Supporting Information

Rational Molecular Design of Electrocatalysts Based on Single-Atom Modified Covalent Organic Frameworks for Efficient Oxygen Reduction Reaction

Kazuyuki Iwase,^{†,‡} Shuji Nakanishi^{‡,§} Masaru Miyayama[†] and Kazuhide Kamiya,^{*,‡,§1}

†Department of Applied Chemistry, The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8656, Japan.
‡Research Center for Solar Energy Chemistry, Osaka University, 1-3 Machikane-yama, Toyonaka, Osaka 560-8531, Japan.
§ Graduate School of Engineering Science, Osaka University, 1-3 Machikaneyama, Toyonaka, Osaka 560-8531, Japan.
¹ Japan Science and Technology Agency (JST) PRESTO, 4-1-8 Honcho, Kawaguchi, Saitama 332-0012, Japan.

*E-mail for K.K.: <u>kamiya@chem.es.osaka-u.ac.jp</u>.

Supporting Tables and Figures

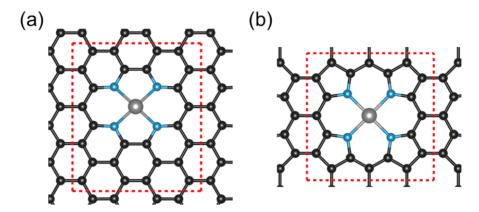


Figure S1. Model structures of graphitic materials with metal centers coordinated by 4 N atoms, which are designed by following previous reports by F. Calle-Vallejo et al.^{1,2} (a) Graphitic material type a and (b) Graphitic materials type b. Gray; transition metal atoms (M), black: C, blue: N and red: O. Red dotted lines represent the unit cell for each structure model.

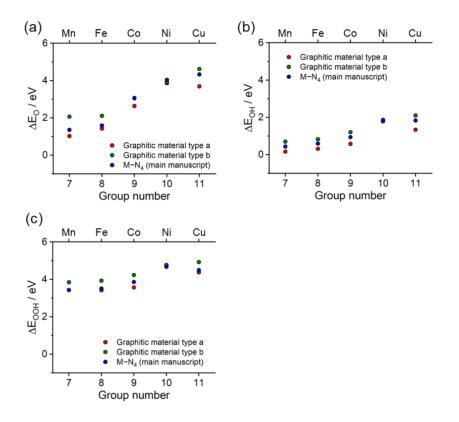


Figure S2. Adsorption energies of ORR intermediates (ΔE_{ads}) to metal centers ((a) O, (b) OH and (c) OOH) using graphitic material models shown in Figure S1. Red symbols: graphitic materials type a, green symbols: graphitic materials type b. Blue symbols represent the replotted value of M–N₄ in the main manuscript for the comparison.

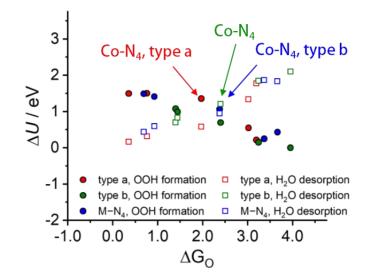


Figure S3. Potential plots for the limiting steps of the ORR against the ΔG_0 of metal-nitrogen doped graphitic materials shown in Figure S1. The y axis is calculated based on the equation in the Computational details section. Potentials for $M-N_4$ in the main manuscript are re-plotted for the comparison.

Table S1. ZPE, TS and $E_{solvation}$ used in this study to calculate formation energy (ΔG) of ORR intermediates. The value is taken from previous reports.^{3–6}

Species (phase)	ZPE	TS	$E_{solvation}$
H ₂ (g)	0.27ª	0.404 ^a	_ ^a
H ₂ O(1)	0.574 ª	0.583 ^a	-0.087 ª
OH*	0.332 ª	_ a	-0.38°
OOH*	0.428 ª	_ a	-0.47°
O*	0.07 ^b	_ b	-0.70°
O ₂	0.08°	-	-0.41°

a: Ref. 3, b: Ref. 4, c: Ref. 5,6.

