2b: 2-(*p*-methoxyphenyl)-2-phenyl-phenanthro (9,10)-[2H]-[1,4]-oxazine

M.p. 167.2-167.9 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.62-8.53 (m, 3H), 8.52-8.44 (m, 1H), 8.07 (s, 1H), 7.66-7.61 (m, 3H), 7.60-7.50 (m, 3H), 7.42-7.29 (m, 5H), 6.80-6.60 (m, 2H), 3.74 ppm (s, 3H). ¹³C NMR (75MHz, CDCl₃): δ 159.7, 155.9, 141.6, 138.0, 133.3, 131.2, 129.8, 128.6, 128.3, 127.5, 127.3, 127.0, 126.9, 126.8, 125.2, 125.1, 122.9, 122.8, 122.8, 122.7, 122.5, 114.0, 79.4, 55.2 ppm. IR (KBr): ν = 3061 (w), 3031 (w), 2954 (w), 1608 (m), 1581 (m), 1511 (m), 1448 (m), 1254 (m), 1237 (m), 1016 (s), 830 (m), 752 (m), 701 (m) cm⁻¹. HRMS (MALDI) Calcd for C₂₉H₂₀NO₂: m/z (%): 416.1645 (MH⁺). Found: 416.1642 (100%).

2c: 2-(*p*-fluorophenyl)-2-phenyl-phenanthro (9,10)-[2*H*]-[1,4]-oxazine

M.p. 140.8-141.8 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.64-8.55 (m, 3H), 8.46-8.44 (m, 1H), 8.01 (s, 1H), 7.71-7.60 (m, 3H), 7.60-7.52 (m, 1H), 7.52-7.44 (m, 2H), 7.42-7.37 (m, 2H), 7.08-6.98 (m, 2H), 6.88-6.82 (m, 2H), 3.76 ppm (s, 3H). ¹³C NMR (75 MHz, CDCl₃): δ 162.3 (¹J = 247.2 Hz), 160.7, 159.5, 155.2, 137.6, 137.1, 132.7, 131.0, 129.4, 128.8 (³J = 7.9 Hz), 128.3, 127.5, 127.2, 126.7, 125.0, 124.9, 122.8, 122.6, 122.5 (²J = 24.8 Hz), 122.5, 115.5, 115.2, 113.9, 79.0, 55.3 ppm. IR (KBr): ν = 3065 (w), 2933 (w), 2841 (w), 1608 (m), 1581 (m), 1510 (s), 1258 (m), 1240 (s), 1160 (m), 1016 (m), 830 (m), 751 (m), 721 (m) cm⁻¹. HRMS (MALDI) Calcd for C₂₉H₂₀FNO₂: m/z (%): 434.1551 (MH⁺). Found: 434.1548 (100%).

2d: 2,2-di-(*p*-methoxyphenyl)-phenanthro (9,10)-[2*H*]-[1,4]-oxazine

M.p. 152.4-153.4 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.63-8.54 (m, 3H), 8.47-8.43 (m, 1H), 8.03 (s, 1H), 7.68 -7.62 (m, 3H), 7.60-7.52 (m, 1H), 7.45-7.35 (m, 4H), 6.90-6.80 (m, 4H), 3.80-3.70 (m, 4H), 3.07-2.98 (m, 4H), 3.75 ppm (s, 6H). ¹³C NMR (75 MHz, CDCl₃): δ 159.7, 156.1, 133.5, 131.2, 129.8, 128.5, 127.5, 127.3, 126.8, 125.1, 122.9, 122.8, 122.7, 122.5, 114.0, 79.3, 55.2 ppm; IR (KBr): ν = 3073 (w), 3002 (w), 2983 (w), 2831 (w), 1608 (m), 1586 (m), 1509 (s), 1450 (m), 1300 (m), 1252 (s), 1172 (m), 1116 (m), 1023 (m), 831 (m), 757 (m), 725 (m) cm⁻¹. HRMS (MALDI) Calcd for C₃₀H₂₃NO₃: m/z (%): 446.1751 (MH⁺). Found: 446.1747 (100%).

2e: 2-(*p*-methoxyphenyl)-2-(*p*-morpholinophenyl)-phenanthro (9,10)-[2*H*]-[1,4]-oxazine

M.p. 135.0-136.0 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.69-8.52 (m, 3H), 8.53-8.43 (m, 1H), 8.04 (s, 1H), 7.71 -7.60 (m, 3H), 7.60-7.50 (m, 1H), 7.46-7.38 (m, 2H), 7.38-7.30 (m, 2H), 6.89-6.80 (m, 2H), 6.77-6.68 (m, 2H), 3.75 ppm (s, 3H). ¹³C NMR (75 MHz, CDCl₃): δ 159.5, 156.2, 150.9, 138.1, 133.5, 132.0, 129.8, 128.5, 128.1, 127.4, 127.3, 126.8, 125.3, 125.0, 122.8, 122.7, 122.6, 122.5, 114.9, 113.8, 79.2, 66.6, 55.1, 48.4, 29.6 ppm. IR (KBr): ν = 3069 (w), 2956 (w), 2823 (w), 1608 (m), 1512 (s), 1450 (m), 1253 (m), 1228 (m), 1120 (m), 927 (m), 821 (m), 756 (m) cm⁻¹. HRMS (MALDI) Calcd for C₃₃H₂₈N₂O₃: m/z (%): 499.2016 (M-H₂⁺). Found: 499.2013 (100%).

2f: 2-(*p*-methoxyphenyl)-2-(*p*-piperidinophenyl)-phenanthro (9,10)-[2*H*]-[1,4]-oxazine

M.p. 134.5-136.0 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.63-8.54 (m, 3H), 8.50-8.40 (m, 1H), 8.02 (s, 1H), 7.70 -7.50 (m, 4H), 7.45-7.38 (m, 2H), 7.36-7.29 (m, 2H), 6.89-6.80 (m, 4H), 3.76 (s, 3H), 3.18-3.08 (m, 4H), 1.72-1.60 (m, 4H), 1.60-1.48 ppm (m, 2H). ¹³C NMR (75 MHz, CDCl₃): δ 159.4, 156.2, 151.7, 138.1, 133.6, 131.0, 128.5, 128.0, 127.3, 127.1, 126.7, 126.6, 125.3, 124.8, 122.8, 122.6, 122.5, 122.4, 115.5, 113.7, 79.4, 55.2, 49.8, 25.7, 24.2 ppm. IR (KBr): ν = 2929 (m), 2889 (w), 2838 (w), 1605 (m), 1510 (s), 1379 (m), 1255 (m), 1174 (m), 1114 (m), 1020 (m), 836 (m), 816 (m), 759 (m), 726 (m) cm⁻¹. HRMS (MALDI) Calcd for C₃₄H₃₀N₂O₂: m/z (%): 497.2224 (M-H⁺). Found: 497.2216 (100%).

