Efficient, scalable and solvent-free mechanochemical synthesis of the OLED material Alq₃ (q = 8-hydroxyquinolinate)

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Supporting Information

Contents:

	Page		
Experimental Details	3		
Table Theoretical and experimental microanalyses, and theoretical and	4		
experimental mass losses for thermogravimetric analyses	4		
Single-crystal XRD Experimental Details	4		
Structure Determination of Alq ₃ .AcOH-m Directly from Powder XRD Data	5		
Figure S0 Results of (a) Le Bail fitting and (b) final Rietveld refinement for	6		
Alq ₃ .AcOH- m .			
Figure S1 Comparison of powder XRD patterns of Alq ₃ .AcOH-m and the	7		
starting materials AI(OAc) ₂ OH and 8-hydroxyquinoline.	1		
Figure S2 Solid-state ¹³ C CPMAS NMR spectrum of the starting material	8		
Al(OAc) ₂ OH.	0		
Figure S3 Solid-state ²⁷ AI MAS NMR spectrum of the starting material	Q		
Al(OAc) ₂ OH.	3		
Figure S4 Solid-state ¹³ C CPMAS NMR spectrum of the starting material 8-	10		
hydroxyquinoline.	10		
Figure S5 Solid-state ¹³ C CPMAS NMR spectrum of Alq ₃ .AcOH- m .	11		
Figure S6 Solid-state ²⁷ AI MAS NMR spectrum of Alq ₃ .AcOH-m.	12		
Figure S7 Comparison of the experimental solid-state ²⁷ AI MAS NMR			
spectrum of Alq ₃ .AcOH- m and a simulated spectrum based on a single ²⁷ Al	13		
crystallographic environment.			
Figure S8 Solution-state ¹ H NMR spectrum of Alq ₃ .AcOH- m in CDCl ₃ .	14		
Figure S9 Interaction between the Alq ₃ and acetic acid molecules in the crystal	15		
structure of Alq ₃ .AcOH- s , determined from single-crystal XRD.	15		
Figure S10 Comparison of the experimental powder XRD pattern of crushed			
single crystals of Alq ₃ .AcOH-s and the powder XRD pattern simulated from the	16		
crystal structure of Alq ₃ .AcOH- s determined from single-crystal XRD data.			
Figure S11 Comparison of the experimental powder XRD pattern of			
Alq ₃ .AcOH- m and the powder XRD pattern simulated from the crystal structure	17		
of Alq ₃ .AcOH- s determined from single-crystal XRD data.			

Figure S12 Comparison of the experimental powder XRD patterns of Alq_3 .AcOH-m and Alq_3 -m.	18		
Figure S13 Comparison of the experimental powder XRD pattern of Alq ₃ -m	19		
and the simulated powder XRD patterns for the known α and ϵ phases of Alq ₃ .			
Figure S14 Solution-state ¹ H NMR spectrum of Alq ₃ .AcOH- m in CDCl ₃ .	20		
Figure S15 Solid-state ¹³ C CPMAS NMR spectrum of Alq ₃ -m.	21		
Figure S16 Solid-state ²⁷ AI MAS NMR spectrum of Alq ₃ -m.	22		
Figure S17 SEM images of Alq ₃ .AcOH-m (above) and Alq ₃ -m (below).	23		
Figure S18 Comparison of powder XRD patterns recorded for the product			
from the large-scale synthesis of Alq ₃ .AcOH-m from 10 min to 45 min reaction	24		
time.			
Figure S19 Comparison of experimental powder XRD patterns of Alq ₃ .AcOH-	25		
m obtained from small-scale and large-scale syntheses.	20		
Figure S20 Solution-state ¹ H NMR spectrum of Alq ₃ .AcOH-m obtained from	26		
large-scale synthesis.	20		
Figure S21 Solid-state ¹³ C CPMAS NMR spectrum of Alq ₃ .AcOH-m prepared	07		
at large scale.	21		
Figure S22 Solid-state ¹³ C CPMAS NMR spectrum of Alq ₃ -m prepared at large	20		
scale.	20		

Experimental Details

<u>Small-scale mechanochemical synthesis:</u> The reaction was carried out in a Retsch MM400 shaker ball mill under ambient conditions. Basic aluminum diacetate $(AI(OAc)_2OH, 0.324 \text{ mg}, 2 \text{ mmol})$ and 8-hydroxyquinoline (8-NC₉H₆OH, 0.870 mg, 6 mmol) were placed in a 20 cm³ steel grinding jar with one 10 mm diameter steel ball which was vibrated at 25 Hz for 15 mins. The product was decanted to give Alq₃.AcOH-**m** (1.117 g, 93.54% yield).