Table S2. Stabilization energies ($E_{stabilize}$) of metal ions for M–N₂, M–N₃, M–N₄. Metal atoms on pristine graphene and bulk metals are calculated as references. Every value in this table is described in eV unit.

	M-N ₂	M-N ₃	M-N ₄	M on pristine Graphene	M on bulk metals
Mn	-1.81	-2.59	-8.72	-0.10	n.c. ^a
Fe	-2.03	-2.96	-9.20	-0.79	-4.88
Со	-2.72	-3.25	-9.29	-0.96	n.c. ^a
Ni	-2.69	-3.17	-8.86	-1.18	n.c. ^a
Cu	-1.84	-2.31	-7.05	-0.06	-4.58

n.c.^{*a*} : not calculated

Note: These values are similar with those in the previous reports about low-coordination metal sites (CN=2 or 3).⁷⁻⁹ As predicted, lower-coordination metals became more unstable. Some metal centers in M–COFs are less stable than those on bulk metals. This result means that single metal sites in M–COFs are metastable. However, metal atoms in COFs with low CN (CN=2, 3) are obviously more stable than metal atoms embedded on pristine graphene as references. Considering these $E_{\text{stabilize}}$ values, M–COF are stable enough to employ these single-atom-modified COFs as the model structure for the calculation.

	ΔE_0 by GGA + U method		$\Delta E_{\rm O}$ in the main manuscript			
	M-N ₂	M-N ₃	M-N ₄	M-N ₂	M-N ₃	M-N ₄
Со	1.45	1.69	4.32	0.16	0.81	3.05
Cu	1.93	2.54	4.51	1.36	1.90	4.33

Table S3. Effect of the addition of Hubbard correction (U) to the 3d electrons. ΔE_0 values in the main manuscript are also shown as references.

Note: GGA + U with a U value of 5 eV was applied to 3d electron of metal centers. 5 eV was chosen from previous study which reported GGA + U calculation results on SAC with Cu–N₃ like local structure.¹⁰ The same tendency of ΔE_0 , CN and d electron number dependence, was observed even in GGA + U method. However, the absolute values show certain difference from the results obtained in the method without + U for both M–COFs. This result indicates that further analysis by using various kind of base function and/or DFT method can be a future work of this study.

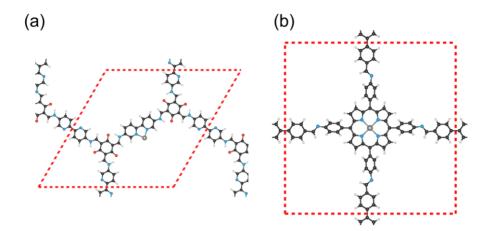


Figure S4. Model structure employed in this study. (a) Bipyridine-type unit cells similar molecular structure to $M-N_2$ in the main manuscript based on the reported COF by Banerjee et al.¹¹ (named $M-N_2-2$) and (b) porphyrin-type unit cell similar molecular structure to $M-N_4$ based on the reported COF by Yaghi et al.¹² (named $M-N_4-2$). Both images display the structures after structural optimization. Gray: transition metal atoms (M), black: C, white: H, blue: N, red: O. The red dotted lines represent the unit cell for each structural model.

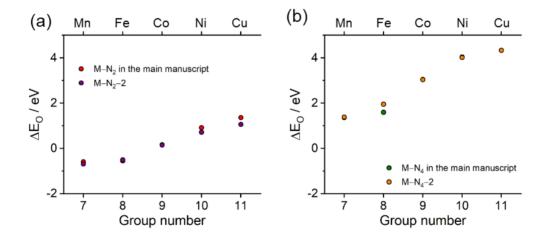


Figure S5. Adsorption energies of O atom (ΔE_{ads}) to metal centers using previous reported COF structure shown in Figure S4. ΔE_0 of M–COFs for (a) M–N₂–2, and (b) M–N₄–2. For both graphs, ΔE_0 value of M–N₂ or M–N₄ in the main manuscript are also re-plotted for the comparison.

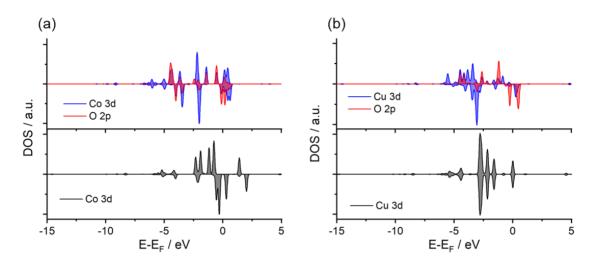


Figure S6. Representative PDOS of (a) $Co-N_4$ and (b) $Cu-N_4$. For both figures, upper parts (colored lines) represent the PDOS of $M-N_4$ with O atoms (*O) and bottom parts (black lines) represent $M-N_4$ without adsorbates. Blue lines and red lines represent the d orbitals of metal atoms in $M-N_4$ and p orbitals of O atoms of O adsorbed $M-N_4$, respectively.

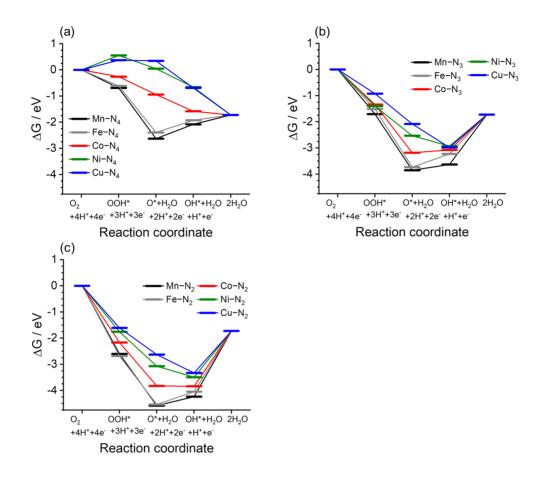


Figure S7. Free energy diagram for each reaction coordinate for ORR. (a) $M-N_4$, (b) $M-N_3$ and (c) $M-N_2$. Electrode potential = 0.8 V vs. CHE.

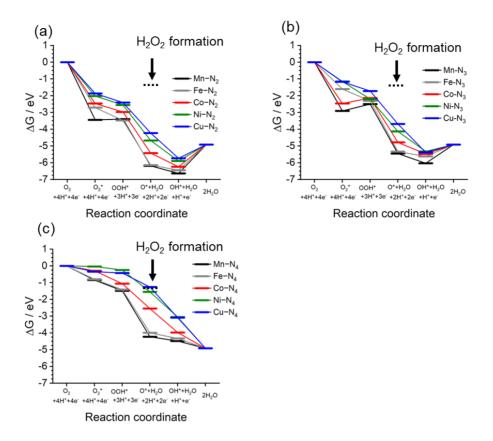


Figure S8. Free energy diagrams for each reaction coordinate for ORR including O_2 molecule adsorption steps and H_2O_2 formation steps. (a) $M-N_2$, (b) $M-N_3$ and (c) $M-N_4$. Electrode potential = 0 V vs. CHE.

Note: In these diagrams, the H_2O_2 formation energy is set to 1.36 eV (= 0.68×2). As shown in this figure, only Cu–N₄ and Ni–N₄ possibly catalyze H_2O_2 formation. Thus, the 4e⁻ process, H_2O formation is more favorable than 2e⁻ process (H_2O_2 formation) for most of M–COFs.

 O_2 adsorption step was calculated to check whether the O_2 adsorption step affects a ratedetermining step for ORR or not. First, the adsorption of O_2 molecule is exothermic for all M–COFs. In addition, for the M–COFs on the left-hand side of the volcano in Figure 6 (*i.e.* the H₂O formation from OH* is the rate determining), the energy barrier of the formation of OOH* from adsorbed O_2 was equal to or smaller than that of the H₂O formation from OH*. These results indicate that our conclusion about the rate-determining steps were not affected even when considering the O_2 adsorption.

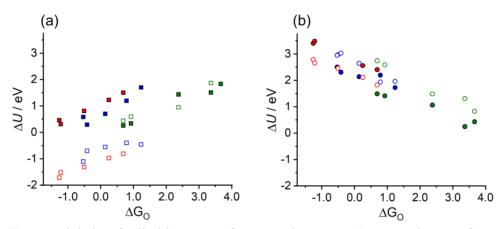


Figure S9. Potential plots for limiting steps of ORR against ΔG_0 . (a) Desorption step for H₂O (open square) and OH* formation (closed square). (b) formation step of OOH* (closed circle) and O* formation (open circle). For both graphs, red: M–N₂, blue: M–N₃ and green: M–N₄.

Note: Basically, (a) OH* formation (closed square) is more positive than H₂O formation (open square) more than 2.35 eV and (b) O* formation (open circle) is more positive than OOH* formation (closed circle) less than 2.35 eV. This means that either H₂O formation or OOH* formation is limiting potential steps for ORR among M–COF catalysts as described in main manuscript. The limiting potential for OH* (ΔU_3) and O* (ΔU_4) is calculated as shown below.

$$\Delta U_3 = -(\Delta G_{OH} - \Delta G_O)$$
$$\Delta U_4 = -(\Delta G_O - \Delta G_{OOH})$$

(M-N ₂)	0	ОН	ООН
Mn	-0.59	-1.70	1.57
Fe	-0.55	-1.51	1.49
Со	0.16	-1.30	2.00
Ni	0.92	-0.95	2.41
Cu	1.36	-0.79	2.57

Table S4 ΔE_{ads} of ORR intermediates calculated in this study.

(M-N ₃)	0	ОН	ООН
Mn	0.14	-1.09	2.47
Fe	0.25	-0.69	2.67
Со	0.81	-0.54	2.83
Ni	1.46	-0.39	2.78
Cu	1.90	-0.44	3.25

(M-N ₄)	0	ОН	ООН
Mn	1.36	0.45	3.48
Fe	1.59	0.61	3.56
Со	3.05	0.96	3.91
Ni	4.04	1.88	4.72
Cu	4.33	1.85	4.54

	Value	Material
O*	-0.7	This study
	0.23	BN^a
	-0.15	Fe–Pc ^b
	-0.76	Cu/CTF ^c
	-0.53	$N-C^d$
	-	M–Nx ^e
OH*	-0.38	This study
	-0.62	BN^a
	-0.2	Fe–Pc ^b
	-0.61	Cu/CTF ^c
	-0.42	$\mathrm{N-C}^d$
	-0.3	M–Nx ^e
OOH*	-0.47	This study
	- 1.18	BN^a

Table S5 Collected data of solvation energies of ORR intermediates for molecular catalysts used in previous studies.

-0.17	Fe–Pc ^b
-0.38	Cu/CTF ^c
- 0.49	$N-C^d$
-0.3	M–Nx ^e

a: Ref. 13, b: Ref. 14, c: Ref. 10, d: Ref. 15, e: Ref. 16

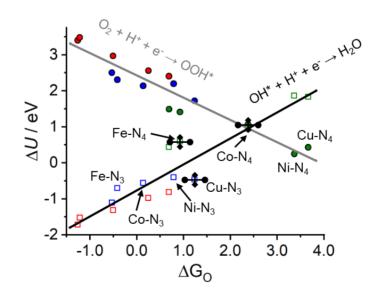


Figure S10. Replotted potential plots for the limiting steps of the ORR against the ΔG_{ads} values with error bars for Cu–N₃, Co–N₄ and Fe–N₄. Error bars represent the mean absolute errors of 5 or 6 values for

solvation energies of O*, OH* and OOH*.

References

(1) Calle-Vallejo, F.; Martinez, J. I.; Rossmeisl, J., Density functional studies of functionalized graphitic materials with late transition metals for oxygen reduction reactions. *Phys. Chem. Chem. Phys.* **2011**, *13* (34), 15639-15643.

(2) Calle-Vallejo, F.; Martínez, J. I.; García-Lastra, J. M.; Abad, E.; Koper, M. T. M., Oxygen reduction and evolution at single-metal active sites: Comparison between functionalized graphitic materials and protoporphyrins. *Surf. Sci.* **2013**, *607*, 47-53.

Calle-Vallejo, F.; Tymoczko, J.; Colic, V.; Vu, Q. H.; Pohl, M. D.; Morgenstern, K.; Loffreda,
D.; Sautet, P.; Schuhmann, W.; Bandarenka, A. S., Finding optimal surface sites on heterogeneous catalysts by counting nearest neighbors. *Science* 2015, *350* (6257), 185-189.

Nørskov, J. K.; Rossmeisl, J.; Logadottir, A.; Lindqvist, L.; Kitchin, J. R.; Bligaard, T.;
 Jónsson, H., Origin of the overpotential for oxygen reduction at a fuel-cell cathode. *J. Phys. Chem. B* **2004**, *108* (46), 17886-17892.

(5) Kattel, S.; Atanassov, P.; Kiefer, B., Catalytic activity of Co-N(x)/C electrocatalysts for oxygen reduction reaction: a density functional theory study. *Phys. Chem. Chem. Phys.* **2013**, *15* (1), 148-153.

(6) Sha, Y.; Yu, T. H.; Liu, Y.; Merinov, B. V.; Goddard, W. A., Theoretical study of solvent effects on the platinum-catalyzed oxygen reduction reaction. *J. Phys. Chem. Lett.* **2010**, *1* (5), 856-861.

(7) Kattel, S.; Atanassov, P.; Kiefer, B., Density functional theory study of Ni–Nx/C electrocatalyst for oxygen reduction in alkaline and acidic media. *J. Phys. Chem. C* **2012**, *116* (33), 17378-17383.

(8) Zheng, Y.; Jiao, Y.; Zhu, Y.; Cai, Q.; Vasileff, A.; Li, L. H.; Han, Y.; Chen, Y.; Qiao, S. Z., Molecule-level g-C3N4 coordinated transition metals as a new class of electrocatalysts for oxygen electrode reactions. *J. Am. Chem. Soc.* **2017**, *139* (9), 3336-3339.

(9) Cui, X.; An, W.; Liu, X.; Wang, H.; Men, Y.; Wang, J., C₂N-graphene supported single-atom catalysts for CO₂ electrochemical reduction reaction: mechanistic insight and catalyst screening. *Nanoscale* **2018**, *10* (32), 15262-15272.

(10) Patel, A. M.; Ringe, S.; Siahrostami, S.; Bajdich, M.; Nørskov, J. K.; Kulkarni, A. R., Theoretical approaches to describing the oxygen reduction reaction activity of single-atom catalysts. J. Phys. Chem. C 2018, 122 (51), 29307-29318.

(11) Aiyappa, H. B.; Thote, J.; Shinde, D. B.; Banerjee, R.; Kurungot, S., Cobalt-modified covalent organic framework as a robust water oxidation electrocatalyst. *Chem. Mater.* **2016**, 28 (12), 4375-4379.

Lin, S.; Diercks, C. S.; Zhang, Y. B.; Kornienko, N.; Nichols, E. M.; Zhao, Y.; Paris, A. R.;
Kim, D.; Yang, P.; Yaghi, O. M.; Chang, C. J., Covalent organic frameworks comprising cobalt porphyrins for catalytic CO₂ reduction in water. *Science* 2015, 349 (6253), 1208-1213.

Lyalin, A.; Nakayama, A.; Uosaki, K.; Taketsugu, T., Theoretical predictions for hexagonal BN based nanomaterials as electrocatalysts for the oxygen reduction reaction. *Phys. Chem. Chem. Phys.* 2013, 15 (8), 2809-2820.

Mussell, S.; Choudhury, P., Density functional theory study of iron phthalocyanine porous layer deposited on graphene substrate: A Pt-free electrocatalyst for hydrogen fuel cells. *J. Phys. Chem.* C 2016, 120 (10), 5384-5391.

(15) Yu, L.; Pan, X.; Cao, X.; Hu, P.; Bao, X., Oxygen reduction reaction mechanism on nitrogendoped graphene: A density functional theory study. *J. Catal.* **2011**, 282 (1), 183-190.

(16) Chan, Y.-T.; Tsai, M.-K., The role of metal-oxo intermediate to oxygen reduction reaction catalysis: A theoretical investigation using nitrogen-substituted carbon nanotube models. *Surf. Sci.* 2018, 677, 301-305.