2g: 2-cyclopropyl-2-phenyl-phenanthro (9,10)-[2*H*]-[1,4]-oxazine

M.p. 108.0-109.0 °C. ¹H NMR (300 MHz, CDCl₃): δ 8.70-8.47 (m, 3H), 8.47-8.36 (m, 1H), 8.00 (s, 1H), 7.78 -7.45 (m, 6H), 7.38-7.19 (m, 3H), 1.66-1.54 (m, 1H), 0.94-0.60

ppm (m, 4H). ^{13}C NMR (75 MHz, CDCl_3): δ 156.0, 141.0, 138.7, 131.1, 129.9, 128.4, 128.3, 127.5, 127.3, 126.8, 126.7, 125.8, 125.0, 122.9, 122.6, 122.6, 122.5, 76.6, 19.5, 1.4, 0.8 ppm. IR (KBr): 3074 (m), 3009(m), 1611 (w), 1578 (m), 1496 (m), 1447 (m), 1319 (m), 1242 (m), 1166 (m), 1111 (m), 1047 (m), 754 (m), 722 (m), 705(m) cm^{-1} . HRMS (MALDI) Calcd for $\text{C}_{25}\text{H}_{19}\text{NO}$: m/z (%): 350.1539 (MH^+). Found: 350.1540 (100%).

2h: 2-methyl-2-phenyl-phenanthro (9,10)-[2H]-[1,4]-oxazine

M.p. 119.0-120.0 $^{\circ}\text{C}$. ^1H NMR (300 MHz, CDCl_3): δ 8.70-8.54 (m, 3H), 8.54-8.45 (m, 1H), 7.94 (s, 1H), 7.74 -7.60 (m, 4H), 7.60-7.46 (m, 4H), 7.38-7.20 (m, 4H), 1.96 ppm (s, 3H). ^{13}C NMR (75 MHz, CDCl_3): δ 156.6, 142.0, 138.5, 131.0, 129.7, 128.6, 128.0, 127.4, 127.2, 126.7, 126.7, 125.1, 124.9, 124.9, 122.7, 122.6, 122.5, 122.5, 122.4, 75.5, 26.0 ppm. IR (KBr): ν = 3074 (w), 3025 (w), 3001 (w), 2940 (w), 1608 (w), 1580 (m), 1494 (m), 1443 (m), 1322 (m), 1167 (m), 1140 (m), 1015 (m), 764 (m), 726 (m), 708 (m) cm^{-1} . HRMS (MALDI) Calcd for $\text{C}_{23}\text{H}_{17}\text{NO}$: m/z (%): 324.1383 (MH^+). Found: 324.1384 (100%).

Reaction spectra:

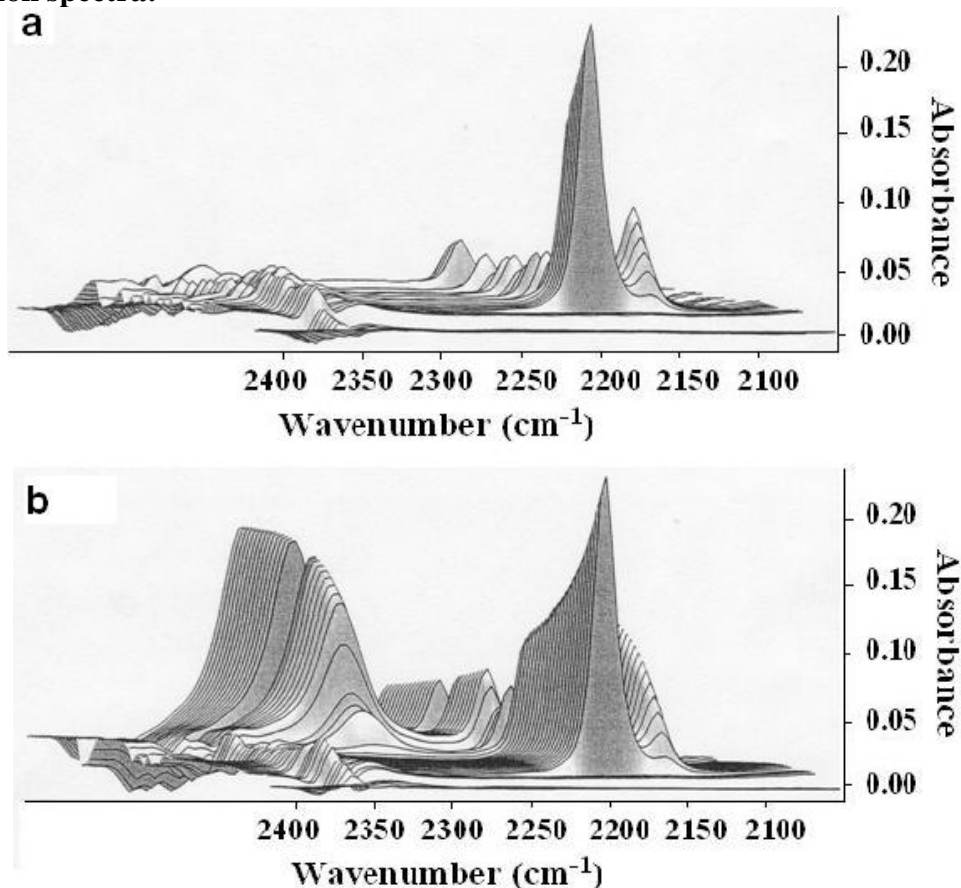


Figure 1. ReactIR spectra of one-pot reaction of 3,3-diphenyl acrylic acid (0.2 mmol scale), DPPA, Et_3N , Ph_3AsO , and 9,10-phenanthrenequinone in argon in degassed toluene (2 mL) from ambient temperature to 70 $^{\circ}\text{C}$ over 1 h (a), and the control

experiment without Ph_3AsO and 9,10-phenanthrenequinone (b). The spectra were taken in 1 min interval.

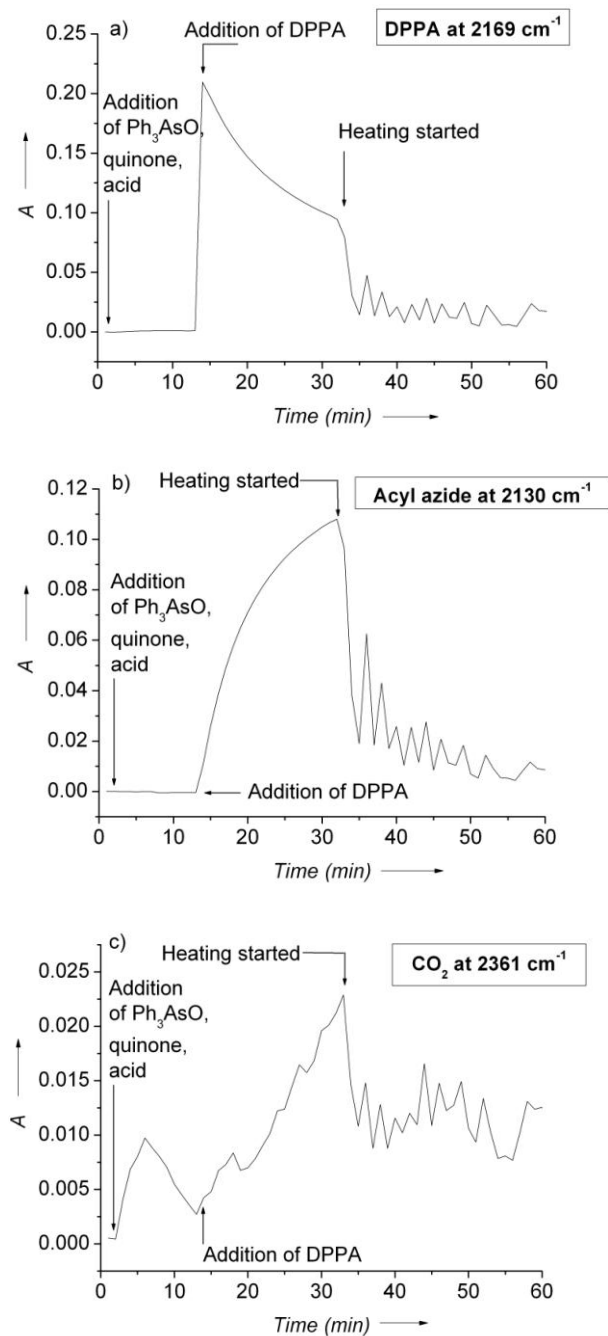


Figure 2. ReactIR profiles of Figure 1 (a). The ReactIR spectra of the one-pot formation of 2,2-diphenyl- phenanthro (9,10)-[2*H*]-[1,4]-oxazine compound (**1a**) in Figure 1 (a) and the profiles in Figure 2 (b) clearly indicated the fast formation of acyl azide at 2130 cm^{-1} and concomitantly consumption of DPPA at 2169 cm^{-1} . The isocyanate intermediate observed in the control experiment Figure 1 (b) at 2264 cm^{-1} could not be seen in Figure 1 (a), which indicates such an intermediate to be captured immediately once it was formed. The profile 2 (c) indicated the generation of CO_2 at 2361 cm^{-1} .

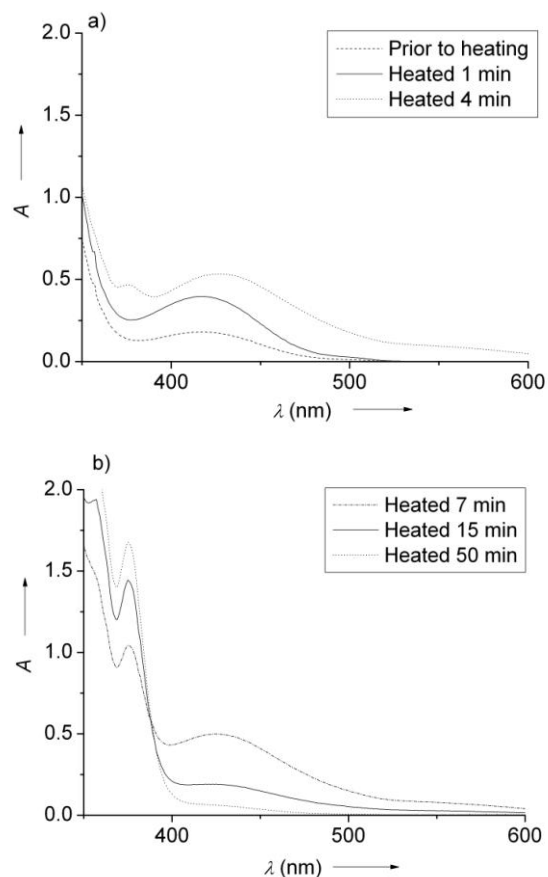


Figure 3. UV-Vis spectra of one-pot reaction of 3,3-diphenyl acrylic acid (0.2 mmol scale), DPPA, Et_3N , Ph_3AsO , and 9,10-phenanthrenequinone in toluene (2 mL) from ambient temperature to 70 °C over 1 h. Each spectrum was obtained by taken 40 μL of the reaction mixture and diluted in 2.5 mL toluene.

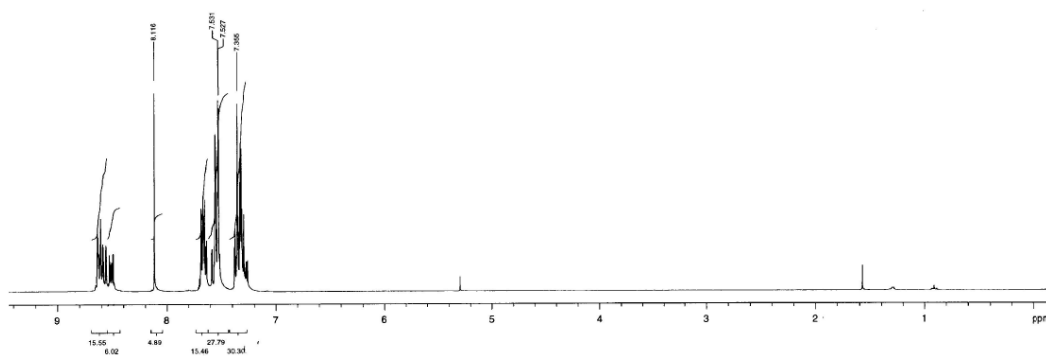
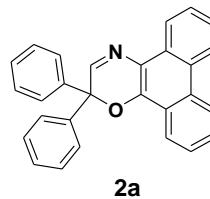
The formation of quinone-imine intermediate and final product could be easily captured by UV-Vis spectrometer (Figure 3). The starting 9,10-phenanthrenequinone absorbs at 415 nm. New colored species absorb at longer wavelength was generated upon heating, which was transformed to the oxazine (absorbs at much shorter wavelength) with extended time of heating indicated by the increased absorption at 360 nm.

Copies of NMR spectra:

LOC ETHZ NMR USER:asha GROUP:camel SAMPLE:WZ-BisPhoxazine Acq on GEM 300 Nr.1 Printed from surfWS 07/05/00 11:23:04

STANDARD 1H OBSERVE

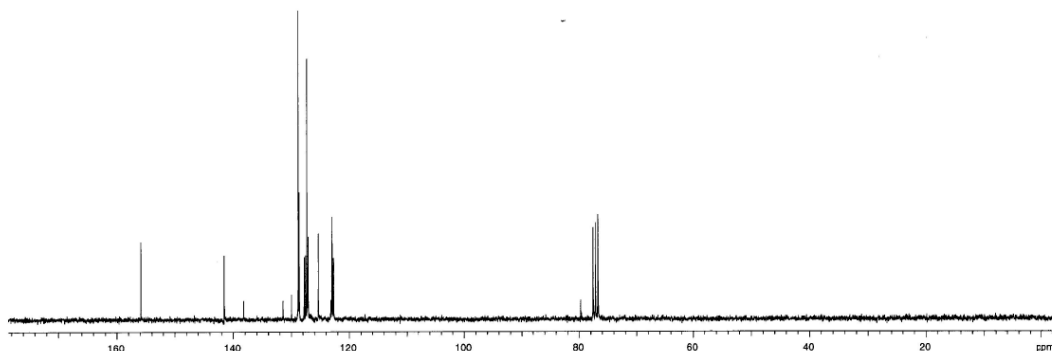
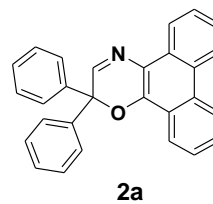
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Ambient temperature
User: asha
File: WZ-BisPhoxazine
Memory: 300 "normal"
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Acq. time 3.118 sec
Width 5099.4 Hz
32 repetitions
OBSERVE H1: 300.0641250 MHz
DATA PROCESSING
F1 size 32768
Total time 56 min, 20 sec



LOC ETHZ NMR USER:asha GROUP:camel SAMPLE:WZ-BisPhoxazine Acq on GEM 300 Nr.1 Printed from surfWS 07/05/00 11:26:45

13C OBSERVE

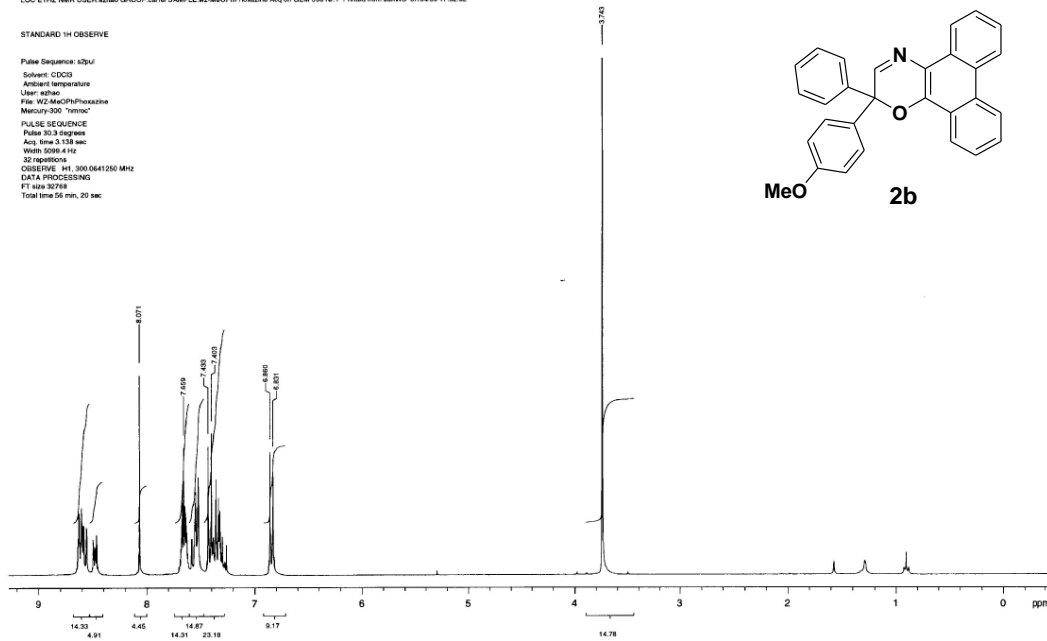
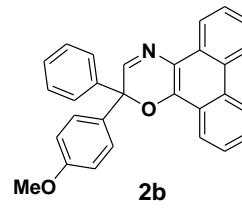
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Ambient temperature
User: asha
File: WZ-BisPhoxazine
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Pulse 45.5 degrees
Acq. time 0.800 sec
Width 20000.0 Hz
784 repetitions
OBSERVE C13: 75.4511657 MHz
DECOUPLE H1: 300.0653181 MHz
Power 45 dB
continuously on
WALTZ-16 modulated
DATA PROCESSING
Line broadening 1.0 Hz
F1 size 32768
Total time 33 min, 31 sec



LOC ETHZ NMR USER:zhaog GROUP:carne SAMPLE:wz-MeOPhProxazine Acq on DEM 300 N:1 Printed from satWS 07/04/05 17:32:32

STANDARD 1H OBSERVE

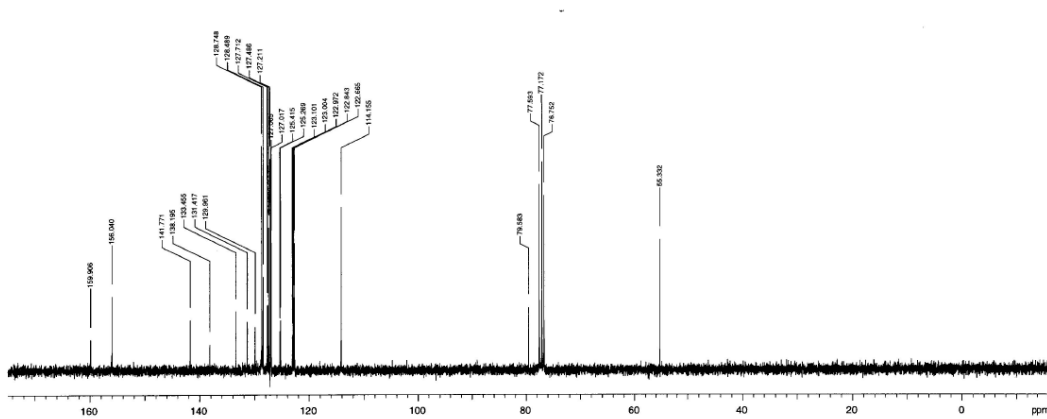
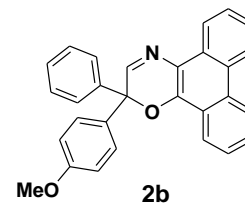
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 Ambient temperature
 User: zhaog
 File: WZ-MeOPhProxazine
 Macro: zgpg30 "nmr"
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 Width: 5099.4 Hz
 32 repetitions
 OBSERVE: H1, 300.0641260 MHz
 DATA PROCESSING
 FT size 32768
 Total time 56 min, 20 sec

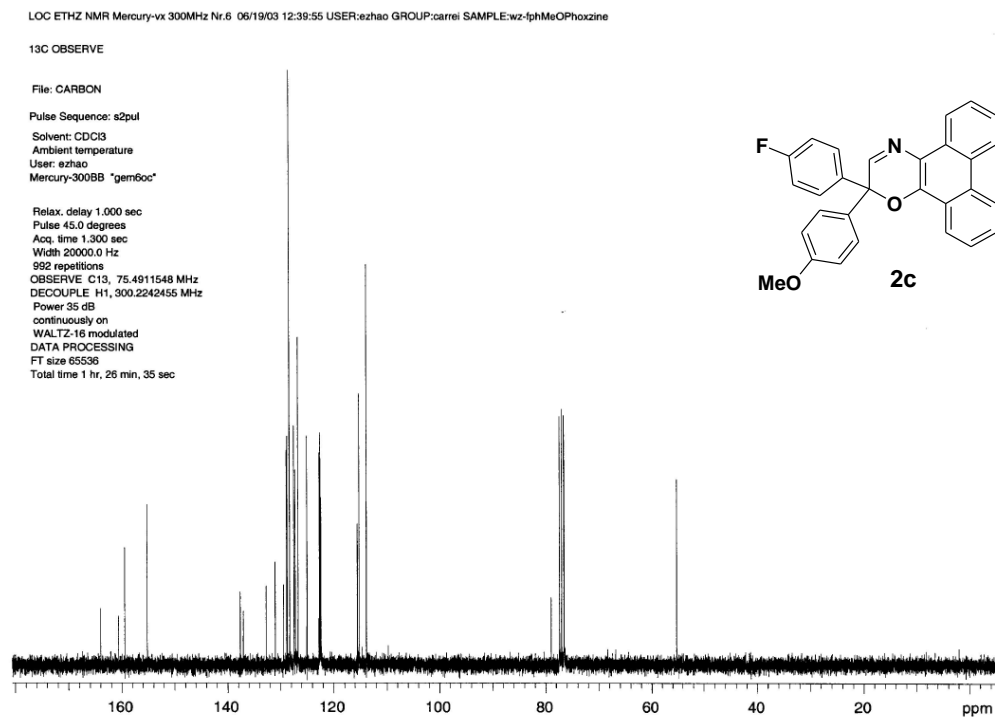
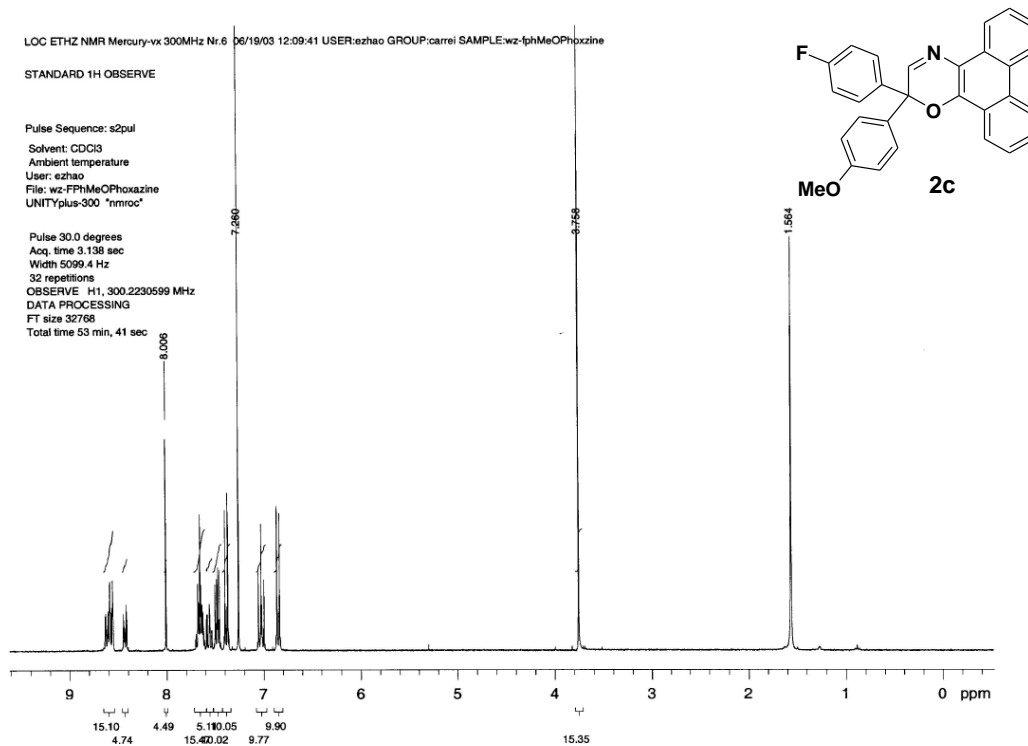


LOC ETHZ NMR GEMIN 300 MHz N:1 07/04/05 17:21:54 USER:zhaog GROUP:carne SAMPLE:wz-MeOPhProxazine

13C OBSERVE

Solvent: CDCl3
 Ambient temperature
 User: zhaog
 GEMIN: zgpg30 "gem30c"
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 Pulse: 45.5 degrees
 Acq. time: 0.860 sec
 Width: 30000.0 Hz
 328 repetitions
 OBSERVE: C13, 75.4511657 MHz
 DECOUPLE: H1, 300.0653181 MHz
 Power: 45.48
 continuously on
 WALTZ-16 modulated
 DATA PROCESSING
 FT size 32768
 Total time 27 minutes

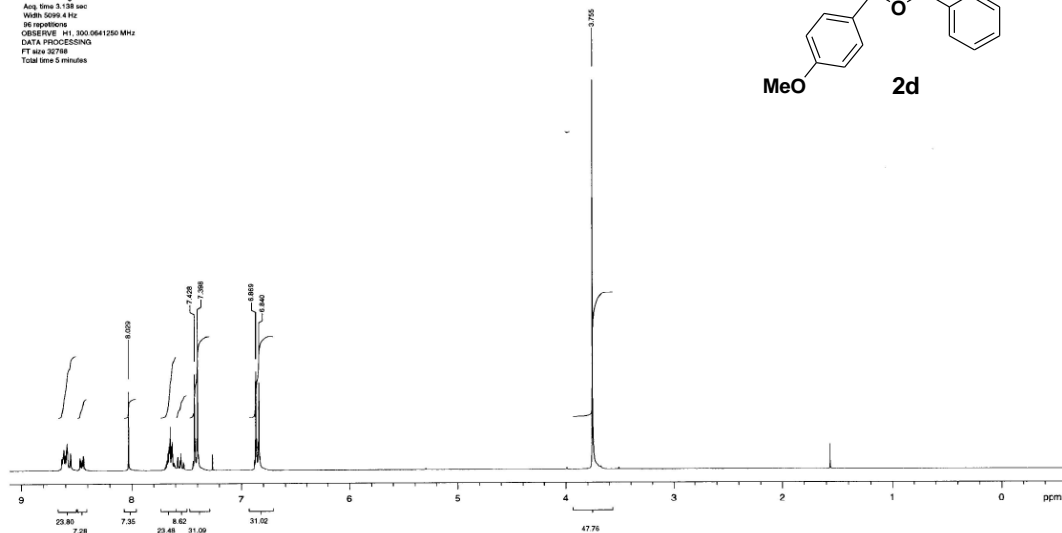




LOC ETHZ NMR GEMINI 300 MHz N: 1 0704100 15:50:03 USER:ethan GROUP:ame SAMPLE: BzMeOPhtsazine