<u>Large-scale mechanochemical synthesis:</u> The reaction was carried out in a Retsch PM100 Planetary Ball Mill. Basic aluminum diacetate $(Al(OAc)_2OH, 16.2 \text{ g}, 0.1 \text{ mol})$ and 8-hydroxyquinoline (8-NC₉H₆OH, 45.3 g, 0.3 mol) were placed in a 250 cm³ steel grinding jar with 50 steel balls of 10mm diameter, which was rotated at 450 rpm for 35 mins. The product was decanted to give Alq₃.AcOH-**m** (48.25 g, 78.46% yield).

<u>Growth of single crystals of Alq₃.AcOH-s:</u> Large single crystals were obtained from a saturated acetic acid solution of Alq₃-**m** by layering with acetone after two weeks.

<u>Solid-state</u> ¹³C NMR spectra: Spectra were recorded at 100.56 MHz using a Varian VNMRS spectrometer and either 4 mm or 6 mm (rotor o.d.) magic-angle spinning probes. The spectra were recorded using cross-polarization, with the conditions given in the figure captions, at ambient probe temperature (~25 °C). Spectra are referenced with respect to an external sample of neat tetramethylsilane (carried out by setting the high-frequency signal from adamantane to 38.5 ppm).

<u>Solid-state ²⁷Al NMR spectra:</u> Spectra were recorded at 104.20 MHz using a Varian VNMRS spectrometer and a 4 mm (rotor o.d.) magic-angle spinning probe. The spectra were recorded using direct excitation, with the conditions given in the figure captions and at ambient probe temperature (~25 °C). Spectra are referenced with respect to an external sample of 1 M aqueous Al(NO₃)₃.

	Al%	C%	H%	N%	% mass loss
Alq ₃ (calc.)	5.88	70.59	3.92	9.15	0
Alq ₃ .AcOH (calc.)	5.20	67.05	4.23	8.09	11.56
Alq ₃ .AcOH- m	4.82	66.56	4.47	8.31	11.73
Alq ₃ .AcOH- s	—	66.89	4.85	8.02	-
Alq ₃ - m	6.24	69.68	4.46	8.89	-
Alq ₃ .AcOH- m	—	66.77	4.73	8.17	-
(large scale)					
Alq ₃ - m	-	70.40	4.07	9.06	-
(large scale)					

Table Theoretical and experimental microanalyses, and theoretical and experimental mass losses for thermogravimetric analyses (TGA).

Single-crystal XRD Experimental Details

The single-crystal XRD data collection for Alq₃.AcOH-**s** was carried out at 120(2) K using a Nonius Kappa CCD diffractometer with MoK α radiation ($\lambda = 0.71073$ Å), controlled by the Collect¹ software package. The data were processed using Denzo² and semi-empirical absorption corrections were applied using SADABS.³ The structure was solved by direct methods and refined by full-matrix least-square procedures on F^2 using SHELXS-97⁴ and SHELXL-974 respectively. All non-hydrogen atoms were refined anisotropically, with all hydrogen atoms placed geometrically using standard riding models.

Crystal Data for Alq₃.AcOH-**s**: $C_{29}H_{22}AIN_3O_5$; $M = 519.48 \text{ g mol}^{-1}$; T = 120(2) K; $\lambda = 0.71073$ Å; Monoclinic, space group P2₁/n; a = 11.7123(2) Å, b = 12.9534(2) Å, c = 16.5625(2) Å, $\beta = 96.701(1)^{\circ}$; V = 2495.60(6) Å³; Z = 4; $\rho_{calc} = 1.384$ Mg m⁻³; μ (Mo-K_{α}) = 0.128 mm⁻¹; F(000) = 1080; crystal size = 0.52 x 0.40 x 0.22 mm³. A total of 30493 reflections were collected (2.93 $\leq \theta \leq 27.48^{\circ}$) of which 5699 reflections were independent ($R_{int} = 0.0320$). 345 parameters, GoF = 1.046, $R_1[I>2\sigma(I_0)] = 0.0365$, w $R_2[I>2\sigma(I_0)] = 0.0896$; R_1 (all reflections) = 0.0426, w R_2 (all reflections) = 0.0929; $-0.233 < \Delta \rho < 0.293$ eÅ⁻³.

¹ Hooft, R. *Collect, data collection software*, Nonius BV, Delft, The Netherlands, 1998.

² Otwinowski, Z.; Minor, W. *Methods Enzymol.* **1997**, *276*, 307.

