

Supporting Information

Reaction of Indole Carboxylic Acid/Amide with Propargyl Alcohols: [4+3]-Annulation, Unexpected 3- to 2- Carboxylate/Amide Migration and Decarboxylative Cyclization

Karuppu Selvaraj, Shubham Debnath and K. C. Kumara Swamy*

School of Chemistry, University of Hyderabad,

Hyderabad-500046, Telangana, India

e-mail: kckssc@uohyd.ac.in, kckssc@yahoo.com

S. No	Contents	Page No.
1.	General methods	S2
2.	Synthesis of starting materials	S2
3.	Optimization of reaction conditions (Table S1)	S3
4.	General procedure (GP-I) for the synthesis of ϵ -lactones 6aa-6ga	S4-S12
5.	General procedure (GP-II) for the synthesis of 3,4-dihydrocyclopenta[b]indole (7aa-7al , 7as , 7ax) by using 1-methylindole-2-carboxylic acid	S12-S18
6.	General procedure (GP-III) for the synthesis of 3,4-dihydrocyclopenta[b]indoles (7aa , 7ac-7ad , 7ak-7ap) by using 1-methylindole-3-carboxylic acid	S18-S20
7.	Synthesis of 1-methyl-3-(1,3,3-triphenylpropa-1,2-dien-1-yl)-1 <i>H</i> -indole-2-carboxamide 8aa	S20-S21
8.	Synthesis of 10-methyl-3,3,5-triphenyl-2,3-dihydroazepino[3,4- <i>b</i>]indol-1(10 <i>H</i>)-one 9aa	S21-S22
9.	General procedure (GP-IV) for the synthesis of ϵ -lactam 9aa , 9ac-9ai , 9ba-9ca by using indole-2-carboxamides	S22-S26
10.	General procedure (GP-V) for the synthesis of ϵ -lactam 9aa , 9al-9ar , 9bs by using 1-methylindole-3-carboxamide	S26-S30
11.	X-ray data collection, solution, refinement and the ORTEPs/crystal data of 6aa , 6aj , 7al , 9ac , 7an , 9aa , 9ap and 4a (Figures S1-S8)	S30-S38
12.	^1H and ^{13}C NMR spectra of all new compounds (Figures S9-S102) [order: 6aa-6ga ; 7aa-7ax ; 8aa ; 9aa-9ca]	S39-S85

(1). General Methods: All reactions were carried out in air, unless otherwise specified. All required chemicals were procured from Aldrich or local manufacturers and used as purchased without further purification, unless noted. Melting points were determined using a hot stage apparatus and were uncorrected. ^1H and ^{13}C NMR spectra were recorded using 5 mm tubes on a 400 and 500 MHz NMR spectrometer [field strengths: 400/100 or 500/125 MHz respectively] in CDCl_3 solution (unless specified otherwise) with shifts referenced to TMS (^1H , ^{13}C : = 0). All J values are in Hz. Infrared spectra were recorded using ATR technique on a FT-IR spectrophotometer. Mass spectra were recorded using HRMS (ESI-TOF analyzer) equipment. Crystallographic data were collected at 293 K on an X-ray diffractometer system using Mo-K α radiation ($\lambda = 0.71073 \text{ \AA}$) or Cu-K α ($\lambda = 1.54184 \text{ \AA}$) radiation. Structures were solved and refined using standard methods. Thin-layer chromatography was performed on silica/alumina plates and components were visualized by observation under iodine/UV light at 254 nm. Column chromatography was performed on silica gel (100-200 mesh)/neutral alumina, for column elution process hexane-EtOAc mixture was used as the eluent unless otherwise stated.

(2). Synthesis of indole-2-carboxylic acids (1), indole-2-carboxamides (2), indole-3-carboxamides (4) and propargyl alcohols (5)

Substituted indole-2-carboxylic acids **1a-1g**,^{1a} and **1h**,^{1b} indole-2-carboxamides **2a-2c**,^{1c} indole-3-carboxamides **4a-4c**,^{1d} and propargyl alcohols **5a-5x**^{1e} were prepared using literature methods.

Reference:

1. (a) Jiang, X.; Zhang, F.; Yang, J.; Yu, P.; Yi, P.; Sun, Y.; Wang, Y. *Adv. Synth. Catal.* **2016**, 358, 3938. (b) Ren, L.; Nan, G.; Wang, Y.; Xiao, Z. *J. Org. Chem.* **2018**, 83, 14472. (c) William, H. M.; Kenneth, A. N; Mark, A. S.; Irene, N. U.; PCT Int. Appl., 2001026652, **19 Apr 2001**. (d) Gary, M. K.; Peter Seongwoo, H.; James, J. T.; Hongyu, R.; Richard Gerald, W.; Anthony A. T.; Alexander A.; Guangming, C.; Jeffrey, A. C. U.S. Pat. Appl. Publ., 20070299069, **27 Dec 2007**. (e) Engel, D. A.; Dudley, G. B. *Org. Lett.* **2006**, 8, 4027.

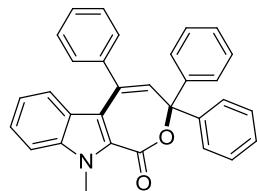
(3). Table S1. Optimization of reaction conditions^a

entry	catalyst (10 mol %)	solvent	T (°C)	time (h)	yield (%) ^b	
					6aa	7aa
1	Sc(OTf) ₃	DCM	rt	12	74	10
2	Zn(OTf) ₂	DCM	rt	12	70	5
3	In(OTf) ₃	DCM	rt	12	60	16
4	Bi(OTf) ₃	DCM	rt	12	65	15
5	AgOTf	DCM	rt	12	72	10
6	NaOTf	DCM	rt	12	63	7
7	FeCl ₃	DCM	rt	12	83	9
8	Yb(OTf) ₃	DCM	rt	12	85	--
9	Fe(OTf) ₃	DCM	rt	12	88	--
10	Cu(OTf)₂	DCM	rt	6	93	--
11	Cu(OTf) ₂	DCM	rt	12	93	--
12	Cu(OTf) ₂	DCM	0	6	70	--
13	Cu(OTf) ₂	DCM	40	6	75	--
14	Cu(OTf) ₂	DCE	rt	6	87	--
15	Cu(OTf) ₂	CHCl ₃	rt	6	78	--
16	Cu(OTf) ₂	Dioxane	rt	6	76	--
17	Cu(OTf) ₂	CH ₃ CN	rt	6	46	--
18	Cu(OTf) ₂	THF	rt	6	40	--
19	Cu(OTf) ₂	Toluene	rt	6	43	--
20	Cu(OTf) ₂	DMSO	rt	6	44	--
21	no catalyst	DCM	rt	6	nr ^c	--
22	Cu(OTf) ₂	DCM	rt	6	93 ^d	--
23	Cu(OTf) ₂	DCM	rt	6	93 ^e	--

^aQuantities used: **1a** (0.100 g, 0.57 mmol), **5a** (0.178 g, 0.62 mmol), solvent (5 mL).

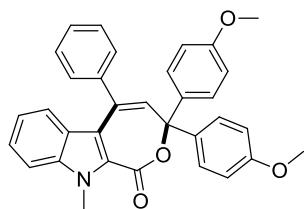
^bYield after isolation is based on **1a**. ^cnr = no reaction. ^d15 mol % Cu(OTf)₂ was used. ^e20 mol % Cu(OTf)₂ was used.

(4). General procedure (GP-I) for the synthesis of ϵ -lactones **6aa-6ga.** An oven dried 25 mL round-bottomed flask was charged with 1-methylindole-2-carboxylic acid **1a** (0.100 g, 0.57 mmol), propargyl alcohol **5a** (0.178 g, 0.62 mmol) and Cu(OTf)₂ (0.020 g, 0.057 mmol (10 mol %)) in dichloromethane (10 mL). The mixture was stirred at rt (25 °C) in open air for 6 h and monitored by TLC for the disappearance of starting materials. After the appropriate period, the reaction mixture was treated with dichloromethane (10 mL) and water (15 mL). The organic phase was separated and the aqueous layer washed with dichloromethane (10 mL) and brine solution (10 mL). The combined organic part was dried over anhydrous Na₂SO₄ and the solvent removed under reduced pressure to afford the crude product. Purification by column chromatography (ethyl acetate: hexane 1:9) afforded the desired product **6aa** as a white solid. Compounds **6ab-6ga** were prepared from appropriate indole-2-carboxylic acids and propargyl alcohols by using the same procedure and same molar quantities.



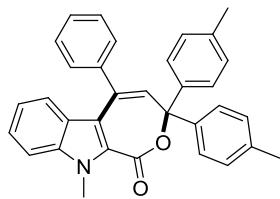
10-Methyl-3,3,5-triphenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6aa**)**

White solid, yield 0.235 g (93%), mp. 168-170 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.54-7.39 (m, 9H), 7.26-7.10 (m, 8H), 6.89-6.85 (m, 1H), 6.65 (d, *J* = 8.4 Hz, 1H), 6.47 (s, 1H), 3.76 (s, 3H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 162.5, 142.0, 140.0, 138.7, 131.8, 131.2, 128.7, 128.5, 128.2, 127.5, 126.1, 125.2, 123.9, 122.9, 120.7, 118.3, 110.0, 85.9, 31.7; IR (neat): ν_{max} 3027, 1691, 1513, 1470, 1448, 1274, 1235, 1161, 1032, 986, 967, 799, 747, 696 cm⁻¹; HRMS (ESI): Calcd. for C₃₁H₂₄NO₂ (M⁺+H): *m/z* 442.1807. Found: 442.1808.



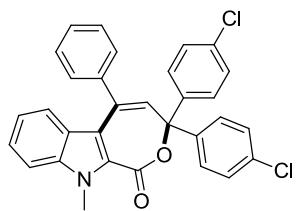
3,3-Bis(4-methoxyphenyl)-10-methyl-5-phenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ab)

White solid, yield 0.259 g (91%), mp. 160-162 °C; ¹H NMR (500 MHz, CDCl₃) δ 7.50-7.49 (m, 2H), 7.46-7.40 (m, 3H), 7.32 (d, *J* = 9.0 Hz, 4H), 7.26-7.22 (m, 2H), 6.88- 6.85 (m, 1H), 6.72 (d, *J* = 8.0 Hz, 4H), 6.60 (d, *J* = 7.0 Hz, 1H), 6.40 (s, 1H), 3.80 (s, 3H), 3.72 (s, 6H); ¹³C{¹H}NMR (125 MHz, CDCl₃) δ 162.6, 158.8, 141.7, 140.2, 138.7, 132.3, 131.1, 128.5₄, 128.4₆, 127.5, 125.1, 124.0, 122.9, 120.5, 118.1, 113.5, 110.1, 85.9, 55.1, 31.7; IR (neat): ν_{max} 2934, 2835, 1689, 1605, 1506, 1464, 1405, 1382, 1233, 1175, 1122, 1030, 829, 738, 698 cm⁻¹; HRMS (ESI): Calcd. for C₃₃H₂₈NO₄ (M⁺+H): *m/z* 502.2018. Found: 502.2019.



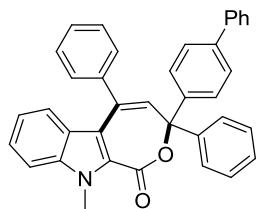
10-Methyl-5-phenyl-3,3-di-p-tolyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ac)

White solid, yield 0.252 g (94%), mp. 170-172 °C; ¹H NMR (500 MHz, CDCl₃) δ 7.51-7.50 (m, 2H), 7.44-7.39 (m, 3H), 7.31 (d, *J* = 8.0 Hz, 4H), 7.26-7.21 (m, 2H), 6.99 (d, *J* = 7.5 Hz, 4H), 6.89-6.86 (m, 1H), 6.62 (d, *J* = 8.0 Hz, 1H), 6.42 (s, 1H), 3.78 (s, 3H), 2.29 (s, 6H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 162.6, 141.7, 140.2, 138.7, 137.1, 132.3, 131.2, 128.9, 128.6, 128.5, 126.0, 125.1, 124.0, 123.0, 120.5, 118.2, 110.0, 85.9, 31.8, 21.0; IR (neat): ν_{max} 3055, 2946, 1692, 1522, 1509, 1407, 1274, 1233, 1122, 1090, 822, 785, 770, 737, 672 cm⁻¹; HRMS (ESI): Calcd. for C₃₃H₂₈NO₂ (M⁺+H): *m/z* 470.2120. Found: 470.2121.



**3,3-Bis(4-chlorophenyl)-10-methyl-5-phenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one
(6ad)**

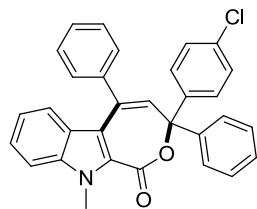
White solid, yield 0.234 g (80%), mp. 172-174 °C; ¹H NMR (500 MHz, CDCl₃) δ 7.50-7.40 (m, 5H), 7.36 (d, *J* = 8.5 Hz, 4H), 7.30-7.25 (m, 2H), 7.18 (d, *J* = 7.5 Hz, 4H), 6.91-6.88 (m, 1H), 6.62 (d, *J* = 8.5 Hz, 1H), 6.32 (s, 1H), 3.81 (s, 3H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 161.9, 142.7, 139.7, 138.8, 133.6, 130.5, 130.3, 128.9, 128.6₂, 128.5₆, 128.5, 127.4, 125.7, 123.8, 122.9, 121.0, 118.3, 110.3, 84.8, 31.9; IR (neat): ν_{max} 3057, 2948, 1697, 1534, 1486, 1384, 1232, 1121, 1087, 1015, 989, 836, 818, 787, 755, 743, 697 cm⁻¹; HRMS (ESI): Calcd. for C₃₁H₂₂Cl₂NO₂ (M⁺+H), (M⁺+H+2), (M⁺+H+4);: *m/z* 510.1028, 512.0998, 514.0968. Found: 510.1023, 512.0998, 514.0986.



3-([1,1'-Biphenyl]-4-yl)-10-methyl-3,5-diphenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ae)

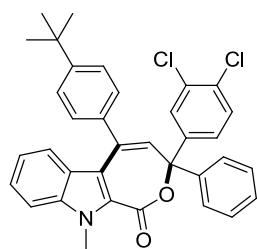
White solid, yield 0.198 g (67%), mp. 140-143 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.55-7.39 (m, 15H), 7.35-7.31 (m, 1H), 7.26-7.13 (m, 5H), 6.90-6.86 (m, 1H), 6.65 (d, *J* = 8.0 Hz, 1H), 6.49 (s, 1H), 3.78 (s, 3H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 162.5, 142.1, 140.3, 140.2, 140.0, 138.7, 131.6, 131.1, 128.8, 128.7, 128.6, 128.5, 128.2, 127.6, 127.4, 127.0, 126.9, 126.6, 126.1, 125.2, 123.9, 122.9, 120.7, 118.3, 110.1, 85.8, 31.7; IR (neat): ν_{max} 3021, 2919,

1605, 1507, 1460, 1334, 1237, 1185, 1115, 1071, 1019, 911, 817, 740, 722, 696 cm⁻¹; HRMS (ESI): Calcd. for C₃₇H₂₈NO₂ (M⁺+H): *m/z* 518.2120. Found: 518.2120.



3-(4-Chlorophenyl)-10-methyl-3,5-diphenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6af)

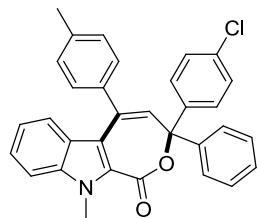
White solid, yield 0.235 g (87%), mp. 155-157 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.52-7.39 (m, 9H), 7.26-7.12 (m, 7H), 6.91-6.87 (m, 1H), 6.63 (dd, *J* = 8.4, 0.8 Hz, 1H), 6.39 (s, 1H), 3.79 (s, 3H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 162.2, 142.4, 139.8, 138.7, 133.4, 131.0, 130.9, 128.8, 128.6, 128.5, 128.4, 128.3, 127.7, 127.5, 126.0, 125.4, 123.9, 122.9, 120.8, 118.3, 110.1, 85.3, 31.7; IR (neat): *v*_{max} 3053, 1695, 1518, 1445, 1402, 1273, 1229, 1086, 1034, 982, 896, 765, 742, 718, 696 cm⁻¹; HRMS (ESI): Calcd. for C₃₁H₂₃ClNO₂ (M⁺+H), (M⁺+H+2): *m/z* 476.1417, 478.1387. Found: 476.1419, 478.1393.



5-(4-(*tert*-Butyl)phenyl)-3-(3,4-dichlorophenyl)-10-methyl-3-phenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ag)

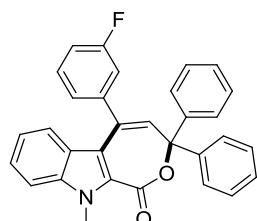
White solid, yield 0.253 g (78%), mp. 171-173 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.59 (s, 1H), 7.43-7.40 (m, 6H), 7.29-7.13 (m, 7H), 6.90 (t, *J* = 7.6 Hz, 1H), 6.69 (d, *J* = 8.4 Hz, 1H), 6.34 (d, *J* = 0.8 Hz, 1H), 3.79 (s, 3H), 1.39 (s, 9H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 162.0, 152.2, 142.5, 138.7, 136.6, 132.6, 131.6, 130.7, 130.2, 129.8, 128.4, 128.2, 127.9,

126.0, 125.5, 123.9, 123.0, 120.8, 118.5, 110.1, 84.9, 34.8, 31.7, 31.4; IR (neat): ν_{max} 2966, 1705, 1599, 1561, 1525, 1468, 1449, 1273, 1224, 1123, 1084, 1026, 997, 849, 783, 768, 744, 671 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{35}\text{H}_{30}\text{Cl}_2\text{NO}_2$ (M^++H), ($\text{M}^++\text{H}+2$), ($\text{M}^++\text{H}+2$): m/z 566.1654, 568.1624, 570.1594. Found: 566.1654, 568.1610, 570.1594.



3-(4-Chlorophenyl)-10-methyl-3-phenyl-5-(p-tolyl)-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ah)

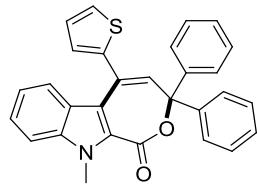
White solid, yield 0.236 g (84%), mp. 164-166 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.43-7.39 (m, 6H), 7.26-7.13 (m, 9H), 6.92-6.89 (m, 1H), 6.70 (d, $J = 8.0$ Hz, 1H), 6.37 (s, 1H), 3.78 (s, 3H), 2.45 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 162.3, 142.3, 138.8, 138.7, 136.9, 133.3, 130.9, 130.4, 129.3, 128.4, 128.3, 127.7, 127.6, 126.0, 125.4, 123.9, 123.1, 120.7, 118.5, 110.1, 85.4, 31.8, 21.4; IR (neat): ν_{max} 3024, 1704, 1688, 1488, 1446, 1401, 1275, 1228, 1086, 807, 781, 743, 710, 698 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{25}\text{ClNO}_2$ (M^++H), ($\text{M}^++\text{H}+2$): m/z 490.1574, 492.1544. Found: 490.1572, 492.1540.



5-(3-Fluorophenyl)-10-methyl-3,3-diphenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ai)

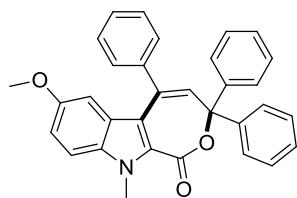
White solid, yield 0.203 g (77%), mp. 162-164 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.44-7.36 (m, 5H), 7.30-7.13 (m, 11H), 6.92-6.89 (m, 1H), 6.66 (d, $J = 8.0$ Hz, 1H), 6.47 (s, 1H), 3.76 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.8 (d, $J = 245.1$ Hz), 162.3, 142.2 (d, $J = 7.5$

Hz), 141.0, 138.6, 132.5, 131.2, 130.1 (d, J = 8.1 Hz), 128.3, 127.6, 126.0, 125.3, 124.4 (d, J = 2.4 Hz), 123.7, 122.6, 120.9, 117.6, 115.7 (d, J = 20.9 Hz), 115.5 (d, J = 21.8 Hz), 110.1, 85.8, 31.7; IR (neat): ν_{max} 3059, 1692, 1608, 1470, 1448, 1266, 1238, 1094, 1033, 976, 886, 781, 737, 698 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{23}\text{FNO}_2$ (M^++H): m/z 460.1713. Found: 460.1714.



10-Methyl-3,3-diphenyl-5-(thiophen-2-yl)-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6aj)

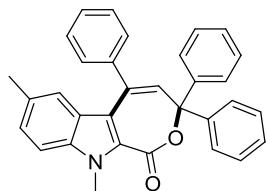
White solid, yield 0.193 g (75%), mp. 185-187 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.42 (d, J = 7.5 Hz, 4H), 7.38 (dd, J = 5.0, 1.0 Hz, 1H), 7.29-7.07 (m, 10H), 7.02-6.96 (m, 2H), 6.59 (s, 1H), 3.74 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 162.4, 141.9, 138.4, 135.0, 131.2, 131.0, 128.2, 127.9, 127.5₂, 127.4₆, 126.0, 125.3, 123.8, 122.8, 120.7, 117.7, 110.0, 86.0, 31.6; IR (neat): ν_{max} 3052, 1690, 1600, 1528, 1487, 1468, 1342, 1227, 1081, 955, 697, 660, 632 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{29}\text{H}_{22}\text{NO}_2\text{S}$ (M^++H): m/z 448.1371. Found: 448.1370.



7-Methoxy-10-methyl-3,3,5-triphenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ba)

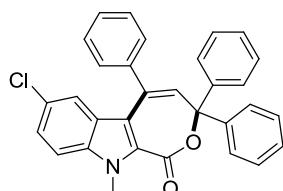
White solid, yield 0.206 g (90%), mp. 158-160 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.54-7.52 (m, 2H), 7.47-7.42 (m, 7H), 7.22-7.12 (m, 6H), 7.09 (d, J = 9.0 Hz, 1H), 6.89 (dd, J = 9.0, 2.5 Hz, 1H), 6.42 (s, 1H), 5.94 (d, J = 2.5 Hz, 1H), 3.74 (s, 3H), 3.43 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 162.4, 154.2, 142.1, 140.0, 134.2, 131.1, 131.0, 128.7, 128.5, 128.5, 128.2,

127.4, 126.1, 124.2, 117.8, 116.6, 110.9, 103.2, 85.8, 55.1, 31.8; IR (neat): ν_{max} 3032, 2940, 1699, 1515, 1485, 1290, 1201, 1121, 1094, 1069, 967, 794, 782, 697 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{26}\text{NO}_3$ (M^++H): m/z 472.1912. Found: 472.1914.



7,10-Dimethyl-3,3,5-triphenyl-3,10-dihydro-1H-oxepino[3,4-b]indol-1-one (6ca)

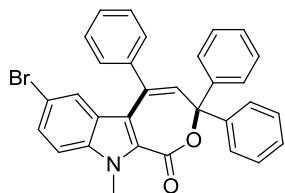
White solid, yield 0.213 g (88%), mp. 169-171 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.53-7.50 (m, 2H), 7.47-7.40 (m, 7H), 7.23-7.04 (m, 8H), 6.43 (s, 1H), 6.36 (d, $J = 0.4$ Hz, 1H), 3.73 (s, 3H), 2.18 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 162.6, 142.2, 140.0, 137.3, 131.4, 131.1, 129.9, 128.6₄, 128.5₇, 128.5, 128.2, 127.4, 127.2, 126.1, 124.1, 122.3, 117.8, 109.7, 85.8, 31.7, 21.5; IR (neat): ν_{max} 3029, 2934, 1703, 1485, 1446, 1424, 1293, 1228, 1072, 981, 874, 744, 696 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{26}\text{NO}_2$ (M^++H): m/z 456.1963. Found: 456.1964.



7-Chloro-10-methyl-3,3,5-triphenyl-3,10-dihydro-1H-oxepino[3,4-b]indol-1-one (6da)

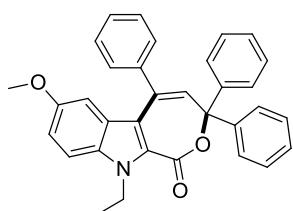
White solid, yield 0.189 g (86%), mp. 166-168 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.51-7.41 (m, 9H), 7.23-7.11 (m, 8H), 6.57 (d, $J = 1.6$ Hz, 1H), 6.47 (s, 1H), 3.73 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 162.2, 141.6, 139.3, 136.9, 132.2₀, 132.1₆, 129.1, 128.7, 128.4, 128.3, 127.6, 126.3, 126.1, 125.8, 124.7, 122.1, 117.6, 111.3, 86.0, 31.9; IR (neat): ν_{max} 3030, 1703, 1601, 1523, 1470, 1545, 1470, 1233, 1096, 1063, 977, 959, 791, 770, 694 cm^{-1} ; LC-

MS: m/z 476 [M+1]⁺; Anal. Calcd. for C₃₁H₂₂ClNO₂: C, 78.23; H, 4.66; N, 2.94. Found: C, 78.15; H, 4.62; N, 2.98.



7-Bromo-10-methyl-3,3,5-triphenyl-3,10-dihydro-1H-oxepino[3,4-b]indol-1-one (6ea)

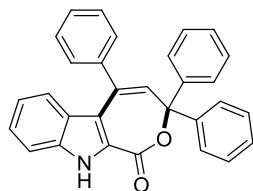
White solid, yield 0.170 g (84%), mp. 169-171 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.51-7.42 (m, 9H), 7.31 (dd, *J* = 8.8 Hz, 1.6 Hz, 1H), 7.23-7.12 (m, 6H), 6.08 (d, *J* = 8.8 Hz, 1H), 6.72 (d, *J* = 2.0 Hz, 1H), 6.48 (s, 1H), 3.73 (s, 3H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 162.2, 141.5, 139.3, 137.1, 132.2, 132.0, 129.0, 128.7, 128.4, 128.3, 127.6, 126.1, 125.3, 125.2, 117.5, 113.9, 111.6, 86.0, 31.8; IR (neat): ν_{max} 3030, 1701, 1601, 1523, 1468, 1444, 1407, 1233, 1095, 1052, 975, 958, 896, 789, 757, 744, 694 cm⁻¹; LC-MS: m/z 519 [M-1]⁺; Anal. Calcd. for C₃₁H₂₂BrNO₂: C, 71.55; H, 4.26; N, 2.69. Found: C, 71.45; H, 4.29; N, 2.63.



10-Ethyl-7-methoxy-3,3,5-triphenyl-3,10-dihydro-1H-oxepino[3,4-b]indol-1-one (6fa)

White solid, yield 0.199 g (76%), mp. 159-161 °C; ¹H NMR (500 MHz, CDCl₃) δ 7.53-7.51 (m, 2H), 7.47-7.42 (m, 7H), 7.22-7.19 (m, 4H), 7.15-7.11 (m, 3H), 6.89 (dd, *J* = 9.0, 2.5 Hz, 1H), 6.37 (s, 1H), 5.93 (d, *J* = 2.0 Hz, 1H), 4.37 (d, *J* = 6.0 Hz, 2H), 3.42 (s, 3H), 1.04 (t, *J* = 7.5 Hz, 3H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 162.1, 154.1, 142.0, 140.2, 133.2, 130.9, 129.8, 128.7, 128.4, 128.2, 127.4, 126.2, 124.5, 118.3, 116.7, 111.0, 103.4, 85.6, 55.0, 39.7, 15.0; IR (neat): ν_{max} 2929, 1701, 1513, 1483, 1446, 1290, 1200, 1167, 1097, 1074, 795, 747,

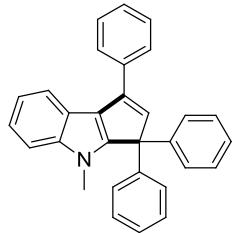
695 cm⁻¹; LC-MS: m/z 486 [M+1]⁺; Anal. Calcd. for C₃₃H₂₇NO₃: C, 81.63; H, 5.60; N, 2.88. Found: C, 81.52; H, 5.65; N, 2.84.



3,3,5-Triphenyl-3,10-dihydro-1*H*-oxepino[3,4-*b*]indol-1-one (6ga)

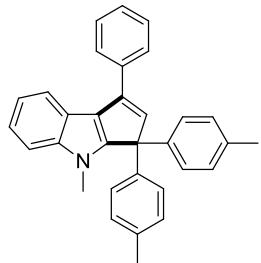
White solid, yield 0.260 g (71%), mp. 179-181 °C; ¹H NMR (500 MHz, CDCl₃) δ 8.99 (s, 1H), 7.52-7.41 (m, 9H), 7.29-7.28 (m, 1H), 7.25-7.22 (m, 5H), 7.19-7.16 (m, 2H), 6.89-6.86 (m, 1H), 6.62 (dd, *J* = 8.0, 0.5 Hz, 1H), 6.36 (s, 1H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 163.4, 143.9, 141.7, 140.1, 136.3, 131.3, 128.8, 128.7₂, 128.6₇, 128.5, 128.4, 127.7, 126.3, 125.8, 124.9, 123.2, 120.8, 118.8, 112.1, 86.6; IR (neat): ν_{max} 3308, 3053, 1660, 1613, 1528, 1457, 1381, 1331, 1243, 1180, 1099, 958, 745, 696 cm⁻¹; LC-MS: m/z 428 [M+1]⁺; Anal. Calcd. for C₃₀H₂₁NO₂: C, 84.29; H, 4.95; N, 3.28. Found: C, 84.19; H, 4.91; N, 3.25.

(5). General procedure (GP-II) for the synthesis of 3,4-dihydrocyclopenta[b]indoles 7aa-7al, 7as and 7ax by using 1-methylindole-2-carboxylic acid. An oven dried 25 mL round-bottomed flask was charged with 1-methylindole-2-carboxylic acid **1a** (0.100 g, 0.57 mmol), propargyl alcohol **5a** (0.178 g, 0.62 mmol) and BF₃.OEt₂ [0.008 g (0.1 mL), 0.057 mmol] (10 mol %) in dichloromethane (10 mL). The mixture was stirred at rt (25 °C) in open air for 12 h and monitored by TLC. Work up was similar to that given above in GP-I. Purification by column chromatography (ethyl acetate: hexane 1:9) afforded the desired product **7aa** as a white solid. Compounds **7ac-7al**, **7as** and **7ax** were prepared from appropriate 1-methylindole-2-carboxylic acid and propargyl alcohols by using the same procedure and same molar quantities.



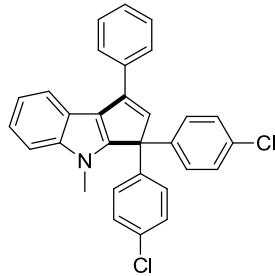
4-Methyl-1,3,3-triphenyl-3,4-dihydrocyclopenta[b]indole (7aa)

White solid, yield 0.184 g (81%), mp. 180-182 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.91-7.89 (m, 2H), 7.87-7.85 (m, 1H), 7.51 (t, $J = 7.6$ Hz, 2H), 7.43-7.40 (m, 1H), 7.39-7.36 (m, 5H), 7.36-7.29 (m, 6H), 7.28-7.19 (m, 2H), 6.50 (s, 1H), 3.61 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 154.9, 141.3, 141.0, 139.9, 136.3, 136.0, 128.6₄, 128.6₀, 128.4, 127.9, 127.5, 127.2, 121.8, 120.7, 120.2, 120.1, 118.9, 110.1, 62.1, 31.4; IR (neat): ν_{max} 3019, 2922, 2851, 1747, 1596, 1511, 1490, 1475, 1459, 1441, 753, 739, 694 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{30}\text{H}_{24}\text{N}$ (M^++H): m/z 398.1909. Found: 398.1906.



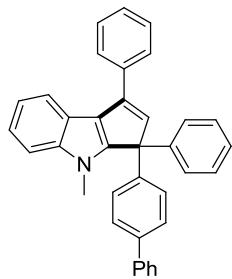
4-Methyl-1-phenyl-3,3-di-p-tolyl-3,4-dihydrocyclopenta[b]indole (7ac)

White solid, yield 0.196 g (81%), mp. 170-172 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.90-7.88 (m, 2H), 7.87-7.85 (m, 1H), 7.52-7.49 (m, 2H), 7.42-7.37 (m, 2H), 7.28-7.25 (m, 5H), 7.23-7.19 (m, 1H), 7.14 (d, $J = 8.0$ Hz, 4H), 6.46 (s, 1H), 3.62 (s, 3H), 2.38 (s, 6H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 155.2, 141.3, 140.7, 136.9, 136.7, 136.5, 136.2, 129.2, 128.6, 128.3, 127.8, 127.5, 121.8, 120.6, 120.1, 120.0, 118.7, 110.0, 61.4, 31.4, 21.2; IR (neat): ν_{max} 3022, 2920, 1905, 1737, 1604, 1507, 1460, 1020, 816, 782, 722 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{28}\text{N}$ (M^++H): m/z 426.2222. Found: 426.2222.



