

Supporting Information

Shedding X-ray Light on the Interfacial Electrochemistry of Silicon Anodes for Li-Ion Batteries

Chuntian Cao^{1,2}, Badri Shyam¹, Jiajun Wang², Michael F. Toney^{1,}, Hans-Georg Steinrück^{1,*}*

¹SSRL Materials Science Division, SLAC National Accelerator Laboratory, Menlo Park,
California 94025, United States

²School of Chemistry and Chemical Engineering, Harbin Institute of Technology, Harbin,
Heilongjiang 150001, China

*E-mail: mftoney@slac.stanford.edu, hgs@slac.stanford.edu

1. Parameter confidence analysis:

In order to obtain confidence intervals for the fitting parameters in our X-ray reflectivity (XRR) model refinement, we applied a Monte Carlo resampling technique¹⁻³. Here, N independent datasets are randomly synthesized within the experimental data point uncertainties. The same slab-model is then used to fit each of the generated dataset, resulting in N sets of parameters. The error bar of each parameter is subsequently calculated from the standard deviation of the N parameter values. We performed this procedure for each data set.

2. Figure of merit:

Typical figures of merit (FOMs) in XRR fitting⁴⁻⁷ are a “normalized” FOM, $\text{FOM}_{\text{normlz}} = \sum_i [(R_i^{\text{model}} - R_i^{\text{data}})/R_i^{\text{data}}]^2$, and a logarithmic FOM, $\text{FOM}_{\text{log}} = \sum_i (\log R_i^{\text{model}} - \log R_i^{\text{data}})^2$ (or alternatively, $\text{FOM}_{\text{log}} = \sum_i |\log R_i^{\text{model}} - \log R_i^{\text{data}}|$). In these equations, R^{data} and R^{model} are the measured and calculated/fitted reflectivity, and each “ i ” represents one data point. These FOMs were utilized in our fitting routines, and are usually preferred over chi-square goodness of fit tests as XRR data is spread over a large x - and (particularly) y -range, where $x = q_z$, and $y = \text{reflectivity}$. If the electron density profile is robust, they yield largely equivalent fitting results, i.e. the fitting results using either of the two FOMs are within the error bar of each other. This is shown in the Figure S1, where we compare the XRR fit and corresponding electron density profile using the $\text{FOM}_{\text{normlz}}$ and FOM_{log} , respectively, to refine the 0.2 V dataset from low-potential sequence. The corresponding fit-parameters are shown in Table S1.

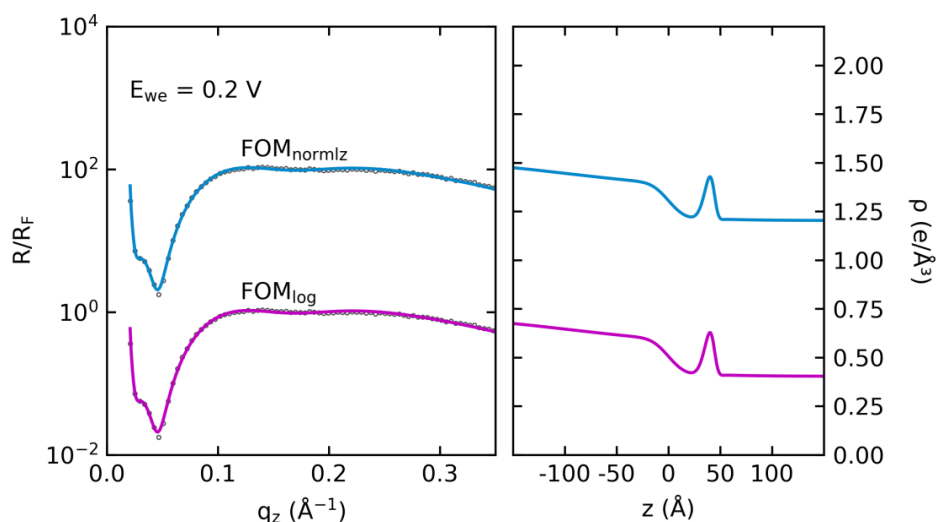


Figure S1. Comparison of fits using $\text{FOM}_{\text{normlz}}$ and FOM_{log} to fit the 0.2 V dataset from low-potential sequence (see Fig. 2 in main manuscript).

