

# **Supporting Information for:**

## **Single Molecule Rectification Induced by the**

## **Asymmetry of a Single Frontier Orbital**

Wendu Ding, Christian F. A. Negre,\* Leslie Vogt, and Victor S. Batista\*

*Department of Chemistry, Yale University, P.O. Box 208107, New Haven, CT 06520-8107, and  
Energy Sciences Institute, Yale University, P.O. Box 27394, West Haven, CT 06516-7394*

E-mail: christian.negre@yale.edu; victor.batista@yale.edu

## **1 Transmission Function: Tight-Binding Model**

This section provides a derivation of Eq. (1) of the main text, giving an expression for the transmission function as a function of the applied bias potential for a tight binding model with a single transport channel. The Green's function for such a molecule in between the electron source and drain reservoirs (Figure S1) is

$$\mathcal{G}_{dev}(\varepsilon) = [\varepsilon - H_{dev} - \Sigma_T(\varepsilon)]^{-1} \quad (1)$$

where  $\varepsilon$  is the energy,  $H_{dev}$  is the molecular Hamiltonian, and  $\Sigma_T$  is the total self-energy of the contacts, which can be decomposed as the sum of the self-energy of the left and right lead contributions:  $\Sigma_T(\varepsilon) = \Sigma_L(\varepsilon) + \Sigma_R(\varepsilon)$ .

---

\*To whom correspondence should be addressed

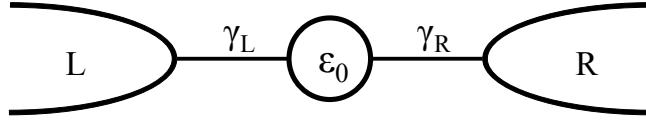


Figure S1: Scheme of a molecule with a single transport channel state  $|\epsilon_0\rangle$ , coupled to the left (L) and right (R) electron reservoirs with coupling constants  $\gamma_L$  and  $\gamma_R$ , respectively.

The retarded and advanced Green's functions are

$$\mathcal{G}_{dev}^+(\epsilon) = \frac{1}{\epsilon - H_{dev} - \Sigma_T(\epsilon)} \quad (2)$$

$$\mathcal{G}_{dev}^-(\epsilon) = \frac{1}{\epsilon - H_{dev} - \Sigma_T^\dagger(\epsilon)} \quad (3)$$

The self-energy for the left (L) and right (R) contacts are

$$\Sigma_{L/R}(\epsilon) = \Delta_{L/R} + i\Gamma_{L/R}(\epsilon) \quad (4)$$

where the real part  $\Delta$  can be approximated as  $\Delta_L \simeq \frac{V}{2}$  and  $\Delta_R \simeq -\frac{V}{2}$ , with  $V$  the applied bias voltage.

The total self-energy is

$$\Sigma_T(\epsilon) \simeq i[\Gamma_L(\epsilon) + \Gamma_R(\epsilon)] \quad (5)$$

Considering there is only one state  $|\epsilon_0\rangle$  between the two contacts, we have  $\langle \epsilon_0 | H_{dev} | \epsilon_0 \rangle = \epsilon_0$ , and the retarded and advanced Green's function take the form:

$$\mathcal{G}_{dev}^+(\epsilon) = \frac{1}{\epsilon - \epsilon_0 - i[\Gamma_L(\epsilon) + \Gamma_R(\epsilon)]} \quad (6)$$

$$\mathcal{G}_{dev}^-(\epsilon) = \frac{1}{\epsilon - \epsilon_0 + i[\Gamma_L(\epsilon) + \Gamma_R(\epsilon)]} \quad (7)$$

For either the left or right contact:

$$\Sigma(\epsilon)_{L/R} = \gamma_{L/R}^\dagger \mathcal{G}_{L/R}^+ \gamma_{L/R} = \gamma_{L/R}^2 \mathcal{G}_{L/R}^+ \quad (8)$$

where  $\gamma_{L/R}$  is the coupling between the contact and the device, and  $\mathcal{G}_{L/R}^+$  is the retarded Green's

function of the contact. The second equality results from the fact that  $\gamma_{L/R}$  and  $\mathcal{G}_{L/R}^+$  commute in this particular case. Together with the expression  $\Sigma(\varepsilon)_{L/R} = \Delta_{L/R} + i\Gamma_{L/R}(\varepsilon)$ , we have:

$$\begin{aligned}\Delta_{L/R} + i\Gamma(\varepsilon)_{L/R} &= \gamma_{L/R}^2 \mathcal{G}_{L/R}^+(\varepsilon) \\ \implies \mathcal{G}_{L/R}^+(\varepsilon) &= \frac{\Delta_{L/R}}{\gamma_{L/R}^2} + i \frac{\Gamma_{L/R}(\varepsilon)}{\gamma_{L/R}^2}\end{aligned}\tag{9}$$