3,3-Bis(4-chlorophenyl)-4-methyl-1-phenyl-3,4-dihydrocyclopenta[b]indole (7ad)

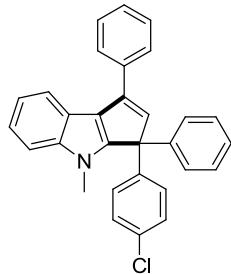
White solid, yield 0.228 g (86%), mp. 185-187 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.85-7.82 (m, 3H), 7.51-7.48 (m, 2H), 7.42-7.39 (m, 1H), 7.37 (d, $J = 8.0$ Hz, 1H), 7.29-7.24 (m, 9H), 7.20 (td, $J = 8.0, 1.0$ Hz, 1H), 6.36 (s, 1H), 3.57 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3): δ 153.8, 141.6, 141.3, 138.0, 135.8, 135.0, 133.1, 129.5, 128.8, 128.6, 128.1, 127.4, 121.5, 121.1, 120.3, 120.2, 119.0, 110.1, 60.8, 31.4; IR (neat): ν_{max} 3058, 2947, 1507, 1478, 1396, 1335, 1234, 1089, 1012, 909, 828, 809, 738, 707, 692 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{30}\text{H}_{22}\text{Cl}_2\text{N}$ (M^++H), ($\text{M}^++\text{H}+2$), ($\text{M}^++\text{H}+4$): m/z 466.1129, 468.1099, 470.1069. Found: 466.1126, 468.1100, 470.1089.



3-([1,1'-Biphenyl]-4-yl)-4-methyl-1,3-diphenyl-3,4-dihydrocyclopenta[b]indole (7ae)

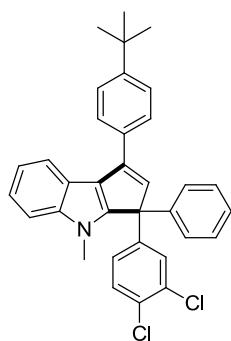
White solid, yield 0.216 g (80%), mp. 117-119 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.90-7.88 (m, 2H), 7.85 (d, $J = 7.5$ Hz, 1H), 7.61-7.59 (m, 2H), 7.54-7.30 (m, 16H), 7.26-7.23 (m, 1H), 7.21-7.18 (m, 1H), 6.50 (s, 1H), 3.62 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3): δ 154.8, 141.2, 141.0, 140.7, 139.9, 139.7, 138.9, 136.2, 135.7, 128.8, 128.7, 128.5, 128.3, 127.8, 127.4, 127.3, 127.2, 127.1, 127.0, 121.6, 120.6, 120.1, 120.0, 118.8, 110.0, 61.7, 31.4; IR (neat): ν_{max} 3058, 2947, 1507, 1478, 1396, 1335, 1234, 1089, 1012, 909, 828, 809, 738, 707, 692 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{33}\text{H}_{28}\text{N}$ (M^++H), ($\text{M}^++\text{H}+2$), ($\text{M}^++\text{H}+4$): m/z 483.2000, 485.1969, 487.1939. Found: 483.1996, 485.1960, 487.1929.

(neat): ν_{max} 3026, 1598, 1508, 1483, 1461, 1333, 1235, 1157, 1115, 1006, 914, 839, 739, 694 cm⁻¹; HRMS (ESI): Calcd. for C₃₆H₂₈N (M⁺+H): *m/z* 474.2222. Found: 474.2222.



3-(4-Chlorophenyl)-4-methyl-1,3-diphenyl-3,4-dihydrocyclopenta[b]indole (7af)

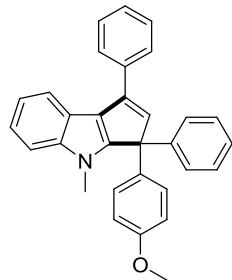
White solid, yield 0.207 g (84%), mp. 145-147 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.91-7.86 (m, 3H), 7.54-7.51 (m, 2H), 7.45-7.21 (m, 13H), 6.46 (s, 1H), 3.61 (s, 3H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 154.3, 141.3₁, 141.2₈, 139.3, 138.6, 136.0, 135.5, 132.9, 129.7, 128.6₅, 128.6₀, 128.2, 127.9, 127.4, 127.3, 121.6, 120.9, 120.2, 120.1, 119.0, 110.0, 61.4, 31.3; IR (neat): ν_{max} 3051, 2924, 1596, 1506, 1477, 1455, 1335, 1089, 1013, 972, 829, 796, 739 cm⁻¹; HRMS (ESI): Calcd. for C₃₀H₂₃ClN (M⁺+H), (M⁺+H+2): *m/z* 432.1519, 434.1489. Found: 432.1518, 434.1497.



1-(4-(tert-Butyl)phenyl)-3-(3,4-dichlorophenyl)-4-methyl-3-phenyl-3,4-dihydrocyclopenta[b]indole (7ag)

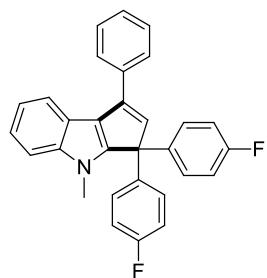
White solid, yield 0.246 g (83%), mp. 182-184 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.91-7.89 (m, 1H), 7.84-7.82 (m, 2H), 7.56-7.54 (m, 2H), 7.46-7.45 (m, 1H), 7.40-7.17 (m, 10H), 6.38 (s, 1H), 3.61 (s, 3H), 1.42 (s, 9H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 153.8, 151.3, 141.5,

141.4, 140.9, 138.9, 134.5, 132.9, 132.7, 131.2, 130.4, 130.1, 128.8, 128.2, 127.9, 127.5, 127.2, 125.6, 121.7, 121.1, 120.4, 120.3, 119.3, 110.1, 61.1, 34.8, 31.5₀, 31.4₇; IR (neat): ν_{max} 2964, 1737, 1592, 1512, 1463, 1375, 1268, 1204, 1115, 1026, 925, 882, 831, 792, 764, 742, 699 cm⁻¹; HRMS (ESI): Calcd. for C₃₄H₃₀Cl₂N (M⁺+H), (M⁺+H+2), (M⁺+H+4): m/z 522.1755, 524.1725, 526.1695. Found: 522.1754, 524.1726, 526.1694.



3-(4-Methoxyphenyl)-4-methyl-1,3-diphenyl-3,4-dihydrocyclopenta[b]indole (7ak)

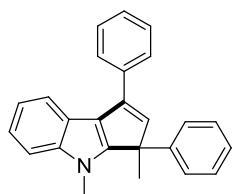
White solid, yield 0.195 g (80%), mp. 184-186 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.89-7.87 (m, 2H), 7.84 (d, J = 7.6 Hz, 1H), 7.51-7.47 (m, 2H), 7.41-7.17 (m, 11H), 6.87-6.83 (m, 2H), 6.46 (s, 1H), 3.82 (s, 3H), 3.60 (s, 3H); ¹³C{¹H} NMR (125 MHz, CDCl₃): δ 158.6, 155.0, 141.2, 140.6, 140.1, 136.3, 136.1, 131.6, 129.4, 128.5₀, 128.4₆, 128.2, 127.7, 127.4, 127.0, 121.7, 120.5, 120.0, 119.9, 118.6, 113.8, 109.9, 61.3, 55.3, 31.3; IR (neat): ν_{max} 3058, 2837, 1743, 1606, 1505, 1458, 1246, 1176, 1025, 830, 742, 698 cm⁻¹; HRMS (ESI): Calcd. for C₃₁H₂₆NO (M⁺+H): m/z 428.2014. Found: 428.2016.



3,3-Bis(4-fluorophenyl)-4-methyl-1-phenyl-3,4-dihydrocyclopenta[b]indole (7al)

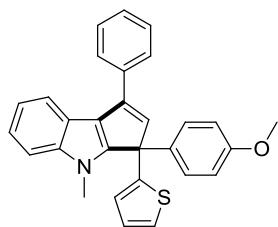
White solid, yield 0.213 g (86%), mp. 162-164 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.88-7.83 (m, 3H), 7.51 (t, J = 7.6 Hz, 2H), 7.43-7.37 (m, 2H), 7.33-7.19 (m, 6H), 7.04-6.99 (m, 4H),

6.41 (s, 1H), 3.59 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 162.0 (d, $J = 244.8$ Hz), 154.4, 141.3, 141.1, 136.0, 135.6, 135.4 (d, $J = 3.3$ Hz), 129.8 (d, $J = 7.9$ Hz), 128.6, 128.0, 127.4, 121.6, 121.0, 120.2 (d, $J = 11.6$ Hz), 118.9, 115.4 (d, $J = 21.1$ Hz), 110.0, 60.7, 31.3; IR (neat): ν_{max} 3069, 2924, 1898, 1598, 1500, 1475, 1459, 1411, 1338, 1219, 1155, 1014, 911, 835, 739, 722, 692, 591 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{30}\text{H}_{22}\text{F}_2\text{N}$ (M^++H): m/z 434.1720. Found: 434.1723.



3,4-Dimethyl-1,3-diphenyl-3,4-dihydrocyclopenta[b]indole (7as)

White solid, yield 0.143 g (75%), mp. 155-157 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.86-7.82 (m, 3H), 7.51-7.48 (m, 2H), 7.41-7.35 (m, 2H), 7.32-7.27 (m, 4H), 7.26-7.17 (m, 3H), 6.16 (s, 1H), 3.63 (s, 3H), 1.98 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 156.4, 141.1, 140.5, 140.1, 136.6, 128.7, 128.5, 127.6, 127.3, 126.8, 126.2, 121.7, 120.4, 119.9, 118.7, 109.8, 51.7, 30.8, 19.8; IR (neat): ν_{max} 3049, 2931, 1598, 1509, 1489, 1476, 1460, 1335, 1236, 1176, 1016, 918, 737, 717, 696 cm^{-1} ; LC-MS: m/z 336 [$\text{M}+1$] $^+$; Anal. Calcd. for $\text{C}_{25}\text{H}_{21}\text{N}$: C, 89.51; H, 6.31; N, 4.18. Found: C, 89.42; H, 6.34; N, 4.21.

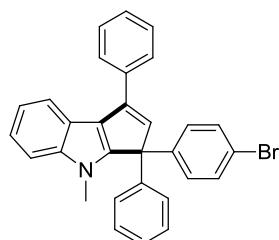


3-(4-Methoxyphenyl)-4-methyl-1-phenyl-3-(thiophen-2-yl)-3,4-dihydrocyclopenta[b]indole (7ax)

White solid, yield 0.196 g (79%), mp. 170-172 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.87 (dd, $J = 8.5, 1.5$ Hz, 2H), 7.82 (d, $J = 8.0$ Hz, 1H), 7.51-7.48 (m, 2H), 7.42-7.40 (m, 1H), 7.38 (d, J

= 8.0 Hz, 1H), 7.32-7.29 (m, 2H), 7.24 (dd, *J* = 7.5, 1.5 Hz, 1H), 7.21-7.17 (m, 2H), 7.04 (dd, *J* = 3.5, 1.0 Hz, 1H), 6.99 (dd, *J* = 5.0, 3.5 Hz, 1H), 6.85-6.82 (m, 2H), 6.42 (s, 1H), 3.81 (s, 3H), 3.70 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 158.9, 154.2, 144.2, 141.3, 140.4, 136.1, 135.8, 131.7, 128.6, 128.5, 127.8, 127.4, 126.5₄, 126.4₇, 124.2, 121.6, 120.6, 120.1, 120.0, 118.6, 113.9, 110.0, 57.7, 55.3, 31.4; IR (neat): ν_{max} 3064, 3000, 2929, 1606, 1506, 1458, 1299, 1247, 1176, 1114, 1025, 919, 838, 826, 742, 695 cm^{-1} ; LC-MS: m/z 434 [M+1]⁺; Anal. Calcd. for $\text{C}_{29}\text{H}_{23}\text{NOS}$: C, 80.34; H, 5.35; N, 3.23. Found: C, 80.41; H, 5.31; N, 3.26.

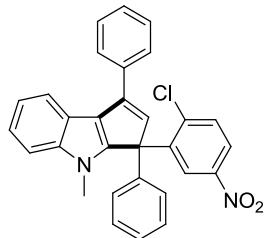
(6). General procedure (GP-III) for the synthesis of 3,4-dihydrocyclopenta[b]indoles 7aa, 7ac-7ad, and 7ak-7ap by using 1-methylindole-3-carboxylic acid. An oven dried 25 mL round-bottomed flask was charged with 1-methylindole-3-carboxylic acid **3a** (0.100 g, 0.57 mmol), propargyl alcohol **5a** (0.178 g, 0.62 mmol) and *p*-TSA (0.010 g, 0.057 mmol (10 mol %)) in dichloromethane (10 mL). The mixture was stirred at rt (25 °C) in open air for 12 h and monitored by TLC. Work up was similar to that given above in GP-I. Purification by column chromatography (ethyl acetate: hexane 1:9) afforded the desired product **7aa** as a white solid. Compounds **7ac-7ad** and **7ak-7ap** were prepared from appropriate 1-methylindole-3-carboxylic acid and propargyl alcohols by using the same procedure and same molar quantities.



3-(4-Bromophenyl)-4-methyl-1,3-diphenyl-3,4-dihydrocyclopenta[b]indole (7am)

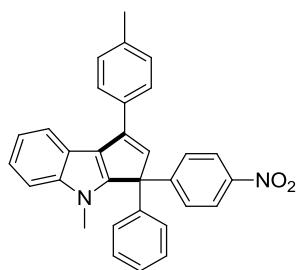
White solid, yield 0.224 g (83%), mp. 173-175 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.87-7.82 (m, 3H), 7.51-7.47 (m, 2H), 7.43-7.40 (m, 3H), 7.38-7.29 (m, 6H), 7.27-7.18 (m, 4H), 6.41

(s, 1H), 3.58 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 154.3, 141.3, 141.2₆, 139.2₀, 139.1₆, 136.0, 135.4, 131.6, 130.0, 128.6₃, 128.6₀, 128.2, 127.9, 127.4, 127.3, 121.6, 121.0, 120.8, 120.1, 118.9, 110.0, 61.5, 31.4; IR (neat): ν_{max} 3055, 1883, 1737, 1594, 1508, 1482, 1465, 1444, 1048, 1007, 910, 827, 743, 696 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{30}\text{H}_{23}\text{BrN}$ (M^++H), ($\text{M}^++\text{H}+2$): m/z 476.1014, 478.0994. Found: 476.1015, 478.1004.



3-(2-Chloro-5-nitrophenyl)-4-methyl-1,3-diphenyl-3,4-dihydrocyclopenta[b]indole (7an)

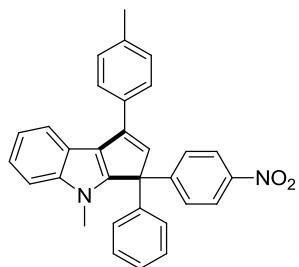
Red solid, yield 0.239 g (88%), mp. 220-222 °C; ^1H NMR (400 MHz, CDCl_3) δ 8.25 (d, $J = 2.8$ Hz, 1H), 8.19 (dd, $J = 8.4, 2.4$ Hz, 1H), 7.92-7.90 (m, 2H), 7.83 (d, $J = 8.0$ Hz, 1H), 7.67 (d, $J = 8.4$ Hz, 1H), 7.55-7.51 (m, 2H), 7.46-7.39 (m, 2H), 7.31-7.19 (m, 7H), 6.98 (s, 1H), 3.61 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3): δ 152.6, 146.8, 144.3, 134.0, 141.7, 139.2, 137.0, 135.7, 132.4, 129.5, 128.8, 128.7, 128.3, 127.4, 127.3, 136.7, 123.6, 123.5, 121.5, 121.4, 120.5, 120.3, 119.1, 110.3, 62.2, 31.4; IR (neat): ν_{max} 3060, 1570, 1517, 1462, 1344, 1289, 1259, 1156, 1112, 1049, 924, 904, 856, 743, 699, 630 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{30}\text{H}_{22}\text{ClN}_2\text{O}_2$ (M^++H), ($\text{M}^++\text{H}+2$): m/z 477.1370, 479.1340. Found: 477.1370, 479.1348.



4-Methyl-3-(4-nitrophenyl)-3-phenyl-1-(p-tolyl)-3,4-dihydrocyclopenta[b]indole (7ao)

Red solid, yield 0.224 g (86%), mp. 146-148 °C; ^1H NMR (400 MHz, CDCl_3) δ 8.16-8.14 (m, 2H), 7.86-7.84 (m, 1H), 7.76 (d, $J = 8.4$ Hz, 2H), 7.52-7.48 (m, 2H), 7.38-7.20 (m, 10H),

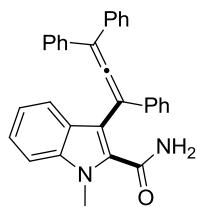
6.38 (s, 1H), 3.58 (s, 3H), 2.45 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3): δ 153.5, 148.5, 146.9, 142.0, 141.3, 138.4, 138.1, 134.0, 132.7, 129.3, 129.0, 128.8, 128.1, 127.6, 127.3, 123.7, 121.5, 121.2, 120.3₁, 120.2₅, 119.5, 110.1, 61.7, 31.4, 21.4; IR (neat): ν_{max} 2922, 1593, 1510, 1463, 1343, 1243, 1180, 1110, 1044, 911, 852, 825, 722, 700 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{25}\text{N}_2\text{O}_2$ (M^++H): m/z 457.1925. Found: 457.1917.



4-Methyl-3-phenyl-1,3-di-p-tolyl-3,4-dihydrocyclopenta[b]indole (7ap)

White solid, yield 0.193 g (79%), mp. 182-184 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.86-7.84 (m, 1H), 7.78 (d, $J = 8.0$ Hz, 2H), 7.37-7.17 (m, 12H), 7.13-7.11 (m, 2H), 6.42 (s, 1H), 3.60 (s, 3H), 2.45 (s, 3H), 2.36 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 155.0, 141.2, 140.6, 140.2, 137.5, 136.8, 136.7, 135.4, 133.5, 129.2, 128.5, 128.3₂, 128.2₆, 127.3, 127.0, 121.7, 120.5, 120.1, 119.9, 118.9, 109.9, 61.6, 31.4, 21.4, 21.1; IR (neat): ν_{max} 2939, 1738, 1594, 1509, 1463, 1335, 1234, 1179, 1158, 1112, 1034, 1017, 817, 765, 724 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{28}\text{N}$ (M^++H): m/z 426.2222 Found: 426.2219.

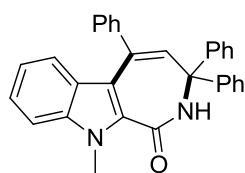
(7). Synthesis of 1-methyl-3-(1,3,3-triphenylpropa-1,2-dien-1-yl)-1*H*-indole-2-carboxamide (8aa). An oven dried 25 mL round-bottomed flask was charged with 1-methylindole-2-carboxamide **2a** (0.100 g, 0.57 mmol), propargyl alcohol **5a** (0.178 g, 0.62 mmol) and $\text{Cu}(\text{OTf})_2$ (0.020 g, 0.057 mmol (10 mol %)) in dichloromethane (10 mL). The mixture was stirred at rt (25 °C) in open air for 6 h and monitored by TLC. Work up was similar to that given above in GP-I. Purification by column chromatography (ethyl acetate: hexane 1:4) afforded the desired product **8aa**.



White solid, yield 0.224 g (89%), mp. 192-194 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.48-7.46 (m, 2H), 7.45-7.25 (m, 17H), 7.11 (td, J = 7.6, 0.8 Hz, 1H), 6.27 (s, 1H), 5.10 (s, 1H), 4.14 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 208.3, 163.8, 138.4, 135.9, 135.3, 129.0, 128.8, 128.7, 128.6, 128.1, 128.0, 126.8, 126.7, 124.9, 121.4, 120.8, 113.1, 112.7, 110.3, 104.1, 32.3; IR (neat): ν_{max} 3446, 3027, 1883, 1665, 1611, 1520, 1487, 1468, 1440, 1321, 1072, 1026, 758, 738, 693 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{24}\text{N}_2\text{NaO}$ ($\text{M}^+ + \text{Na}$): m/z 463.1786. Found: 463.1787.

(8). Synthesis of 10-methyl-3,3,5-triphenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9aa).

An oven dried 25 mL round-bottomed flask was charged with compound 8aa (0.100 g, 0.16 mmol) in DCM (10 mL). The mixture was stirred at reflux temperature with ice-cold water circulation using a condenser for 6 h and monitored by TLC. Work up was similar to that given in GP-I above. Purification by column chromatography (ethyl acetate: hexane 1:4) afforded the desired product 9aa.

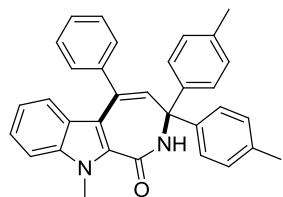


White solid, yield 0.085 g (85%), mp. > 220 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.48-7.44 (m, 2H), 7.42-7.39 (m, 3H), 7.37-7.34 (m, 4H), 7.24-7.16 (m, 8H), 6.88 (s, 1H), 6.81-6.77 (m, 1H), 6.60 (s, 1H), 6.49 (d, J = 8.4 Hz, 1H), 3.93 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 163.4, 145.3, 141.2, 139.5, 138.3, 135.3, 132.3, 128.7, 128.4, 128.2, 127.7, 127.1, 124.4, 124.2, 122.7, 119.9, 117.2, 109.7, 64.5, 31.7; IR (neat): ν_{max} 3166, 3029, 1629, 1521, 1473,

1444, 1315, 1236, 1137, 894, 790, 741, 697, 663 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{25}\text{N}_2\text{O}$ (M^++H): m/z 441.1967. Found: 441.1966.

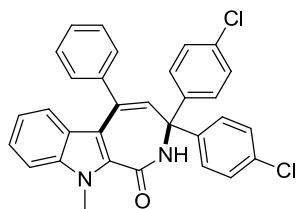
(9). General procedure (GP-IV) for the synthesis of ϵ -lactams **9aa, **9ac-9ai**, and **9ba-9ca** by using indole-2-carboxamides.**

An oven dried 25 mL round-bottomed flask was charged with indole-2-carboxamides **3** (0.100 g, 0.57 mmol), one of the propargyl alcohols **5** (0.62 mmol) and $\text{Cu}(\text{OTf})_2$ (0.020 g, 0.057 mmol (10 mol %)) in dichloromethane (10 mL). The mixture was stirred at reflux temperature with ice-cold water circulation using a condenser for 6 h and monitored by TLC. Work-up was similar to that given in GP-I above. Purification by column chromatography (ethyl acetate: hexane 1:4) afforded the desired products **9aa**, **9ac-9ai**, **9ba-9ca**.



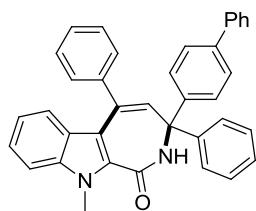
10-Methyl-5-phenyl-3,3-di-p-tolyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9ac)

White solid, yield 0.226 g (85%), mp. > 220 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.46-7.36 (m, 5H), 7.25-7.16 (m, 6H), 7.00 (d, J = 8.0 Hz, 4H), 6.81-6.77 (m, 2H), 6.57 (s, 1H), 6.49 (d, J = 8.0 Hz, 1H), 3.95 (s, 3H), 2.26 (s, 6H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.3, 142.5, 141.4, 139.2, 138.3, 137.3, 135.6, 132.2, 128.8, 128.7, 128.3, 128.0, 127.0, 124.5, 124.1, 122.9, 119.8, 117.2, 109.8, 64.0, 31.8, 21.0; IR (neat): ν_{max} 3376, 3025, 2916, 1915, 1640, 1509, 1468, 1438, 1311, 1187, 1019, 819, 736, 702 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{33}\text{H}_{29}\text{N}_2\text{O}$ (M^++H): m/z 469.2280. Found: 469.2281.



3,3-bis(4-Chlorophenyl)-10-methyl-5-phenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9ad)

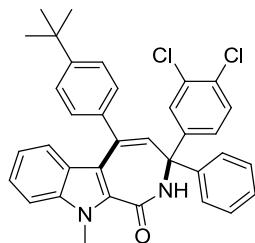
White solid, yield 0.238 g (82%), mp. 170-172 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.45-7.38 (m, 5H), 7.28-7.26 (m, 5H), 7.23-7.20 (m, 5H), 7.03 (s, 1H), 6.83-6.80 (m, 1H), 6.48 (d, $J = 8.0$ Hz, 1H), 6.47 (s, 1H), 3.94 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.1, 143.5, 140.9, 140.2, 138.4, 133.8₃, 133.8₀, 131.8, 128.6, 128.5, 128.4₆, 128.4₀, 128.3₇, 124.6, 124.3, 122.7, 120.2, 117.2, 110.0, 63.7, 31.8; IR (neat): ν_{max} 3374, 3050, 1644, 1513, 1486, 1469, 1314, 1093, 1012, 819, 737, 695 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{23}\text{Cl}_2\text{N}_2\text{O}$ ($\text{M}^+ + \text{H}$), ($\text{M}^+ + \text{H} + 2$), ($\text{M}^+ + \text{H} + 4$): m/z 509.1187, 511.1157, 513.1127. Found: 509.1187, 511.1162, 513.1146.



3-([1,1'-Biphenyl]-4-yl)-10-methyl-3,5-diphenyl-3,10-dihydroazepino[3,4-b]indol-1(2H)-one (9ae)

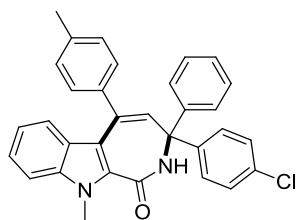
White solid, yield 0.259 g (88%), mp. > 220 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.53-7.43 (m, 6H), 7.41-7.35 (m, 9H), 7.36-7.28 (m, 1H), 7.26-7.15 (m, 5H), 6.93 (s, 1H), 6.81-6.77 (m, 1H), 6.63 (s, 1H), 6.51 (d, $J = 8.4$ Hz, 1H), 3.94 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 160.5, 145.3, 144.5, 141.3, 140.4, 140.2, 139.6, 138.3, 135.2, 132.3, 128.8₄, 128.7₅, 128.5, 128.2₃, 128.2₀, 127.7₃, 127.6₉, 127.5, 127.2, 127.0, 126.8, 124.4, 124.3, 122.8, 120.0, 117.3, 109.8, 64.4, 31.8; IR (neat): ν_{max} 3160, 3026, 2161, 2001, 1627, 1517, 1474, 1442, 1313, 832,

767, 695 cm⁻¹; HRMS (ESI): Calcd. for C₃₇H₂₉N₂O (M⁺ + H): m/z 517.2280. Found: 517.2283.



5-(4-(tert-Butyl)phenyl)-3-(3,4-dichlorophenyl)-10-methyl-3-phenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9ag)

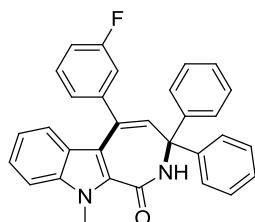
White solid, yield 0.253 g (79%), mp. 177-179 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.53 (s, 1H), 7.42-7.36 (m, 4H), 7.29-7.20 (m, 9H), 6.99 (s, 1H), 6.84-6.81 (m, 1H), 6.55-6.53 (m, 2H), 3.94 (s, 3H), 1.39 (s, 9H); ¹³C{¹H} NMR (125 MHz, CDCl₃) δ 163.4, 151.6, 145.3, 139.9, 138.3, 137.8, 133.6, 132.3, 132.1, 131.6, 129.9, 129.3, 128.6, 128.3, 128.1, 127.1, 126.5, 125.3, 124.5, 124.4, 122.9, 120.1, 117.4, 109.9, 64.0, 34.7, 31.7, 31.4; IR (neat): ν_{max} 3179, 3031, 2958, 1636, 1519, 1469, 1444, 1378, 1316, 1028, 820, 737, 701 cm⁻¹; HRMS (ESI): Calcd. for C₃₅H₃₀Cl₂N₂NaO (M⁺+Na), (M⁺+Na+2), (M⁺+Na+4): m/z 587.1633, 589.1603, 591.1573. Found: 587.1632, 589.1607, 591.1592.



3-(4-Chlorophenyl)-10-methyl-3-phenyl-5-(p-tolyl)-3,10-dihydroazepino[3,4-b]indol-1(2H)-one (9ah)

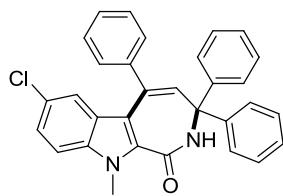
White solid, yield 0.231 g (83%), mp. 190-192 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.34-7.15 (m, 15H), 6.86-6.80 (m, 2H), 6.55 (d, J = 8.4 Hz, 1H), 6.51 (s, 1H), 3.93 (s, 3H), 2.44 (s, 3H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 163.3, 145.5, 143.5, 139.7, 138.3, 138.2, 138.1, 134.1,

133.4, 132.0, 129.1, 128.5, 128.4, 128.2, 127.9, 127.1, 124.4, 122.9, 120.0, 117.4, 109.9, 64.1, 31.7, 21.3; IR (neat): ν_{max} 3171, 3031, 2001, 1877, 1801, 1630, 1520, 1486, 1469, 1443, 1383, 1319, 1093, 1014, 739, 697 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{26}\text{ClN}_2\text{O}$ (M^++H), ($\text{M}^++\text{H}+2$) : m/z 489.1734, 491.1704. Found: 489.1734, 491.1698.



5-(3-Fluorophenyl)-10-methyl-3,3-diphenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9ai)

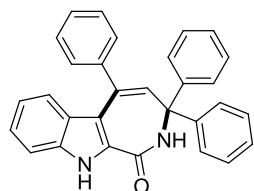
White solid, yield 0.216 g (83%), mp. above 220 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.38-7.33 (m, 5H), 7.24-7.17 (m, 10H), 7.14-7.09 (m, 1H), 7.06 (s, 1H), 6.85-6.81 (m, 1H), 6.63 (s, 1H), 6.54 (d, $J = 8.4$ Hz, 1H), 3.91 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.8 (d, $J = 244.6$ Hz), 163.3, 145.1, 143.4 (d, $J = 7.5$ Hz), 138.5, 138.2, 136.0, 132.4, 129.9 (d, $J = 8.3$ Hz), 128.2, 127.8, 127.1, 124.5 (d, $J = 2.4$ Hz), 124.3, 124.2, 122.5, 120.1, 116.5, 115.5 (d, $J = 21.6$ Hz), 115.0 (d, $J = 20.9$ Hz), 109.9, 64.5, 31.7; IR (neat): ν_{max} 3163, 3027, 2886, 2236, 1629, 1612, 1579, 1523, 1470, 1444, 1325, 1182, 914, 878, 726, 700 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{24}\text{FN}_2\text{O}$ (M^++H): m/z 459.1873. Found: 459.1874.



7-Chloro-10-methyl-3,3,5-triphenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9ba)

White solid, yield 0.178 g (80%), mp. > 220 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.46-7.39 (m, 5H), 7.34-7.32 (m, 4H), 7.24-7.17 (m, 6H), 7.14-7.10 (m, 2H), 6.94 (s, 1H), 6.63 (s, 1H), 6.43-6.42 (m, 1H), 3.89 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 163.0, 140.5, 139.1,

136.5, 135.7, 133.3, 128.5₄, 128.4₅, 128.2, 127.7, 127.0, 125.5, 125.2, 124.7, 121.9, 116.6, 110.9, 64.5, 31.8; IR (neat): ν_{max} 3182, 3025, 2986, 1737, 1625, 1523, 1470, 1443, 1323, 1235, 1069, 836, 743, 696 cm⁻¹; LC-MS: m/z 475 [M+1]⁺; Anal. Calcd. for C₃₁H₂₃ClN₂O: C, 78.39; H, 4.88; N, 5.90. Found: C, 78.31; H, 4.92; N, 5.85.