Table S1. Best-fit parameter values corresponding to Fig. S2

FOM	Top-SEI layer			Bottom-SEI layer		
	Thickness (Å)	Electron density (e/Å ³)	Roughness (Å)	Thickness (Å)	Electron density (e/Å ³)	Roughness (Å)
normlz	7.1 ±0.5	0.78 ±0.02	3.1 ±0.1	37.1 ±0.4	0.40 ±0.01	6.4 ±0.3
log	7.1 ±0.4	0.78 ±0.02	3.2 ±0.1	37.0 ±0.3	0.40 ±0.01	6.4 ±0.3

3. Fitting program and fitting algorithm:

GenX⁵ and python-based in-house software were used in the XRR model refinement. These use differential evolution⁸ algorithms and basin-hopping⁹ algorithms, respectively, to provide optimized parameters. These algorithms have the advantage of being robust in finding global minima, whereas more standard Levenberg–Marquardt algorithms often find only a local minimum.

4. Resolution:

The resolution of XRR equals π/q_{zmax} , determined by the maximum measured scattering vector q_{zmax} .¹⁰ This resolution refers to the minimum resolvable layer thickness, while the accuracy in the determination of layer thicker than π/q_{zmax} can be more precise and depends on the data quality, such as counting statistics and q_z -resolution.¹¹ In our case, q_{zmax} ranges from 0.3 - 0.5 Å⁻¹, providing a resolution of approximately 6-10 Å, which justifies the utilization of all slabs in our XRR model refinement in terms of resolution.

5. Justification of slab-models:

An acceptable model refinement of XRR data must fulfill the following three conditions. (A) Must fit the data well (FOM and eye inspection), and cannot be described to the same accuracy with fewer layers. The more equivalent data sets, in our case in terms of reaction regime, can be described by the same model fits, the more robust the model refinement. (B) Must have physically meaningful and interpretable parameters. (C) The parameters must be within the experimental resolution.

We use three main models in our manuscript to describe XRR curves corresponding to various reaction regimes in the interfacial electrochemistry of silicon:

1. Top-SEI/bottom-SEI/initial- Li_xSi model, used in SEI growth (section 3.1)
2. SEI/ Li_xSi /dense-Si, used in c-Si lithiation (section 3.2)
3. SEI/Li-dip/ Li_xSi , used in Si delithiation and a-Si lithiation (section 3.3)

Each layer has a physical meaning, and a description of their justification in terms of comparison of fits using models of increasing complexity is provided in our previous papers^{3,12,13}. For ease of comprehension, we briefly summarize the tests for (A) again here via the comparison of fits using different layers. For a detailed discussion of the superiority of the final model over the other tested models we refer the reader to references^{3,12,13}.

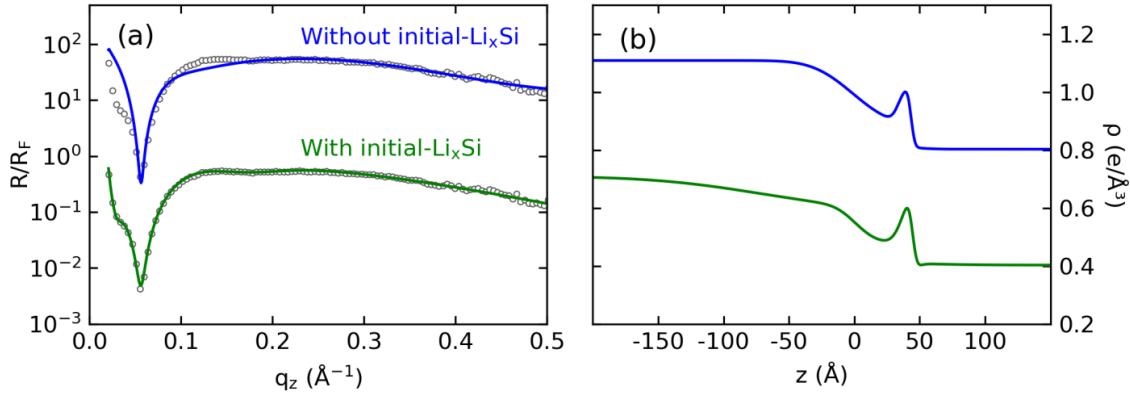


Figure S2. Justification of the initial- Li_xSi layer during SEI growth (for details see Ref ¹²). Adapted with permission from Ref ¹². Copyright 2019 Elsevier.