The density of states (DOS) of the contact can be expressed by the imaginary part of the retarded Green's function of the contact:<sup>1,2</sup>

$$DOS(\varepsilon) = -\frac{1}{\pi} Im[\mathcal{G}^+(\varepsilon)]\tag{10}$$

therefore, we can write the imaginary part of the self-energy  $\Gamma_{L/R}$  in terms of the contact DOS:

$$\begin{aligned}DOS_{L/R}(\varepsilon) &= -\frac{1}{\pi} Im[\mathcal{G}_{L/R}^+(\varepsilon)] = -\frac{1}{\pi} \frac{\Gamma(\varepsilon)_{L/R}}{\gamma_{L/R}^2} \\ \implies \Gamma(\varepsilon)_{L/R} &= -\pi \gamma_{L/R}^2 DOS_{L/R}(\varepsilon)\end{aligned}\tag{11}$$

Also,

$$\mathcal{A}_{L/R}(\varepsilon) = i[\Sigma_{L/R}(\varepsilon) - \Sigma_{L/R}^\dagger(\varepsilon)] = -2\Gamma_{L/R}(\varepsilon)\tag{12}$$

Therefore, the transmission function can be computed as:

$$\begin{aligned}T(\varepsilon) &= \mathcal{A}_L(\varepsilon) \mathcal{G}_{dev}^+(\varepsilon) \mathcal{A}_R(\varepsilon) \mathcal{G}_{dev}^-(\varepsilon) \\ &= 2\Gamma_L(\varepsilon) \mathcal{G}_{dev}^+(\varepsilon) 2\Gamma_R(\varepsilon) \mathcal{G}_{dev}^-(\varepsilon) \\ &= \frac{4\Gamma_L(\varepsilon)\Gamma_R(\varepsilon)}{(\varepsilon - \varepsilon_0)^2 + [\Gamma_L(\varepsilon) + \Gamma_R(\varepsilon)]^2} \\ &= \frac{4\pi^2 \gamma_L^2 DOS_L(\varepsilon) \gamma_R^2 DOS_R(\varepsilon)}{(\varepsilon - \varepsilon_0)^2 + \pi^2 [\gamma_L^2 DOS_L(\varepsilon) + \gamma_R^2 DOS_R(\varepsilon)]^2}\end{aligned}\tag{13}$$

Under a voltage bias V, we have:

$$T(\varepsilon) = \frac{4\pi^2 \gamma_L^2 DOS_L(\varepsilon - \frac{V}{2}) \gamma_R^2 DOS_R(\varepsilon + \frac{V}{2})}{(\varepsilon - \varepsilon_0)^2 + \pi^2 [\gamma_L^2 DOS_L(\varepsilon - \frac{V}{2}) + \gamma_R^2 DOS_R(\varepsilon + \frac{V}{2})]^2} \quad (14)$$

## 2 Non-rectifiers and comparison of dipole moments and polarizability

Here we show three examples (B1, B2, and B3) of intentionally designed asymmetrical molecules with large dipole moments and polarizability in the transport direction. Their I-V curves are shown in Figure S2, Figure S3, and Figure S4, respectively. By comparing rectification ratio, dipole moment, and polarizability among these molecules and the molecules in main text (see Table S1), there is no obvious correlation between rectification ratio and dipole moment or polarizability.

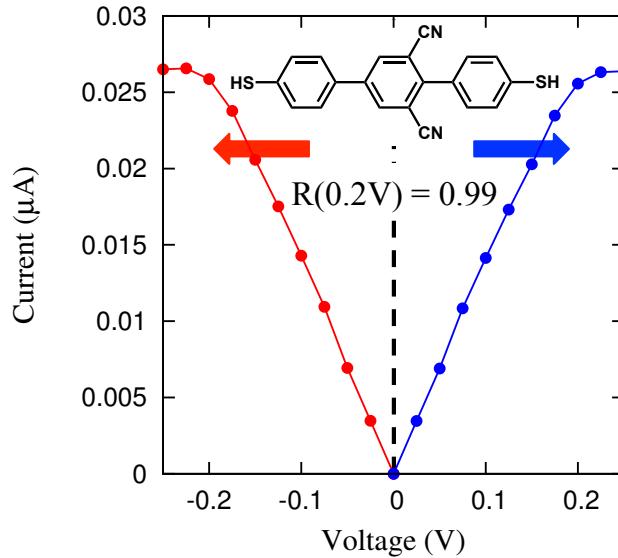


Figure S2: I-V curve for B1 (see inset for structure) and rectification ratio is 0.99.

