Effect of Nanoparticles on the Bulk Shear Viscosity of a Lung Surfactant Fluid

Le-Phuong-Anh Thai, Fanny Mousseau, Evdokia Oikonomou, Milad Radiom and Jean-François Berret*

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Movie#1 – Movie of a 43 µm magnetic wire undergoing a synchronous motion (at the angular frequency of 0.06 rad s⁻¹ and under a magnetic field $\mu_0 H$ of 10.3 mT) in a 44 g L⁻¹ pulmonary surfactant dispersion loaded with alumina nanoparticles (c_{NP} = 0.004 g L⁻¹). The time evolution of the wire orientation is displayed in Fig. 2b.

Movie#2 – Same as Movie#1 at the angular frequency of 3.0 rad s⁻¹. The time evolution of the wire orientation is displayed in Fig. 2d.

Movie#3 – Movie of a 64 µm magnetic wire undergoing back-and-forth oscillations (at the angular frequency of 0.06 rad s⁻¹ and under a magnetic field $\mu_0 H$ of 10.3 mT) in a 44 g L⁻¹ pulmonary surfactant dispersion loaded with alumina nanoparticles ($c_{NP} = 0.40$ g L⁻¹). The time evolution of the wire orientation is displayed in Fig. 4b.

Movie#4 – Same as Movie#3 at the angular frequency of 3.0 rad s⁻¹. The time evolution of the wire orientation is displayed in Fig. 4d.

Movie#5 – Combined movies showing pristine Curosurf® at 44 g L⁻¹ (left) and Curosurf® at the same lipid concentration loaded with alumina (center) and silica (right) particles ($c_{NP} = 0.50$ g L⁻¹). The absence of fluctuations for the sample containing alumina is interpreted as an evidence of an arrested state induced by the particles.

Keywords: Pulmonary (lung) surfactant – Nanoparticles – Biomolecular corona – Magnetic wires – Microrheology Corresponding authors: <u>jean-francois.berret@univ-paris-diderot.fr</u>

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Curosurf® composition and comparison with the native surfactant

Lipid composition (%, g/g of total lipid)	Native Surfactant	Curosurf	
Phosphatidylcholine (PC)	70 - 85	67 - 74	
Lysophosphatidyl choline (LPC)	0.5	< 1	
Sphingomyelin (SM)	2	8.1	
Cholesterol	5	0	
Phosphatidylinositol (PI)	4 – 7	3.3	
Phosphatidylserine (PS)	5		
Phosphatidylethanolamine (PE)	3	4.5	
Phosphatidylglycerol (PG)	7 – 10	1.2	
Protein concentration (%, g/g of total lipid)	Native Surfactant	Curosurf	
SP-A	4	0	
SP-B	1	0.3	
SP-C	1	0.7	
SP-D	4	0	

Table S1: Lipid and protein compositions of native surfactant obtained by saline bronchoalveolar lavage compared to that of Curosurf®, a pulmonary surfactant substitute indicated for the rescue treatment of Respiratory Distress Syndrome (RDS) in premature infants.¹ The concentrations are given in percentage by weight of the total lipid content.

Fluid-to-Gel transition from the Curosurf® phospholipid bilayer

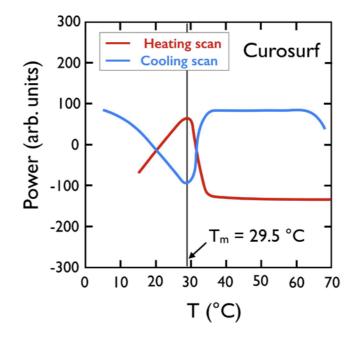


Figure S2: Thermograms of Curosurf® diluted in DI-water at 10 g L⁻¹ obtained by differential scanning calorimetry (DSC). The Curosurf® bilayer melting temperature was estimated at $T_m = 29.5$ °C from heating and cooling cycles.² Thermograms were measured using an N-DSCIII instrument from CSC. The reference cell was filled with DI-water and the sample cell (0.3 mL) with Curosurf®. The capillary cells were not capped and a constant pressure of 5×10^5 Pa was applied. The transition temperature was taken at the second, third and fourth heating scans, at a scan rate of 0.5 °C min⁻¹ (from 5 to 70 °C). The melting temperature was estimated as the mean of the three temperatures mentioned before, leading to $T_m = 29.5$ °C.³ The same procedure was applied with the cooling scans, which were performed in the same conditions.

Dynamic light scattering on Curosurf®/negative silica nanoparticles

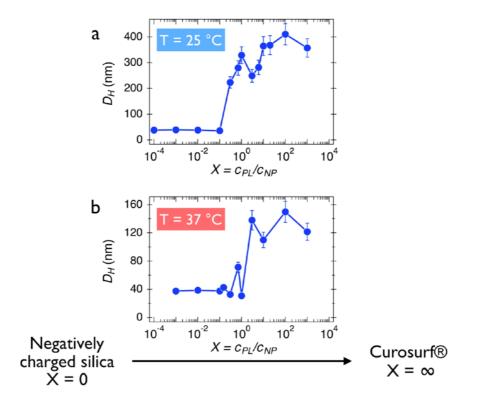


Figure S3: Hydrodynamic diameter of nanoparticle-vesicle dispersions as a function of the concentration ratio $X = c_{PL}/c_{NP}$ obtained from dynamic light scattering at T = 25 °C (a) and T = 37 °C (b) for 20 nm negatively charged silica. In the figures, X = 0 denotes the nanoparticle dispersion and $X = \infty$ Curosurf[®]. The error bars represent the mean of the standard deviations for measurements made in triplicate.

Supplementary Information S4 Additional cryo-TEM images of Curosurf® vesicles

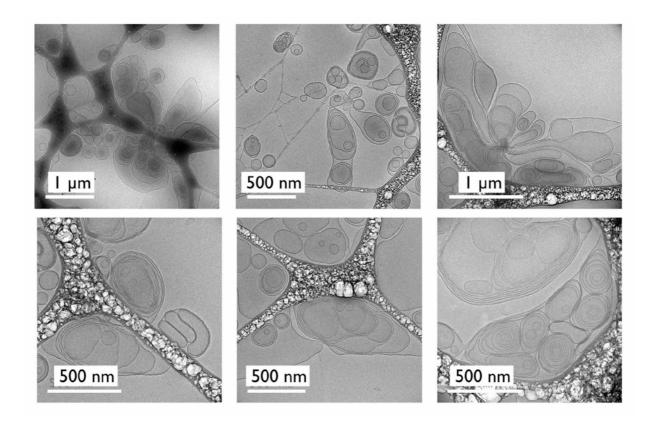


Figure S4: Cryo-TEM images of Curosurf® vesicles at the concentration of 5 g L^{-1,2-4}

Additional oscillation amplitude data for Curosurf® loaded with alumina particles

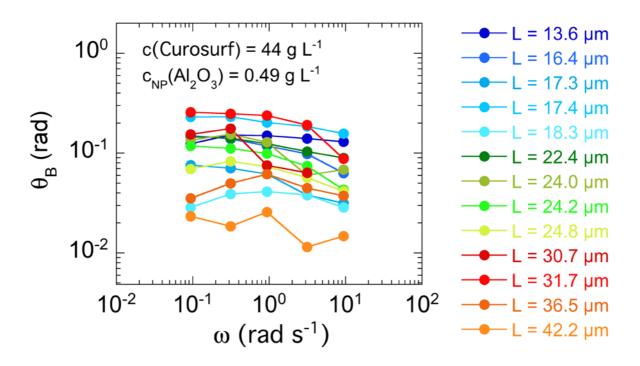


Figure S5: Oscillation amplitude $\theta_B(\omega)$ measured in Curosurf® loaded with alumina particles at $c_{NP} = 0.49$ g L⁻¹ using wires of length between 13 and 42 µm. The $\theta_B(\omega)$ -data show an almost flat variation in this frequency range, suggesting a gel-like behavior. For this sample, it is assumed that the alumina particles added to the dispersion stick to the lipid membrane and participate to the formation of the crosslinked vesicular network.