STANDARD 1H OBSERVE

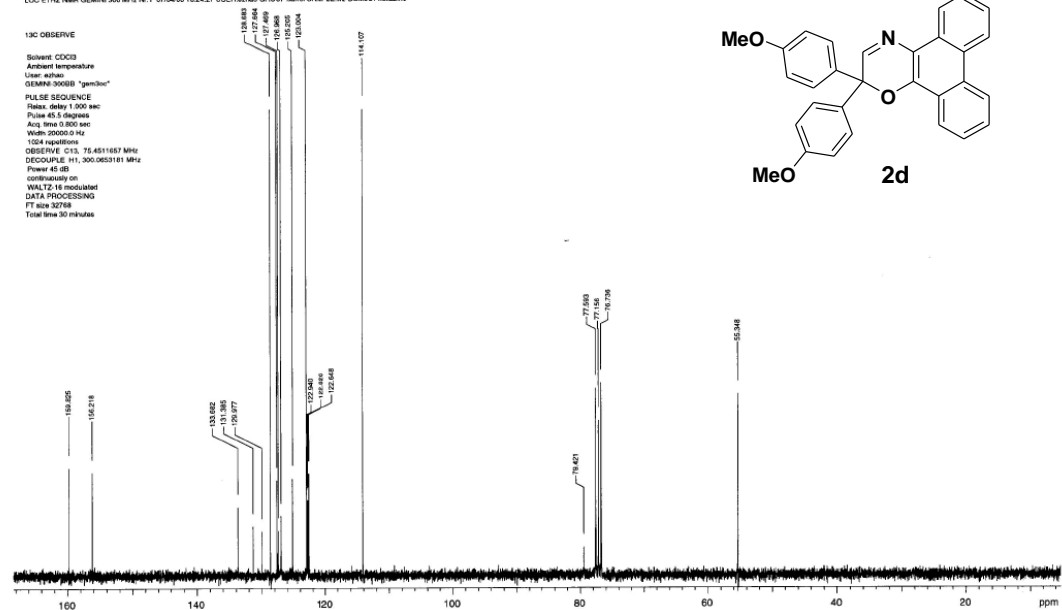
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Ambient temperature
User: ethan
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Acq. time 3.136 sec
Width: 3000.0 Hz
16 repetitions
OBSERVE: H1, 300.0641250 MHz
DATA PROCESSING
FT size 32768
Total time 5 minutes



LOC ETHZ NMR GEMINI 300 MHz N: 1 0704100 16:24:27 USER:ethan GROUP:ame SAMPLE: BzMeOPhtsazine

13C OBSERVE

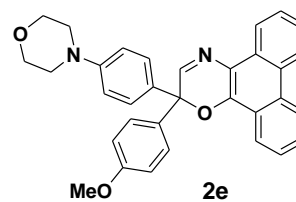
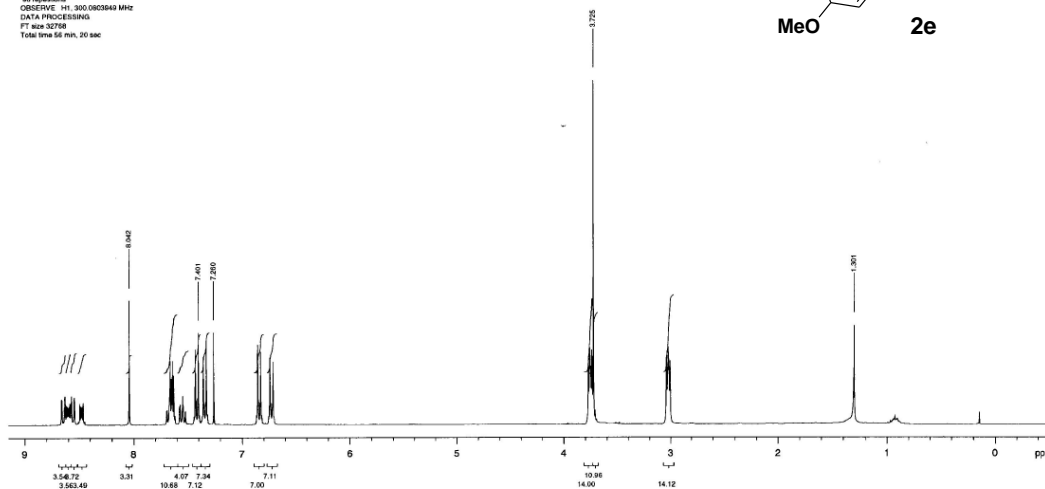
Solvent: CDCl3
Ambient temperature
User: ethan
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Acq. time 0.800 sec
Width: 20000.0 Hz
1024 repetitions
OBSERVE: C13, 75.4511687 MHz
DECOUPLE: H1, 300.0651011 MHz
Power: 45.0 dB
continuously on
WALTZ-16 modulated
DATA PROCESSING
FT size 32768
Total time 30 minutes



LOC ETHZ NMR MERCURY 300 MHz N-1 04/10/11 18:43:58 USER:arhaoo GROUP:camet SAMPLE:wz-MorphMeOphosazine

STANDARD 1H OBSERVE

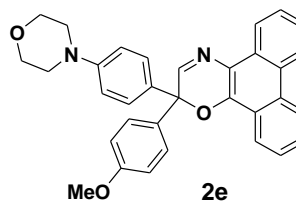
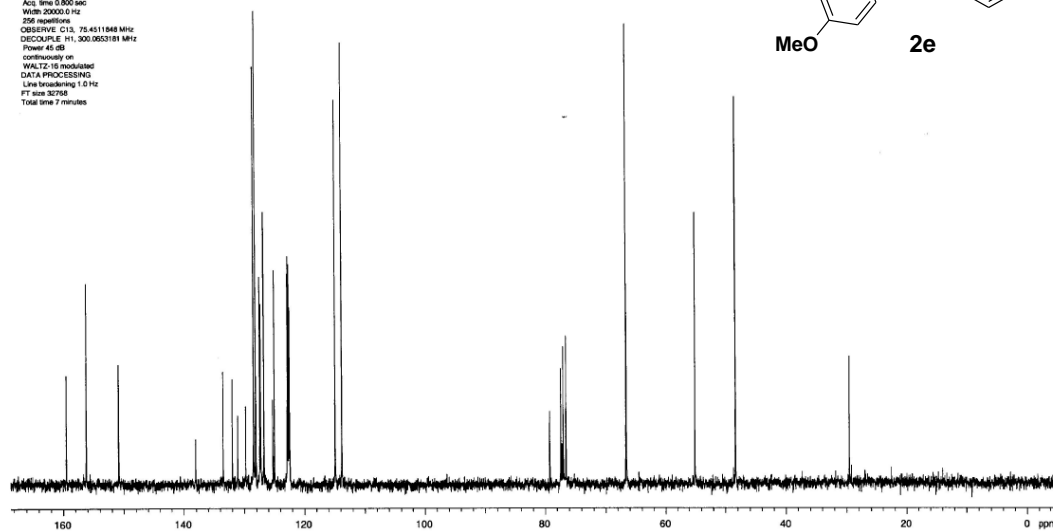
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Ambient temperature
User: arhaoo
Mercury-300BB 'gmskdc'
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Width 2000.0 Hz
98 repetitions
OBSERVE: 1H, 300.0803645 MHz
DATA PROCESSING
FT size 32768
Total time 58 min, 20 sec