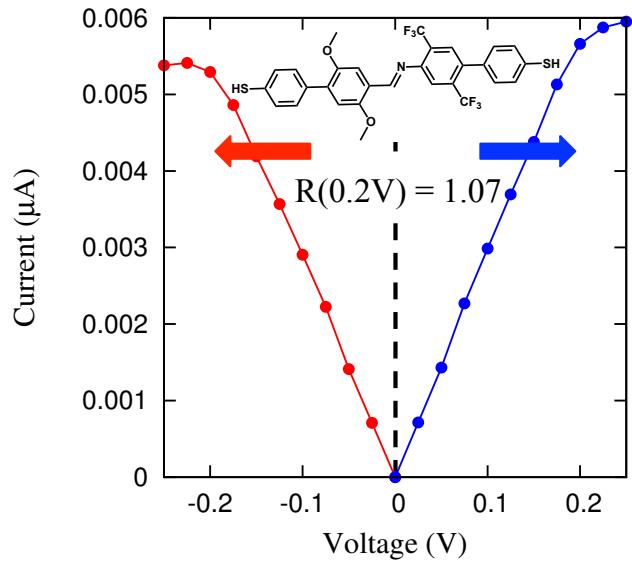


Figure S3: I-V curve for B2 (see inset for structure) and rectification ratio is 1.07.

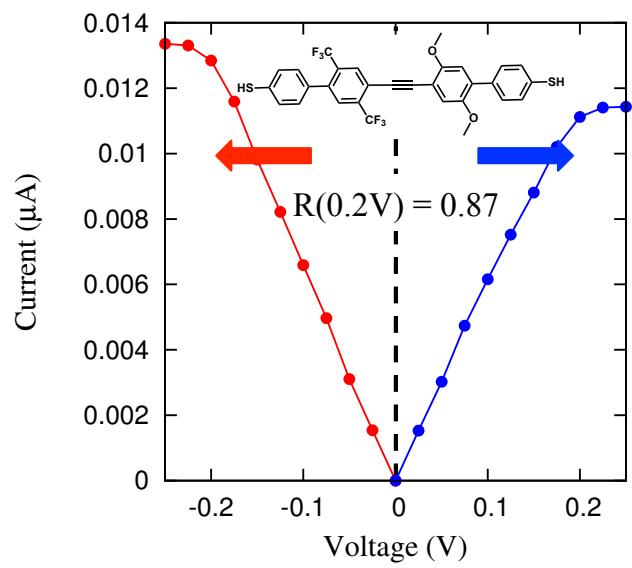


Figure S4: I-V curve for B3 (see inset for structure) and rectification ratio is 0.87.

Table S1: Comparison among molecules of their rectification ratio, dipole moment, and polarizability in the transport direction.

Molecule	Rectification Ratio	Dipole Moment (Debye)	Polarizability (Bohr <sup>3</sup> )
A1	1.65	1.9427	381.038
A2	1.04	-0.1334	482.026
A3	1.51	-0.4068	381.087
B1	0.99	-3.5798	535.42
B2	1.07	-2.9421	915.32
B3	0.87	1.1900	991.945

### 3 Additional figures

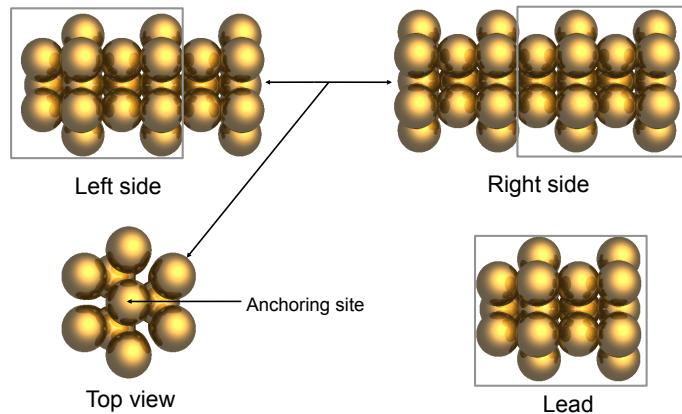


Figure S5: Nanowire hcp leads used for building the extended systems described in the text. These nanowire electrodes were modeled according to Krstić *et al.*<sup>3–5</sup> Each gold unit cell has two sub-layers of seven and three gold atoms respectively, with periodic boundary conditions along the transport direction where the seven atom face mimics the gold (111) surface. The lattice constant is 4.080 Å.

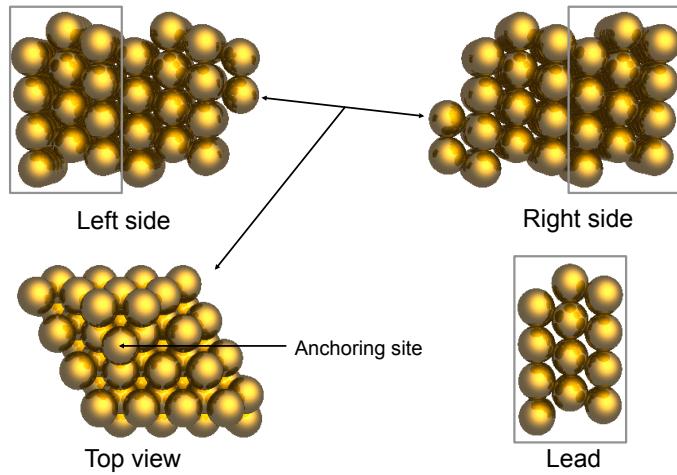


Figure S6: Slab fcc leads used for building the extended systems described in the text. These slab electrodes were modeled using 6 layers of 16 gold atoms cut from a fcc lattice, with periodic boundary conditions in all three dimensions. A single layer of a triad contact motif is added to attach the molecule.<sup>6,7</sup> The face of the leads is the (111) surface. The lattice constant of the fcc lattice is 4.080 Å.

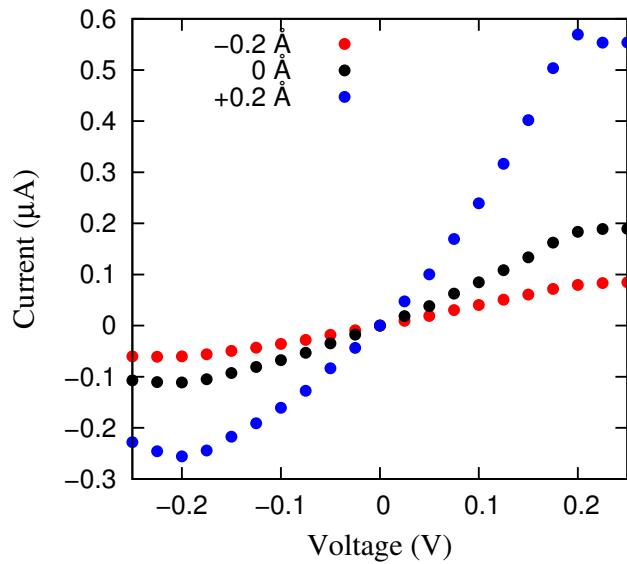


Figure S7: I-V curves for  $\text{Au}_L\text{-S-Ph-amide-Ph-S-Au}_R$  for various different S-Au distances.

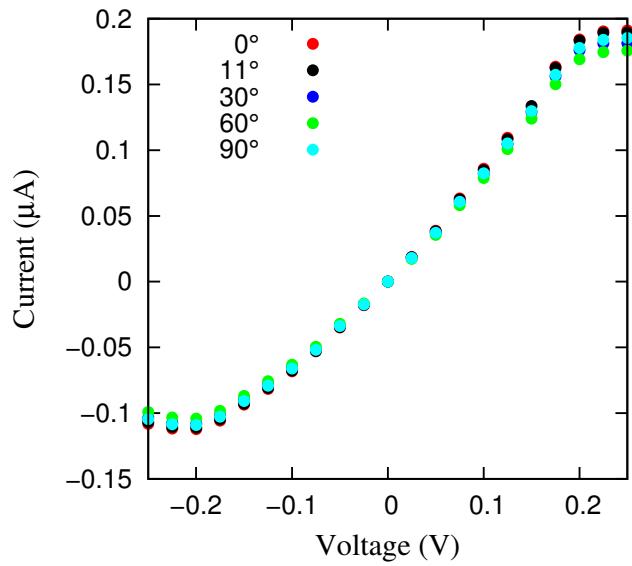


Figure S8: I-V curves for  $\text{Au}_L\text{-S-Ph-amide-Ph-S-Au}_R$  for various rotational orientations of the molecule with respect to the contact.