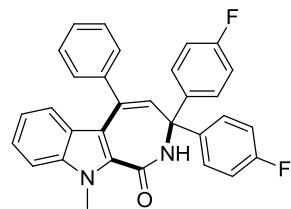


3,3,5-Triphenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9ca)

White solid, yield 0.207 g (78%), mp. > 220 °C; ¹H NMR (500 MHz, CDCl₃) δ 9.48 (s, 1H), 7.45-7.38 (m, 5H), 7.36-7.34 (m, 4H), 7.32 (d, *J* = 8.0 Hz, 1H), 7.26-7.19 (m, 6H), 7.17-7.14 (m, 1H), 6.85 (s, 1H), 6.81-6.78 (m, 1H), 6.49 (d, *J* = 8.5 Hz, 1H), 6.44 (s, 1H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 163.8, 145.6, 141.3, 139.2, 135.8, 133.9, 130.4, 128.9, 128.3, 128.1, 127.8, 127.3, 125.6, 124.8, 123.1, 120.1, 117.1, 111.8, 64.8; IR (neat): ν_{max} 3382, 3254, 1623, 1524, 1490, 1467, 1445, 1400, 1334, 1286, 1013, 776, 744, 698 cm⁻¹; LC-MS: m/z 427 [M+1]⁺; Anal. Calcd. for C₃₀H₂₂N₂O: C, 84.48; H, 5.20; N, 6.57. Found: C, 84.39; H, 5.16; N, 6.63.

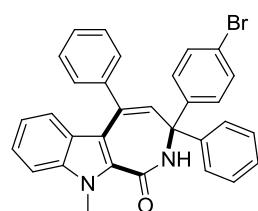
(10). General procedure (GP-V) for the synthesis of ε-lactam 9aa, 9al-9ar, and 9bs by using 1-methylindole-3-carboxamide. An oven dried 25 mL round-bottomed flask was charged with 1-methylindole-3-carboxamide **4a** (0.100 g, 0.57 mmol), propargyl alcohol **5a** (0.178 g, 0.62 mmol) and *p*-TSA (0.010 g, 0.057 mmol (10 mol %)) in dichloromethane (10 mL). The mixture was stirred at rt (25 °C) in open air for 12 h and monitored by TLC. Work-up was similar to that in GP-I given above. Purification by column chromatography (ethyl acetate: hexane 1:4) afforded the desired product **9aa** as a white solid. Compounds **9am-9aq**

and **9bs** were prepared from appropriate indole-3-carboxamide and propargyl alcohol by using the same procedure and same molar quantities.



**3,3-Bis(4-fluorophenyl)-10-methyl-5-phenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one
(9al)**

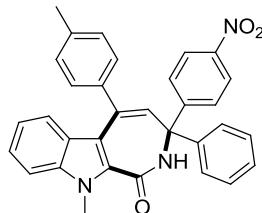
White solid, yield 0.105 g (38%), mp. > 220 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.46-7.37 (m, 5H), 7.34-7.30 (m, 4H), 7.27-7.19 (m, 2H), 7.05 (s, 1H), 6.94-6.91 (m, 4H), 6.83-6.79 (m, 1H), 6.52 (s, 1H), 6.49 (d, $J = 8.0$ Hz, 1H), 3.92 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.5, 162.0 (d, $J = 245.8$ Hz), 141.0, 139.9, 138.3, 134.7, 132.1, 128.9 (d, $J = 8.0$ Hz), 128.7, 128.5, 128.3, 124.5, 124.3, 122.7, 120.2, 117.2, 115.2 (d, $J = 21.3$ Hz), 109.9, 63.7, 31.7; IR (neat): ν_{max} 3161, 3033, 1632, 1600, 1503, 1470, 1443, 1323, 1220, 1163, 833, 739, 700 cm^{-1} ; LC-MS: m/z 475 [M-1] $^+$; Anal. Calcd. for $\text{C}_{31}\text{H}_{22}\text{F}_2\text{N}_2\text{O}$: C, 78.14; H, 4.65; N, 5.88. Found: C, 78.07; H, 4.63; N, 5.82.



**3-(4-Bromophenyl)-10-methyl-3,5-diphenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one
(9am)**

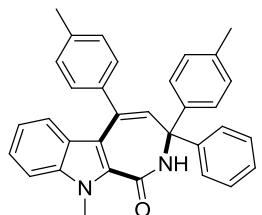
White solid, yield 0.116 g (39%), mp. > 220 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.46-7.37 (m, 5H), 7.34-7.18 (m, 11H), 6.95 (s, 1H), 6.50-6.48 (m, 1H), 6.54 (s, 1H), 6.50-6.48 (m, 1H), 3.93 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.5, 141.1, 139.8, 138.3, 134.6, 132.1, 131.2, 128.9, 128.7, 128.4₃, 128.3₉, 128.3, 127.9, 127.1, 124.4₃, 124.3₅, 122.8, 121.7, 120.1,

117.2, 109.9, 64.2, 31.7; IR (neat): ν_{max} 3162, 3027, 1634, 1520, 1470, 1441, 1317, 1261, 1072, 1007, 818, 738, 698 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{31}\text{H}_{24}\text{BrN}_2\text{O}$ (M^++H), ($\text{M}^++\text{H}+2$): m/z 519.1072, 521.1052. Found: 519.1073, 521.1056.



10-Methyl-3-(4-nitrophenyl)-3-phenyl-5-(*p*-tolyl)-2,3-dihydroazepino[3,4-*b*]indol-1(10*H*)-one (9ao)

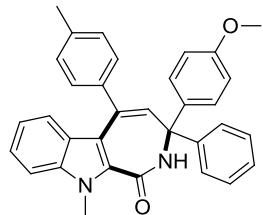
White solid, yield 0.115 g (40%), mp. 139-141 °C; ^1H NMR (400 MHz, CDCl_3) δ 8.00 (d, $J = 8.4$ Hz, 2H), 7.64 (d, $J = 8.8$ Hz, 2H), 7.35-7.20 (m, 11H), 6.95 (s, 1H), 6.85-6.81 (m, 1H), 6.57 (s, 1H), 6.58 (d, $J = 10.4$ Hz, 1H), 3.94 (s, 3H), 2.44 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (100 MHz, CDCl_3) δ 163.2, 152.1, 147.1, 145.3, 140.3, 138.4, 138.3, 137.7, 133.0, 131.8, 129.2, 128.9, 128.5, 128.4, 127.8, 126.9, 124.8, 124.3, 123.1, 122.8, 120.3, 117.5, 109.9, 64.3, 31.8, 21.3; IR (neat): ν_{max} 3173, 3028, 1634, 1516, 1470, 1443, 1343, 1315, 1237, 1110, 905, 876, 849, 814, 735, 700 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{32}\text{H}_{26}\text{N}_3\text{O}_3$ (M^++H): m/z 500.1974. Found: 500.1974.



10-Methyl-3-phenyl-3,5-di-*p*-tolyl-2,3-dihydroazepino[3,4-*b*]indol-1(10*H*)-one (9ap)

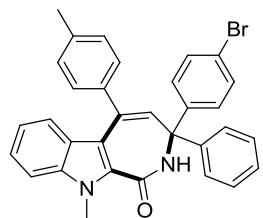
White solid, yield 0.097 g (36%), mp. > 220 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.34 (d, $J = 8.0$ Hz, 4H), 7.28-7.14 (m, 9H), 7.03 (d, $J = 8.0$ Hz, 2H), 6.90 (s, 1H), 6.82-6.79 (m, 1H), 6.56 (d, $J = 8.0$ Hz, 1H), 6.56 (s, 1H), 3.92 (s, 3H), 2.43 (s, 3H), 2.27 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.3, 139.2, 138.4, 138.3, 137.9, 137.3, 134.9, 132.2, 129.0, 128.9,

128.5, 128.0, 127.5, 127.1, 127.0, 124.5, 124.1, 122.9, 119.8, 117.4, 109.7, 64.3, 31.7, 21.3, 20.9; IR (neat): ν_{max} 3173, 3029, 1633, 1518, 1476, 1468, 1448, 1381, 1320, 1095, 1014, 730, 699 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{33}\text{H}_{29}\text{N}_2\text{O}$ (M^++H): m/z 469.2280. Found: 469.2280.



3-(4-Methoxyphenyl)-10-methyl-3-phenyl-5-(*p*-tolyl)-2,3-dihydroazepino[3,4-*b*]indol-1(10*H*)-one (9aq)

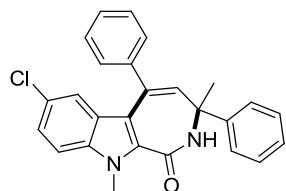
White solid, yield 0.103 g (37%), mp. > 220 °C; ^1H NMR (500 MHz, CDCl_3) δ 7.34 (d, $J = 7.5$ Hz, 4H), 7.24-7.14 (m, 9H), 6.88 (s, 1H), 6.80 (t, $J = 7.5$ Hz, 1H), 6.75 (d, $J = 8.5$ Hz, 2H), 6.56-6.55 (m, 2H), 3.92 (s, 3H), 3.74 (s, 3H), 2.43 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.3, 158.8, 139.3, 138.4, 138.3, 137.9, 134.9, 132.2, 129.0, 128.5, 128.3, 128.0, 127.5, 127.0, 124.5, 124.1, 122.9, 119.8, 117.4, 113.5, 109.7, 64.1, 55.2, 31.7, 21.3; IR (neat): ν_{max} 3159, 3018, 1626, 1604, 1508, 1473, 1442, 1315, 1254, 1182, 1032, 815, 757, 701, 671 cm^{-1} ; HRMS (ESI): Calcd. for $\text{C}_{33}\text{H}_{29}\text{N}_2\text{O}_2$ (M^++H): m/z 485.2229. Found: 485.2232.



3-(4-Bromophenyl)-10-methyl-3-phenyl-5-(*p*-tolyl)-2,3-dihydroazepino[3,4-*b*]indol-1(10*H*)-one (9ar)

White solid, yield 0.119 g (39%), mp. > 170-172 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.34-7.19 (m, 15H), 6.98 (s, 1H), 6.84-6.80 (m, 1H), 6.56 (d, $J = 8.4$ Hz, 1H), 6.50 (s, 1H), 3.92 (s, 3H), 2.44 (s, 3H); $^{13}\text{C}\{\text{H}\}$ NMR (125 MHz, CDCl_3) δ 163.3, 139.7, 138.3, 138.1₄, 138.1₁, 134.0,

132.0, 131.2, 129.1, 128.9, 128.5, 128.4, 127.9, 127.0, 124.4, 122.9, 121.6, 112.0, 117.4, 109.9, 64.2, 31.8, 21.3; IR (neat): ν_{max} 3161, 3024, 1632, 1509, 1472, 1443, 1315, 1237, 1074, 1008, 874, 814, 782, 702 cm^{-1} ; LC-MS: m/z 533 [M-1]⁺; Anal. Calcd. for C₃₂H₂₅BrN₂O: C, 72.05; H, 4.72; N, 5.25. Found: C, 72.15; H, 4.68; N, 5.28.



7-Chloro-3,10-dimethyl-3,5-diphenyl-2,3-dihydroazepino[3,4-b]indol-1(10H)-one (9bs)

White solid, yield 0.065 g (34%), mp. > 220 °C; ¹H NMR (400 MHz, CDCl₃) δ 7.44-7.42 (m, 5H), 7.39-7.37 (m, 2H), 7.11-7.10 (m, 2H), 7.07-7.03 (m, 2H), 6.99-6.95 (m, 1H), 6.86 (s, 1H), 6.45-6.44 (m, 1H), 6.31 (s, 1H), 3.88 (s, 3H), 1.78 (s, 3H); ¹³C{¹H} NMR (100 MHz, CDCl₃) δ 163.5, 145.8, 140.3, 139.0, 136.5, 134.2, 133.4, 128.5, 128.4₄, 128.4₁, 127.8, 126.8, 125.1, 124.7, 121.8, 116.9, 110.8, 57.1, 34.3, 31.7; IR (neat): ν_{max} 3175, 3040, 2971, 1640, 1521, 1468, 1441, 1321, 1067, 1025, 819, 794, 743, 723, 696 cm^{-1} ; LC-MS: m/z 413 [M+1]⁺; Anal. Calcd. for C₂₆H₂₁ClN₂O: C, 75.63; H, 5.13; N, 6.78. Found: C, 75.52; H, 5.16; N, 6.73.

(11). X-ray data collection, solution, refinement and the ORTEPs/crystal data: Single crystal X-ray data for crystals of compounds **6aa**, **6aj**, **7al**, **9ac**, **7an**, **9aa**, **9ap** and **4a** (order in which they appear in the main manuscript) were collected on an X-ray diffractometer using Mo-K_α ($\lambda = 0.71073 \text{ \AA}$) radiation after mounting on glass fibers inside a brass pin in open air. The structures were solved by direct methods and refined by full-matrix least squares method using standard procedures; absorption corrections were done using SADABS program, where applicable [(a) Sheldrick, G. M. *SADABS, Siemens Area Detector Absorption Correction*, University of Gottingen, Germany, **1996**. (b) Sheldrick, G. M. *SHELX-97-A program for crystal structure solution and refinement*, University of Gottingen, **1997**. (c) Sheldrick, G. M.

SHELXTL NT Crystal Structure Analysis Package, Bruker AXS, Analytical X-ray System, WI, USA, 1999, version 5.10]. In general, all non-hydrogen atoms were refined anisotropically; hydrogen atoms were fixed by geometry or located by a Difference Fourier map and refined isotropically. CCDC numbers are 1915530-1915537.

ORTEPs and crystal data of 6aa, 6aj, 7al, 9ac, 7an, 9aa, 9ap and 4a (Figures S1-S8)

Note: Figure numbers are in the order in which they appear in the main manuscript

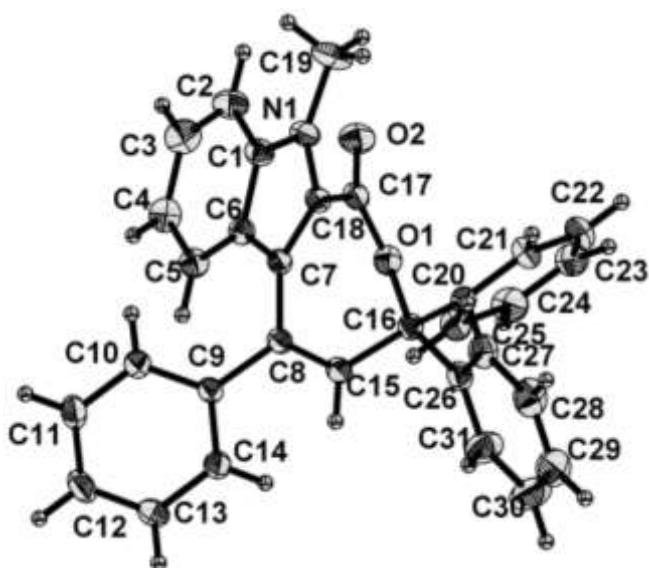


Figure S1. ORTEP view of ϵ -lactone **6aa** with 30% probability of ellipsoids: *Crystal data:* $C_{31}H_{23}NO$, $M = 441.50$, Monoclinic, Space group $P21/n$, $a = 9.5504(9)$, $b = 17.3600(19)$, $c = 14.7835(16)$ Å, $V = 2365.9(4)$ Å³, $\beta = 105.148(3)^\circ$, $Z = 4$, $\mu = 0.077$ mm⁻¹, data/restraints/parameters: 5396/0/307, R indices ($I > 2\sigma(I)$): $R_1 = 0.0466$, wR_2 (all data) = 0.1377. CCDC No: 1915530.

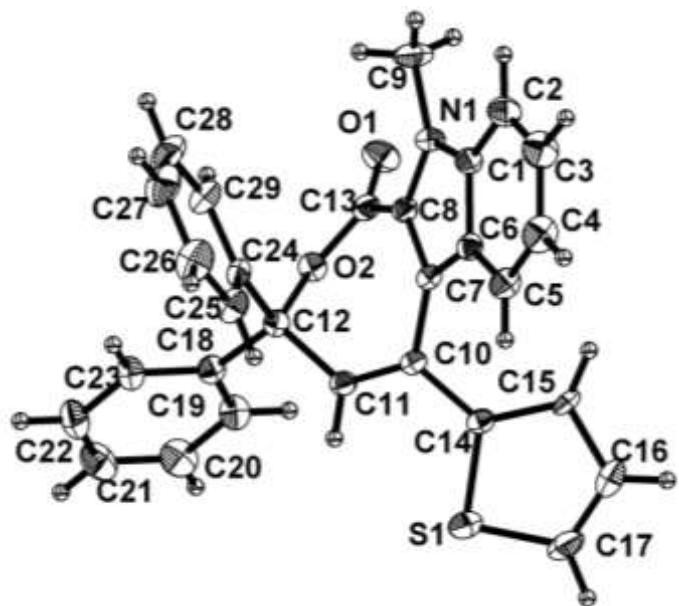


Figure S2. ORTEP view of ϵ -lactone **6aj** with 30% probability of ellipsoids. *Crystal data:* C₂₉H₂₁NO₂S, $M = 447.53$, Monoclinic, Space group $P2(1)/n$, $a = 11.9339(13)$, $b = 10.8446(12)$, $c = 17.9980(17)$ Å, $V = 2262.9(4)$ Å³, $\beta = 103.711(3)^\circ$, $Z = 4$, $\mu = 0.170$ mm⁻¹, data/restraints/parameters: 3983/0/299, R indices (I>2σ\|I): R1 = 0.0519, wR2 (all data) = 0.1642. CCDC No: 1915531.

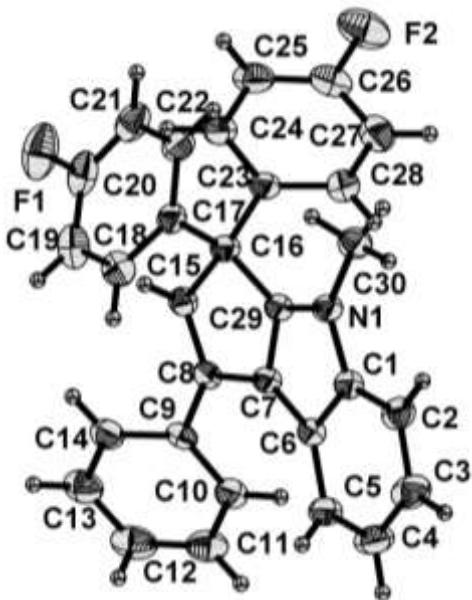


Figure S3. ORTEP view of 3,4-dihydrocyclopenta[*b*]indole **7al** with 30% probability of ellipsoids. **Crystal data:** $C_{30}H_{21}F_2N$, $M = 433.48$, Orthorhombic, Space group $Pna21$, $a = 9.0702(4)$, $b = 20.8446(8)$, $c = 12.0332(4)$ Å, $V = 2275.05(15)$ Å³, $Z = 4$, $\mu = 0.085$ mm⁻¹, data/restraints/parameters: 4474/1/298, R indices ($I > 2\sigma(I)$): $R1 = 0.0394$, $wR2$ (all data) = 0.1031. CCDC No: 1915532

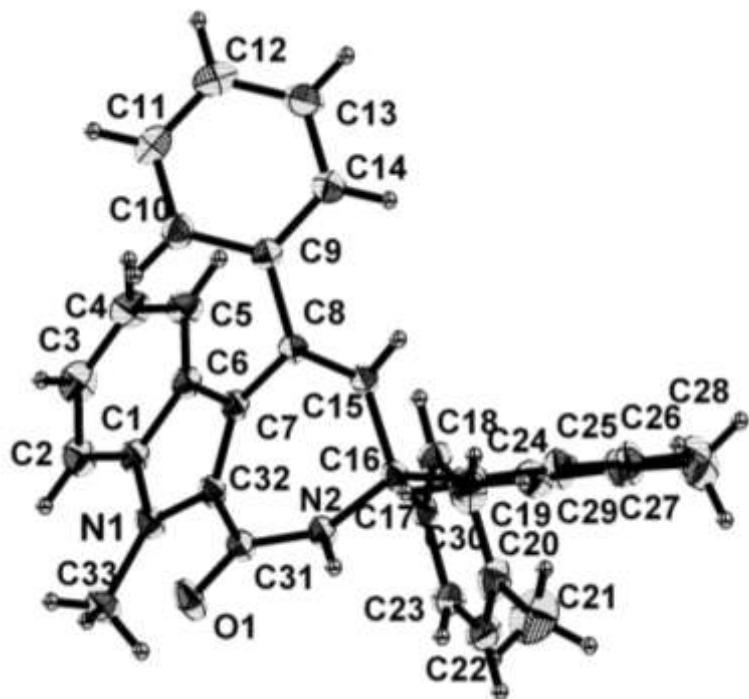


Figure S4. ORTEP view of ϵ -lactam **9ac** with 30% probability of ellipsoids. *Crystal data:* C₃₃H₂₇N₂O, $M = 468.57$, Triclinic, Space group $P-1$, $a = 10.7428(4)$, $b = 11.5805(4)$, $c = 11.8540(5)$ Å, $V = 1292.89(9)$ Å³, $\alpha = 102.3017(15)^\circ$, $\beta = 103.6326(16)^\circ$, $\gamma = 108.3261(14)^\circ$, $Z = 2$, $\mu = 0.072$ mm⁻¹, data/restraints/parameters: 4553/0/332, R indices (I>2σ\|I): R1 = 0.0429, wR2 (all data) = 0.1202. CCDC No: 1915533.

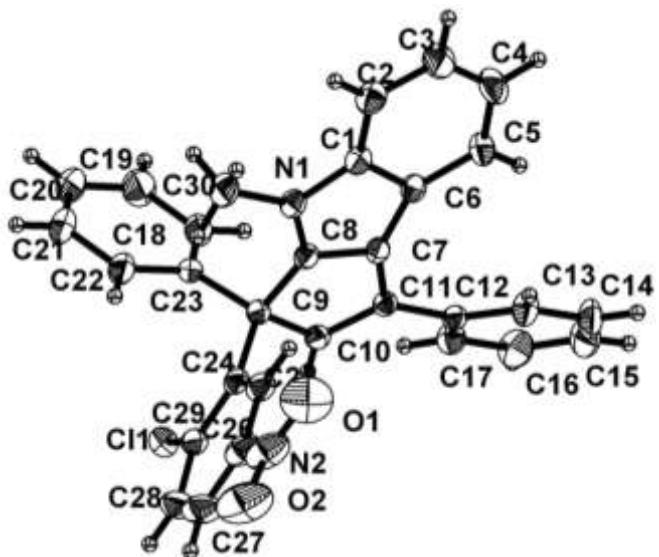


Figure S5. ORTEP view of 3,4-dihydrocyclopenta[*b*]indole **7an** with 30% probability of ellipsoids. **Crystal data:** $C_{30}H_{21}ClN_2O_2$, $M = 476.94$, Orthorhombic, Space group $Pbca$, $a = 13.8267(8)$, $b = 17.2618(13)$, $c = 20.2956(15)$ Å, $V = 4844.0(6)$ Å³, $\alpha = \beta = \gamma = 90^\circ$, $Z = 8$, $\mu = 0.188$ mm⁻¹, data/restraints/parameters: 4245/0/317, R indices ($I > 2\sigma(I)$): R1 = 0.0425, wR2 (all data) = 0.1167. CCDC No: 1915534.

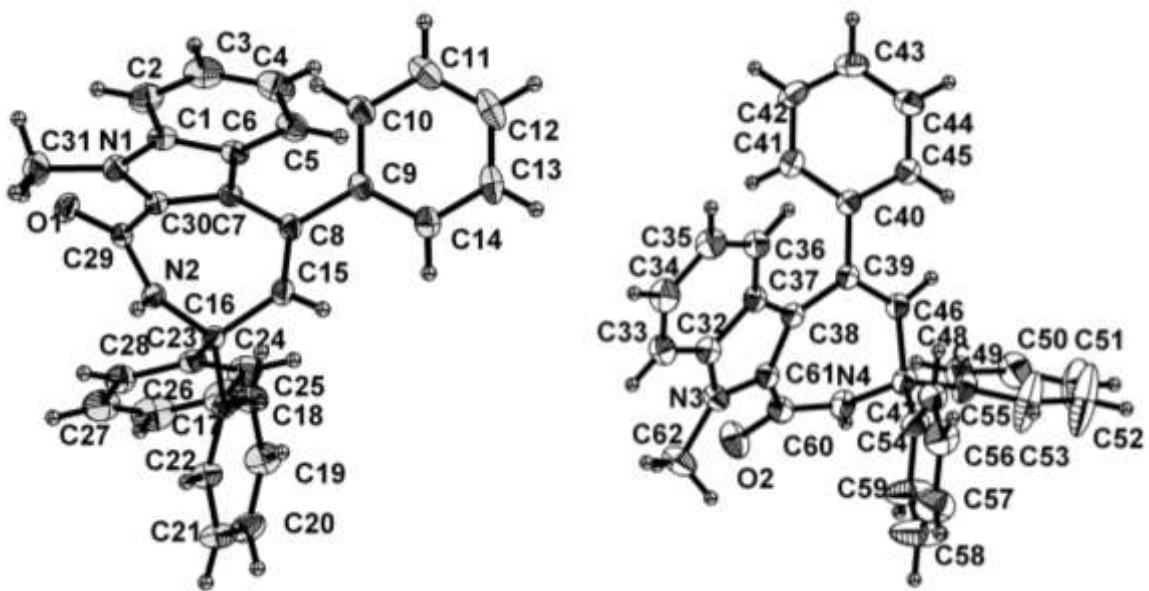


Figure S6. ORTEP view of ϵ -lactam **9aa** with 30% probability of ellipsoids. Two molecules are present in asymmetric unit. *Crystal data:* $C_{31}H_{24}N_2O$, $M = 440.52$, Triclinic, Space group $P-1$, $a = 10.1870(4)$, $b = 12.0867(4)$, $c = 20.2712(7)$ Å, $V = 2373.07(15)$ Å³, $\alpha = 76.231(2)^\circ$, $\beta = 78.228(2)^\circ$, $\gamma = 86.594(2)^\circ$, $Z = 4$, $\mu = 0.075$ mm⁻¹, data/restraints/parameters: 9771/0/621, R indices ($I > 2\sigma(I)$): $R1 = 0.0625$, $wR2$ (all data) = 0.1462. CCDC No: 1915535.

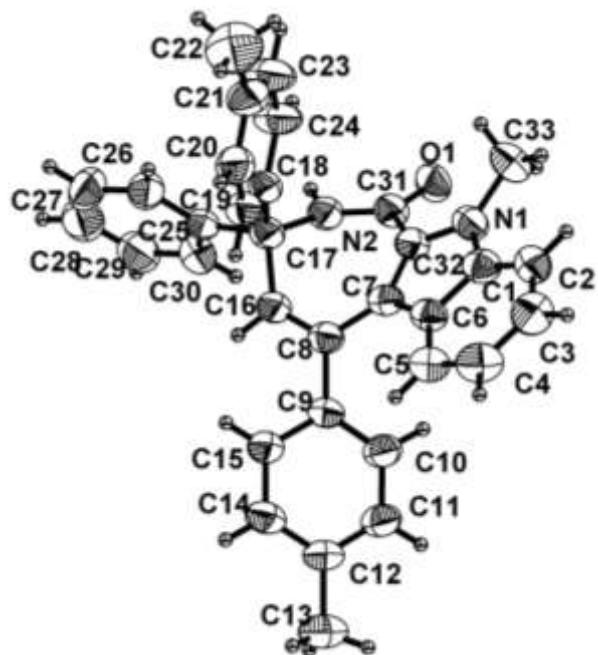


Figure S7. ORTEP view of ϵ -lactone **9ap** with 30% probability of ellipsoids. *Crystal data:* $C_{23}H_{28}N_2O$, $M = 468.57$, Monoclinic, Space group $C12/c1$, $a = 23.3055(12)$, $b = 12.5430(4)$, $c = 19.5823(12)$ Å, $V = 5225.0(5)$ Å 3 , $\beta = 114.108(7)^\circ$, $Z = 8$, $\mu = 0.072$ mm $^{-1}$, data/restraints/parameters: 4598/1/332, R indices ($I > 2\sigma(I)$): R1 = 0.0938, wR2 (all data) = 0.3380. CCDC No: 1915536.

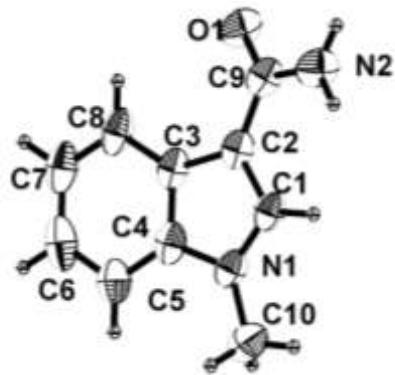


Figure S8. ORTEP view of ϵ -lactone **4a** with 30% probability of ellipsoids. **Crystal data:** $C_{10}H_{10}N_2O$, $M = 174.20$, Monoclinic, Space group $C2/c$, $a = 20.717(2)$, $b = 11.7670(11)$, $c = 9.8845(8)$ Å, $V = 2364.2(4)$ Å 3 , $\beta = 101.146(3)^\circ$, $Z = 8$, $\mu = 0.065$ mm $^{-1}$, data/restraints/parameters: 2074/0/120, R indices ($I > 2\sigma(I)$): $R_1 = 0.0850$, wR_2 (all data) = 0.2753. Squeeze option was used in refinement. CCDC No: 1915537.