Transmitted light intensity for Curosurf® without and with nanoparticles

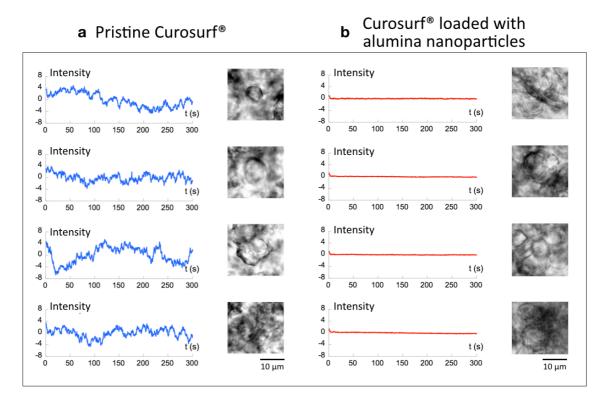


Figure S6: a) Left-hand panels: variations of the transmitted light intensity measured from phase-contrast X40 optical microscopy on a pristine Curosurf® sample. The intensity is determined at different locations in the sample, as shown by the four intensity plots. Right-hand panels: investigated fields of view. b) Same as in Fig. S6a for a Curosurf® dispersion loaded with alumina particles at $c_{NP} = 0.49$ g L⁻¹.

Mircrorheology data for Curosurf® treated with negatively charged silica

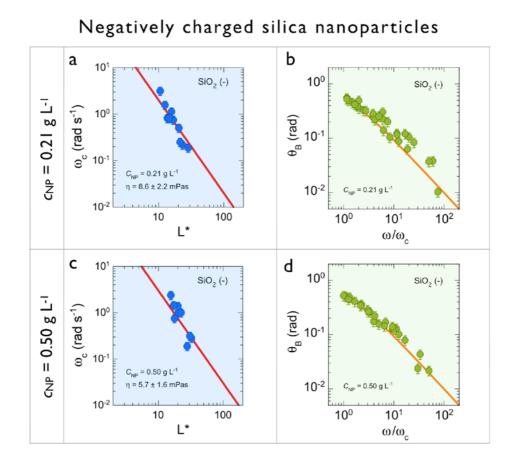


Figure S7: a) and **c)** Critical frequency ω_c as a function of the reduced wire length L^* obtained for Curosurf® dispersions loaded with negative silica particles at concentrations $c_{NP} = 0.21$ g L⁻¹ and $c_{NP} = 0.50$ g L⁻¹. The Curosurf® concentration is set at the physiological value, 44 g L⁻¹. The straight line displays the $1/L^{*2}$ -dependence predicted from the viscous fluid constitutive equation. **b)** and **d)** Oscillation amplitude $\theta_B(\omega/\omega_c)$ observed in the asynchronous regime for the same samples as in **a)** and **c)**. The straight line is for Newtonian fluids.

List of production volumes and industrial applications for silica and alumina nanoparticles for 2012

Silica nanoparticles	Alumina nanoparticles			
Production volumes for nanomaterials	Production volumes for nanomaterials			
(2012): 500 000 tons*	(2012): 30 000 tons*			
Aerogels as light-weight materials and	Coatings and paint			
thermal insulators.				
Filler in rubber and polymer compound	Polishing slurries			
materials	-			
Nanoelectronics fabrication as mask	Airbag propellants and energetic materi-			
substrate	als			
Bioceramics (as coatings for implants)	Air purification			
Anti-corrosion and wear resistant	Composite reinforcement			
coatings				
Insulators with high dielectric properties	Fuel cells			
	Supercapacitor			
	Transparent ceramics			
	Optoelectronics and military and defense			
	applications			
	Polymer composites as solid lubricants			
	Pipe material in gas discharge lamps.			
	Fuels for space and naval vehicles and propellants for the military			
	Cosmetic filler			

Table S8: List of silica and alumina nanoparticle applications.⁵ (*)The production volumes for nanomaterials for 2012 are averaged between upper and lower estimates. <u>http://www.nanotechmag.com/wp-content/uploads/2014/09/ALUMINIUM-OXIDE-NANOPARTICLES-2013-.pdf</u>

