Development of an Enantioselective [3+2] Cycloaddition to Synthesize the Pyrrolidine Core of ABBV-3221 on Multi-kilogram Scale

Supporting Information

John Hartung,^{*,1} Stephen N. Greszler,² Russell C. Klix,¹ Jeffrey M. Kallemeyn¹

¹Process Research and Development, AbbVie, Inc., 1401 Sheridan Road, North Chicago, IL 60064, USA.

²Discovery Chemistry and Technology, AbbVie, Inc., 1 N Waukegan Road, North Chicago, IL, 60064, USA.

*Corresponding author: john.hartung@abbvie.com

Table of Contents

HTE Catalyst Screen	S-2
NMR Spectroscopic Data	S-6
HPLC Methods and Traces for 4	S-10
PXRD of 4	S-13

HTP Catalyst Screen. Inside a glove-box, a 96-well plate was pre-loaded with ligands (0.22 μ mol for bi-dentate (P-P) ligands and 0.44 μ mol for mono-dentate (P) ligands, see legend on page S-3). To each well, a glass bead and stock solution of [CuOTf]₂*toluene complex (50 μ L, 0.1 μ mol) were added. The plate was stirred by an orbital shaker at approx. 14°C for 15 min. Then stock solutions of imine **3** (50 μ L, 2.2 μ mol), KOtBu (50 μ L, 1.6 μ mol), and nitro olefin **2** (50 μ L, 2.0 μ mol) were added, and the plate was stirred by orbital shaker at approx. 0°C for 18 h inside a glove-box. The plate was analyzed by RP-HPLC (conversion, d.e.) and chiral HPLC (e.e. *endo* product).

	Conversion (%)											
	1	2	3	4	5	6	7	8	9	10	11	12
A	98	99	99	99	75	99	80	99	98		99	69
B	100	99	100		97	100	99	0	72		1	99
С	99	100	100	99	99	77	99		99	54		98
D	98	97		99	52	83	99	99		62	100	75
Е	99	100	100	100			99	99	99		99	98
F	100	94		99	58	51	99	99	99	78	99	100
G	99	99	57	87		99	99	73	77	99		81
Н	100	99	69	78	99	79	99	99	100	100	99	99

e.e. <i>endo</i> (%)											
1	2	3	4	5	6	7	8	9	10	11	12
56	17	-6	61	73	-35	-25	-10	30	-34	60	10
63	61	25	3	-23	-25	6	NA	-28	-39	ND	14
-41	53	52	-24	16	-59	-32	25	-15	78	-17	-16
-10	-20	34	34	60	-22	52	4	-14	52	44	-2
30	62	-26	50	0	20	-8	12	-46	88	-74	81
88	28	-30	88	42	-30	6	-48	-70	0	-38	-16
28	0	-18	-10	2	-20	2	40	82	-28	26	-2
80	24	14	-14	16	-79	-22	56	-64	99	-10	-16

90-100 80-90 70-80 60-70 50-60 40-50 30-40 20-30 10-20 0-10

d.e. (%)												
	1	2	3	4	5	6	7	8	9	10	11	12
A	34	6	-10	68		-26	48	-34	-18	-6		
B	4	26	-6	42	-28	-22	48	NA	-9	-22	ND	-28
С	38	20	18	99	26	24	48	76	22	93	24	40
D	-8	18	-38	-18	52	34	28	92	46	62	-64	94
E	-28	74	-16	26	16	2	-16	-22	-16	22	-14	-16
F	89	-16	45	23	92	16	-22	-18	-2	19	-38	12
G	21	47	92	-56	34	-15	-16	34	22	17		
H	95	55		14	92	38	0	38	2	66	94	-4

60 - 80
40 - 60
20 - 40
0 - 20
020
-2040
-4060
-6080
-80100

80 - 100

Well	1	2	3	4
А	[155806-35-2]	[158923-11-6]	[851308-40-2]	[210842-74-3]
В	[155830-69-6]	$F_{3}C$ $F_{3}C$ $F_{3}C$ $F_{3}C$ $F_{4}C$ $F_{4}C$ $F_{4}C$ $F_{4}C$ $F_{4}C$ $F_{5}C$ $F_{6}C$ $F_{6}C$ $F_{6}C$ $F_{6}C$ $F_{6}C$ $F_{7}C$ F	MeO Fe H Me [849924-45-4]	[494227-35-9]
с	[167416-28-6]	MeO MeO [187733-50-2]	MeO Fe H MeO Fe H Me [849924-49-8]	$[494227-36-0] \xrightarrow{CF_3} \\ F_3C \xrightarrow{F_3C} \xrightarrow{F_1} \\ F_3C \xrightarrow{Me_2N} \\ F_7 \xrightarrow{F_1} \\ F_7 $
D	Fe H Me [158923-09-2]	[649559-65-9]	[849924-73-8]	MeO MeO Me2N Me2N Me2N Me2N Me2N Me2 Me2N Me2 Me2 Me2 Me2 Me2 Me2 Me2 Me2 Me2 Me2
E	[184095-69-0]	F_3C F_3C F_3C F_3C F_6 F_9	(223120-71-6)	Fe NMe ₂ (#) [793718-16-8]
F	$F_{3}C$ F	[849924-41-0]	(849924-76-1)	[831226-37-0]
G	MeO Fe H Me [360048-63-1]	[849924-43-2]	Me ₂ N Fe Fe [899811-43-9]	[255884-98-1]
н	$F_{3}C - F_{3} + F_{6} + F_{$	$F_{3}C$ $F_{3}C$ $F_{3}C$ $F_{4}C$ $F_{5}C$ $F_{7}C$ F_{7	Me:N Fe Fe (R) F Fe (R) Fe (R) Fe (R) Fe (R) Fe (R) F F F F F F F F F F F F F F F F F F F	[494227-38-2]