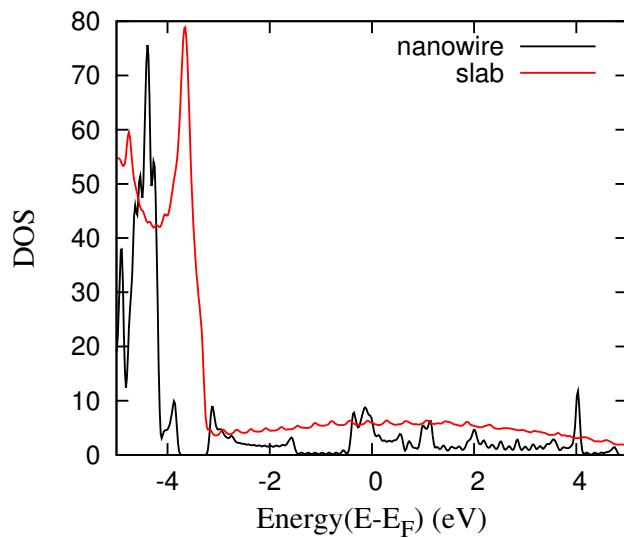
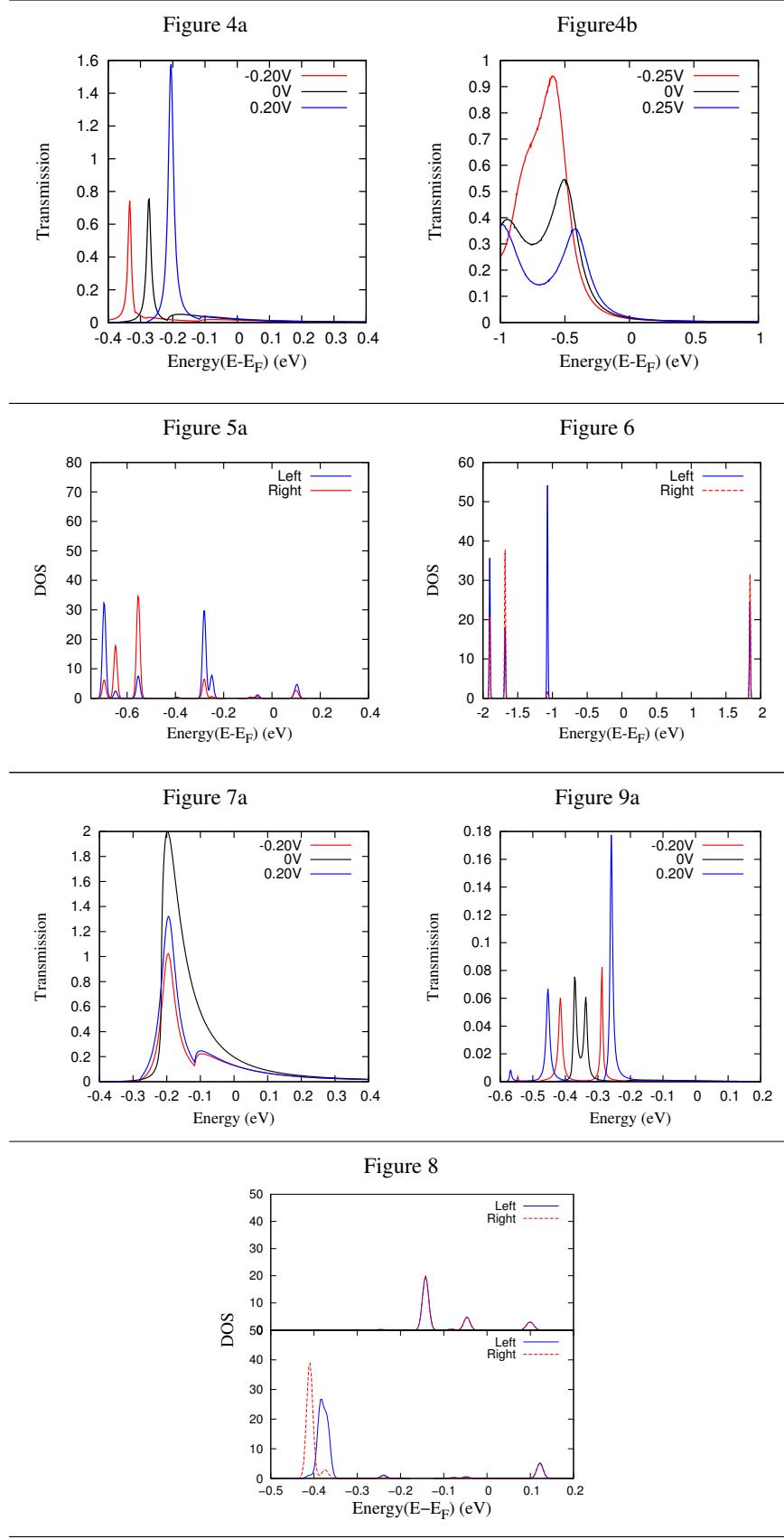


Figure S9: Density of states for nanowire and slab electrodes.

Table S2: Figures from the main text with y-axis values.