(12). ^1H and ^{13}C NMR spectra of all new compounds

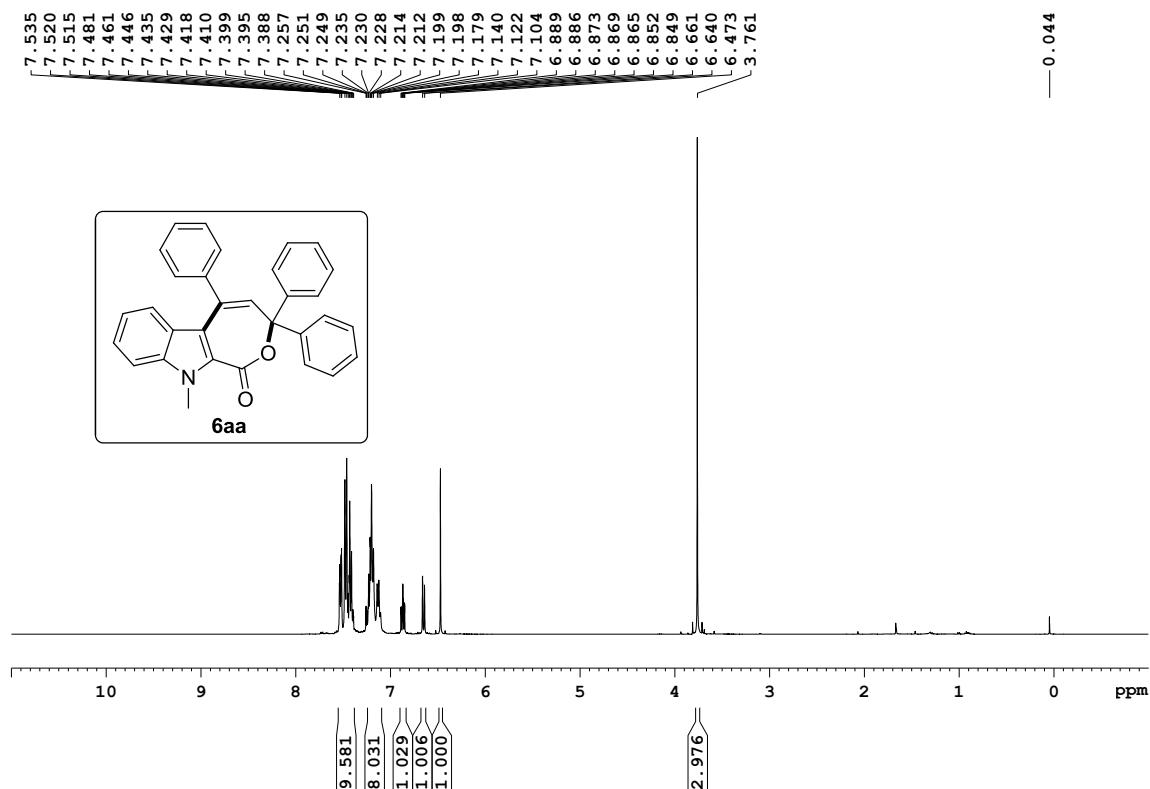


Figure S9. ^1H NMR spectrum of compound 6aa

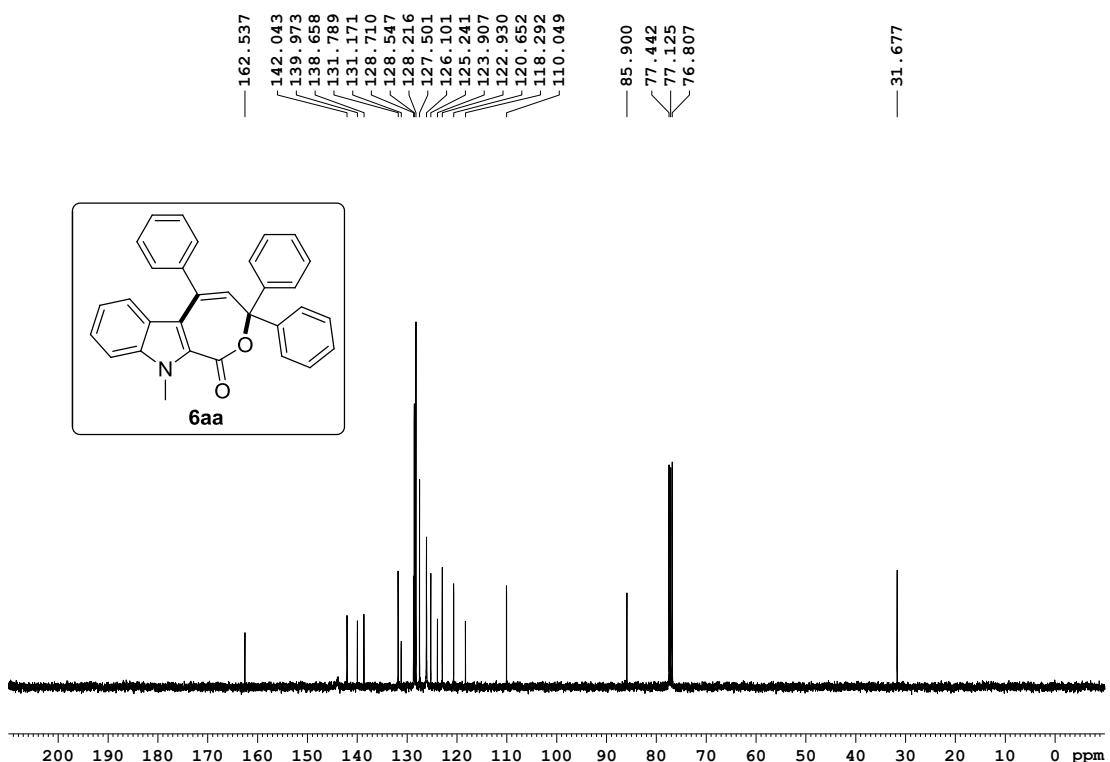


Figure S10. ^{13}C NMR spectrum of compound 6aa

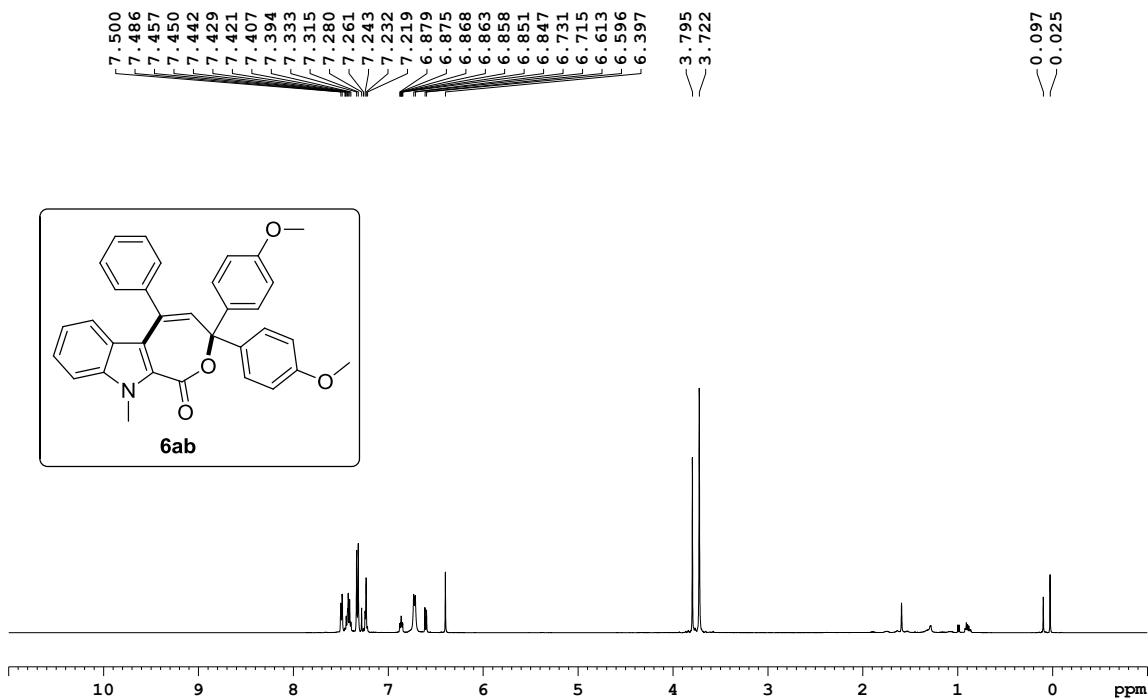


Figure S11. ¹H NMR spectrum of compound **6ab**

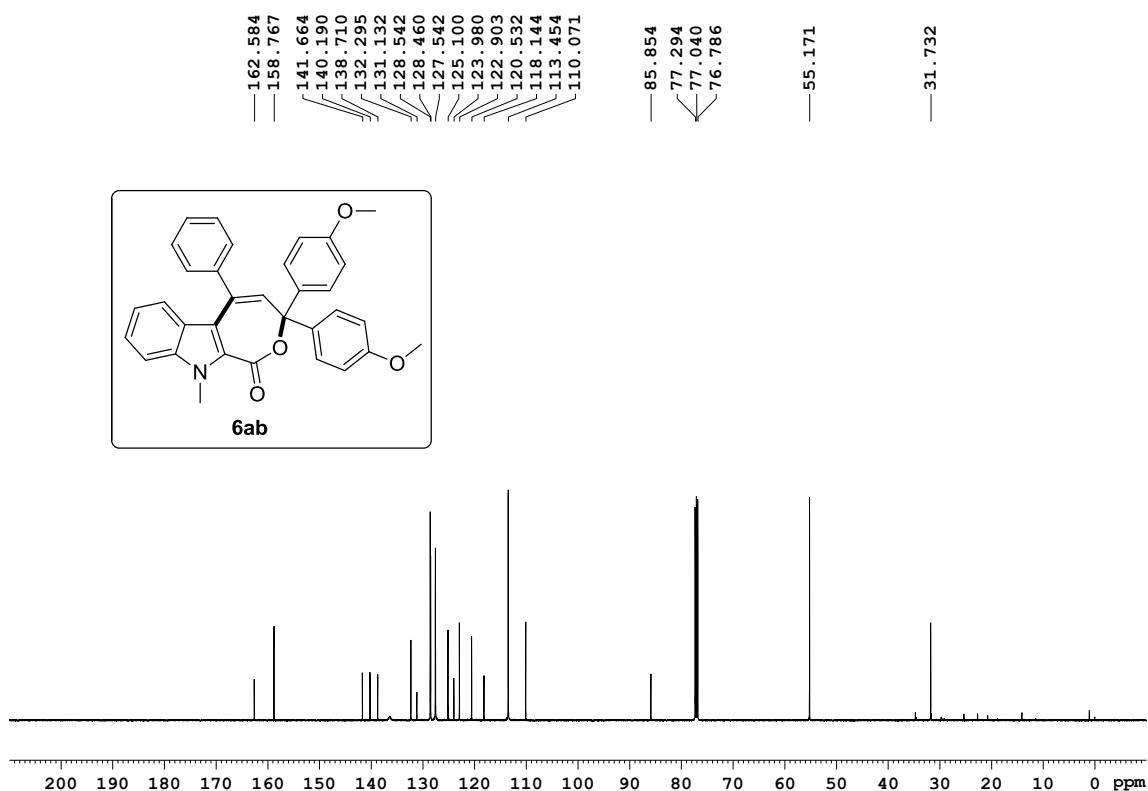


Figure S12. ¹³C NMR spectrum of compound **6ab**

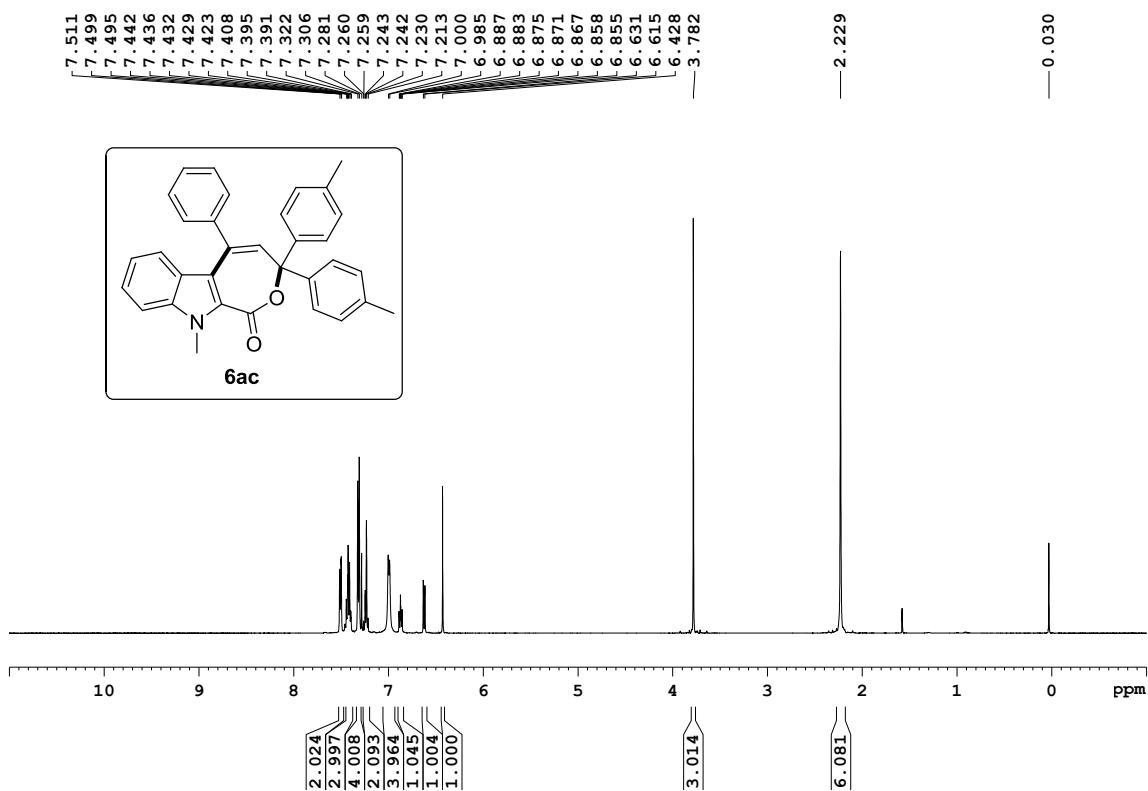


Figure S13. ¹H NMR spectrum of compound 6ac

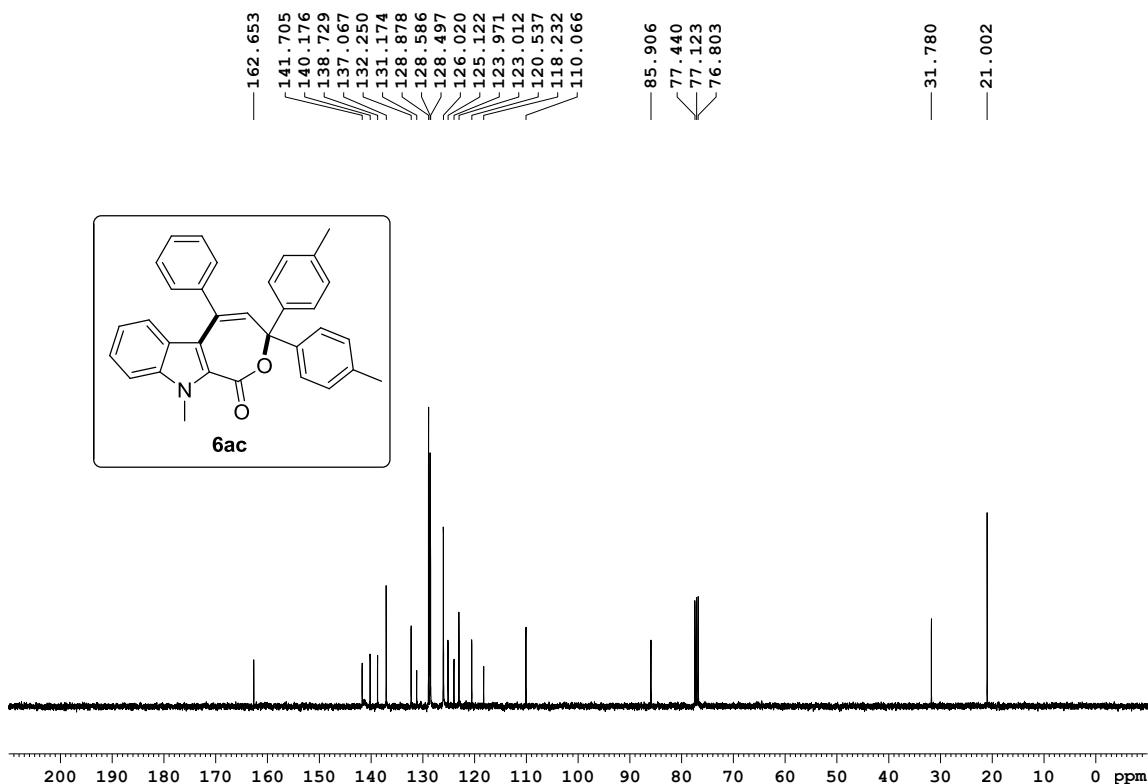


Figure S14. ¹³C NMR spectrum of compound 6ac

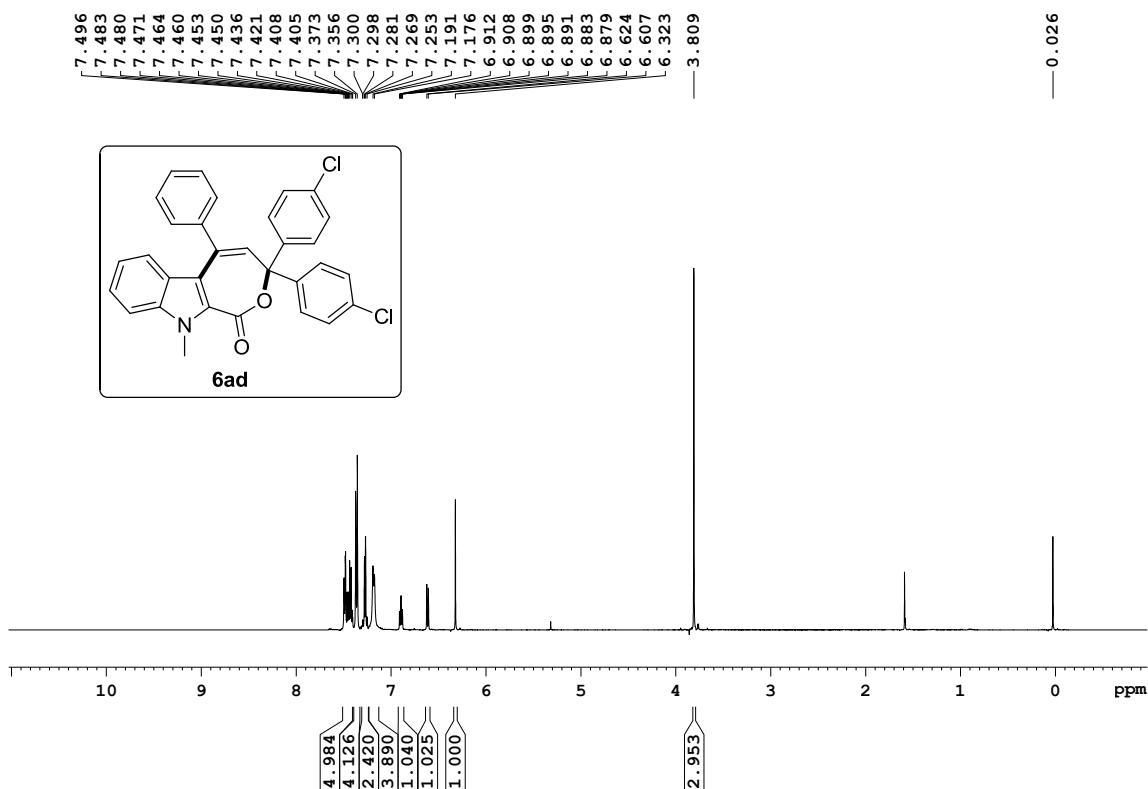


Figure S15. ¹H NMR spectrum of compound **6ad**

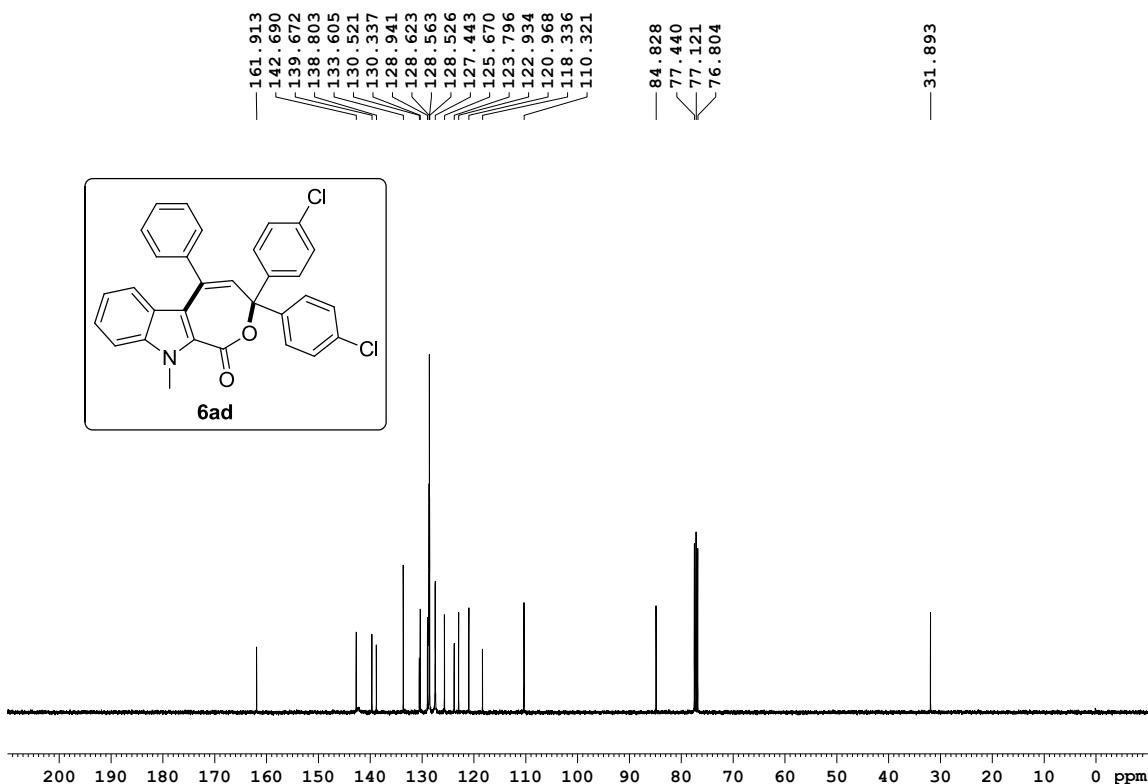


Figure S16. ¹³C NMR spectrum of compound **6ad**

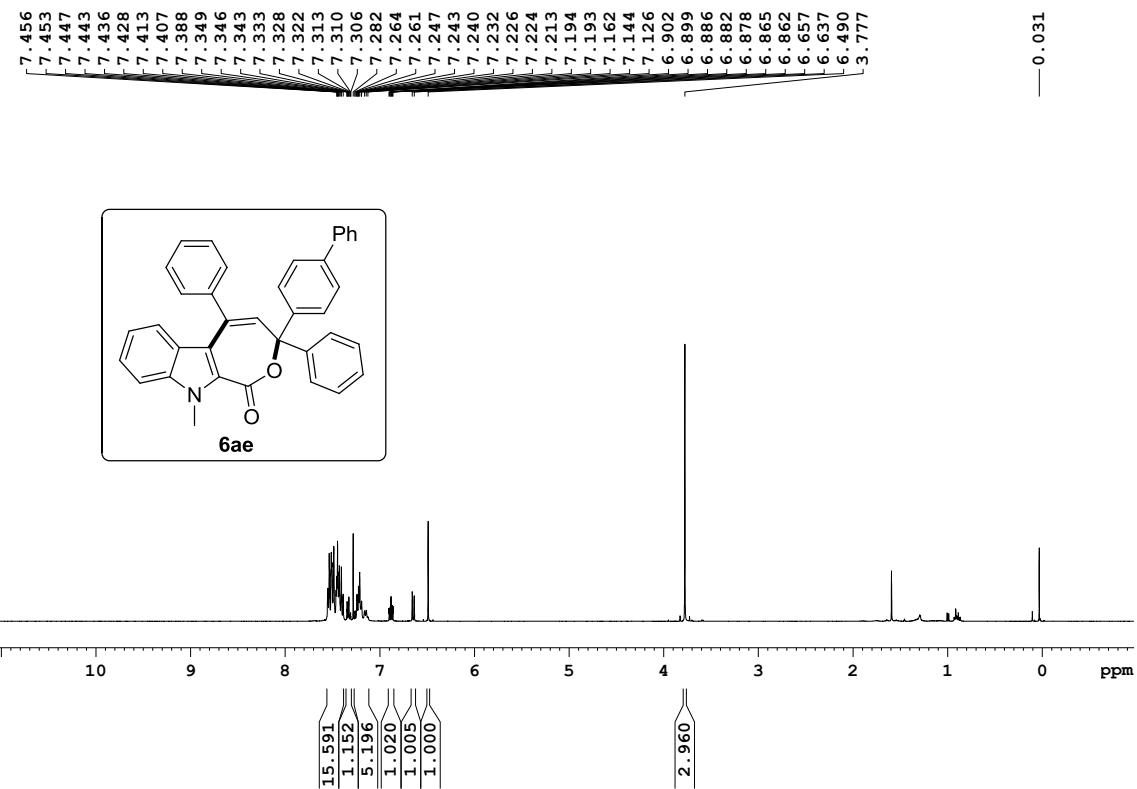


Figure S17. ¹H NMR spectrum of compound 6ae

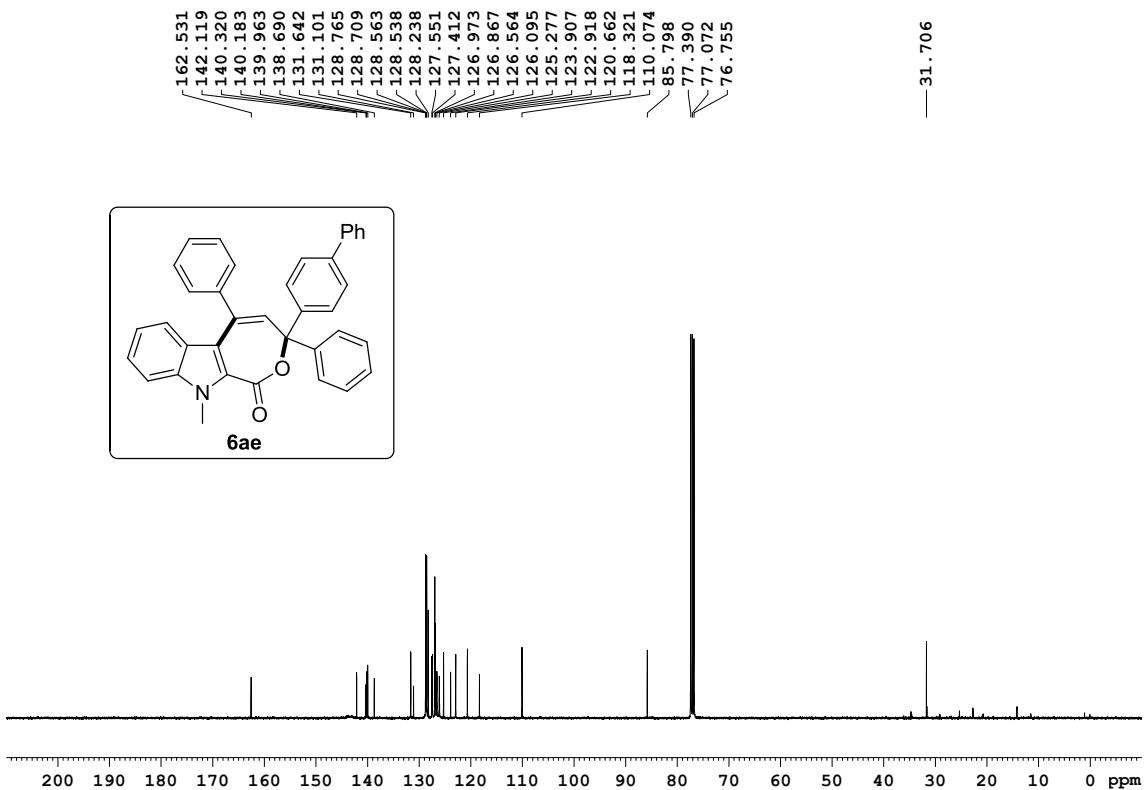


Figure S18. ¹³C NMR spectrum of compound 6ae

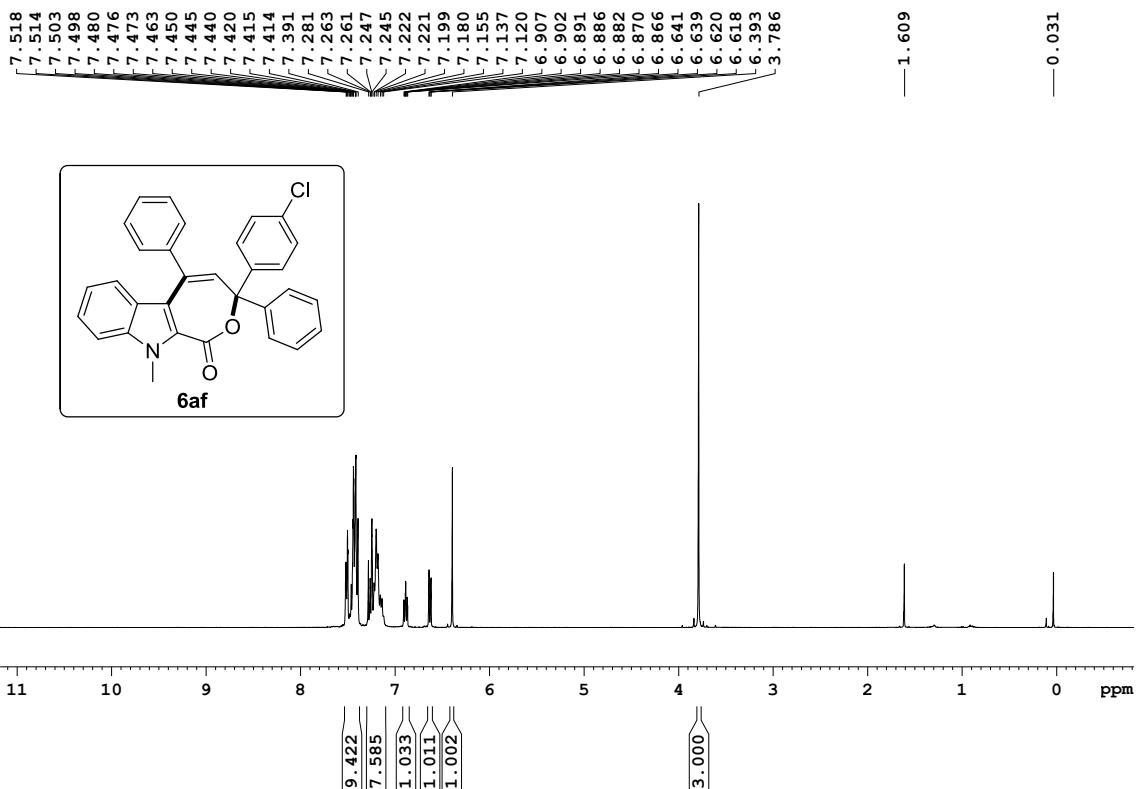


Figure S19. ¹H NMR spectrum of compound 6af

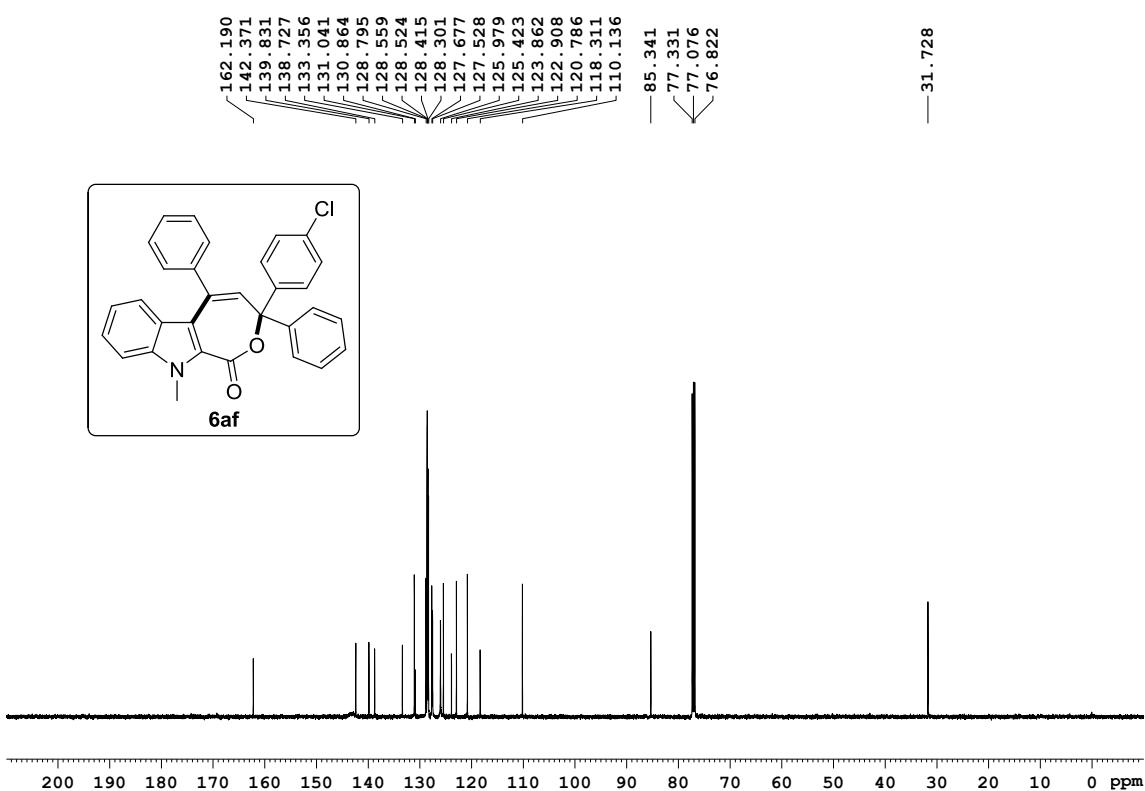


Figure S20. ¹³C NMR spectrum of compound 6af

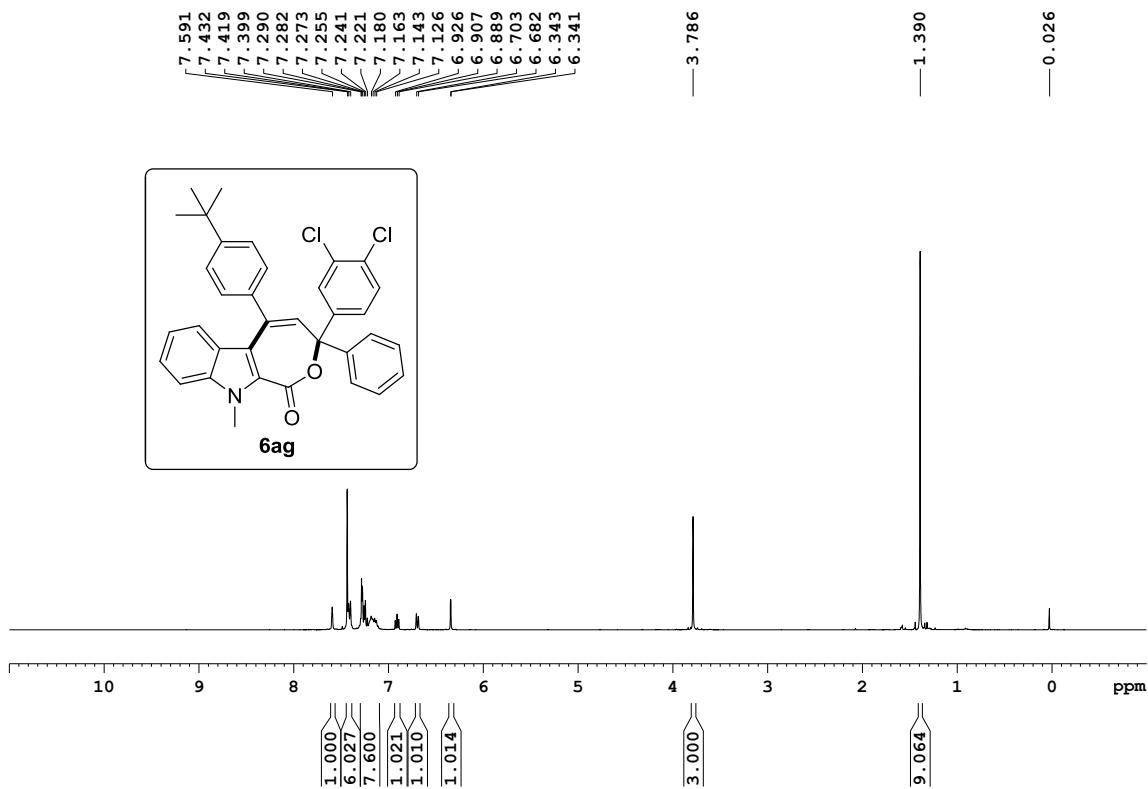


Figure S21. ¹H NMR spectrum of compound **6ag**

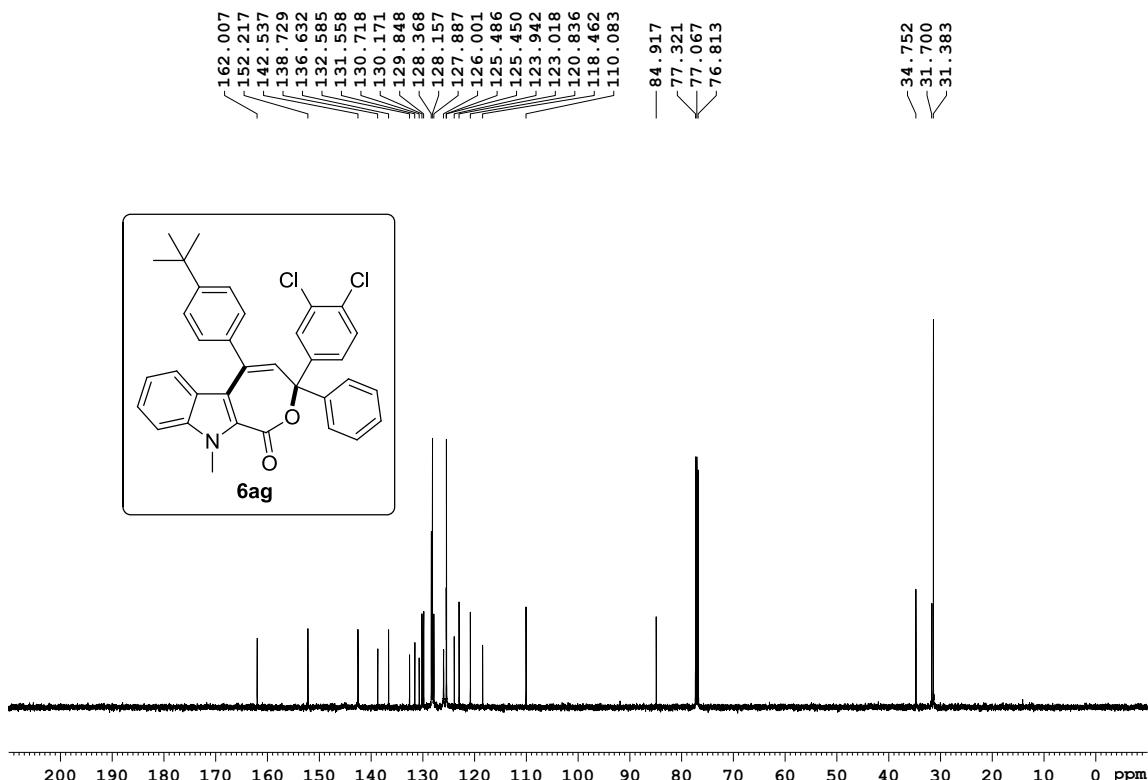


Figure S22. ¹³C NMR spectrum of compound **6ag**

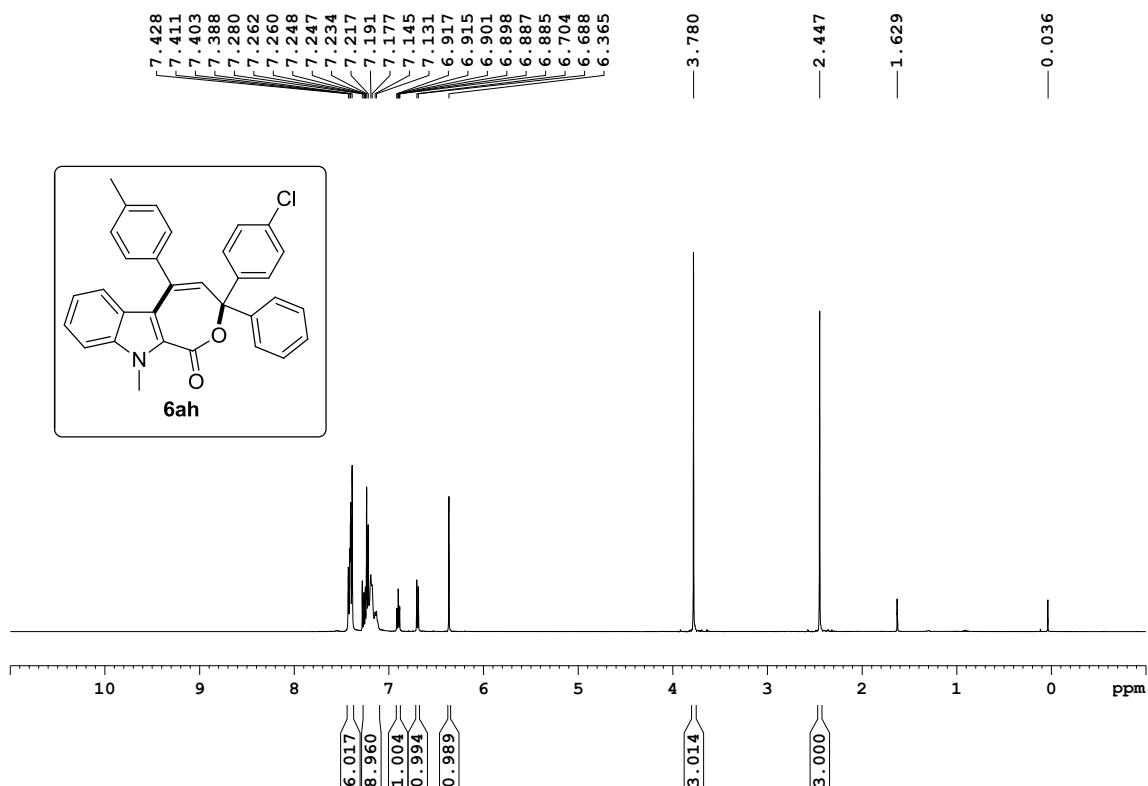


Figure S23. ¹H NMR spectrum of compound **6ah**

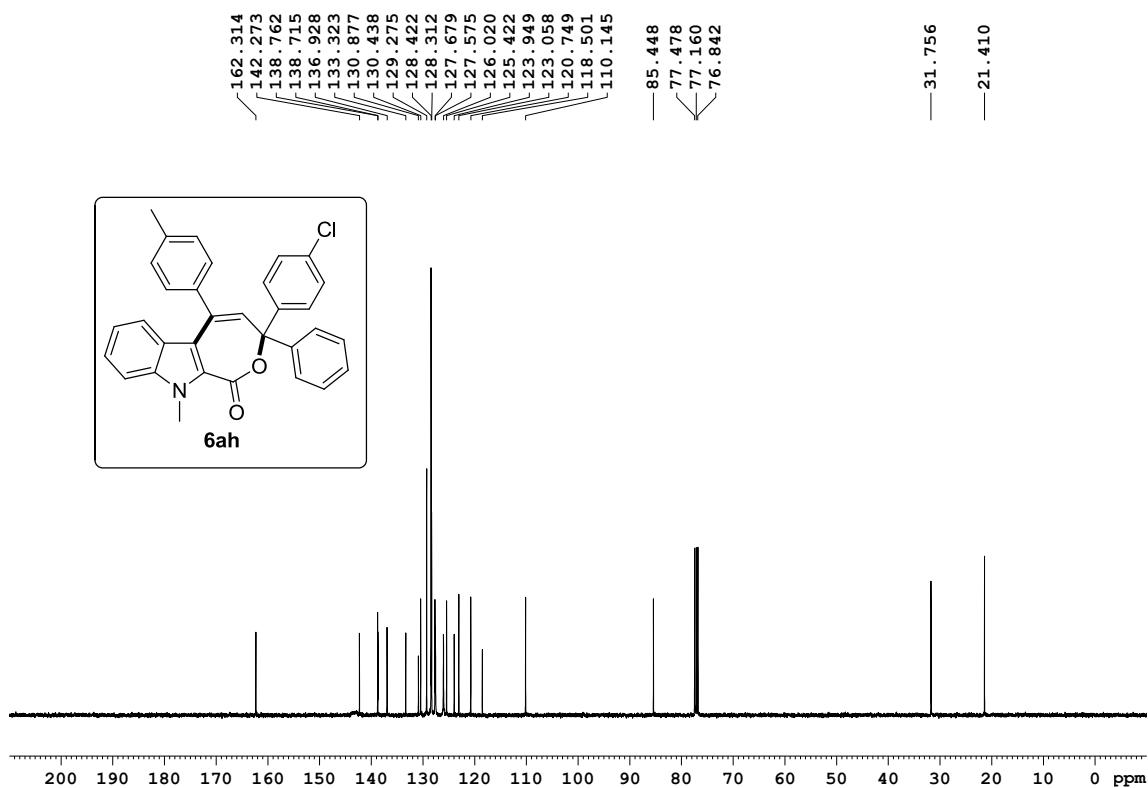


Figure S24. ¹³C NMR spectrum of compound **6ah**

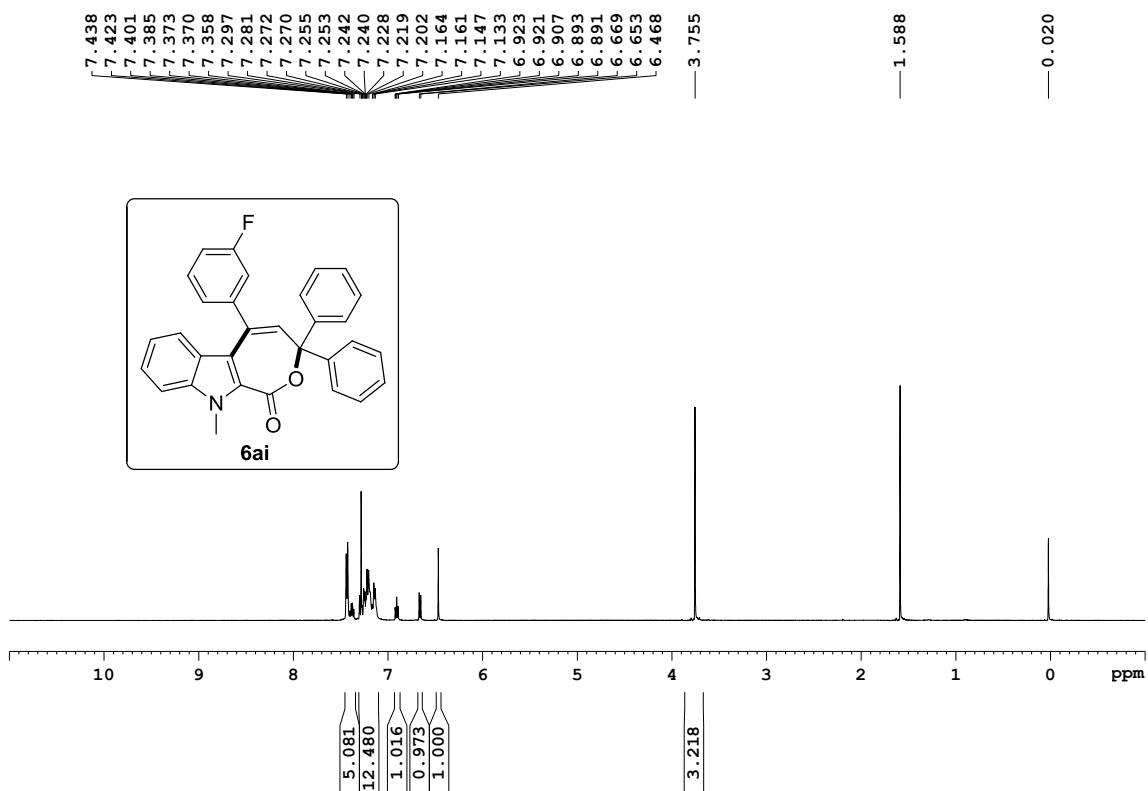


Figure S25. ¹H NMR spectrum of compound 6ai

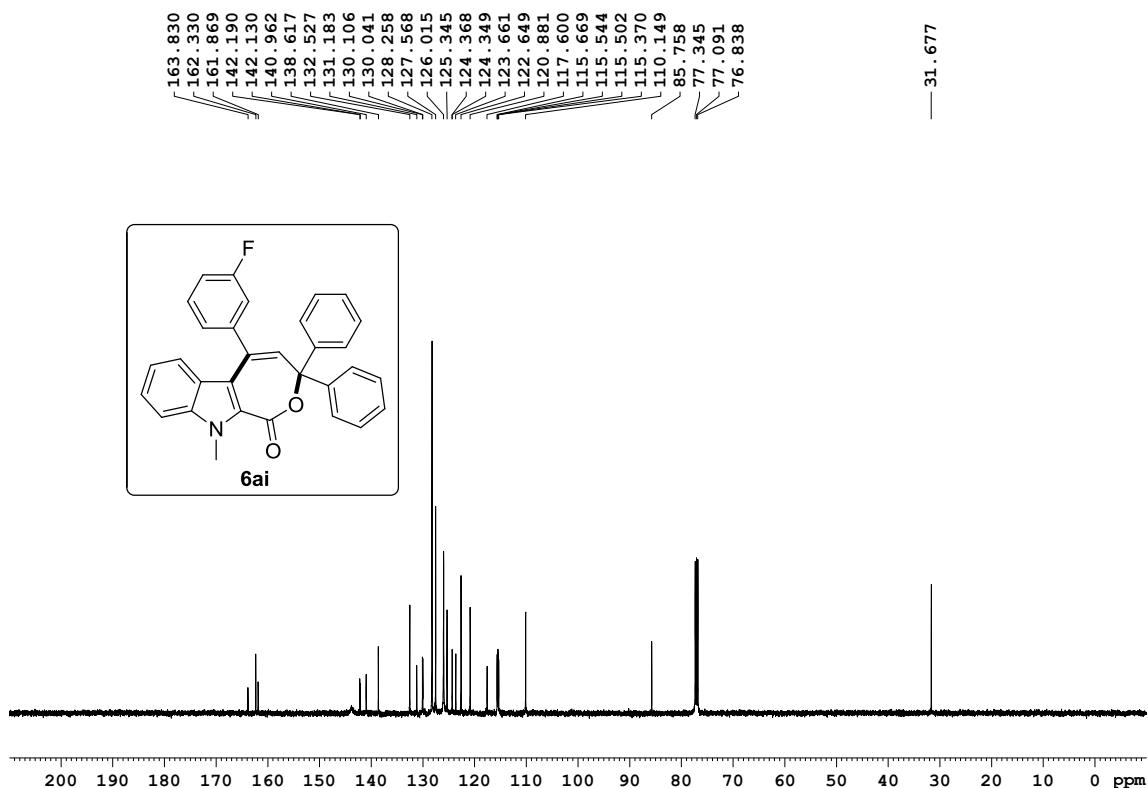


Figure S26. ¹³C NMR spectrum of compound 6ai

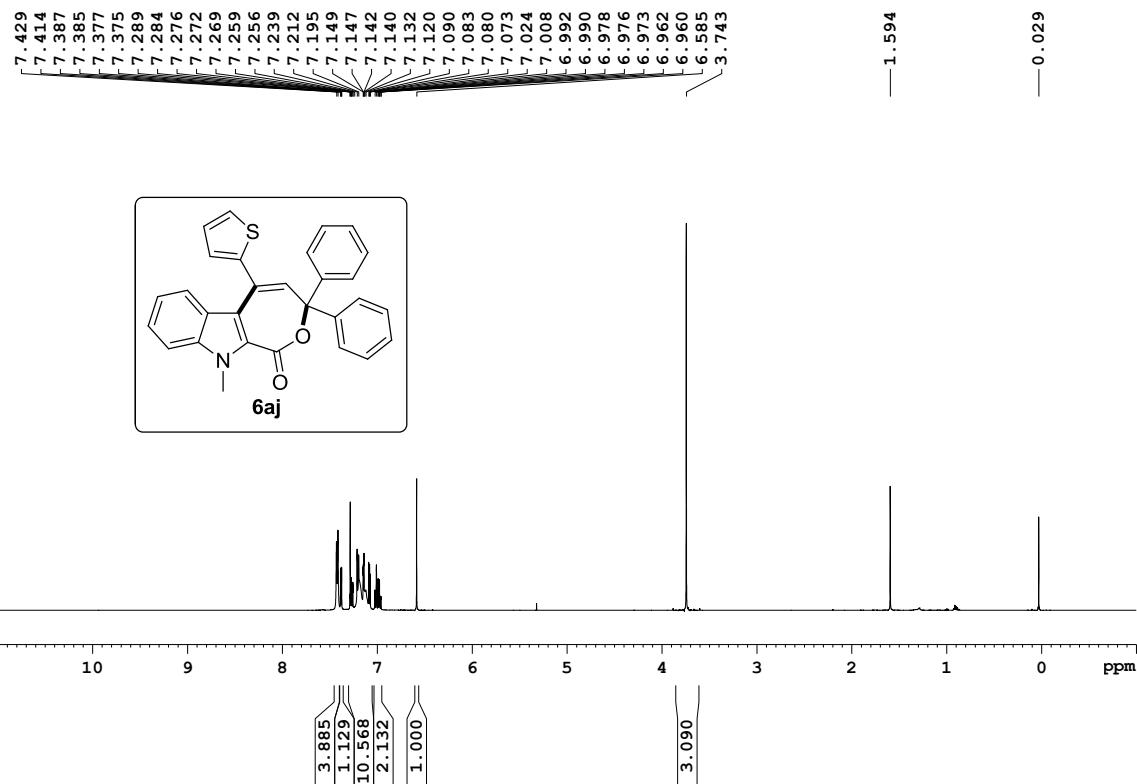


Figure S27. ¹H NMR spectrum of compound 6aj

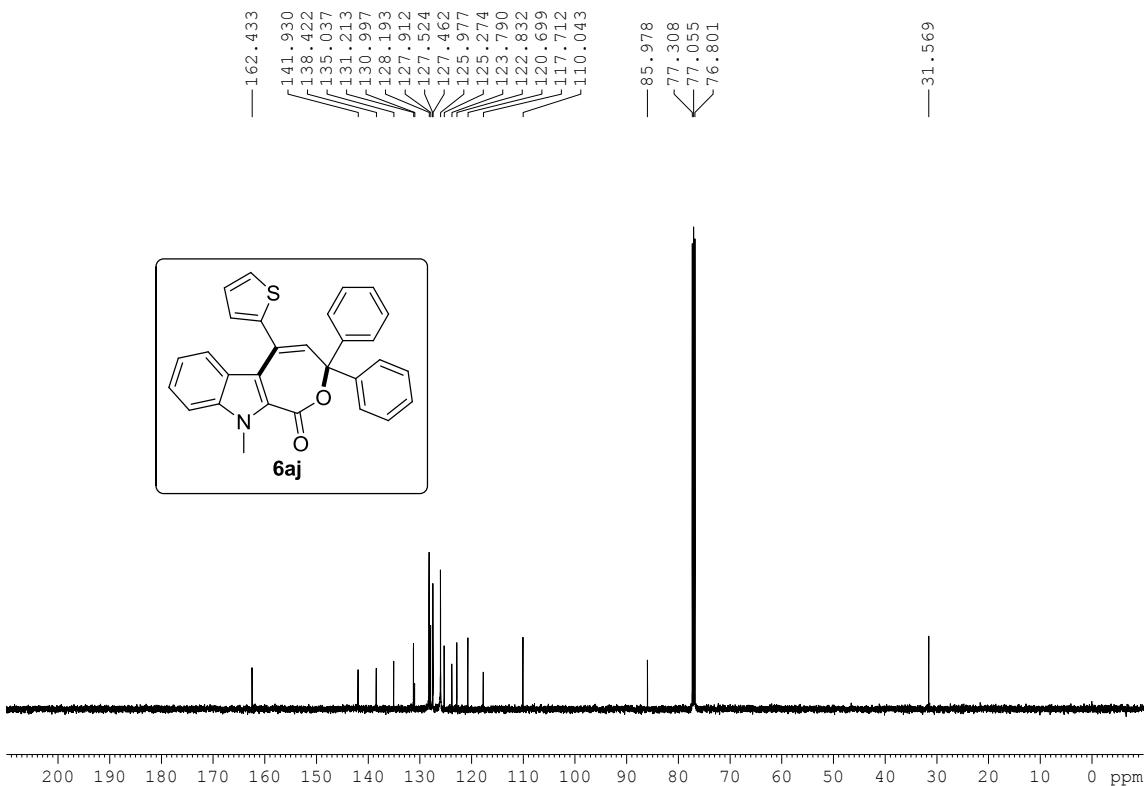


Figure S28. ¹³C NMR spectrum of compound 6aj

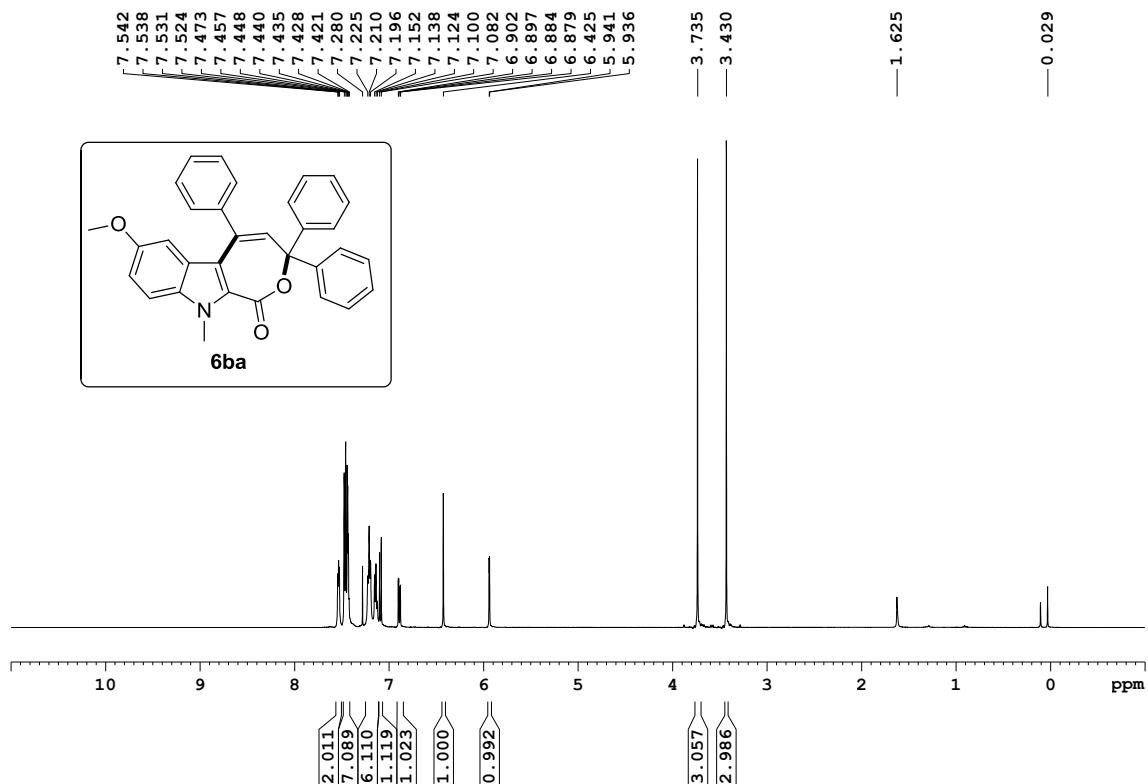


Figure S29. ¹H NMR spectrum of compound **6ba**

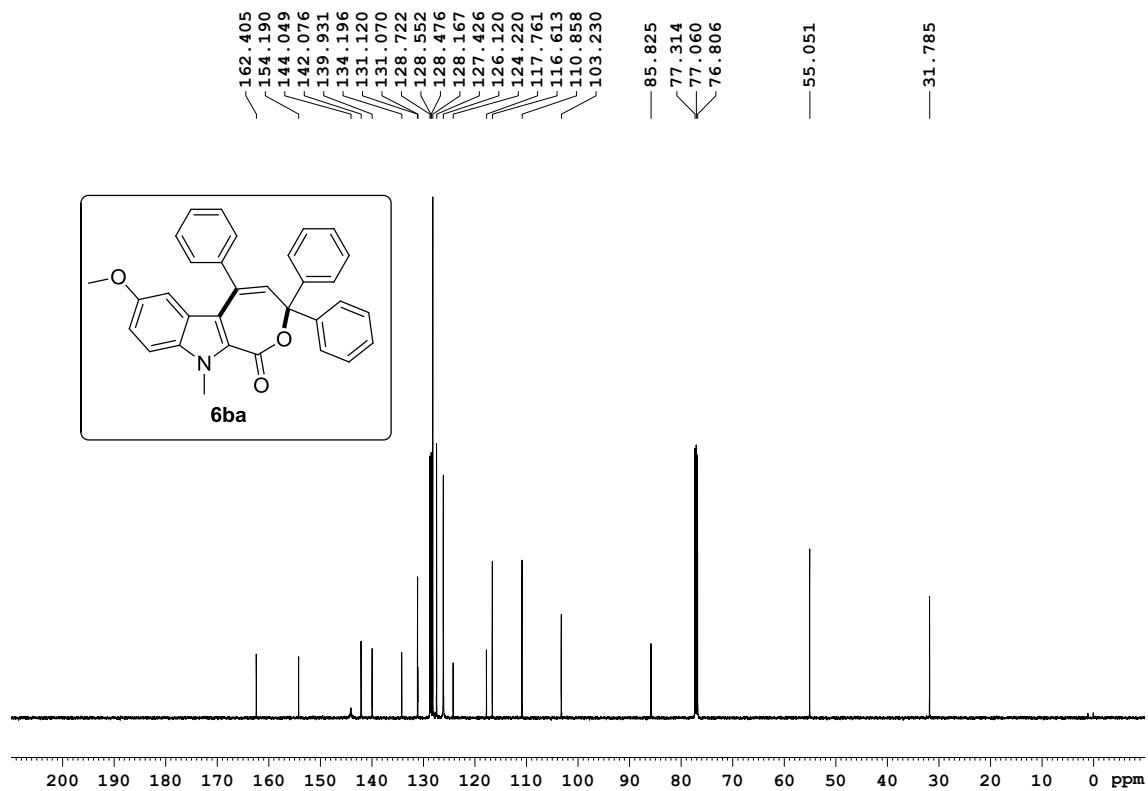


Figure S30. ¹³C NMR spectrum of compound **6ba**

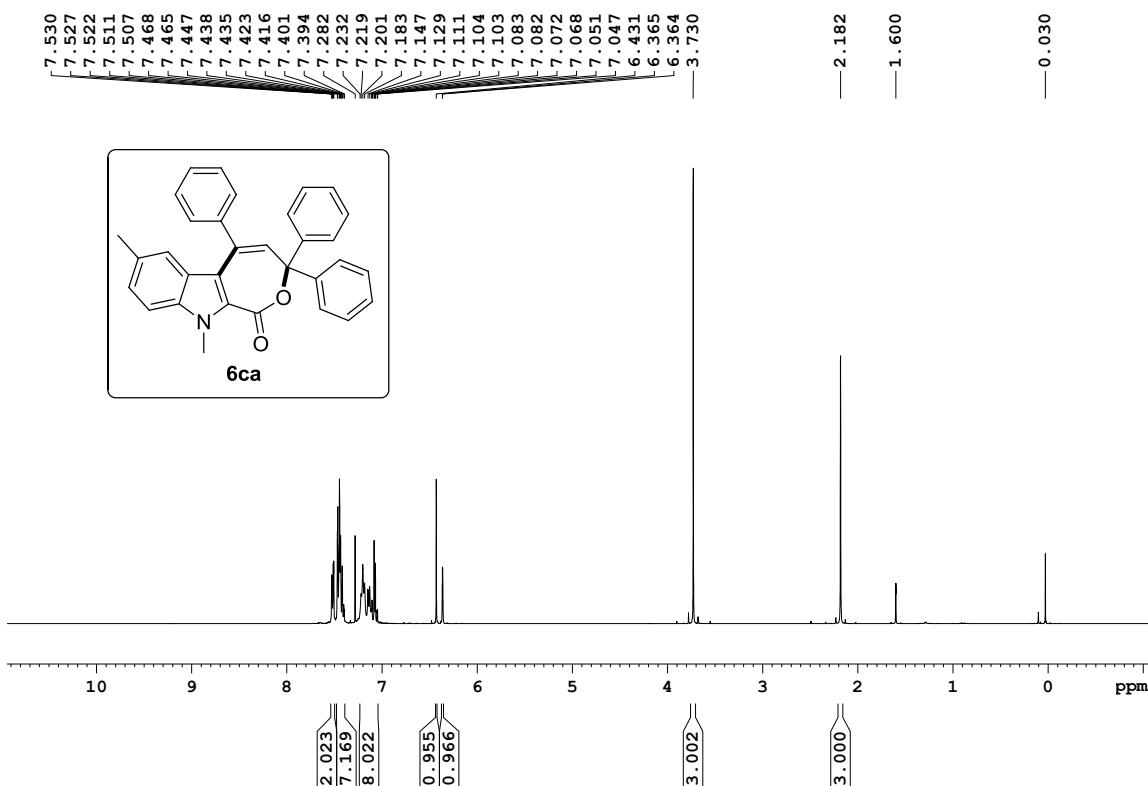


Figure S31. ¹H NMR spectrum of compound 6ca

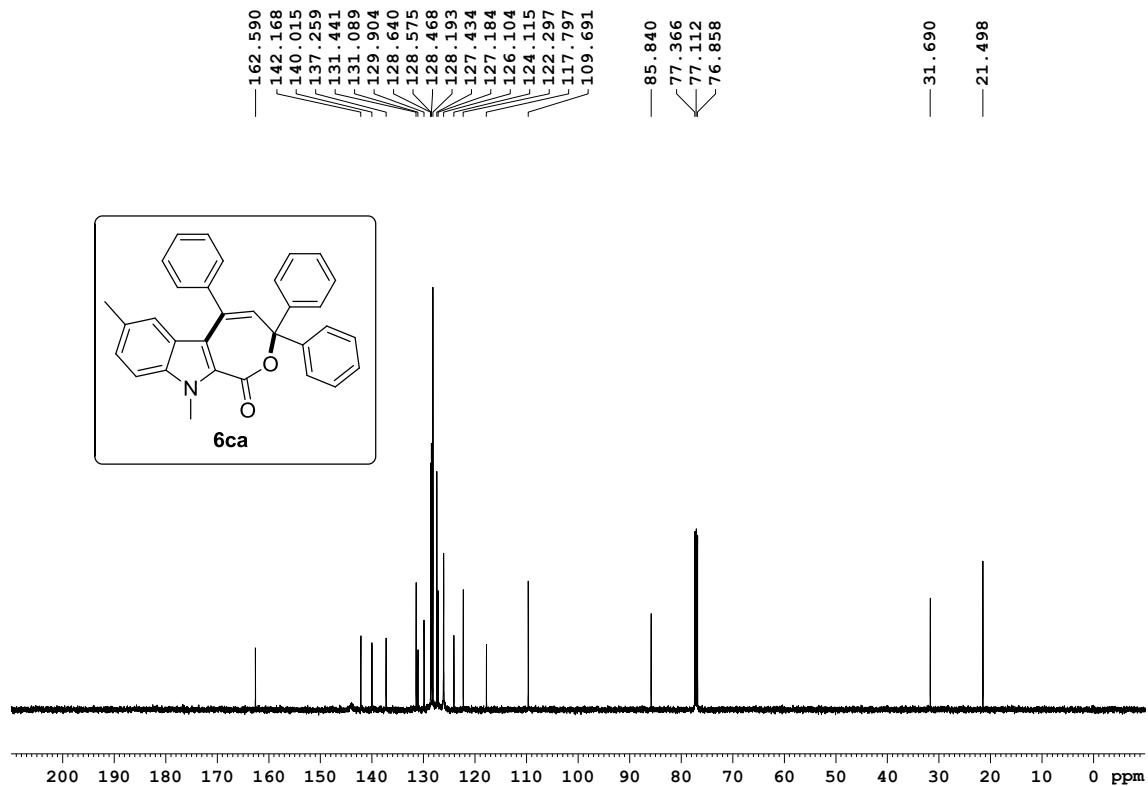


Figure S32. ¹³C NMR spectrum of compound 6ca

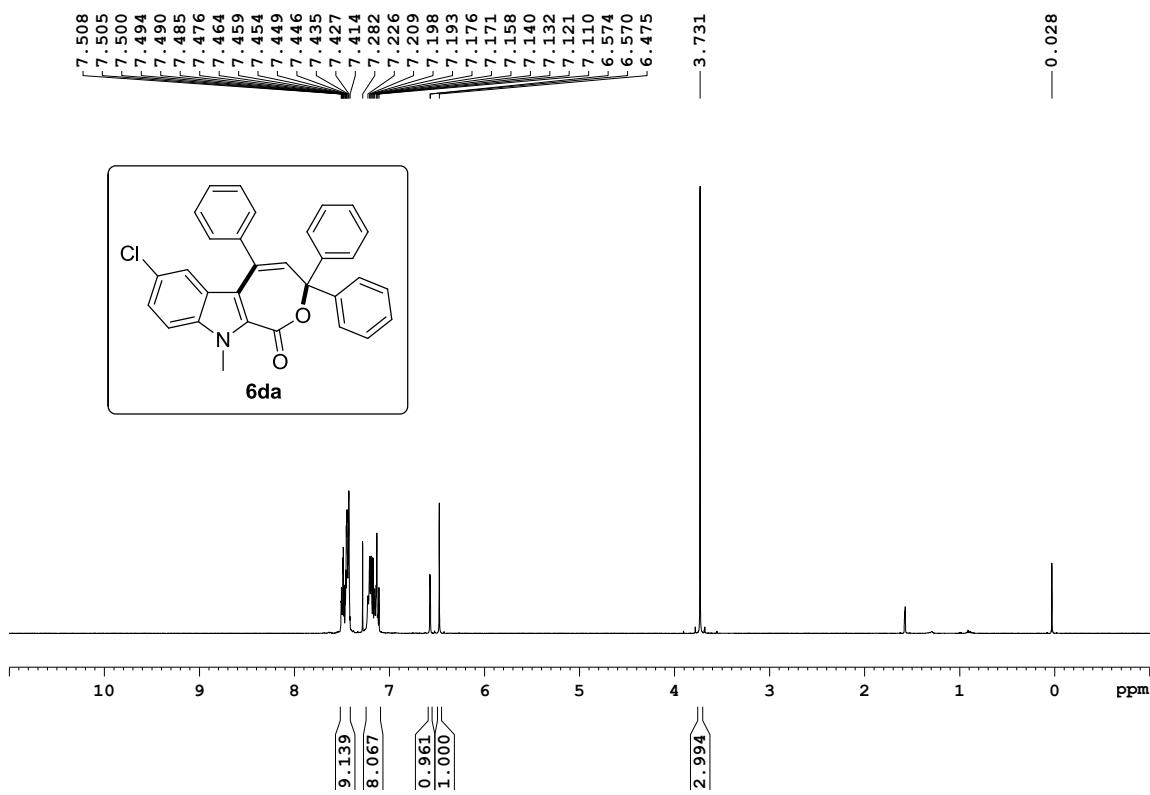


Figure S33. ¹H NMR spectrum of compound **6da**

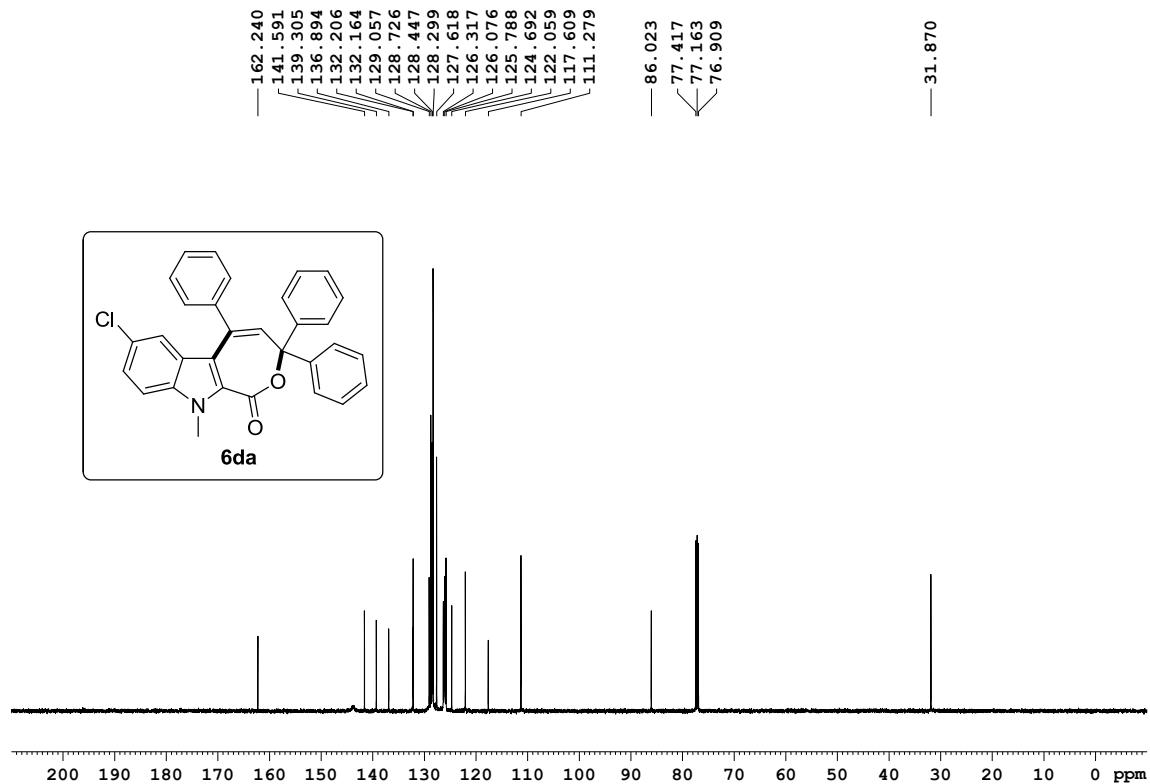


Figure S34. ¹³C NMR spectrum of compound **6da**

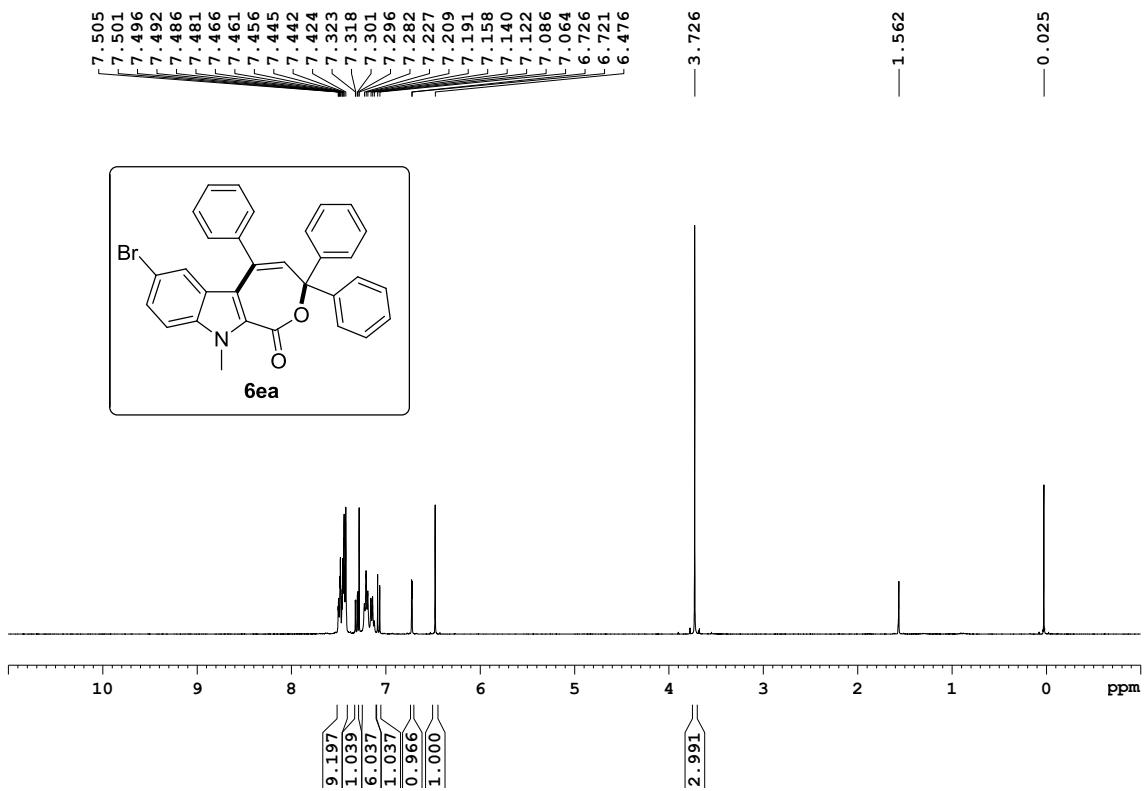


Figure S35. ^1H NMR spectrum of compound **6ea**

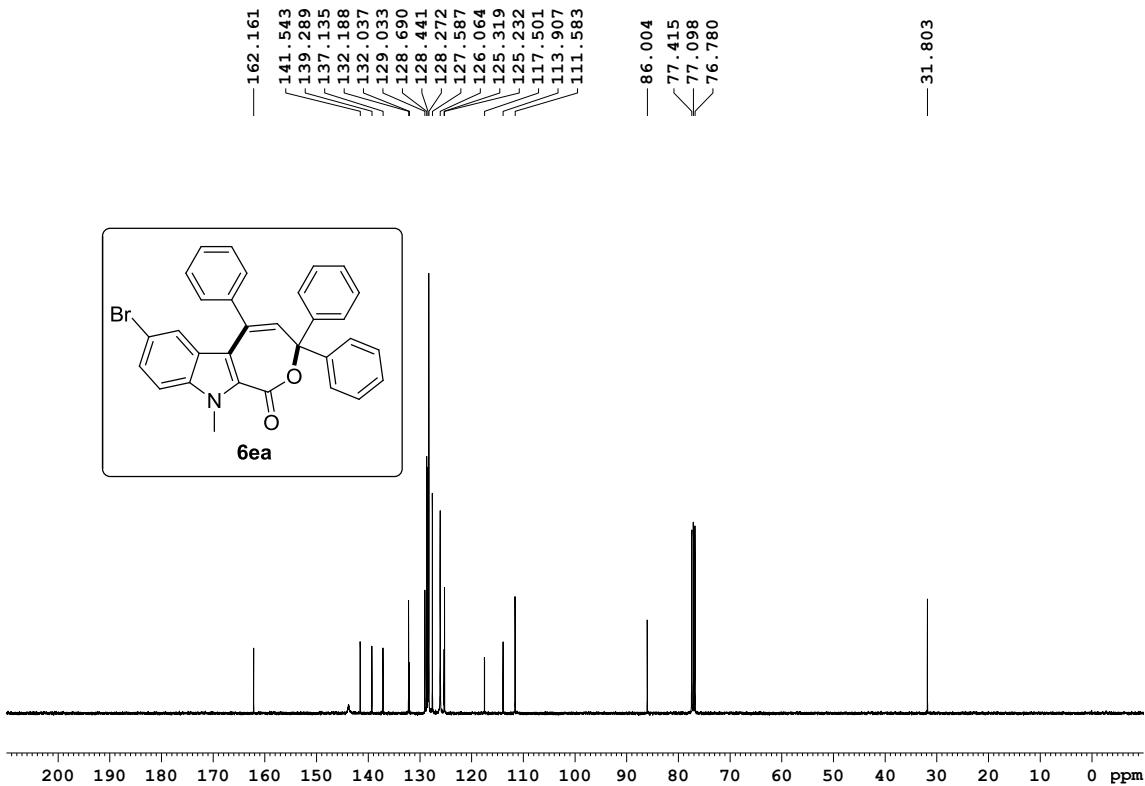


Figure S36. ^{13}C NMR spectrum of compound **6ea**

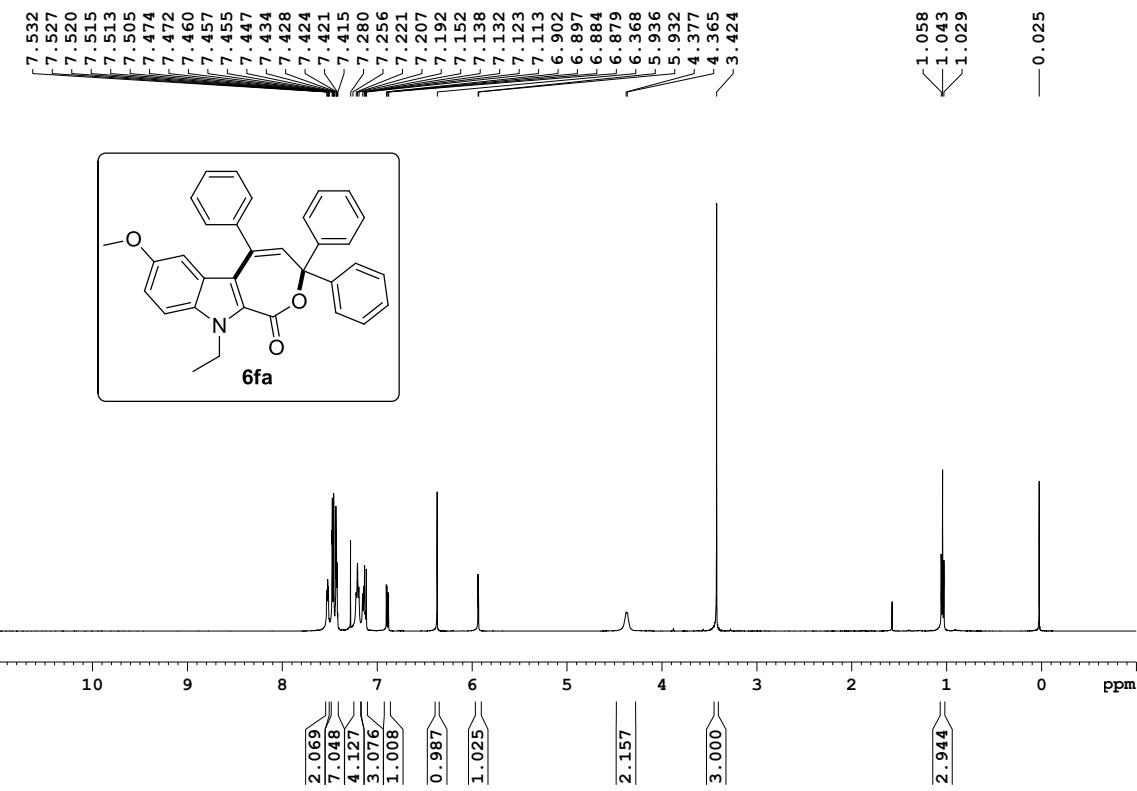


Figure S37. ¹H NMR spectrum of compound 6fa

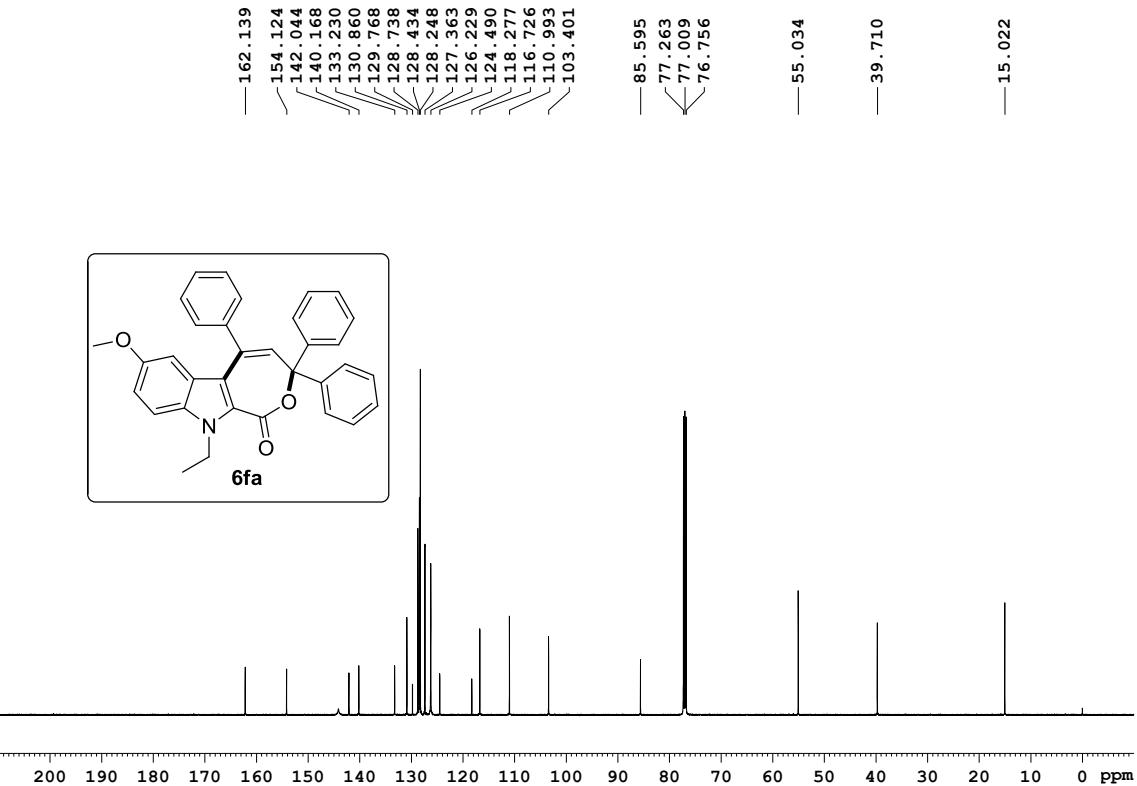


Figure S38. ¹³C NMR spectrum of compound 6fa

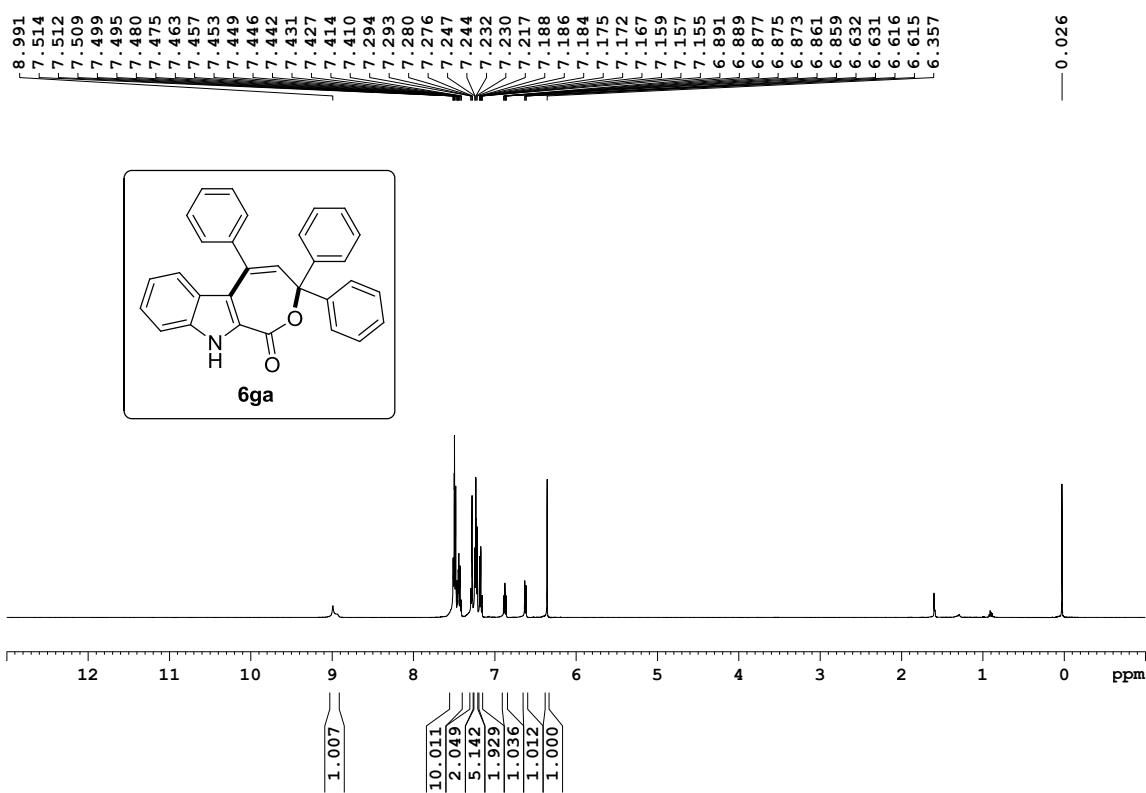


Figure S39. ^1H NMR spectrum of compound **6ga**

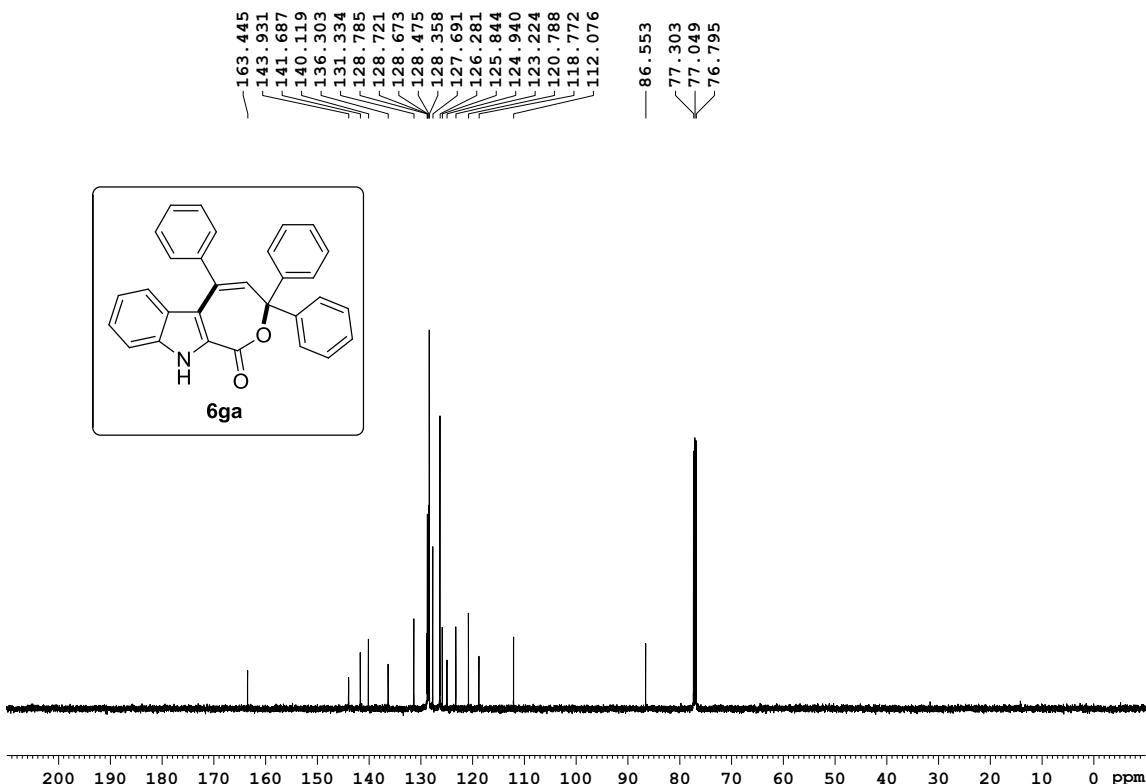


Figure S40. ^{13}C NMR spectrum of compound **6ga**

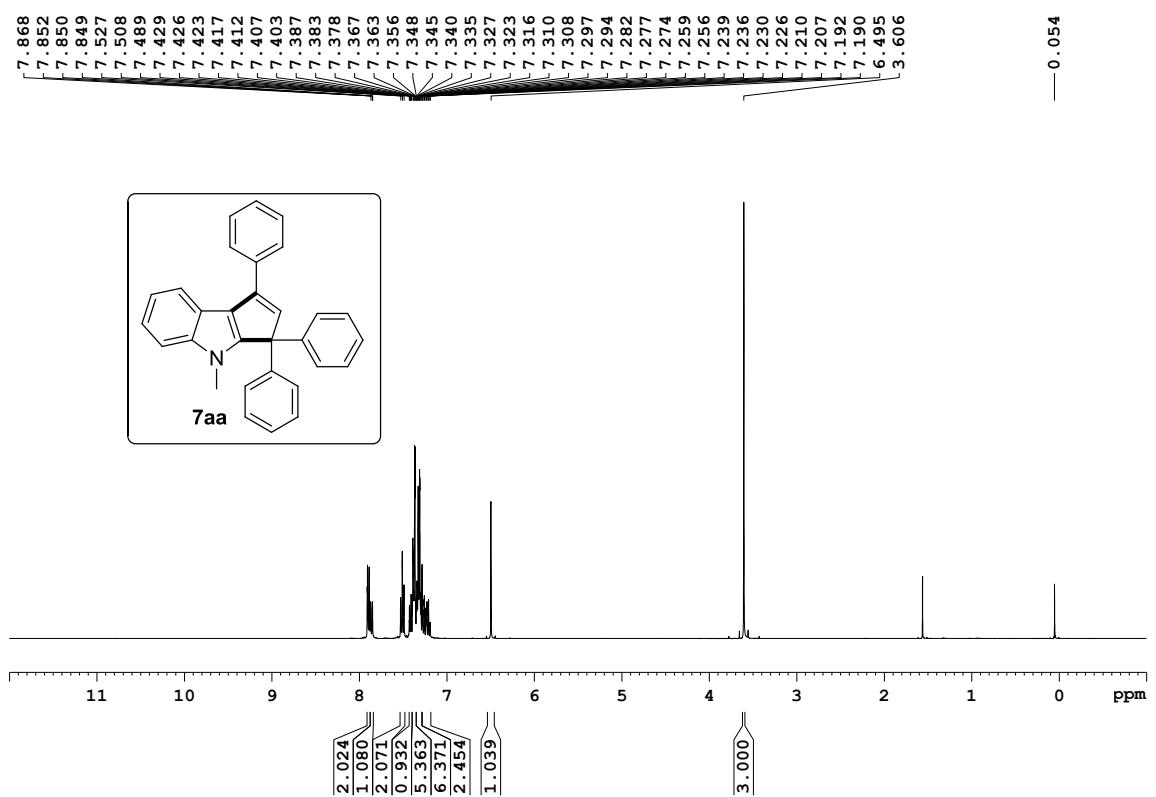


Figure S41. ¹H NMR spectrum of compound 7aa

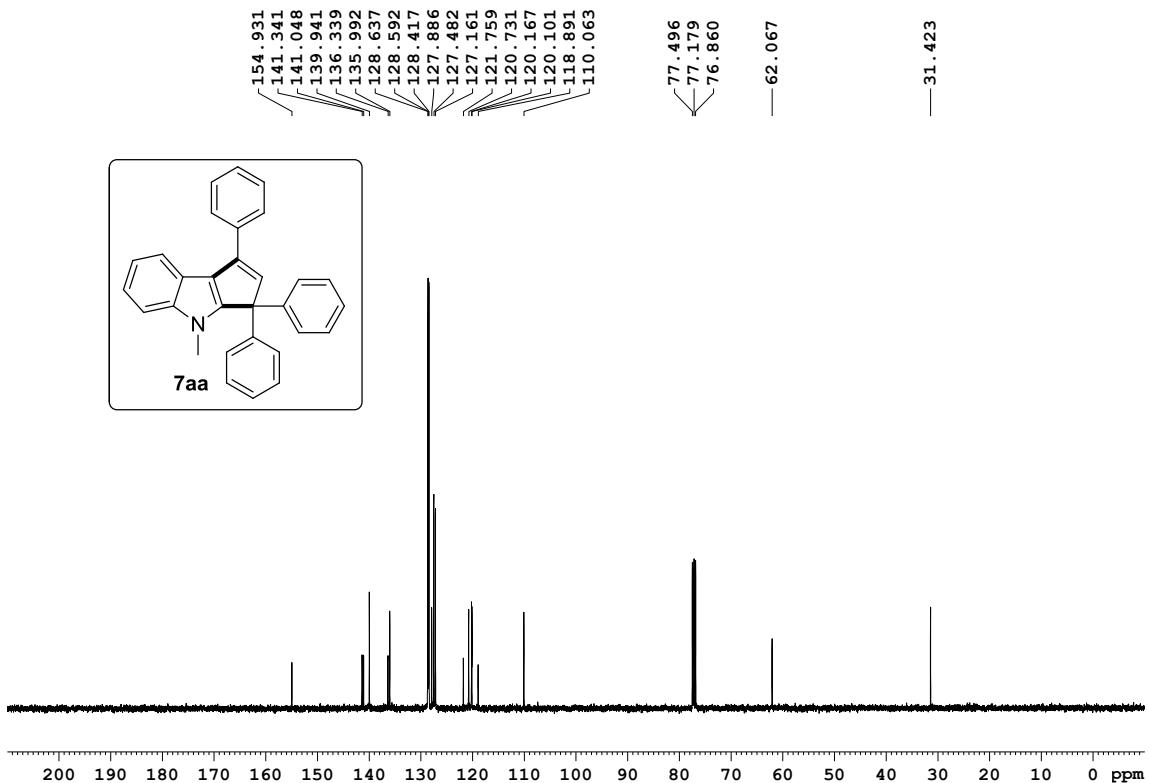


Figure S42. ¹³C NMR spectrum of compound 7aa

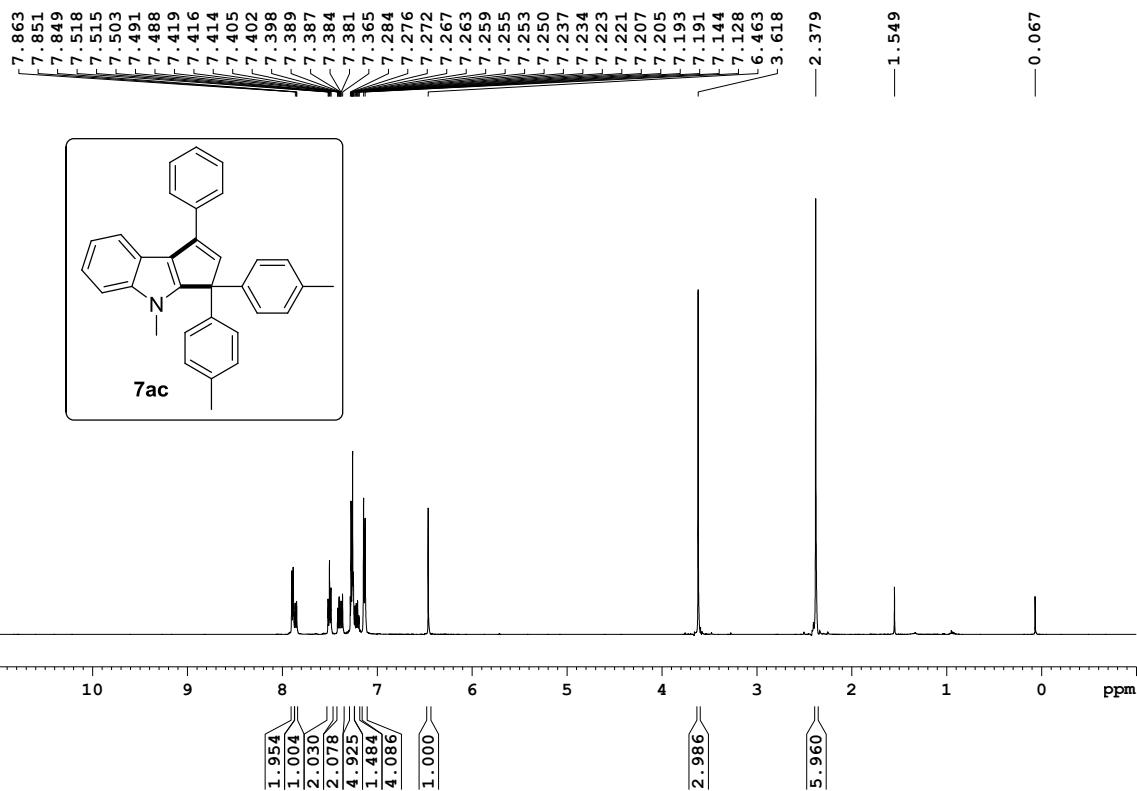


Figure S43. ¹H NMR spectrum of compound 7ac

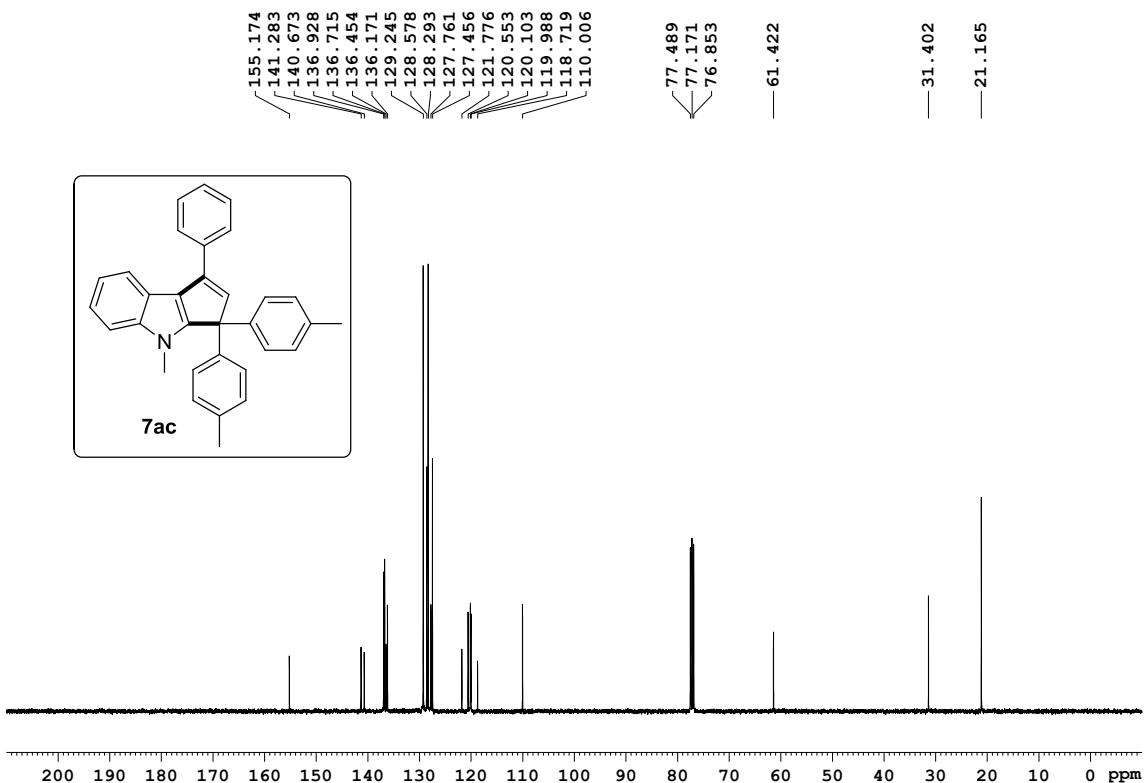


Figure S44. ¹³C NMR spectrum of compound 7ac

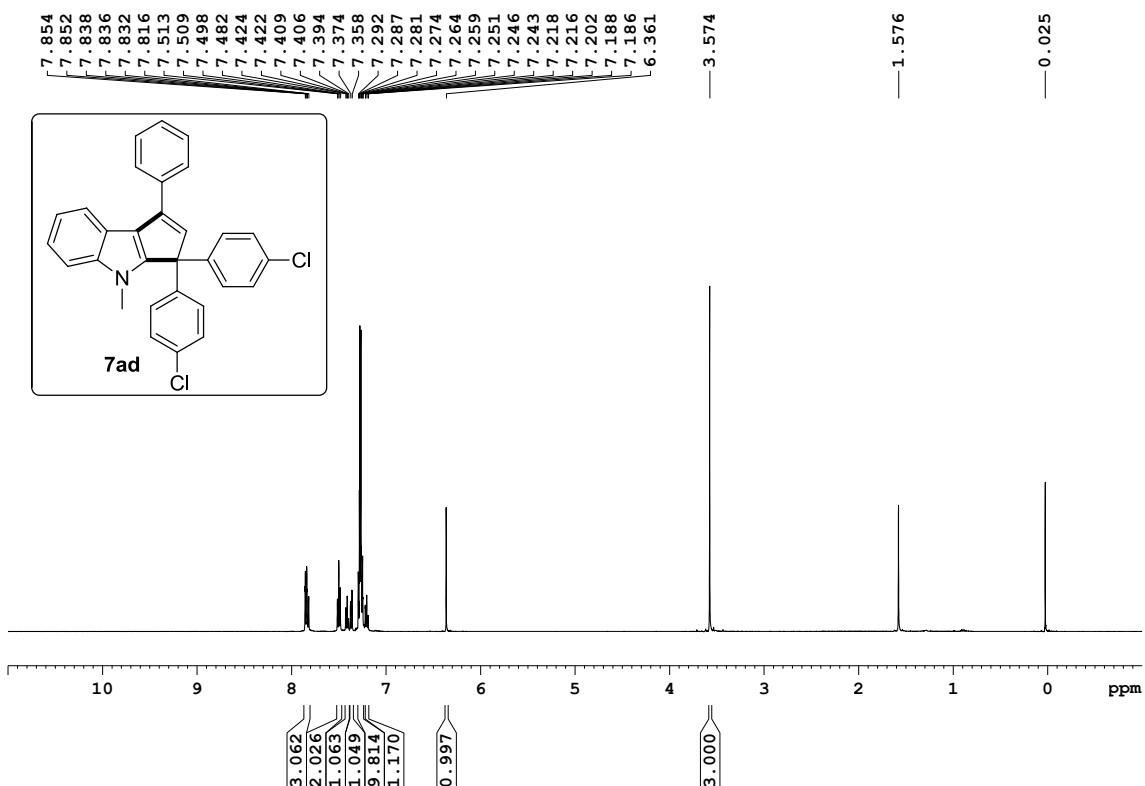


Figure S45. ¹H NMR spectrum of compound 7ad

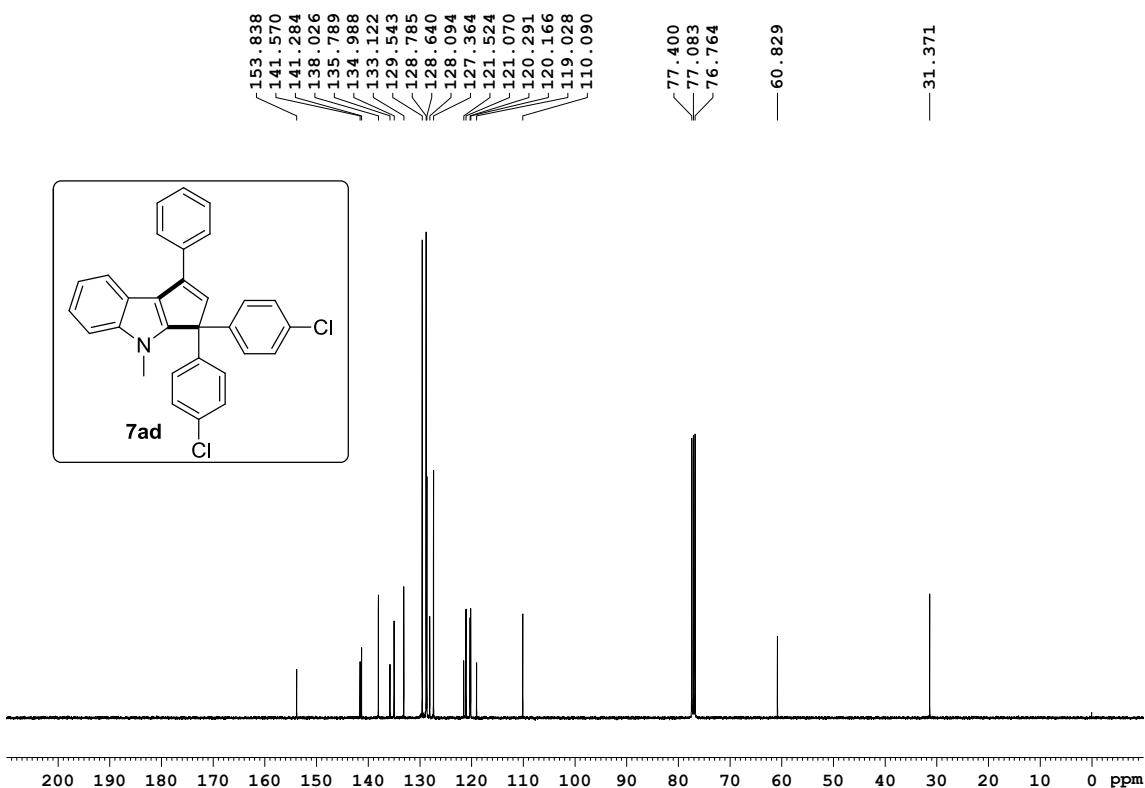


Figure S46. ¹³C NMR spectrum of compound 7ad

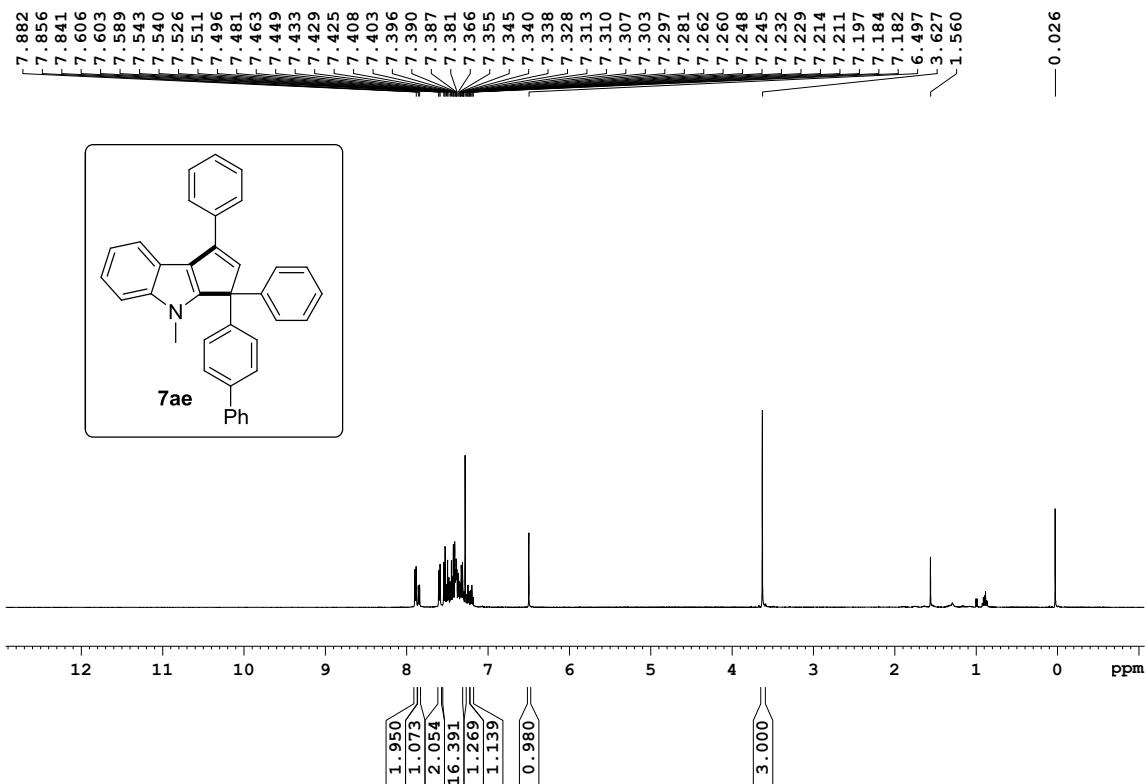


Figure S47. ¹H NMR spectrum of compound 7ae

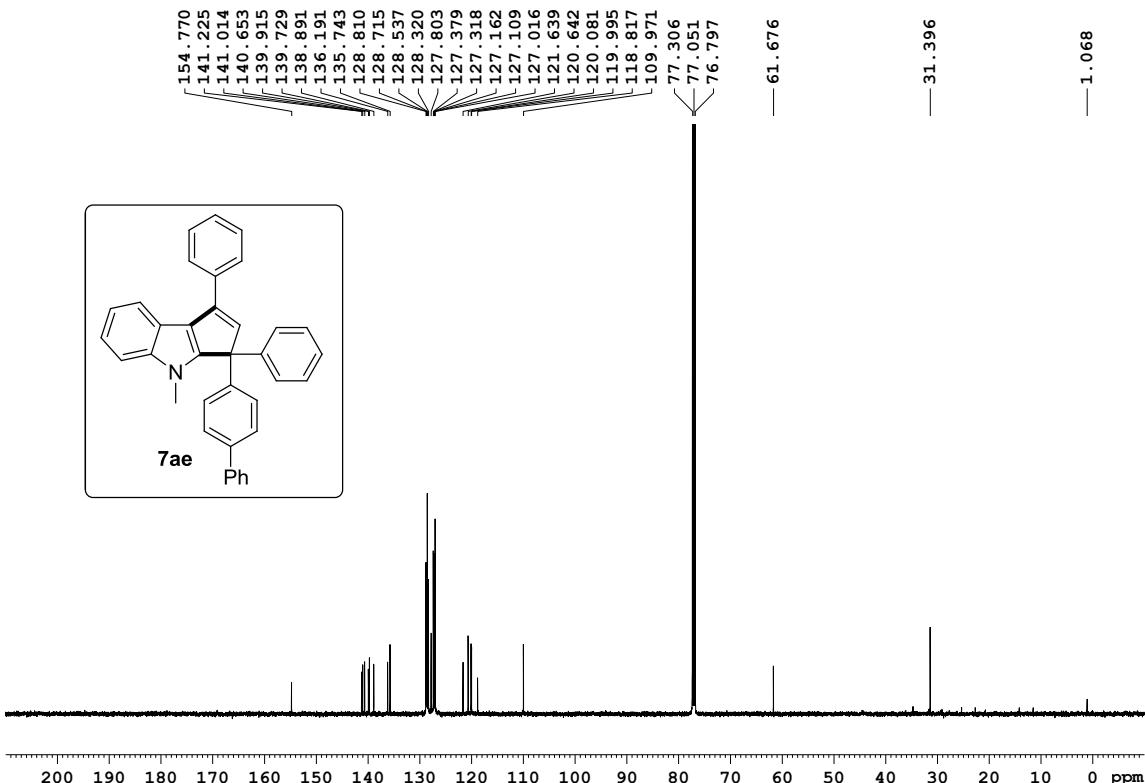


Figure S48. ¹³C NMR spectrum of compound 7ae

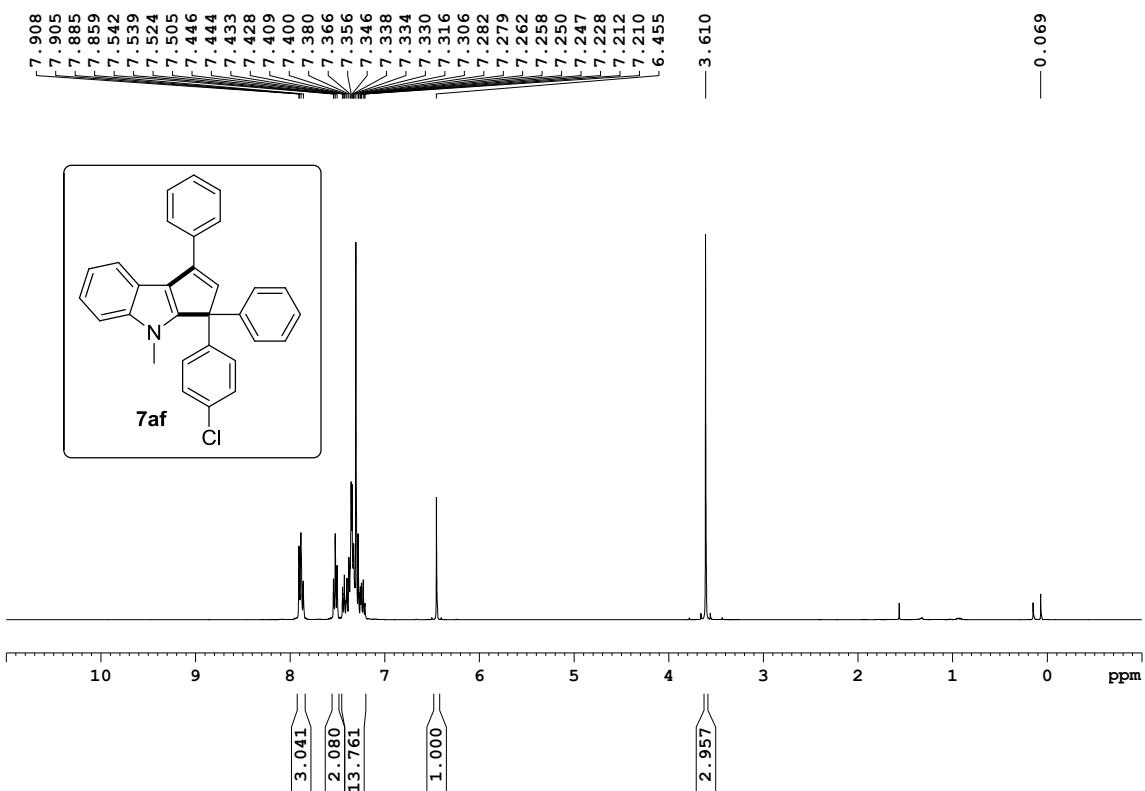


Figure S49. ¹H NMR spectrum of compound 7af

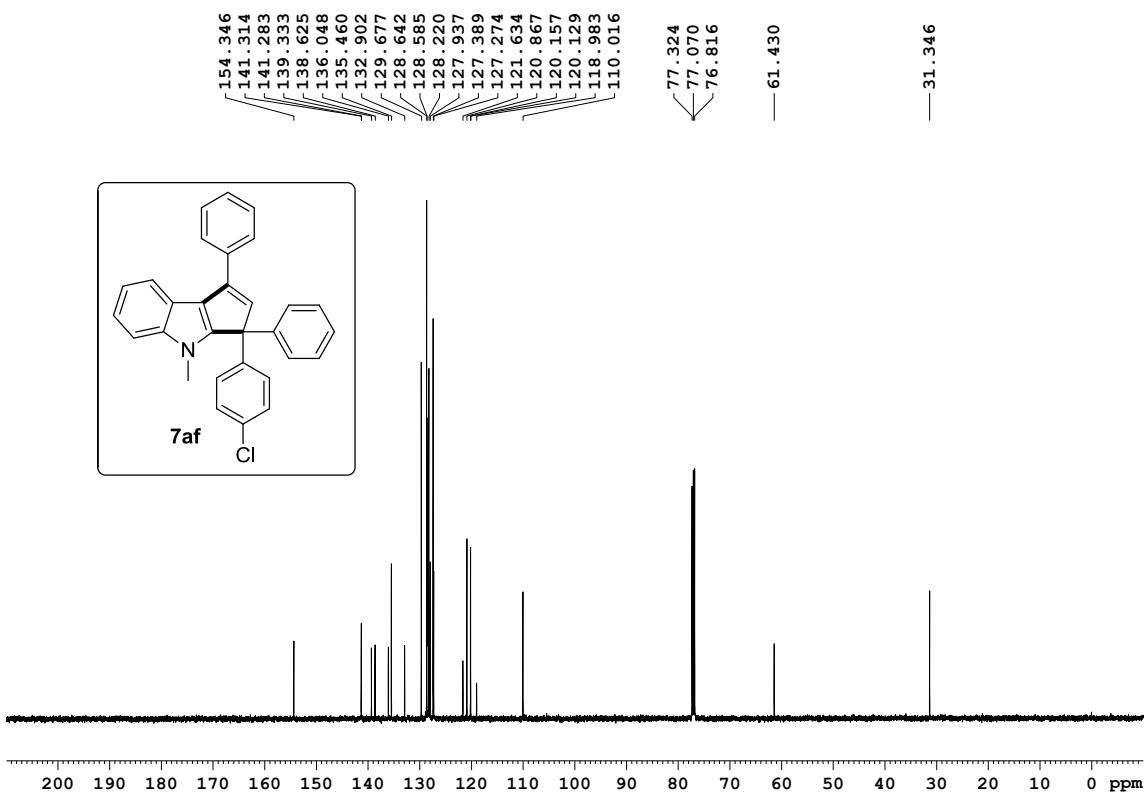


Figure S50. ¹³C NMR spectrum of compound 7af

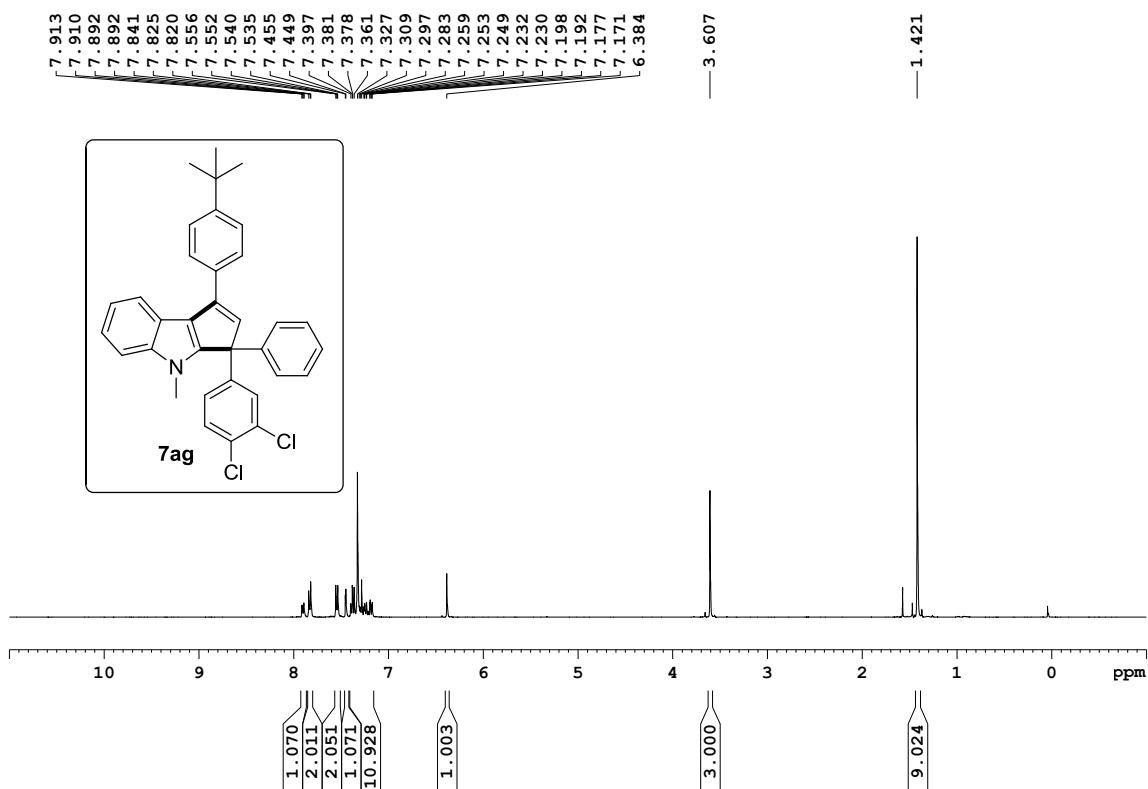


Figure S51. ¹H NMR spectrum of compound 7ag

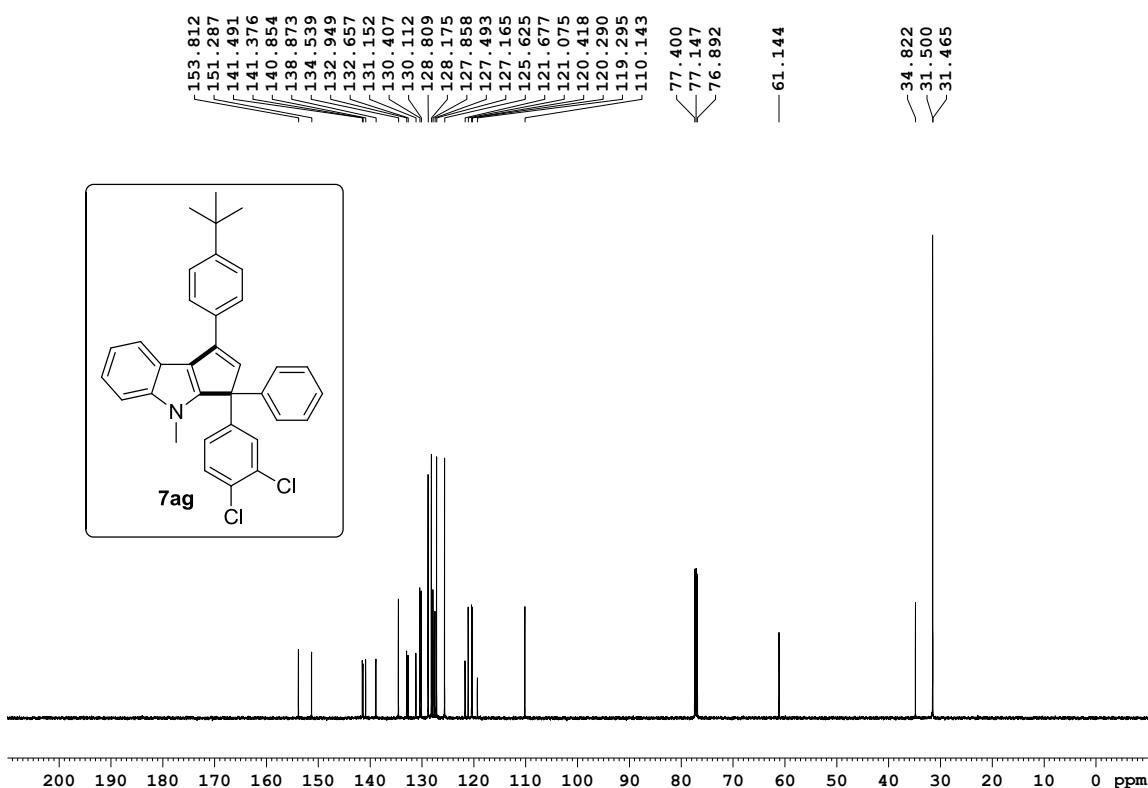


Figure S52. ¹³C NMR spectrum of compound 7ag

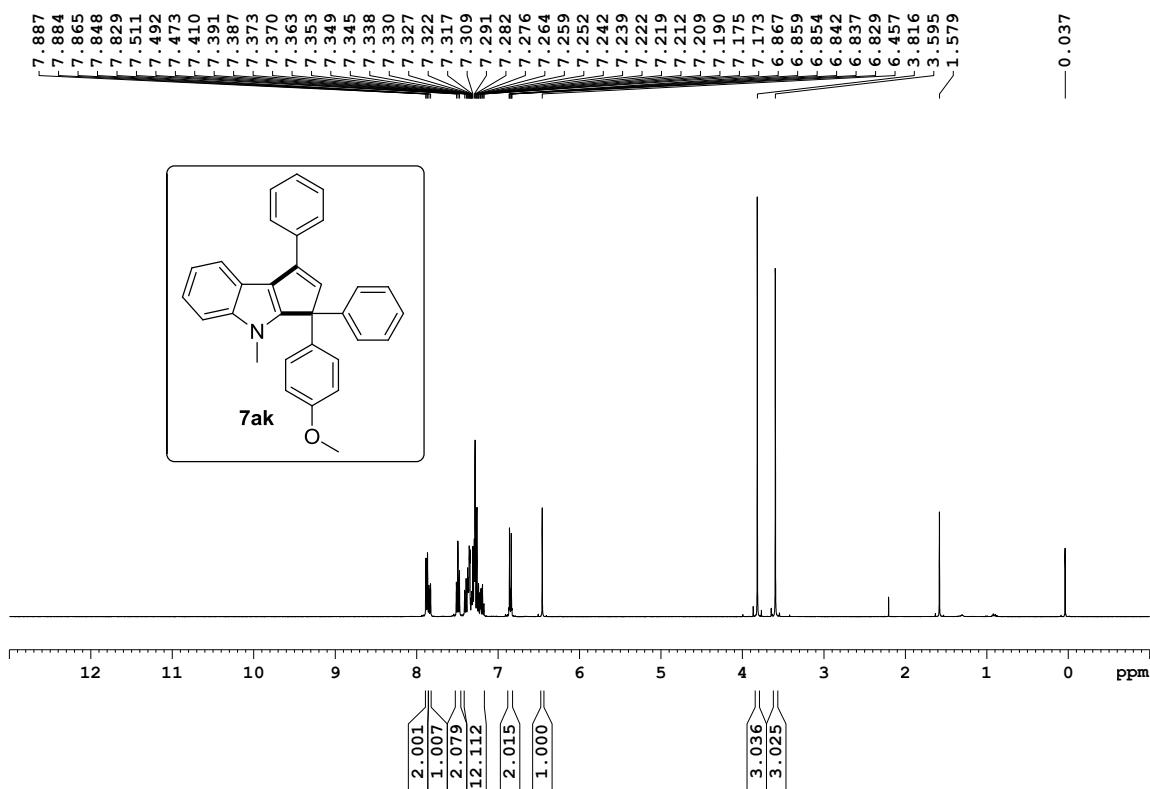