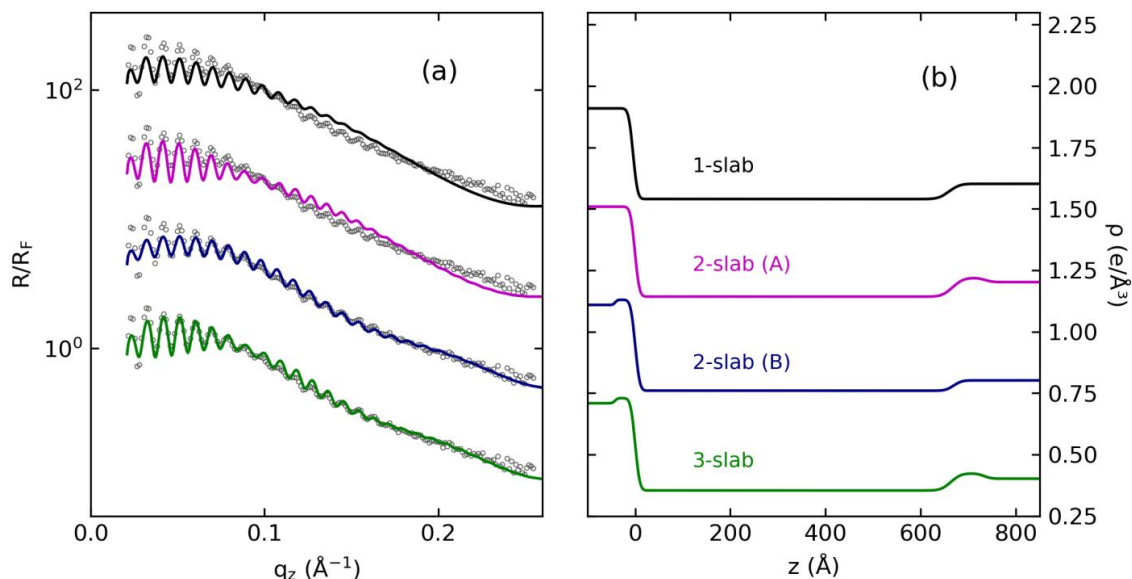


Figure S3. Justification of the 3-layer model used in c-Si lithiation (for details see Ref ³). Adapted with permission from Ref ³. Copyright 2016 American Chemical Society.

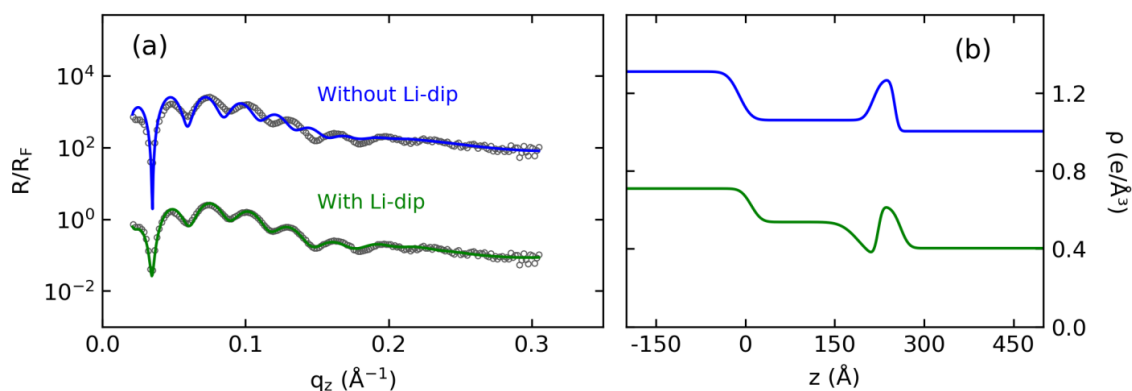


Figure S4. Justification of the Li-dip layer during Li_xSi delithiation and a-Si lithiation (for details see Ref ¹³). Adapted with permission from Ref ¹³. Copyright 2017 Wiley-VCH.