## 4 Coordinates of isolated studied systems

HS-Ph-amide-Ph-SH

C	-4.67450400	-0.20420200	-0.02467400
C	-3.94683100	-1.37807200	-0.25661100
C	-3.97529100	0.98643100	0.19883800
C	-2.55787700	-1.35169100	-0.26369100
H	-4.46321200	-2.31750500	-0.42910000
C	-2.58231400	1.01979600	0.19793000
H	-4.51604700	1.91156900	0.37587200
C	-1.85676200	-0.15745000	-0.03641000
H	-2.01053000	-2.27430200	-0.44378100
H	-2.05262700	1.94605300	0.36696900
N	-0.44817600	-0.21925000	-0.04407200
H	-0.06535500	-1.12745300	-0.26018600
C	0.46070200	0.80995600	0.07599500
O	0.14115700	1.99055500	0.17789900
C	1.90237500	0.39152300	0.05640800
C	2.84562000	1.36365600	-0.30164600
C	2.36007000	-0.88709700	0.40603100
C	4.20176300	1.06435900	-0.34469600
H	2.49064000	2.35922700	-0.54465400
C	3.71692900	-1.19356900	0.37559500
H	1.66776300	-1.65122300	0.74868600
C	4.65036000	-0.22121900	-0.00911500
H	4.91104300	1.83270500	-0.63736800
H	4.05145800	-2.18570700	0.66308500
S	-6.46079400	-0.30232900	-0.04553100
S	6.37123600	-0.68625000	-0.03993900
H	-6.69517500	0.96005400	0.36047800
H	6.85750900	0.51962300	-0.39088700

HS-stilbene-SH optimized structure

C	0.47890500	-0.47538500	0.00345300
C	1.92731500	-0.26994300	0.00606600
C	2.77580700	-1.38920900	-0.06945900
C	2.54100100	0.99647700	0.08251800
C	3.92175800	1.13386600	0.07667900
C	-1.92731100	0.27005100	0.00583500
C	-2.77637100	1.38995500	-0.07054300
C	-4.16061200	1.26307600	-0.07673900
C	-4.75058900	-0.00475600	-0.00324500
C	-3.92248000	-1.13392000	0.07803800

C	-2.54059100	-0.99547400	0.08304500
H	-4.78271700	2.15114300	-0.13898500
H	-4.35793200	-2.12702500	0.14100800
H	-1.93177000	-1.89117300	0.15416800
C	-0.47878900	0.47533900	0.00353700
C	4.16138900	-1.26321800	-0.07487800
C	4.75064400	0.00377100	-0.00307100
H	4.36185600	2.12504400	0.13643200
H	1.93187400	1.89195400	0.15218400
H	4.77942600	-2.15431300	-0.13464900
H	2.33757900	-2.38228500	-0.12724900
H	-2.33775500	2.38270200	-0.12923900
H	0.17707900	-1.52141000	-0.00721500
H	-0.17694600	1.52127300	-0.00666500
S	6.51738200	0.26104500	-0.00153000
S	-6.53308800	-0.10589100	-0.01224900
H	6.86950300	-1.03368900	-0.11836900
H	-6.61935100	-1.44845000	0.05164000

### HS-stilbene-SH twisted structure

C	0.44773000	0.33651000	-0.00648200
C	1.91044200	0.19836200	-0.00507700
C	2.70345000	1.35748700	0.00064900
C	2.57832200	-1.04054200	-0.00893700
C	3.96454500	-1.11484200	-0.00613300
C	-1.93780700	-0.40060500	0.00034300
C	-2.65301300	-0.29528400	1.20227100
C	-4.02905500	-0.08047700	1.20830900
C	-4.73012600	0.03191800	0.00103000
C	-4.02987600	-0.07354600	-1.20597200
C	-2.65251700	-0.28907400	-1.20010200
H	-4.55685400	0.00160500	2.15407200
H	-4.55313100	0.01401200	-2.15382700
H	-2.12227700	-0.36754500	-2.14477900
C	-0.46848800	-0.64451900	0.00014900
C	4.09425000	1.29575100	0.00347600
C	4.74007300	0.05497200	0.00029400
H	4.44981100	-2.08651600	-0.00916900
H	2.00873300	-1.96450100	-0.01478300
H	4.67113000	2.21593900	0.00816300
H	2.21919300	2.33061900	0.00349000
H	-2.12243000	-0.37872100	2.14624000
H	0.08668100	1.36466900	-0.01269200
H	-0.15107700	-1.68762900	0.00989400

S	6.51723700	-0.12038300	0.00329100
S	-6.49533300	0.30916900	0.08077600
H	6.80832300	1.19456300	0.01311700
H	-6.71613600	0.32626800	-1.24770400

## 5 Sample input file with the coordinates for the full system of **Au<sub>L</sub>-S-Ph-amide-Ph-S-Au<sub>R</sub>**

```
# Sample input for the L-S-Ph-amide-Ph-S-R system

%block LatticeVectors
  30.000    0.000    0.00000
  0.000    30.000    0.00000
  0.00000    0.00000  45.74497
%endblock LatticeVectors

PAO.BasisType split
PAO.SplitNorm 0.15
%block PAO.BasisSizes
  Au   DZ
  S    DZ
  C    DZ
  H    DZ
  N    DZ
  O    DZ
%endblock PAO.BasisSizes
PAO.EnergyShift 0.01 eV

%block kgrid_Monkhorst_Pack
  1    0    0    0.0
  0    1    0    0.0
  0    0    1    0.0
%endblock kgrid_Monkhorst_Pack
BandLinesScale          ReciprocalLatticeVectors

XC.functional      GGA
XC.authors        PBE
MeshCutoff 200.0 Ry

ElectronicTemperature 300 K
```

```

MaxSCFIterations          30000
DM.MixingWeight           0.02
DM.NumberPulay             4
DM.Tolerance              1.0D-5
DM.UseSaveDM               T

SolutionMethod            transiesta

TS.HSFileLeft   AuLeads.TSHS
TS.NumUsedAtomsLeft    20
TS.HSFileRight   AuLeads.TSHS
TS.NumUsedAtomsRight   20

TS.TBT.NPoints          1000
TS.TBT.Emin              -1.0 eV
TS.TBT.Emax              1.0 eV
TS.TBT.OutputRegionData  False
TS.BiasContour.NumPoints 100
TS.ComplexContour.Emin   -20.0 Ry
TS.ComplexContour.NumCircle 100
TS.ComplexContour.NumLine  20
TS.ComplexContour.NumPoles 10
TS.BiasContour.Eta      10D-4 Ry
TS.Voltage VOLTAGE eV

%block ChemicalSpeciesLabel
  1          79 Au
  2          16 S
  3           6 C
  4            1 H
  5            7 N
  6            8 O
%endblock ChemicalSpeciesLabel

AtomicCoordinatesFormat   Ang
%block AtomicCoordinatesAndAtomicSpecies
-0.83280000  -1.44250000  0.00000000  1  Au  1
 1.66560000   0.00000000  0.00000000  1  Au  2
-0.83280000   1.44250000  0.00000000  1  Au  3
 0.00000000  -2.88500000  2.35560000  1  Au  4
-2.49850000  -1.44250000  2.35560000  1  Au  5
 2.49850000  -1.44250000  2.35560000  1  Au  6
 0.00000000   0.00000000  2.35560000  1  Au  7
-2.49850000   1.44250000  2.35560000  1  Au  8

```

2.49850000	1.44250000	2.35560000	1	Au	9
0.00000000	2.88500000	2.35560000	1	Au	10
-0.83280000	-1.44250000	4.71120000	1	Au	11
1.66560000	0.00000000	4.71120000	1	Au	12
-0.83280000	1.44250000	4.71120000	1	Au	13
0.00000000	-2.88500000	7.06680000	1	Au	14
-2.49850000	-1.44250000	7.06680000	1	Au	15
2.49850000	-1.44250000	7.06680000	1	Au	16
0.00000000	0.00000000	7.06680000	1	Au	17
-2.49850000	1.44250000	7.06680000	1	Au	18
2.49850000	1.44250000	7.06680000	1	Au	19
0.00000000	2.88500000	7.06680000	1	Au	20
-0.83280000	-1.44250000	9.42240000	1	Au	21
1.66560000	0.00000000	9.42240000	1	Au	22
-0.83280000	1.44250000	9.42240000	1	Au	23
0.00000000	-2.88500000	11.77800000	1	Au	24
-2.49850000	-1.44250000	11.77800000	1	Au	25
2.49850000	-1.44250000	11.77800000	1	Au	26
0.00000000	0.00000000	11.77800000	1	Au	27
-2.49850000	1.44250000	11.77800000	1	Au	28
2.49850000	1.44250000	11.77800000	1	Au	29
0.00000000	2.88500000	11.77800000	1	Au	30
0.00000000	0.00000000	14.09800000	2	S	31
-0.02008000	-0.15150000	15.88056000	3	C	32
-0.42057000	-2.27107000	15.97585000	4	H	33
0.38445000	1.95454000	16.15478000	4	H	34
-0.24329000	-1.36252000	16.54395000	3	C	35
0.21218000	1.00008000	16.64291000	3	C	36
-0.24178000	-1.43752000	17.93531000	3	C	37
0.21987000	0.93217000	18.03045000	3	C	38
-0.41059000	-2.37921000	18.43713000	4	H	39
0.40020000	1.83800000	18.60507000	4	H	40
-0.00712000	-0.28250000	18.69564000	3	C	41
0.00116000	-0.26285000	20.10544000	5	N	42
0.21745000	0.63350000	20.51516000	4	H	43
-0.22057000	-2.48930000	20.62852000	6	O	44
-0.11852000	-1.31878000	20.98318000	3	C	45
-0.79067000	1.10520000	22.26360000	4	H	46
-0.09830000	-0.94365000	22.43672000	3	C	47
-0.44771000	0.32071000	22.93260000	3	C	48
0.50301000	-2.92806000	22.96561000	4	H	49
0.26016000	-1.94355000	23.35031000	3	C	50
-0.41669000	0.58647000	24.29800000	3	C	51
-0.70402000	1.56816000	24.66218000	4	H	52
0.30380000	-1.68495000	24.71478000	3	C	53
-0.03158000	-0.41336000	25.20177000	3	C	54