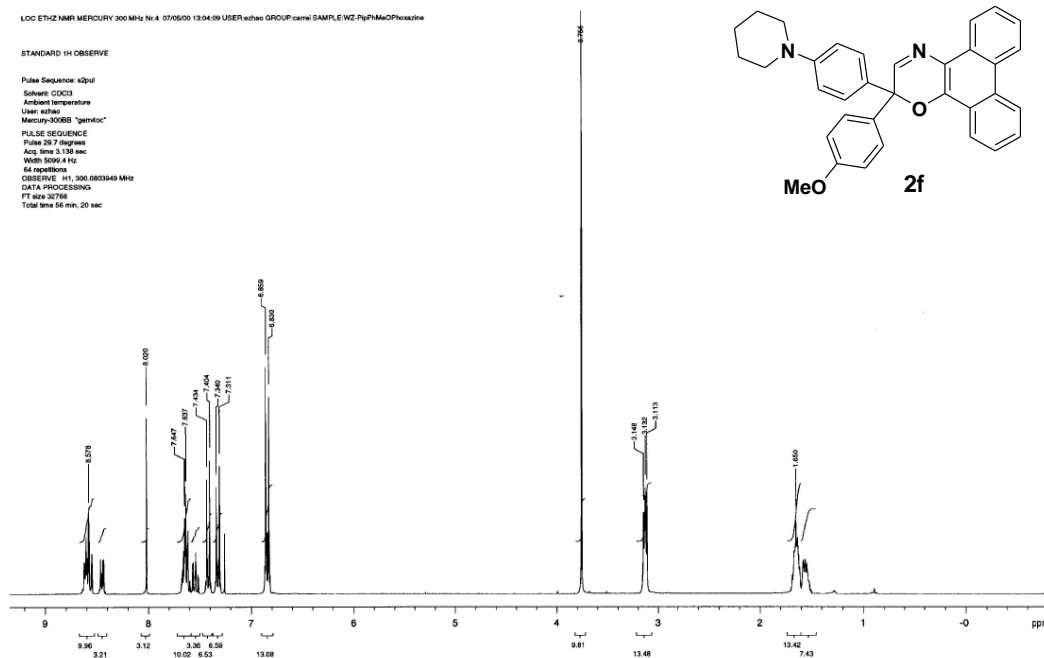
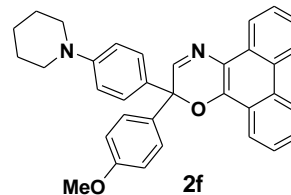
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13C OBSERVE

Solvent: CDCl3
Ambient temperature
User: arhaoo
GEMINI-300BB 'gmskdc'
PULSE SEQUENCE
Relax. delay 1.000 sec
Pulse 45.5 degrees
Acq. time 0.820 sec
Width 20000.0 Hz
256 repetitions
OBSERVE: C13, 75.4511848 MHz
DECUPLE: 1H, 300.0803181 MHz
Power 45 dB
continuously on
WALTZ-16 modulated
DATA PROCESSING
Line broadening 1.0 Hz
FT size 32768
Total time 7 minutes



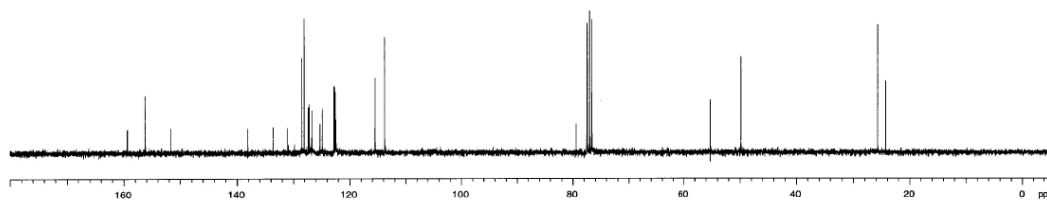
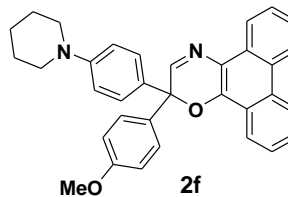
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Solvent: CDCl₃
Ambient temperature
User: szhao
Mercury-300B "gmvloc"
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Width 5099.4 Hz
64 repetitions
OBSERVE H1, 300.0803949 MHz
DATA PROCESSING
FT size 32768
Total time 56 min, 20 sec



13C OBSERVE

Pulse Sequence: szpul
Solvent: CDCl₃
Ambient temperature
User: azhao
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Mercury-300 "nmrco"

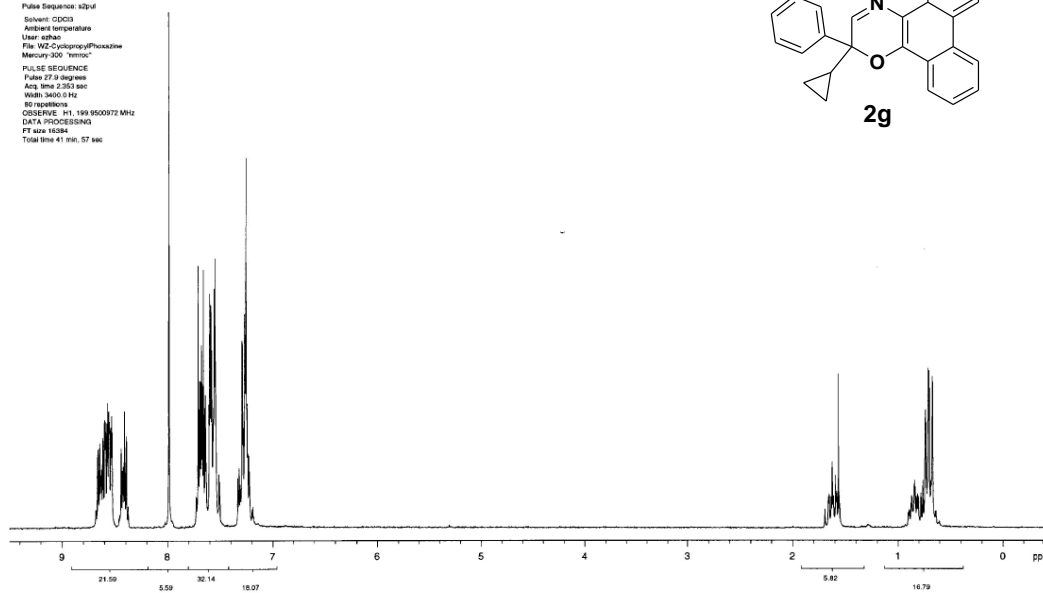
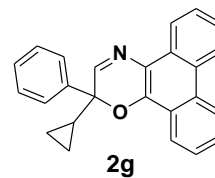
PULSE SEQUENCE
Relax, delay 1.000 sec
Pulse 45.0 degrees
Acq. time 0.800 sec
Width 20000.0 Hz
1502 repetitions
OBSERVE C13, 75.4552728 MHz
DECOUPLE H1, 300.8015951 MHz
Power 35 dB
continuously on
WALTZ-16 modulated
DATA PROCESSING
FT aqza 32768
Total time 1 hr, 7 min, 2 sec