Curosurf® viscosity as a function of the vesicle volume fraction

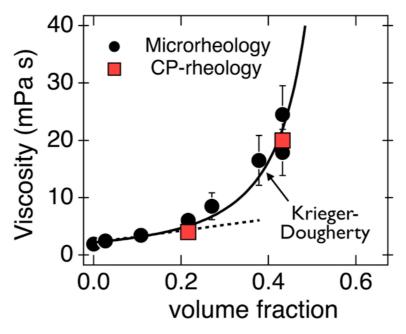


Figure S9: Volume fraction dependence of the Curosurf® static viscosity $\eta(\phi)$. The dashed line is obtained from the Einstein model while the continuous line is derived from the Krieger-Dougherty equation.^{6,7} The Krieger-Dougherty displays a viscosity divergence at $\phi = 0.65$, corresponding to lipid concentration of 117 g L⁻¹. Note that the data from microrheology and from classical rheometry using a Cone-and-Plate (CP) device are in good agreement.⁸

Correspondence between the NP concentration in Curosurf® and the amount of nanoparticles in the alveolar region

In this section, we provide detailed calculations of the total amount of silica and alumina nanoparticles that would be present in the alveolar region of human lungs, assuming NP concentrations in the range 10^{-3} g L⁻¹ - 0.5 g L⁻¹. We recall that the first effect on the viscosity occurs at 10^{-2} g L⁻¹ for positive silica and alumina, and at 10^{-1} g L⁻¹ for the liquid-to-soft solid transition for alumina.

In the following, we base our calculations on human lung figures which are widely accepted by the community (Table S10-1).⁹

Available surface in the alveolar region	S _{AR}	70 m ²	
Alveolus diameter	D_{Alv}	200 µm	
Thickness of pulmonary surfactant	δ_{PS}	0.2 – 0.5 µm	
Total volume of pulmonary surfactant in the lungs	V_{PS}	25 mL	
Volume of pulmonary surfactant in an alveolus	v_{PS}	80 pL	
Number of alveoli in the lungs	N _{Alv}	300 million	

Table S10-1: Size, surface and volume characterizing the alveolar region of the lungs.⁹

The particles are described by their median geometric diameter D_0 , the dispersity of the size distribution *s* and by their mass density ρ . Their number-average molecular weight M_n^{NP} reads:

$$M_n^{NP} = \frac{\pi}{6} \rho D_0^3 exp(4.5s^2) \mathcal{N}_A \tag{S10-1}$$

where \mathcal{N}_A the Avogadro number. For log-normal distribution of median diameter D_0 and dispersity *s*, the nth-moment is given by the expression $< D_0^n > = (D_0)^n \exp(n^2 s^2/2)$.

In this work, the nanoparticle concentration c_{NP} in the surfactant dispersion is varied from 0.001 g L⁻¹ to 0.5 g L⁻¹. Assuming a volume of surfactant per alveolus of v_{PS} = 80 pL (Table S10-1), the mass of particles in a single alveolus and in the alveolar region are respectively:

$$m_{Alv}^{NP} = v_{PS}c_{NP} \tag{S10-2}$$

$$m_{AR}^{NP} = V_{PS}c_{NP} \tag{S10-3}$$

The mass m_{Alv}^{NP} can be transformed into a number of particles per alveolus using:

$$N_{Alv}^{NP} = \frac{m_{Alv}^{NP}}{M_n^{NP}} \mathcal{N}_A \tag{S10-4}$$

Table S10-2 provides the correspondence between the nanoparticle concentrations used in this work and the mass m_{Alv}^{NP} and number N_{Alv}^{NP} of NPs in a single alveolus (Eqs. S10-2&3). In the last column, the total mass of particles in the alveolar region m_{AR}^{NP} is provided (Eqs. S10-3). This later quantity may be of interest for the comparison with actual exposure data.

с _{NP} (g L ⁻¹)	<i>с_{NP}</i> (µg mL ⁻¹)	m^{NP}_{Alv} (g)	N_{Alv}^{NP} Silica(+)	N^{NP}_{Alv} Alumina(+)	N^{NP}_{Alv} Silica(-)	т _{АR} (µg)
0.001	1	8×10 ⁻¹⁴	1.1×10 ³	7.9 ×10 ²	8.4×10 ³	24
0.01	10	8×10 ⁻¹³	1.1×10 ⁴	7.9 ×10 ³	8.4×10 ⁴	240
0.1	100	8×10 ⁻¹²	1.1×10⁵	7.9 ×10 ⁴	8.4×10 ⁵	2400
0.5	500	4 ×10 ⁻¹¹	5.4 ×10 ⁵	3.9 ×10 ⁵	4.2×10 ⁶	12000

Table S10-2: Correspondence between the NP concentrations used in this work and the mass of NPs in the alveolar region using the data from Table S10-1 and Eqs. S10-1-4. The calculations are made using $\rho = 1900$ kg m⁻³ for silica and $\rho = 3950$ kg m⁻³ for alumina.

Supplementary Information S11 Wire synthesis scheme

Iron oxide nanoparticles (γ -Fe₂O₃) were obtained by co-precipitation of iron(II) and iron(III) salts in aqueous solution according to the Massart synthesis. The particle size (6.8 nm) and dispersity (0.18) were measured by TEM, whereas the maghemite cubic structure was assessed by electron beam diffraction.¹⁰ Light scattering was used to measure the weight-average molecular weight ($M_W = 1.3 \times 10^6$ g mol⁻¹) and hydrodynamic diameter ($D_H = 13$ nm) for the bare particles.¹⁰ To be incorporated in larger structures, γ -Fe₂O₃ was coated with poly(acrylic) acid polymers (PAA_{2K}, Aldrich, $M_W = 2100$ g mol⁻¹) following the precipitation-redispersion method.¹¹

The process is based on the dialysis from dispersions containing polymers and particles in an excess of salt (NH₄Cl, Aldrich). For the linear growth of the aggregates, the dialysis bath was placed in a permanent magnetic field of 0.1 Tesla generated by permanent rare-earth neodynium magnets. This method provides large amounts of wires, around 10^{10} per synthesis. Small-angle X-ray scattering has shown that the particles are at close contact, resulting in magnetic volume fractions of about 30%.¹¹ The wires were also characterized with respect to their mechanical rigidity.¹² It was found that their persistence length was about 1 m and their Young modulus was 3 - 10 MPa, *i.e.* similar to that of elastomers. Under such conditions the wires are rigid and do not exhibit deformation or bending when submitted to steady rotation.

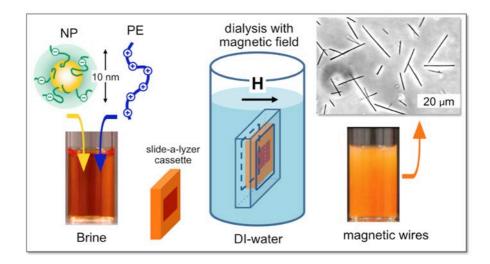


Figure S11: Schematic representation of the protocol that controls the nanoparticle coassembly and wire formation. The dialysis involves the preparation of separate 1 M NH₄Cl salted solutions of particles and copolymers. The ionic strength is progressively diminished by dialysis with a 10000 g mol⁻¹ cut-off Slide-a-Lyzer[®] cassette.¹³

Magnetic wire characterization using optical microscopy

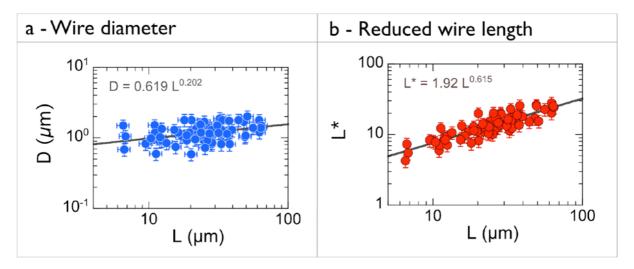


Figure S12: a) Wire diameter measured using optical microscopy (objective X100, numerical aperture 1.3) as a function of the wire length. The observed power law $D = 0.619L^{0.202}$ can be understood assuming that wires are actually bundles of thinner rods that stick together during the dialysis process.⁸ b) Reduced wire length $L^*(L, D) = L/D\sqrt{g(L/D)}$ calculated from **a**). The best fit calculation using a scaling law gives $L^*(L) = 1.92L^{0.615}$. This expression is used in Eq. 1 for the water-glycerol solutions and for the Curosurf® suspensions.

Magnetic field rotating device and spatial distribution of the magnetic field

The magnetic wire microrheology technique has been described in previous accounts.^{12,14,15} For reviews on microrheology techniques and data analysis, especially those using anisotropic probes, we refer to Refs^{16,17}. We summarize here specific issues related to the sample preparation, measurement protocols and data analysis. Concerning the sample preparation, Curosurf® stock suspensions were used as received and diluted to the desired concentrations of 5, 20, 40, 50, 70 and 80 g L⁻¹ using PBS. A total of 10^5 wires (contained in 0.5 μ L) was then added to 100 µL of the previous suspensions and gently stirred. Under such conditions the inter-wire distance was larger than their length and the wires did not interact with each other, either magnetically or hydrodynamically. 25 µL of the previous suspension were deposited on a glass plate and sealed into to a Gene Frame[®] (Abgene/Advanced Biotech, dimensions 10×10×0.25 mm³). The microrheology protocol is based on the Magnetic Rotational Spectroscopy (MRS) technique. MRS consists in applying a rotating magnetic field to a wire and recording its motion by timelapse microscopy.¹⁸ The experiment itself used a home-made device operating with two pairs of coils working with a 90°-phase shift, coupled to a frequency generator and an amplifier. Angular frequencies ω from 10⁻³ to 10² rad s⁻¹ and magnetic fields $\mu_0 H$ from 0 to 20 mTesla were investigated. Stacks of images were acquired from an IX73 Olympus inverted microscope, digitized and treated by the ImageJ software and plugins. In a purely viscous fluid, a wire placed in the MRS configuration experiences a friction torque that tends to slow down its motion. With increasing frequency, the torque increases and above a certain value ω_c , the wire exhibits an asynchronous motion with respect to the field. To improve the experimental accuracy, ω_c is measured from a batch of wires of different lengths and fitted against $L^*(L, D) = L/D\sqrt{g(L/D)}$. The static viscosity is then obtained from the slope of the $\omega_{C} \sim 1/L^{*2}$ scaling behavior.

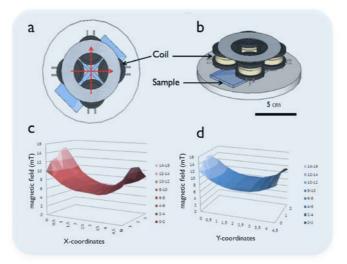


Figure S13: Top (a) and side (b) views of the rotating field device used this work. Magnetic field distributions are shown along the X (c) and Y (d) axis of the four-coil device. In the center, the magnetic field is constant over a $1 \times 1 \text{ mm}^2$ range. With this device, the magnetic field can be varied from 0 to 20 mTesla and the angular frequencies ω from 10^{-3} to 10^2 rad s⁻¹.

Calibrating the magnetic wires using water-glycerol solutions of different viscosity

To determine the susceptibility parameter $\Delta \chi$ in Eq. 1, MRS was performed on a series of water-glycerol mixtures of increasing viscosities, 4.95, 34.9, 48.9 and 80.0 mPa s, corresponding to glycerol concentrations of 49.8%, 81.0%, 84.5% and 89% (T = 25 °C). The critical frequency was determined experimentally and plotted as a function of L^* , resulting in $\Delta \chi = \chi^2/(2 + \chi) = 0.056 \pm 0.006$ and $\chi = 0.36 \pm 0.03$.

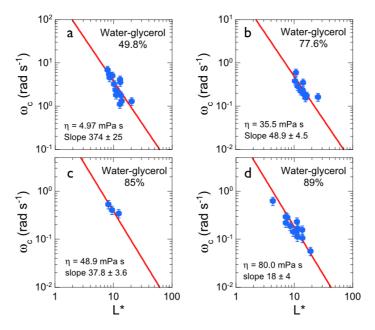


Figure S14: Critical frequency ω_c as a function of the reduced wire length $L^* = L/D\sqrt{g(L/D)}$ obtained for water-glycerol solutions of different concentrations (T = 25 °C).

- a) Glycerol concentration 49.8%, viscosity 4.97 mPa s
- b) Glycerol concentration 77.6%, viscosity 35.5 mPa s
- c) Glycerol concentration 85.0%, viscosity 48.9 mPa s
- d) Glycerol concentration 89.0%, viscosity 80.0 mPa s

Straight lines are least-square fits using Eq. 1. The prefactor to the $\omega_c \sim 1/L^{*2}$ scaling is used to calculate the anisotropy of the magnetic susceptibility $\Delta \chi = \chi^2/(2 + \chi)$ between parallel and perpendicular directions (with respect to the magnetic field).^{12,14} χ is the material magnetic susceptibility.

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