³ Sheldrick, G.M. *SADABS, Program for area detector adsorption correction*, Institute for Inorganic Chemistry, University of Göttingen, Germany, 1996.

⁴ Sheldrick, G.M. Acta Crystallogr. Sect. A **2008**, *64*, 112.

Structure Determination of Alq₃.AcOH-m Directly from Powder XRD Data

The powder XRD pattern of Alq₃.AcOH-**m** was recorded at ambient temperature on a Bruker D8 powder X-ray diffractometer (CuK_{a1} radiation; Ge monochromated; transmission mode; 2 θ range, 4° – 70°). The powder XRD data were indexed using the program DICVOL, giving the following unit cell with monoclinic metric symmetry: *a* = 22.05 Å, *b* = 13.25 Å, *c* = 21.31 Å, β = 128.1° (*V* = 4895 Å³). From systematic absences, the space group was assigned as P2₁/n. Given the unit cell volume and consideration of density, the number of formula units of Alq₃.AcOH in the unit cell was assigned as *Z* = 8 (calculated density, 1.42 g cm⁻³). Thus, for space group P2₁/n, the asymmetric unit comprises two formula units of Alq₃.AcOH (*Z'* = 2), consistent with the results from solid-state ¹³C CPMAS NMR. Unit cell and profile refinement, carried out using the Le Bail method, gave good agreement between experimental and calculated powder XRD profiles (Fig. S0; *R*_{wp} = 3.02%, *R*_p = 2.11%). The refined unit cell and profile parameters obtained from the Le Bail fitting procedure were used in the subsequent structure-solution calculation.

Structure solution of Alq₃.AcOH-**m** was carried out directly from the powder XRD data using the direct-space genetic algorithm (GA) technique implemented in the program EAGER. With two formula units of Alq₃.AcOH in the asymmetric unit, the direct-space calculation involved four rigid fragments (two Alq₃ molecules in the *mer* geometry and two AcOH molecules). Thus, the GA structure solution calculation involved 24 structural variables (three positional variables and three orientational variables for each rigid fragment in the asymmetric unit) and was carried out for 100 generations for a population of 100 trial structures, with 40 mating operations and 30 mutation operations carried out per generation. In total, 16 independent GA calculations were carried out.

The structure solution (i.e. the trial structure with lowest R_{wp} in the GA calculations) was used as the initial structural model for Rietveld refinement carried out using the GSAS program. Standard restraints were applied to bond lengths and bond angles, planar restraints were applied to the aryl rings and four common isotropic displacement parameters (U_{iso}) were refined, specifically $U_{iso}(1)$ and $U_{iso}(2)$ for all non-H atoms of the two independent Alq₃ molecules, and $U_{iso}(3)$ and $U_{iso}(4)$ for all non-H atoms of the two independent AcOH molecules. H atoms were added according to standard geometries and the U_{iso} value for each H atom was set equal to 1.2 times the refined common U_{iso} value for the non-H atoms of the same molecule.

The final Rietveld refinement gave good agreement between experimental and calculated powder XRD patterns (Fig. S0; $R_{wp} = 5.39\%$, $R_p = 3.77\%$), with the following refined parameters: a = 21.9948(16) Å, b = 13.1962(14) Å, c = 21.2276(15) Å, $\beta = 128.0685(33)$ °; V = 4850.6(8) Å³; $U_{iso}(1) = 0.065(11)$ Å²; $U_{iso}(2) = 0.022(9)$ Å²; $U_{iso}(3) = 0.057(19)$ Å²; $U_{iso}(4) = 0.147(24)$ Å² [2 θ range, 4° – 70°; 3867 profiles points; 419 refined variables].



Figure S0 Results of (a) Le Bail fitting and (b) final Rietveld refinement for Alq₃.AcOH-**m**, showing the experimental (+ marks), calculated (solid line) and difference (lower line) powder XRD profiles. Tick marks indicate peak positions.



Figure S1 Comparison of powder XRD patterns of Alq_3 .AcOH-**m** and the starting materials $Al(OAc)_2OH$ and 8-hydroxyquinoline.



Figure S2 Solid-state ¹³C CPMAS NMR spectrum of the starting material Al(OAc)₂OH (660 repetitions, 2 s recycle delay, 1 ms contact time, 8 kHz MAS frequency).



Figure S3 Solid-state ²⁷AI MAS NMR spectrum of the starting material AI(OAc)₂OH (11400 repetitions, 20° pulse, 0.1 s recycle delay, 12 kHz MAS frequency).



Figure S4 Solid-state ¹³C CPMAS NMR spectrum of the starting material 8-hydroxyquinoline (17 repetitions, 300 s recycle delay, 1 ms contact time, 6.8 kHz MAS frequency). Spinning sidebands are indicated by asterisks.



Figure S5 Solid-state ¹³C CPMAS NMR spectrum of Alq₃.AcOH-**m** with COOH groups for cocrystallized acetic acid at 174.7 and 169.3 ppm (1032 repetitions, 2 s recycle delay, 1 ms contact time, 8 kHz MAS frequency). Peaks due to acetic acid are labeled "a", and spinning sidebands are indicated by asterisks.



Figure S6 Solid-state ²⁷AI MAS NMR spectrum of Alq₃.AcOH-**m** (3800 repetitions, 20° pulse, 0.1 s recycle delay, 12 kHz MAS frequency).



Figure S7 Comparison of the experimental solid-state ²⁷AI MAS NMR spectrum of Alq₃.AcOH-**m** and a simulated spectrum based on a single ²⁷AI crystallographic environment (δ_{iso} = 28.5 ppm, C_q = 5.6 MHz, η = 1.0).



Figure S8 Solution-state ¹H NMR spectrum of Alq₃.AcOH-**m** in CDCl₃ showing the signal due to co-crystallized acetic acid at 2.02 ppm. However, we note that speciation is complicated, as some free ligand is identified from the aromatic region, suggesting that metal coordination by AcOH/AcO⁻ may occur in solution.



Figure S9 Interaction between the Alq_3 and acetic acid molecules in the crystal structure of Alq_3 . AcOH-**s**, determined from single-crystal XRD. Hydrogen atoms of the quinolinate ligands are omitted for clarity.



Figure S10 Comparison of the experimental powder XRD pattern of crushed single crystals of Alq₃.AcOH-**s** and the powder XRD pattern simulated from the crystal structure of Alq₃.AcOH-**s** determined from single-crystal XRD data.



Figure S11 Comparison of the experimental powder XRD pattern of Alq₃.AcOH-**m** and the powder XRD pattern simulated from the crystal structure of Alq₃.AcOH-**s** determined from single-crystal XRD data.



Figure S12 Comparison of the experimental powder XRD patterns of Alq_3 . AcOH-m and Alq_3 -m.



Figure S13 Comparison of the experimental powder XRD pattern of Alq₃-**m** and the simulated powder XRD patterns of the known α and ε phases of Alq₃ (simulated from the known crystal structures in the Cambridge Structural Database; codes QATMON01 and QATMON03 respectively). The powder XRD patterns of the α and ε phases of Alq₃ are very similar to each other, but the peak at $2\theta \approx 18^{\circ}$ in the pattern for Alq₃-**m** (indicated by a star) is taken to be diagnostic of the α phase.



Figure S14 Solution-state ¹H NMR spectrum of Alq₃.AcOH-m in CDCl₃.



Figure S15 Solid-state ¹³C CPMAS NMR spectrum of Alq₃-**m** showing significant differences in the aromatic region compared to the solid-state ¹³C CPMAS NMR spectrum of Alq₃.AcOH-**m** and the absence of peaks due to acetic acid (1592 repetitions, 2 s recycle delay, 1 ms contact time, 8 kHz MAS frequency). Spinning sidebands are indicated by asterisks.



Figure S16 Solid-state ²⁷Al MAS NMR spectrum of Alq_3 -**m** (12500 repetitions, 20° pulse, 0.1 s recycle delay, 12 kHz MAS frequency).



Figure S17 SEM images of Alq₃.AcOH-m (above) and Alq₃-m (below).



Figure S18 Comparison of powder XRD patterns recorded for the product from the large-scale synthesis of Alq₃.AcOH-**m** from 10 min to 45 min reaction time.



Figure S19 Comparison of experimental powder XRD patterns of Alq_3 . AcOH-m obtained from small-scale and large-scale syntheses.



Figure S20 Solution-state ¹H NMR spectrum of Alq₃.AcOH-**m** obtained from large-scale synthesis.



Figure S21 Solid-state ¹³C CPMAS NMR spectrum of Alq₃.AcOH-**m** prepared at large scale (532 repetitions, 5 s recycle delay, 1 ms contact time, 6.8 kHz MAS frequency). Peaks due to acetic acid are labeled "a", and spinning sidebands are indicated by asterisks.



Figure S22 Solid-state ¹³C CPMAS NMR spectrum of Alq₃-**m** prepared at large scale (376 repetitions, 5 s recycle delay, 10 ms contact time, 10.1 kHz MAS frequency). Spinning sidebands are indicated by asterisks.