6. The influence of absorption

As shown in Fig. S5, absorption has an only minor effect on the reflectivity profile, in particular at X-ray energies of 11.5 keV and in the case of mostly light elements such as Si, C, Li, F, and O. Due to the complexity in refining the absorption part in XRR due to the unknown layer composition, we did not vary these parameters, keeping the values fixed at the initial guesses.

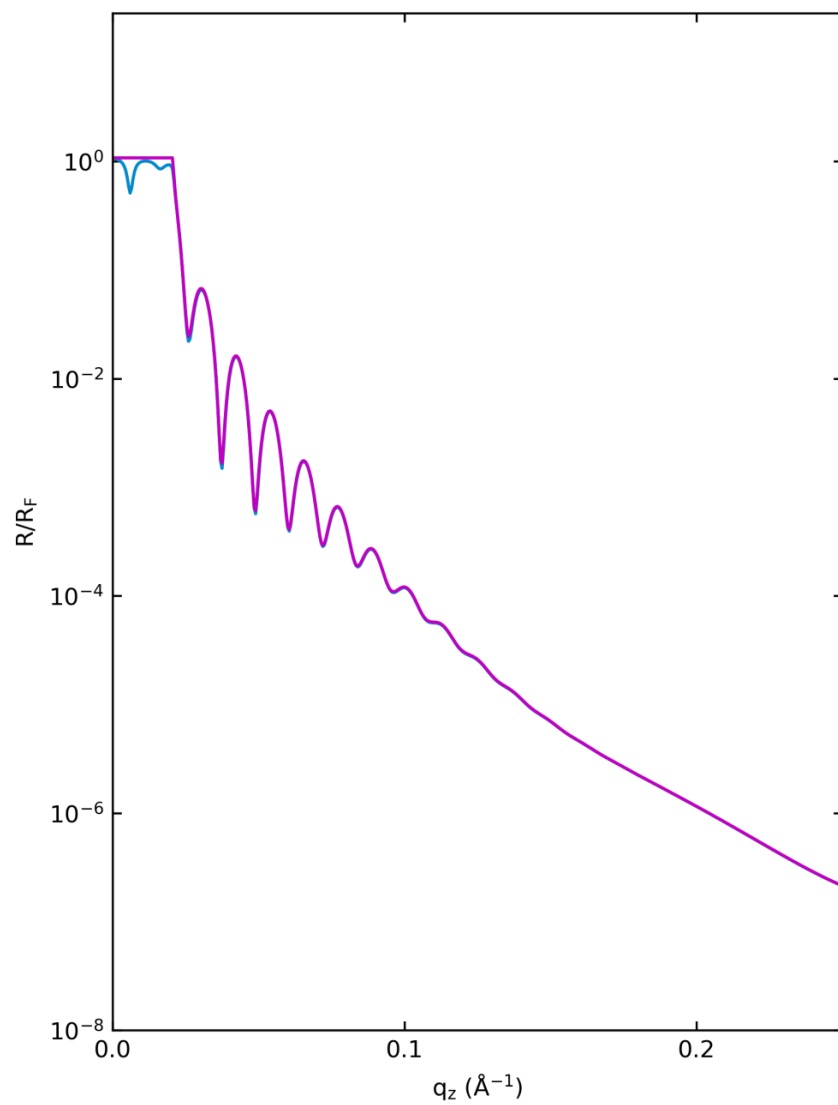


Figure S5. Comparison of the effect of absorption in the model refinement. Blue: with absorption; Magenta: without absorption.

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