Highly Tensile-Strained Self-Assembled Ge Quantum Dots on InP Substrates for Integrated Light Sources

Qimiao Chen^{1, 2, ‡}, Liyao Zhang^{3, ‡}, Yuxin Song^{1, ‡*}, Xiren Chen⁴, Sebastian Koelling⁵, Zhenpu Zhang¹, Yaoyao Li¹, Paul M. Koenraad⁵, Jun Shao⁴, Chuan Seng Tan², Shumin Wang^{1, 6}, and Qian Gong¹

¹ State Key Laboratory of Functional Materials for Informatics, Shanghai Institute of Microsystem and Information Technology, CAS, 200050 Shanghai, China. (Email: songyuxin@gmail.com)

² School of Electrical and Electronic Engineering, Nanyang Technological University, 50 Nanyang Avenue, 639798 Singapore.

³ Department of Physics, University of Shanghai for Science and Technology, 200093 Shanghai, China

⁴ State Key Laboratory of Infrared Physics, Shanghai Institute of Technical Physics, Chinese Academy of Sciences, 200083 Shanghai, China.

⁵ Photonics and Semiconductor Nanophysics, Department of Applied Physics, Eindhoven University of Technology, P.O. Box 513, 5600 MB Eindhoven, The Netherlands.

⁶ Department of Microtechnology and Nanoscience, Chalmers University of Technology,41296 Gothenburg, Sweden.

Contents	
S1 TEM of the large-size Ge QDs sample2	

S2 Band structure of Ge QDs/InAlAs2

S1 TEM of the large-size Ge QDs sample

For the sample with large Ge QDs (S1), defects from the surface of Ge QDs to the InGaAs capping layer are observed as shown in the bottom left figure of Figure S1. But the region without Ge QDs are defect-free as shown in the bottom right figure of Figure S1. The angle between the defect and Ge/III-V interface is 55° or 125°. The possible reason for the formation of the defects is the polar on non-polar nature of $In_{0.52}Al_{0.48}As/Ge$ interface. Controlling the sizes of QDs or annealing may reduce the density of the defects.

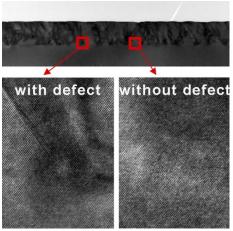


Figure S 1 HR-TEM images of S1, showing the region with defect (left) and without defect (right).

S2 Band structure of Ge QDs/InAlAs

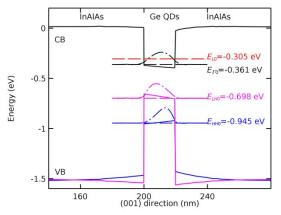


Figure S 2 Band edges of Ge QDs/InAlAs emitting structure along (001) direction. The dash lines represent the energy of ground states of electrons and holes. The dash dot lines represent the corresponding effective-mass wavefunctions.

Table 51.1 arameters of de ana	1111113			
Parameters	Ge	AlAs	InAs	Al _{0.48} In _{0.52} As
a ₀ (Å)	5.6533	5.6610	6.0583	5.8676
Effective masses				
m _c (m0)	0.041	0.15	0.026	0.073
m _{t,L} (m0)	0.082	0.15	0.05	0.098
m _{l,L} (m0)	1.59	1.32	0.64	0.97
Luttinger's parameters				
γ1	13.35	3.76	20	12.20
γ2	4.25	0.82	8.5	4.81
γ ₃	5.69	1.42	9.2	5.47
Bandgaps				
E_{va} (eV)	-6.35	-7.49	-6.67	-7.06
$E_{g,\Gamma}$ (eV)	0.89	3.13	0.41	1.54
$E_{g,L}(eV)$	0.74	2.46	1.133	1.77
Δ (eV)	0.3	0.28	0.39	0.30
Deformation potentials				
a _c (eV)	-8.24	-5.64	-6.08	-5.52
a _L (eV)	-1.54	-	-	-
Ξ _u (eV)	16.3	-	-	-
$\Xi_{\rm d}$ (eV)	-6.97	-	-	-
a _v (eV)	1.24	2.47	1	1.71
b (eV)	-2.9	-2.3	-1.8	-2.04
Elastic constants				
C ₁₁ (GPa)	131.5	125	83.3	103.3
C_{12} (GPa)	49.4	53.4	45.3	49.2
C ₄₄ (GPa)	68.4	54.2	39.6	46.6
· · ·				

Table S1. Parameters of Ge and InAlAs