Well	5	6	7	8
А	[387868-06-6]	[133545-16-1]	[849924-76-1]	[136705-64-1]
В	[388079-58-1]	[133545-24-1]	[849924-43-2]	[136705-65-2]
С	[388079-60-5]	MeO MeO OMe OMe OMe OMe OMe OMe	[167416-28-6]	[64896-28-2]
D	Meo + + + + + + + + + + + + + + + + + + +	Me ₂ N iPr iPr iPr iPr iPr iPr iPr iPr	MeO MeO [849924-45-4]	[71042-54-1]
E	[494227-31-5]	[145214-57-9]	(76189-55-4)	[394248-45-4]
F	[494227-32-6]	Heo tBu tBu (F) (F) (F) (F) (F) (F) (F) (F) (F) (F)	[137219-86-4]	[133545-16-1]
G	[494227-33-7]	[394248-45-4]	[100165-88-6]	[919338-66-2]
Н	[849925-29-7]	(192138-05-9]	[147253-67-6]	[167709-31-1]

W	ell 9	10	11	12
A	[362634-22-8]	[445467-61-8]	(490023-37-5]	[129648-07-3]
E	[145214-59-1]	[301847-89-2]	[209482-27-9]	[148461-14-7]
0	[325168-89-6]	F ₃ C F ₃ C CF ₃ CF	[77876-36-2]	(488760-58-3
C	[364732-88-7]	F ₃ C F ₃ C CF ₆ F ₃ F ₃ C CF ₆ F ₃ (F ₃ C) (F	[406681-09-2]	[248244-33-9]
E	[528814-26-8]	[831226-37-0]	[221012-82-4]	F F F F F F F F F F F F F F F F F F F
F	[244261-66-3]	[133545-16-1]	[442905-33-1]	[37002-48-5]
G	5 (210169-40-7]	[919338-66-2]	[470480-32-1]	[139139-86-9]
F	(850253-53-1)	[706814-27-9]	[136779-27-6]	

Compound 2 (¹H-NMR Spectrum):



Compound 2 (¹³C-NMR Spectrum):





Compound **3** (¹H-NMR Spectrum; impurity signals annotated by compound number):

Compound **3** (¹³C-NMR Spectrum):



Compound 4 (¹H-NMR spectrum)



Compound 4 (¹³C-NMR spectrum)





Compound 11 (¹H-NMR spectrum)

Analytical methods.

Crude reaction mixtures were analyzed by Agilent 1200 HPLC system comprising of an Agilent Binary pump, degasser, column compartment, autosampler and diode-array detector equipped with an Ascentis Express C18 (4.6 mm \times 15 cm, 2.7 micron). Mobile phase A was 0.1% phosphoric acid in water and mobile phase B was acetonitrile. Flow rate was 1.0 mL/min with a column temperature of 35°C. The gradient was as follows: 0 min 10% B, 0-10 min 10-90% B, 10-16 min 90% B, 16-17 min 90-10% B. Starting material **2** has a retention time of 8.60 min, desired *endo* isomer **4** has a retention time of 10.16 min, *exo* isomer **11** has a retention time of 10.57 min.

Chiral purity was measured with a similar HPLC system equipped with a Chirapak IC column (4.6 mm x 15 cm, 5 micron). Mobile phase A was hexanes, mobile phase B was ethanol. An isocratic method (80:20 hexanes/ethanol) was used at 1.0 mL/min with an uncontrolled column temperature. Desired enantiomer **4** has a retention time of 5.48 min, undesired enantiomer **12** has a retention time of 8.07 min.

Representative Cycloaddition Reaction Mixture HPLC:



Representative Isolated 4 HPLC:



Chiral HPLC Trace of Racemic 4:



2 8.071 BB 0.1738 3562.66553 315.97653 50.1554

Chiral HPLC Trace of Isolated 4 (98.5 : 1.5 e.r.):



2 8.202 BB 0.1754 79.62177 6.97742 1.4756

Powder X-ray diffraction (PXRD) analysis. Samples for PXRD analysis were prepared by spreading the wet cake or sample powder in a thin layer on an aluminum sample holder and gently leveling with a glass microscope slide. The aluminum sample holder was then mounted on the rotating sample holder of the XRG 3000 diffractometer (Inel Corp., Artenay, France) and diffraction data is collected at ambient conditions. The XRG 3000 diffractometer was equipped with a curved position sensitive detector and parallel beam optics and was operated with a copper anode tube (1.5 kW fine focus) energized at 40 kV and 30 mA. An incident beam germanium monochromoter was utilized to provide monochromatic K α 1 radiation (λ =1.540562 Å). The diffractometer was calibrated using the attenuated direct beam at one-degree intervals. Calibration was checked using a silicon powder line position reference standard (NIST 640c). The instrument was controlled using the Symphonix 1.0 software (Inel Corp., Artenay, France) and the data was analyzed using MDI Jade software (version 9.0 Materials Data, Inc., Livermore, CA).



PXRD of 4 Racemate:



