

Machine-Learning-Guided Prediction Models of Critical Temperature of Cuprates

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1. Computational details and data acquisition

All density functional theory (DFT) calculations were performed with the Vienna Ab initio Simulation Package (VASP) implementing the plane-wave pseudopotential method¹. PAW-PBE functional was used for the exchange-correlation functional². We performed non-spin polarized calculations Cu correlation with $U = 8$ eV and $J = 1.34$ eV for the correlated d bands of Cu³. A 520 eV plane-wave energy cutoff was used. Atomic positions are relaxed from initial experimental structures from the inorganic crystal structure database (ICSD). We fully relaxed the hypothetical structures including atomic positions and lattice parameters. To calculate the forces on an apical cation and apical oxygen, we manually distorted the apical oxygen toward the CuO₂ layer by 0.12 Å.

29 hole-doped cuprates are collected for the dataset including representative 19 cuprates from Kim *et al.*⁴, LuBa₂Cu₃O₇, ErBa₂Cu₃O₇, HoBa₂Cu₃O₇, GdBa₂Cu₃O₇, SmBa₂Cu₃O₇, Hg-1234, Hg-1245, Hg-1256, Bi-2234, and Tl-2234. Abbreviations, experimental $T_{c,max}$, and apical oxygen height of each material are summarized in Table S1. The statistic information of input $T_{c,max}$ for the prediction model are 32 K (min), 135 K (max), 93.7 K (mean), and 25.9 K (standard deviation). We select four features, which have been reported as material dependent parameters in previous studies^{4,5}: the Bader charge of the apical oxygen (B), the apical force (F_A), the number of CuO₂ layers (L), and apical oxygen height (d_A). The definition of the apical force is the average of the absolute value of apical oxygen and apical cation, which is connected to the bond strength between apical atoms. Among four features, F_A and B are easily collected from DFT calculations without demanding high computational cost while L and d_A are readily accessible structural information.

2. Machine learning algorithms

We employed two machine learning (ML) algorithms: one is a parametric BFS model⁶⁻⁹ and another is a nonparametric random forest (RF) regression model¹⁰. All machine learning algorithms were developed using the *scikit-learn* python library¹¹. To avoid overfitting, we evaluated the performance of each model using leave-one-out cross-validation (LOOCV), which splits N data into different N subsets (i.e. $N-1$ training and 1 test datasets) and averages evaluation error over all splits. The root-mean-square-error (RMSE) and the coefficient of determination (R^2) are used to evaluate the accuracy of models.

The BFS model is a linear regression with various functional forms of features, which allow considering nonlinear relationships by converting primary features to the nonlinear functional forms of compound features. It has the advantage of providing the analytical functional relation between output and features.

We have four primary features (B , F_A , L , d_A) and considered 15 functions, $1, x, \frac{1}{x}, x^2, \frac{1}{x^2}, x^3, \frac{1}{x^3}, \sqrt{x}, \frac{1}{\sqrt{x}}, e^x, \frac{1}{e^x}, e^{1/x}, \frac{1}{e^{1/x}}, \ln(x+1), \frac{1}{\ln(x+1)}$ following previous BFS models^{6,7,9}. The functional form of $\ln(x+1)$ was selected instead $\ln(x)$ to avoid the infinity from $1/\ln(x)$. These functions and primary features produce 57 prototypical features (i.e. $\frac{1}{B}, \sqrt{F_A}$, etc). Multiplication of these prototypical features taken either two, three, or four generate 50,625 compound features. (i.e., $\frac{B}{\sqrt{F_A}}, \frac{L^3 \sqrt{F_A}}{\ln(B+1)}, \frac{B^3 d_A^2}{\sqrt{F_A} \ln(L+1)}$, etc.)

We construct the linear regression models in the form of $T_{c,max} = \theta_0 + \theta_1 f_1$ (1C model), $T_{c,max} = \theta_0 + \theta_1 f_1 + \theta_2 f_2$ (2C model), $T_{c,max} = \theta_0 + \theta_1 f_1 + \theta_2 f_2 + \theta_3 f_3$ (3C model) using the compound features, f_x ($x=1, 2, 3$). We define *mCnF* model as a linear regression model with m compound features where each compound feature consists of n primary features. Note that each

compound feature in a $mCnF$ model can have different n primary features. One example of a 3C2F model is $\theta_0 + \theta_1 \frac{e^B}{e^L} + \theta_2 \frac{1}{\sqrt{F_A L}} + \theta_3 \frac{e^L}{d_A}$. We searched the best BFS fit using all data as a training set and evaluated the three best-fit BFS models for each case using the LOOCV.

The RF is one of the most widely used ML methods due to its simplicity and ability to learn non-linear dependencies. The forest consists of multiple decision trees, where each tree is a non-parametric supervised learning method. This ensemble learning method does not require a scale of data and provides an accurate and stable prediction. Furthermore, we can estimate the importance of each feature, for example, using Gini importance (GI). The GI provides the quantitative contribution of each feature to an output parameter. As hyperparameters, the number of trees in the forest is 1000, and the number of features to consider when looking for the best split is a square root of number of features.

3. Summary of $T_{c,max}$, d_A , and abbreviation

Table S1. Summary of $T_{c,max}$, d_A , and abbreviation of each material. * d_A of YBCO6.5 is the averaged value below empty and filled CuO chains.

Chemical formula	$T_{c,max}$ (K)	d_A (Å)	Abbreviation	Family
La ₂ CuO ₄	38 ¹²	2.412 ¹³	La214	La
La ₂ CaCu ₂ O ₆	55 ¹⁴	2.309 ¹⁵		
Pb ₂ Sr ₂ Cu ₂ O ₆	32 ¹⁶	2.350 ¹⁶		
Pb ₂ Sr ₂ YCu ₃ O ₈	70 ¹⁷	2.238 ¹⁷		
YBa ₂ Cu ₃ O ₇	93 ¹⁸	2.296 ¹⁹	YBCO7	Y
YBa ₂ Cu ₃ O _{6.5}	93	2.368* ²⁰	YBCO6.5	
YBa ₂ Cu ₃ O ₆	93	2.466 ¹⁹	YBCO6	
LaBa ₂ Cu ₃ O ₇	98.5 ²¹	2.165 ²²		
NdBa ₂ Cu ₃ O ₇	96 ²³	2.247 ²²		
DyBa ₂ Cu ₃ O ₇	92 ²⁴	2.269 ²²		
LuBa ₂ Cu ₃ O ₇	90 ²⁵	2.369 ²⁶		
ErBa ₂ Cu ₃ O ₇	92 ¹⁸	2.293 ²⁷		
HoBa ₂ Cu ₃ O ₇	92 ²⁸	2.230 ²⁹		
GdBa ₂ Cu ₃ O ₇	93.5 ³⁰	2.260 ³¹		
SmBa ₂ Cu ₃ O ₇	94.5 ³²	2.258 ³¹		
Bi ₂ Sr ₂ CuO ₆	40 ³³	2.589 ³⁴	Bi-2201	Bi
Bi ₂ Sr ₂ CaCu ₂ O ₈	93 ³⁵	2.509 ³⁶	Bi-2212	
Bi ₂ Sr ₂ Ca ₂ Cu ₃ O ₁₀	110 ¹⁸	2.528 ³⁷	Bi-2223	
Bi ₂ Sr ₂ Ca ₃ Cu ₄ O ₁₂	110 ³⁸	2.616 ³⁹	Bi-2234	
Tl ₂ Ba ₂ CuO ₆	87 ⁴⁰	2.714 ³⁴	Tl-2201	Tl
Tl ₂ Ba ₂ CaCu ₂ O ₈	110 ⁴⁰	2.700 ⁴¹	Tl-2212	
Tl ₂ Ba ₂ Ca ₂ Cu ₃ O ₁₀	125 ⁴²	2.740 ⁴³	Tl-2223	
Tl ₂ Sr ₂ Ca ₃ Cu ₄ O ₁₂	119 ⁴⁴	2.658 ⁴⁵	Tl-2234	
HgBa ₂ CuO ₄	94 ⁴⁶	2.795 ⁴⁶	Hg-1201	Hg
HgBa ₂ CaCu ₂ O ₆	127 ⁴⁷	2.787 ⁴⁸	Hg-1212	
HgBa ₂ Ca ₂ Cu ₃ O ₈	135 ⁴⁸	2.748 ⁴⁸	Hg-1223	
HgBa ₂ Ca ₃ Cu ₄ O ₁₀	127 ⁴⁹	2.816 ⁵⁰	Hg-1234	
HgBa ₂ Ca ₄ Cu ₅ O ₁₂	110 ⁴⁹	2.827 ⁵¹	Hg-1245	
HgBa ₂ Ca ₅ Cu ₆ O ₁₄	107 ⁴⁹	2.761 ⁵¹	Hg-1256	

4. The shape of the equations of the best three 3C2F models

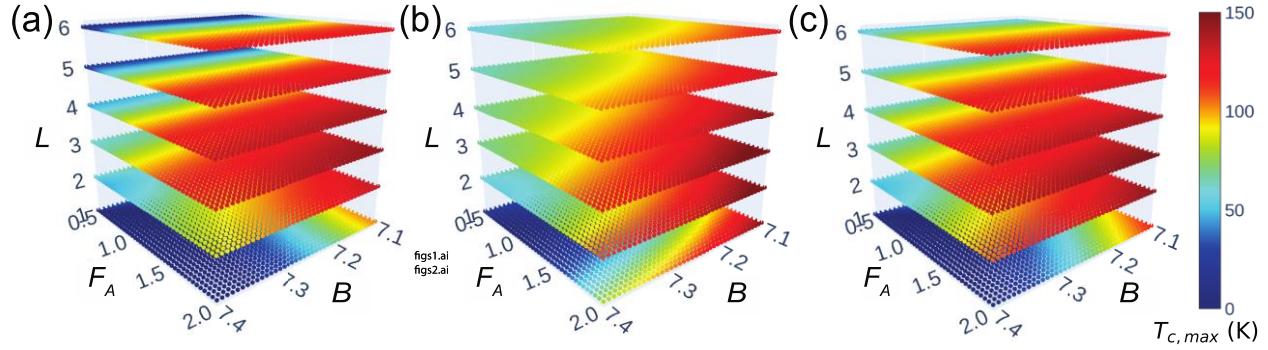


Figure S1. The shape of the equation from the 3C2F models with the RMSE of (a) 3.705 K (b) 3.795 K, and (c) 4.197 K. The color bar indicates the calculated $T_{c,max}$.

Figure S1 shows the shape of the BFS equations of the best three in the 3C2F model. Despite the different forms of the equations, general shapes of the equations are similar with common aspects of (i) smaller B for higher $T_{c,max}$, (ii) larger F_A for higher $T_{c,max}$, and (iii) maximum $T_{c,max}$ at L of 3 or 4.

5. Results of the 2C3F model

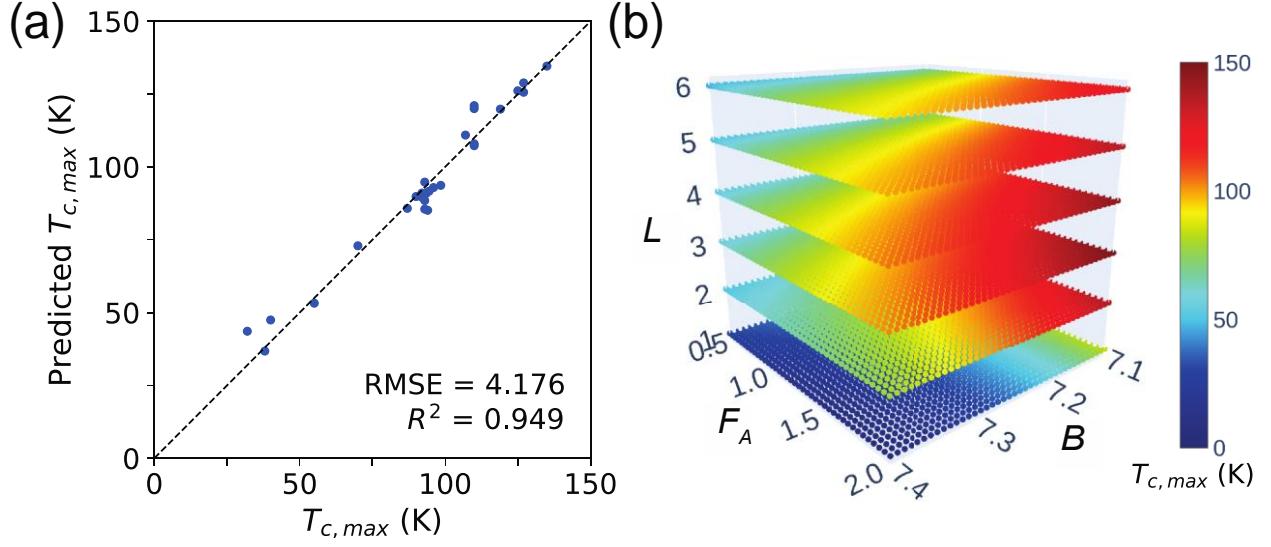


Figure S2. Performance of the 2C3F model. (a) Comparison of the experimental $T_{c,\max}$ against the predicted $T_{c,\max}$ obtained by the best 2C3F model. (b) The shape of the equation of the best 2C3F model with an averaged d_A of 2.494 Å of the dataset.

Figure S2 (a) shows the comparison of experimental $T_{c,\max}$ and the best 2C3F model with the RMSE of 4.176 K and R^2 of 0.949. The best model of the 2C3F is comparable to the best model of the 3C2F with the RMSE of 3.705 K and R^2 of 0.969.

The equations in the best three 2C3F models exhibit the common form, $\frac{\sqrt{F_A}}{e^{B\sqrt{L}}}$ and $\frac{\ln(F_A+1)}{e^L}$ as shown in Table S2. The differences among them are $\frac{1}{\ln(d_A+1)}$, $\frac{1}{\sqrt{d_A}}$, and $\frac{1}{d_A}$, which monotonously decrease as increasing the d_A . Therefore, the equations share characteristics that increase of $T_{c,\max}$ as (i) the B decreases, (ii) the F_A increases, (iii) the d_A increase, and (iv) maximum $T_{c,\max}$ at L of 3 or 4. Figure S2 (b) illustrates the shape of the equation of the best 2C3F model as a representative. Since the equation of the 2C3F models has four parameters we fixed the d_A with the averaged value of 2.494 Å of the dataset for visualization.

Table S2. Details of model equations of the best three 2C3F models. F_A , B , L , and d_A represent the apical force, the Bader charge of the apical oxygen, the number of CuO₂ layers, and the apical oxygen height, respectively.

	Model equation	RMSE (K)	R^2
1	$-0.315 + 270 \frac{10^3 \sqrt{F_A}}{e^B \sqrt{L}} - 713 \frac{\ln(F_A+1)}{e^L \ln(d_A+1)}$	4.176	0.949
2	$-2.16 + 273 \frac{10^3 \sqrt{F_A}}{e^B \sqrt{L}} - 905 \frac{\ln(F_A+1)}{e^L \sqrt{d_A}}$	4.179	0.948
3	$11.3 + 246 \frac{10^3 \sqrt{F_A}}{e^B \sqrt{L}} - 1370 \frac{\ln(F_A+1)}{e^L d_A}$	4.363	0.951

6. Predicted $T_{c,max}$ of hypothetical structures in the 2C3F model

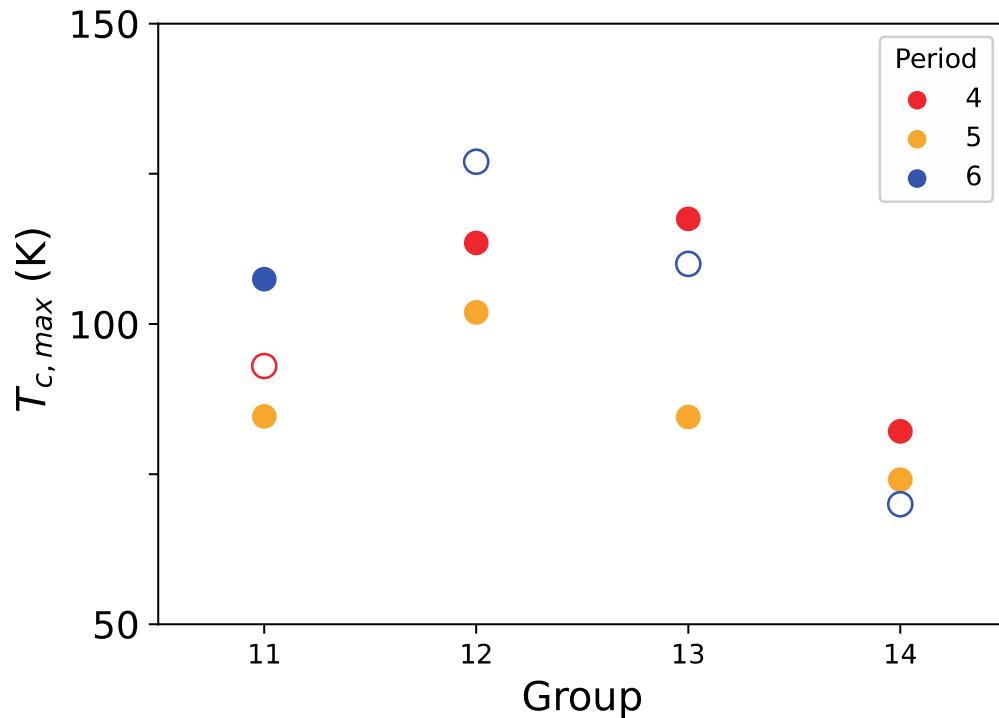


Figure S3. Calculated $T_{c,max}$ of hypothetical structures using the BFS 2C3F model (solid circles).

The group 11 (Cu) of period 4, Group 12 (Hg), 13 (Tl), and 14 (Pb) of period 6 from the experimental $T_{c,max}$ (empty circles)^{17,18,40,47}. The averaged values from the best three 2C3F models are used.

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