0.59678000	-2.47416000	25.40064000	4	H	55
0.00000000	0.00000000	26.93577000	2	S	56
0.00000000	-2.88500000	29.25577000	1	Au	57
-2.49850000	-1.44250000	29.25577000	1	Au	58
2.49850000	-1.44250000	29.25577000	1	Au	59
0.00000000	0.00000000	29.25577000	1	Au	60
-2.49850000	1.44250000	29.25577000	1	Au	61
2.49850000	1.44250000	29.25577000	1	Au	62
0.00000000	2.88500000	29.25577000	1	Au	63
-0.83280000	-1.44250000	31.61137000	1	Au	64
1.66560000	0.00000000	31.61137000	1	Au	65
-0.83280000	1.44250000	31.61137000	1	Au	66
0.00000000	-2.88500000	33.96697000	1	Au	67
-2.49850000	-1.44250000	33.96697000	1	Au	68
2.49850000	-1.44250000	33.96697000	1	Au	69
0.00000000	0.00000000	33.96697000	1	Au	70
-2.49850000	1.44250000	33.96697000	1	Au	71
2.49850000	1.44250000	33.96697000	1	Au	72
0.00000000	2.88500000	33.96697000	1	Au	73
-0.83280000	-1.44250000	36.32257000	1	Au	74
1.66560000	0.00000000	36.32257000	1	Au	75
-0.83280000	1.44250000	36.32257000	1	Au	76
0.00000000	-2.88500000	38.67817000	1	Au	77
-2.49850000	-1.44250000	38.67817000	1	Au	78
2.49850000	-1.44250000	38.67817000	1	Au	79
0.00000000	0.00000000	38.67817000	1	Au	80
-2.49850000	1.44250000	38.67817000	1	Au	81
2.49850000	1.44250000	38.67817000	1	Au	82
0.00000000	2.88500000	38.67817000	1	Au	83
-0.83280000	-1.44250000	41.03377000	1	Au	84
1.66560000	0.00000000	41.03377000	1	Au	85
-0.83280000	1.44250000	41.03377000	1	Au	86
0.00000000	-2.88500000	43.38937000	1	Au	87
-2.49850000	-1.44250000	43.38937000	1	Au	88
2.49850000	-1.44250000	43.38937000	1	Au	89
0.00000000	0.00000000	43.38937000	1	Au	90
-2.49850000	1.44250000	43.38937000	1	Au	91
2.49850000	1.44250000	43.38937000	1	Au	92
0.00000000	2.88500000	43.38937000	1	Au	93

%endblock AtomicCoordinatesAndAtomicSpecies

## References

- (1) Zahid, F.; Paulsson, M.; Datta, S. In *Advanced Semiconductor and Organic Nano-Techniques III: Physics and Technology of Molecular and Biotechnology Systems*; Morkoç, H., Ed.; Academic Press: San Diego, 2003; Chapter 1 - Electrical Conduction through Molecules, pp 1 – 41.
- (2) Economou, E. *Green's Functions in Quantum Physics*, 3rd ed.; Springer Series in Solid-State Sciences; Springer, 2006.
- (3) Krstić, P. S.; Zhang, X.-G.; Butler, W. H. Generalized conductance formula for the multiband tight-binding model. *Phys. Rev. B* **2002**, *66*, 205319.
- (4) Zhang, X.-G.; Krstić, P. S.; Butler, W. H. Generalized tight-binding approach for molecular electronics modeling. *Int. J. Quant. Chem.* **2003**, *95*, 394–403.
- (5) Krstić, P. S.; Dean, D. J.; Zhang, X.-G.; Keffer, D.; Leng, Y. S.; Cummings, P. T.; Wells, J. C. Computational chemistry for molecular electronics. *Comput. Mater. Sci.* **2003**, *28*, 321 – 341.
- (6) Quek, S. Y.; Venkataraman, L.; Choi, H. J.; Louie, S. G.; Hybertsen, M. S.; Neaton, J. B. Amine-gold linked single-molecule circuits: experiment and theory. *Nano Lett.* **2007**, *7*, 3477–82.
- (7) Darancet, P.; Widawsky, J.; Choi, H.; Venkataraman, L.; Neaton, J. Quantitative Current-Voltage Characteristics in Molecular Junctions from First Principles. *NanoLett.* **2012**, *12*, 6250–6254.