LOC ETHZ NMR USER: xzhao GROUP: canal SAMPLE: WZ-CyclopropylPhoxazine Acq on GEM 200 N:1 Printed from surWS 08/24/00 14:12:56

STANDARD 1H OBSERVE

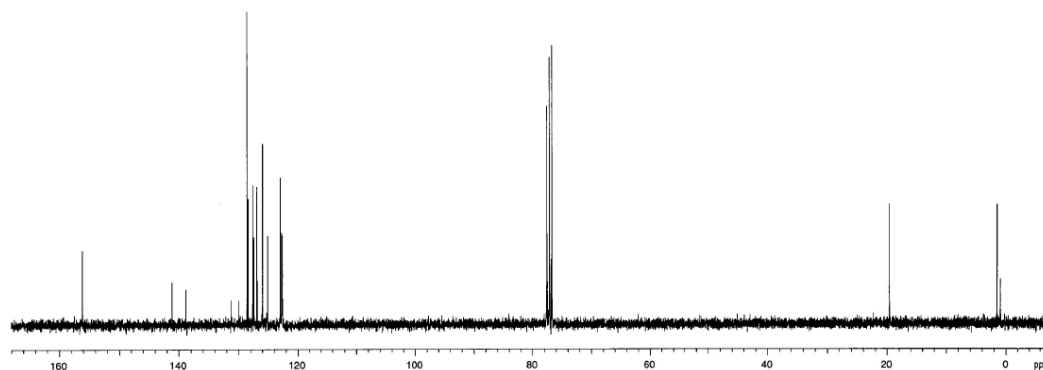
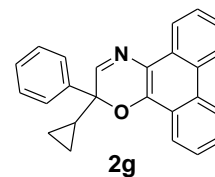
Pulse Sequence: zgpg30
 Solvent: CDCl3
 Ambient temperature
 User: xzhao
 File: WZ-CyclopropylPhoxazine
 Mercury 200 1h1m1s
 PULSE SEQUENCE
 Pulse: zgpg30
 Acq. time: 2:30.3 sec
 Width: 3400.0 Hz
 NS: 1024
 OBSERVE: H1, 199.950072 MHz
 DATA PROCESSING
 FT size: 13344
 Total time: 41 min, 57 sec



LOC ETHZ NMR GEMINI 300 MHz N:1 07/06/00 13:38:05 USER: xzhao GROUP: canal SAMPLE: WZ-C13CyclopropylPhoxazine

13C OBSERVE

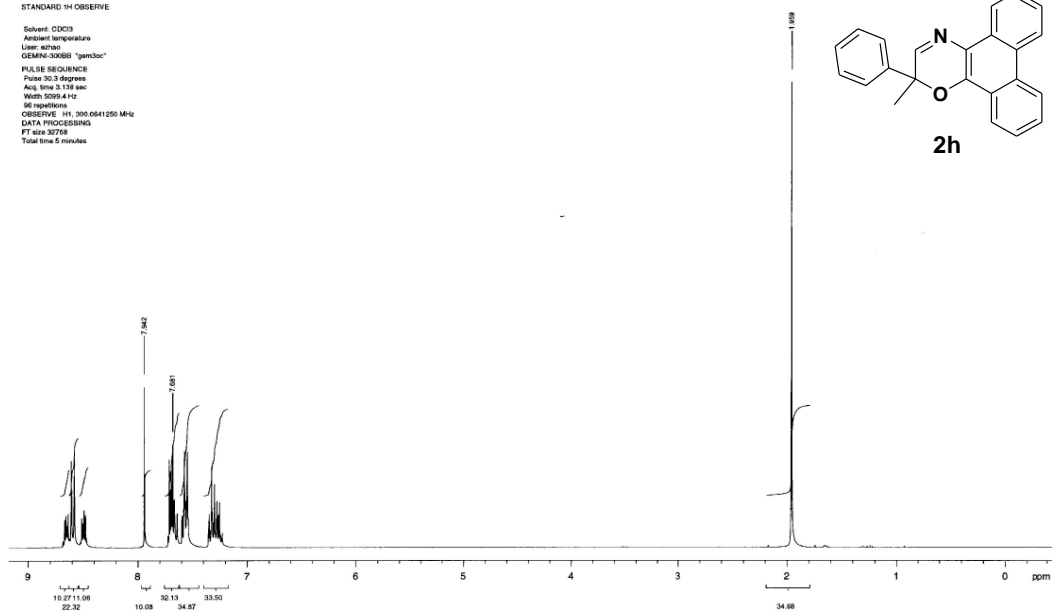
Solvent: CDCl3
 Ambient temperature
 User: xzhao
 GEMINI-300SB 1h1m1s
 PULSE SEQUENCE
 Relax: delay 1.000 sec
 Pulse: zgpg30
 Acq. time: 0:00.0 sec
 Width: 20000.0 Hz
 NS: 1024
 OBSERVE: C13, 75.4511657 MHz
 DECOUPLE: H1, 300.0653181 MHz
 Power: 45 dB
 continuously on
 WALTZ-16 modulated
 DATA PROCESSING
 FT size: 32768
 Total time: 56 minutes



LOC ETHZ NMR GEMINI 300 MHz N-1 07/06/00 09:07:33 USER:achas GROUP:carri SAMPLE:WZ-MaPhosazine

STANDARD 1H OBSERVE

Solvent: CDCl3
 Ambient temperature
 User: achas
 GEMINI-3000B "gemdec"
 PULSE SEQUENCE
 Pulse 30.3 degrees
 Acq. time 3.118 sec
 Width 5000.4 Hz
 60 repetitions
 OBSERVE H1, 300.0641250 MHz
 DATA PROCESSING
 FT size 32768
 Total time 5 minutes



LOC ETHZ NMR MERCURY 300 MHz N-1 07/06/00 13:22:43 USER:achas GROUP:carri SAMPLE:WZ-C13MaPhosazine

13C OBSERVE

Pulse Sequence: zgpg30
 Solvent: CDCl3
 Ambient temperature
 User: achas
 Mercury-3000B "gemdec"
 PULSE SEQUENCE
 Relax delay 1.000 sec
 Pulse 45.0 degrees
 Acq. time 0.800 sec
 Width 20000.0 Hz
 628 repetitions
 OBSERVE C13, 75.4550728 MHz
 DECOUPLE H1, 300.0615951 MHz
 Power 30 dB
 continuously on
 WALTZ-16 modulated
 DATA PROCESSING
 FT size 32768
 Total time 1 hr, 7 min, 2 sec