Figure S53. ¹H NMR spectrum of compound 7ak

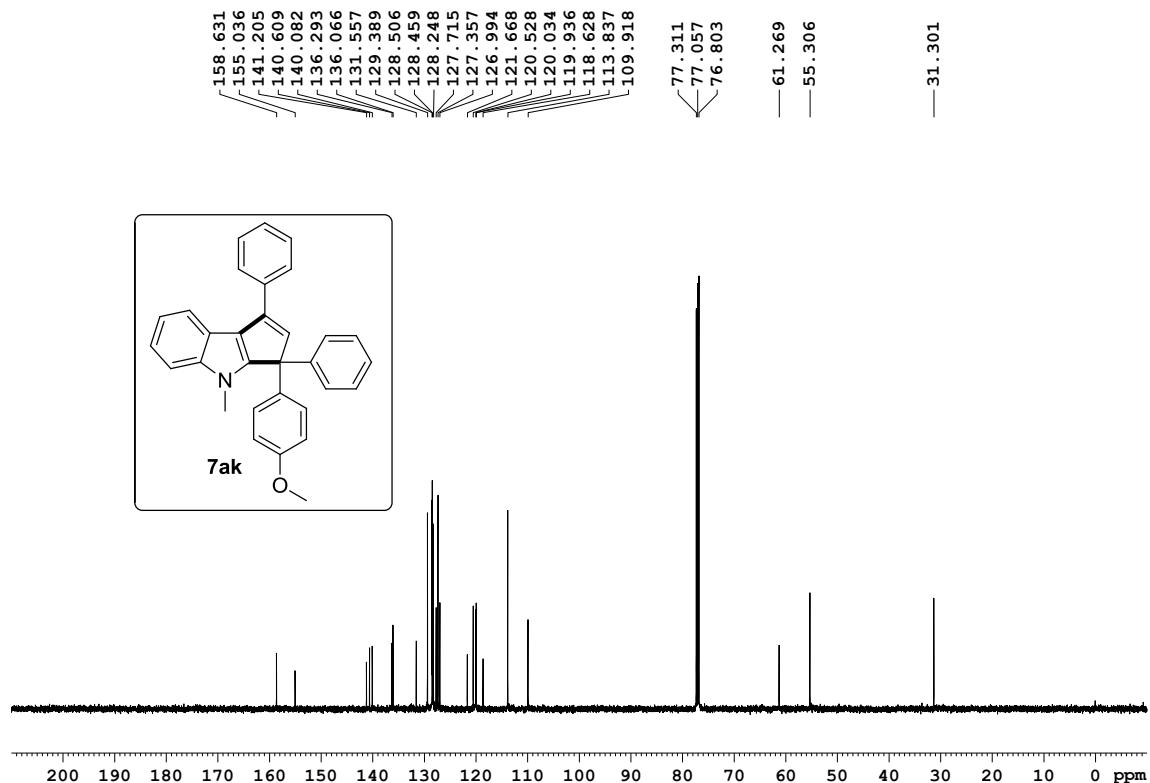


Figure S54. ¹³C NMR spectrum of compound 7ak

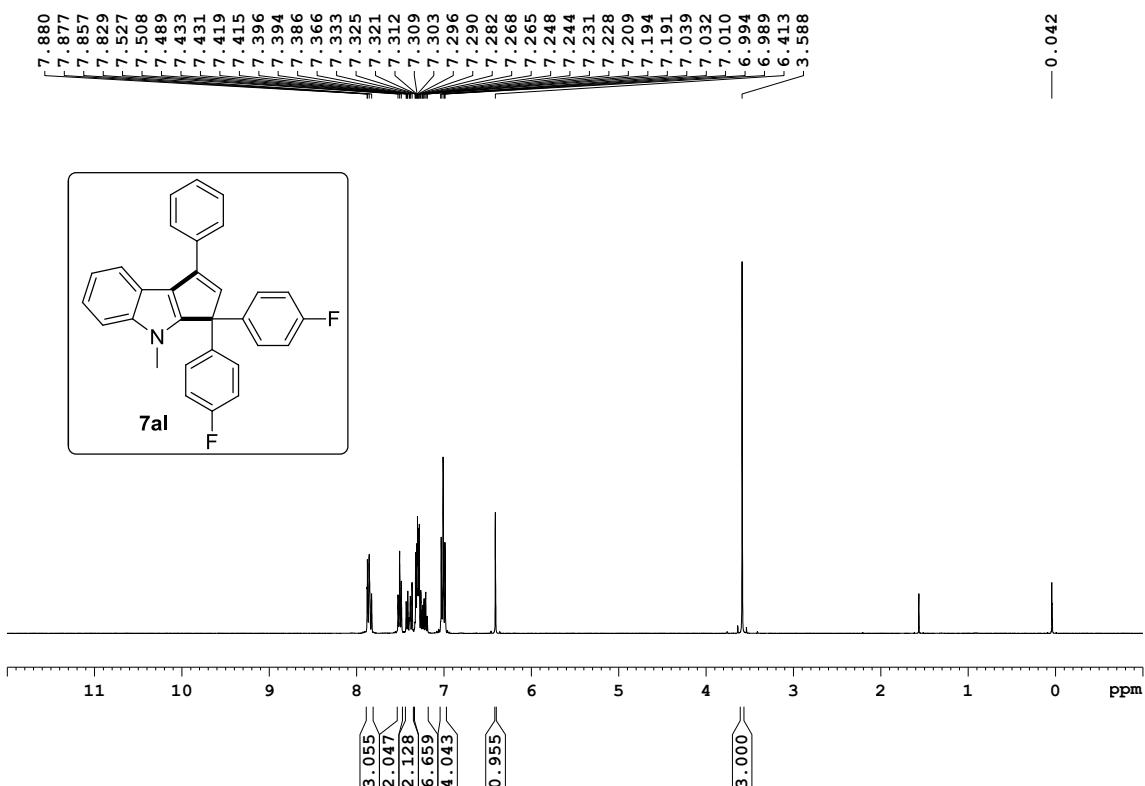


Figure S55. ¹H NMR spectrum of compound 7al

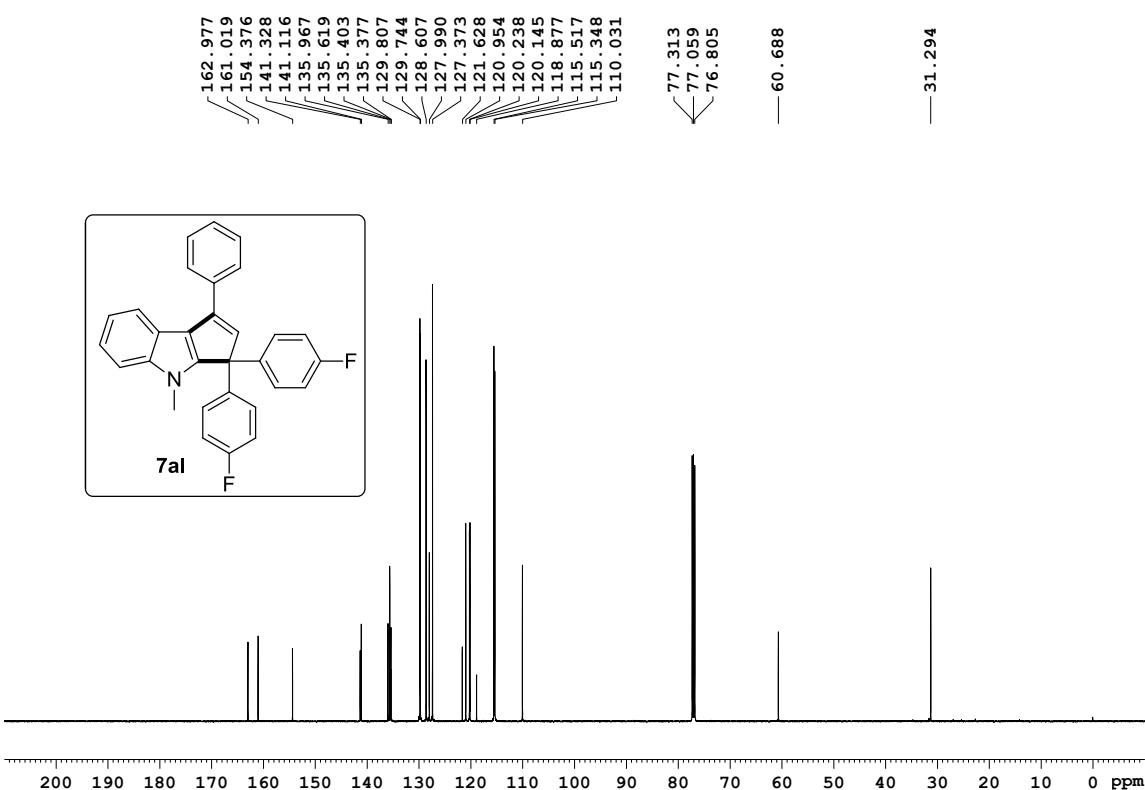


Figure S56. ¹³C NMR spectrum of compound 7al

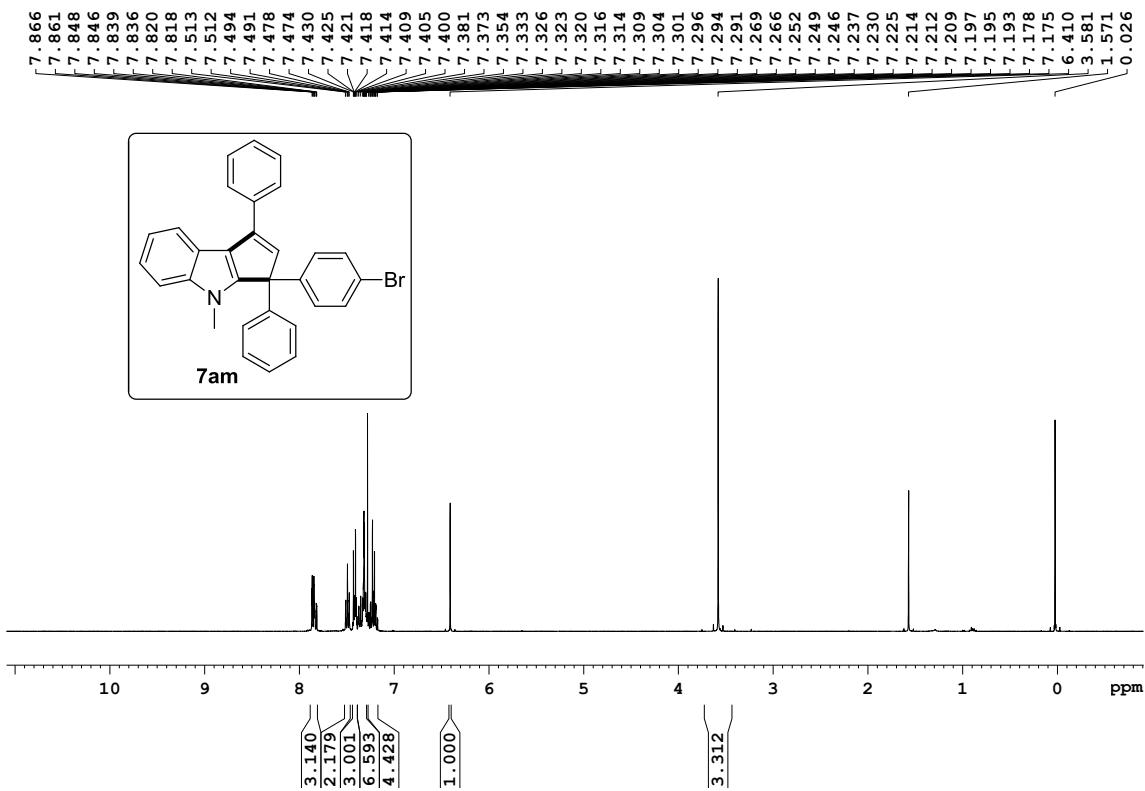


Figure S57. ^1H NMR spectrum of compound 7am

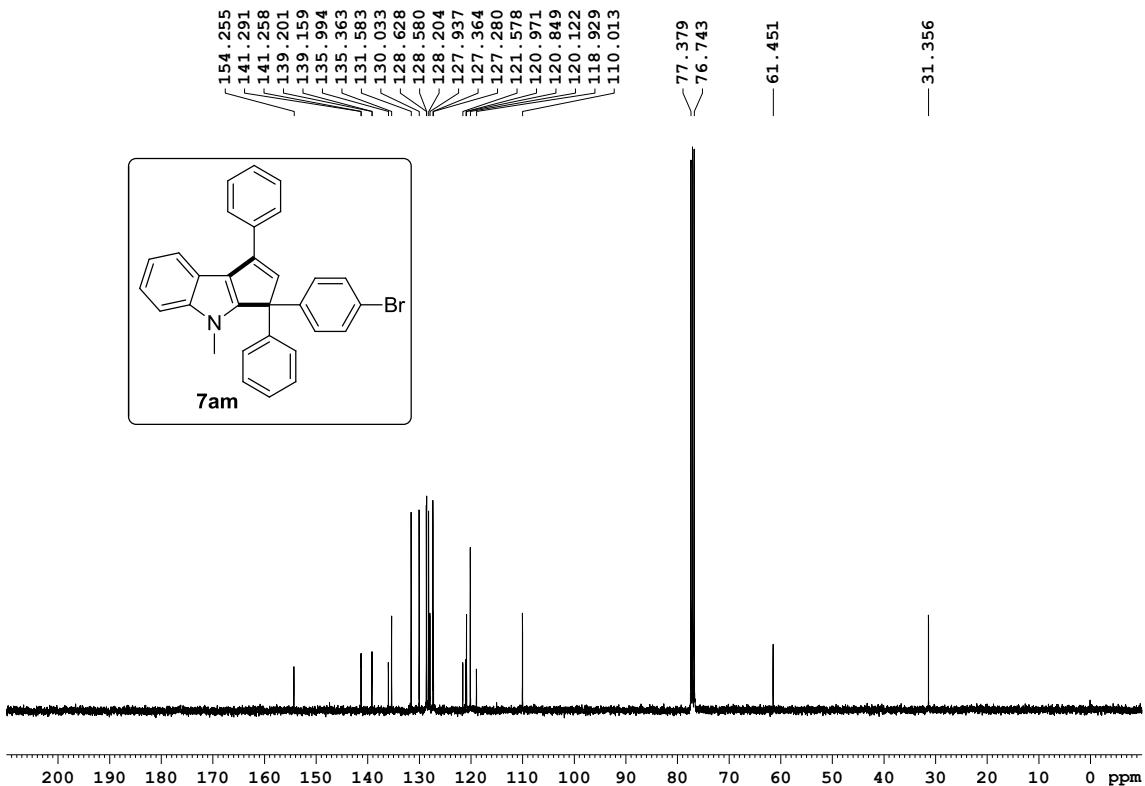


Figure S58. ^{13}C NMR spectrum of compound 7am

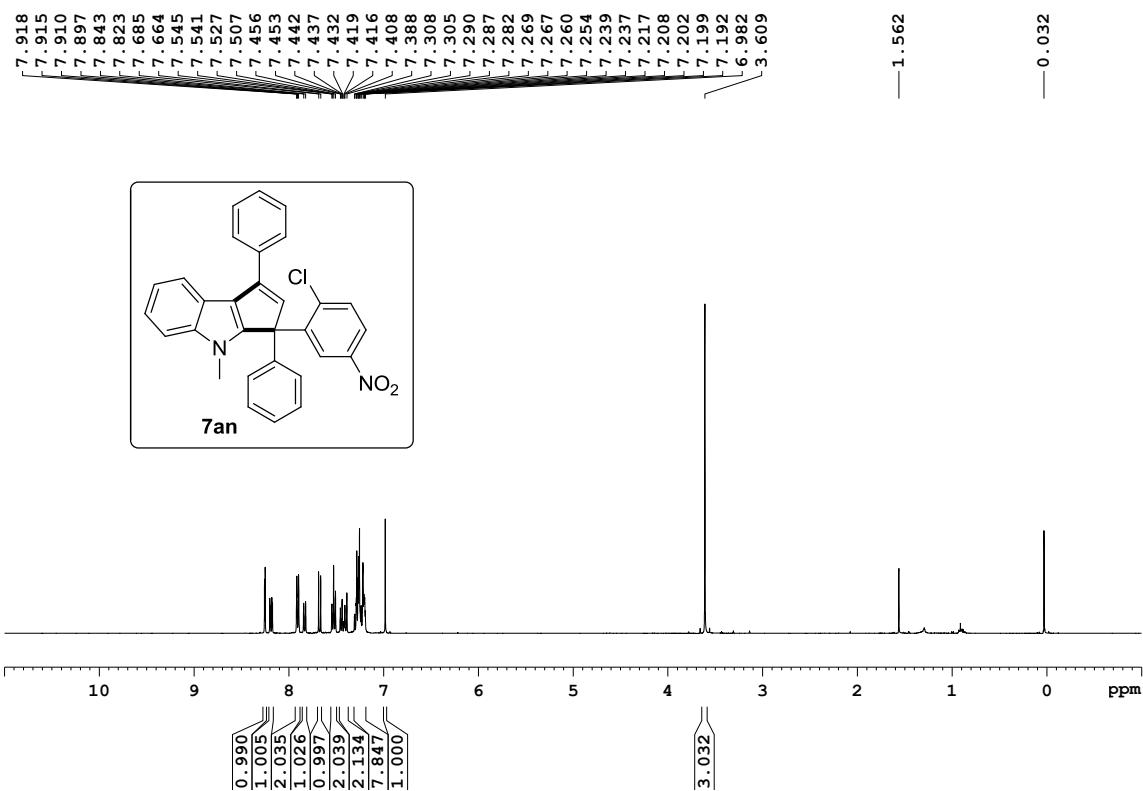


Figure S59. ^1H NMR spectrum of compound **7an**

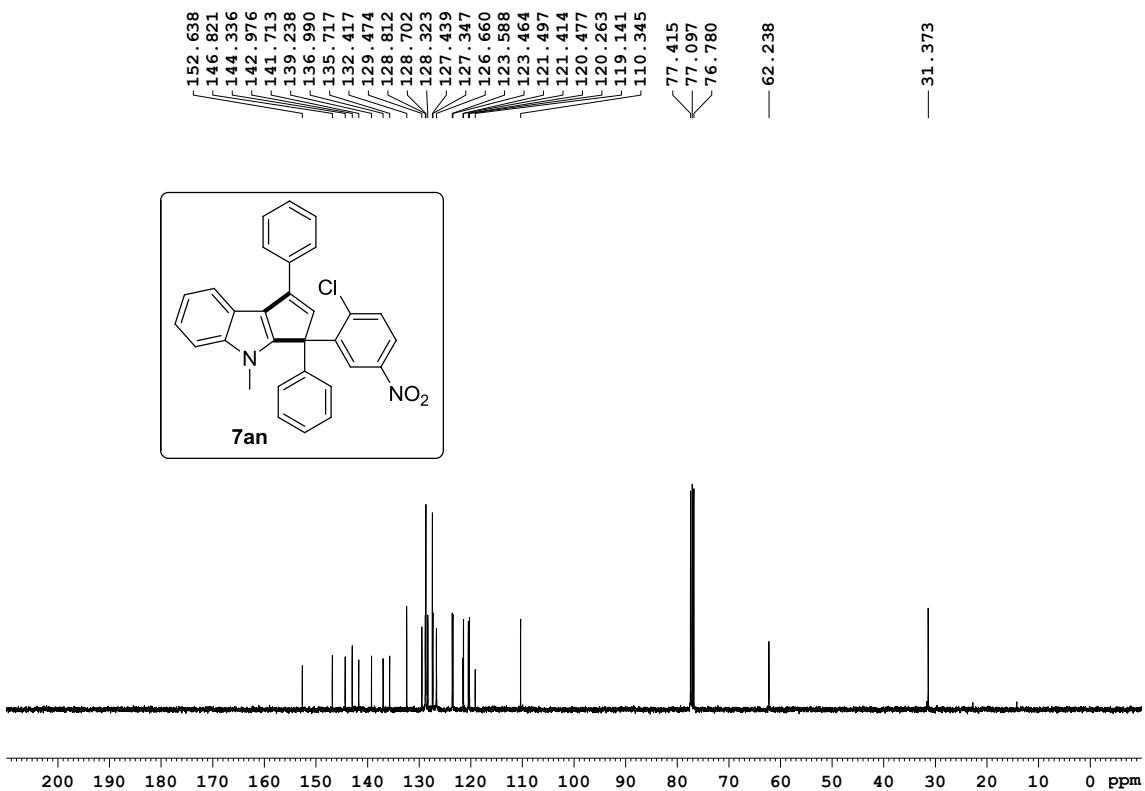


Figure S60. ^{13}C NMR spectrum of compound **7an**

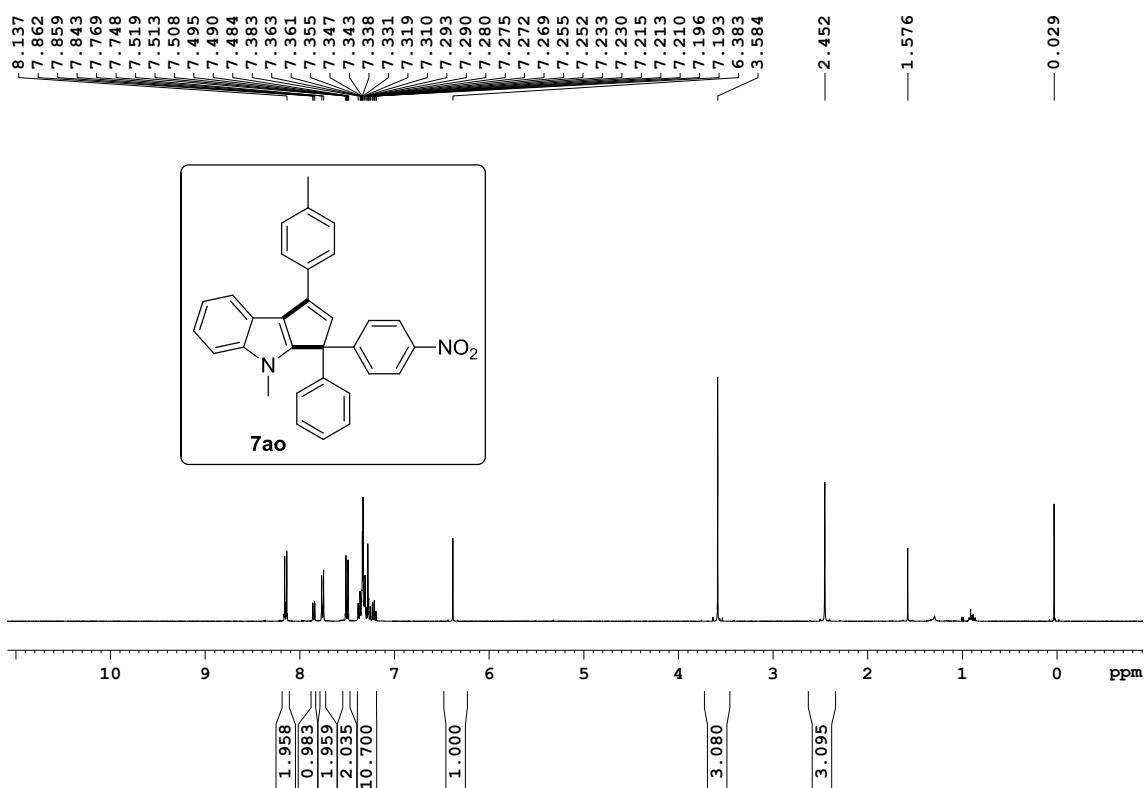


Figure S61. ¹H NMR spectrum of compound 7ao

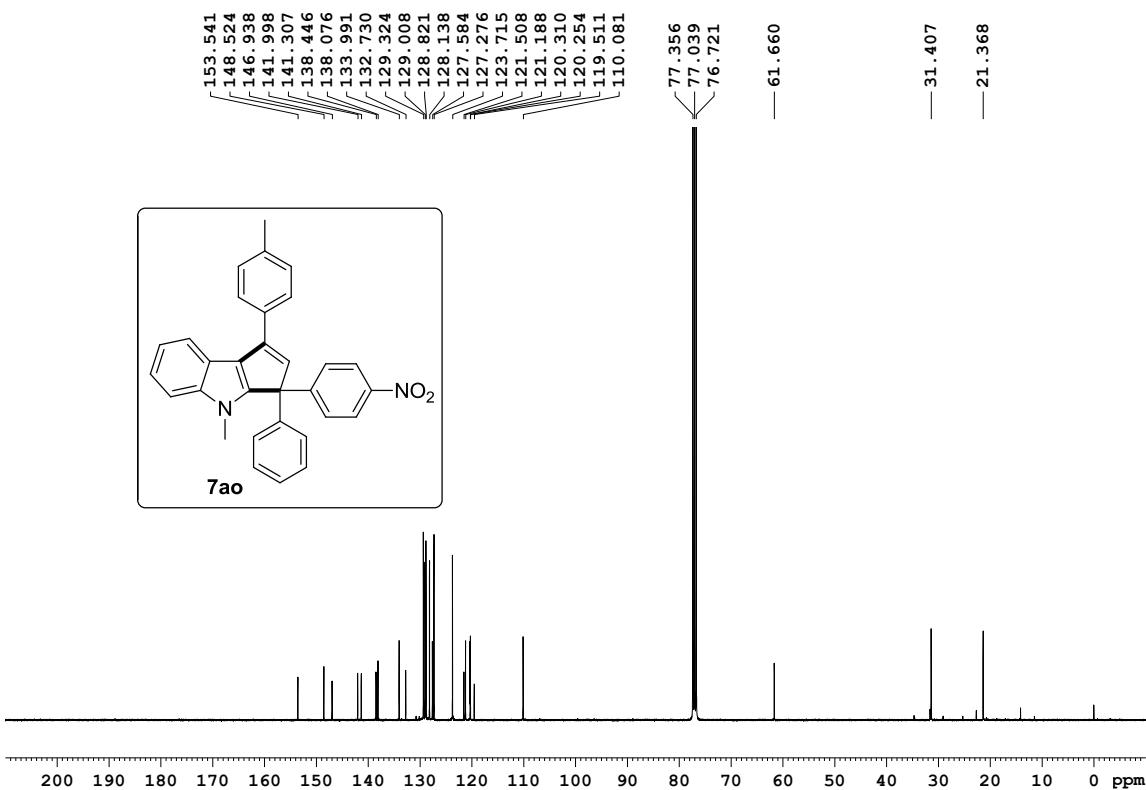


Figure S62. ¹³C NMR spectrum of compound 7ao

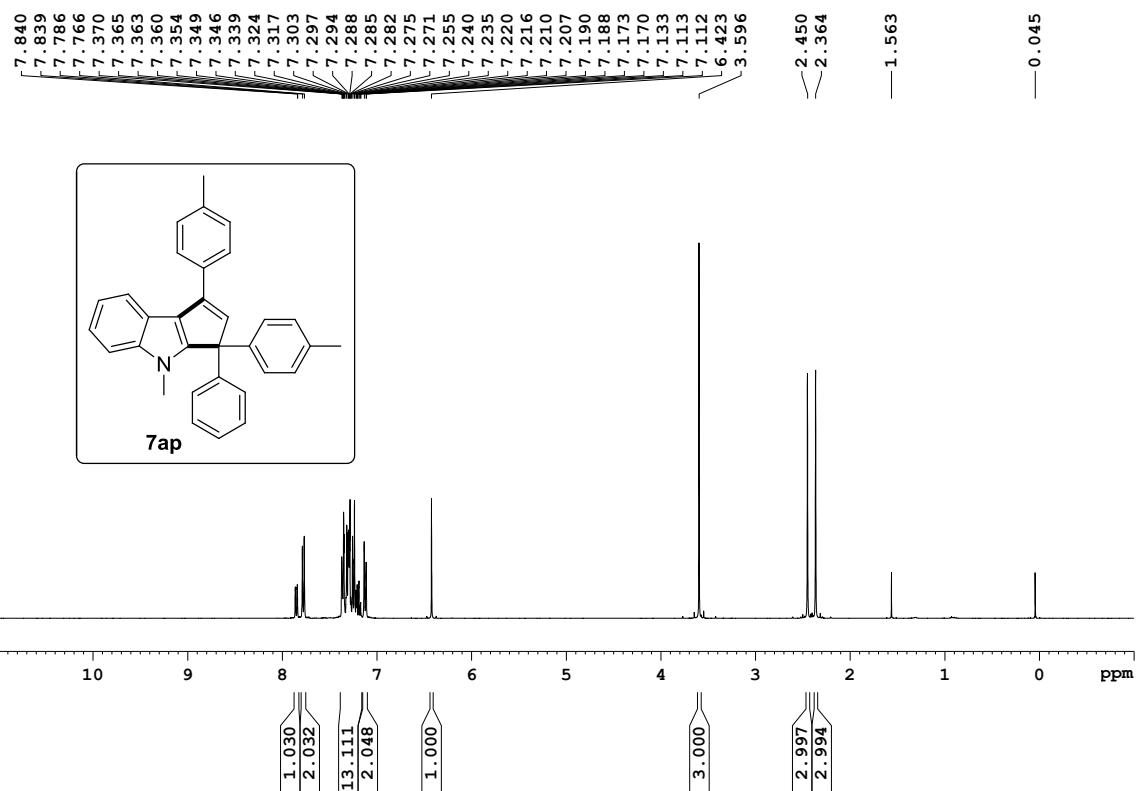


Figure S63. ¹H NMR spectrum of compound 7ap

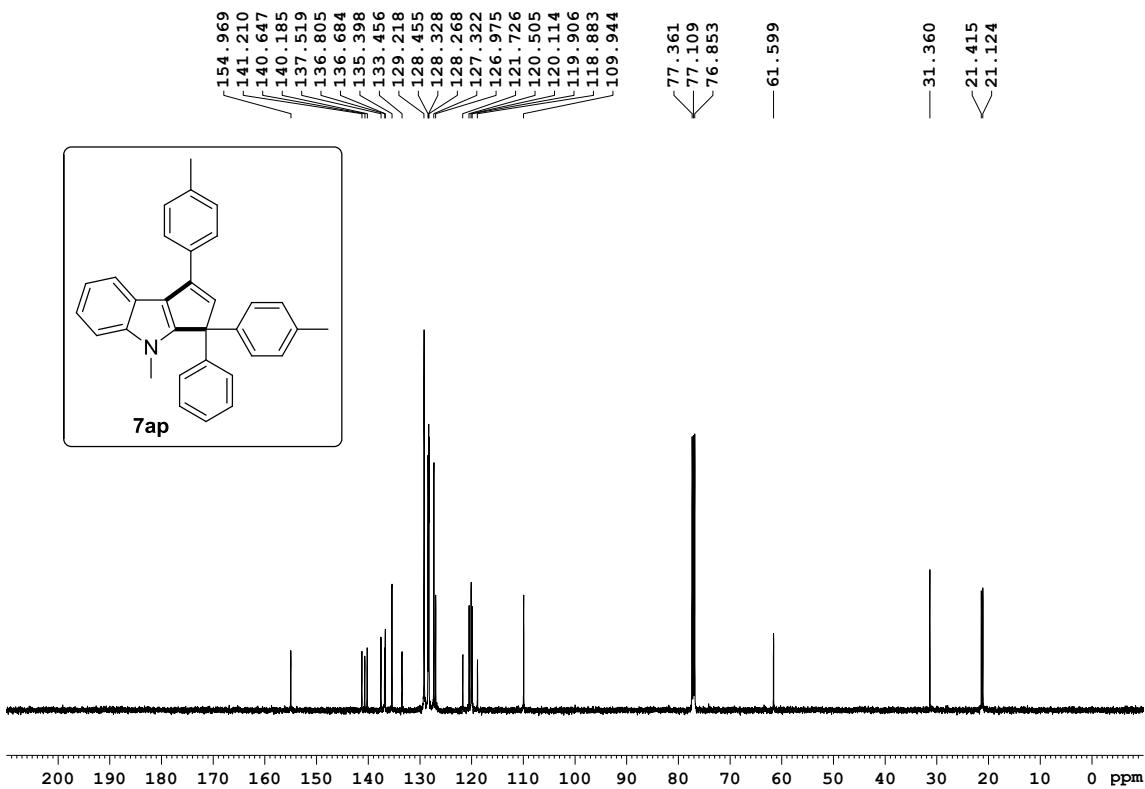


Figure S64. ¹³C NMR spectrum of compound 7ap

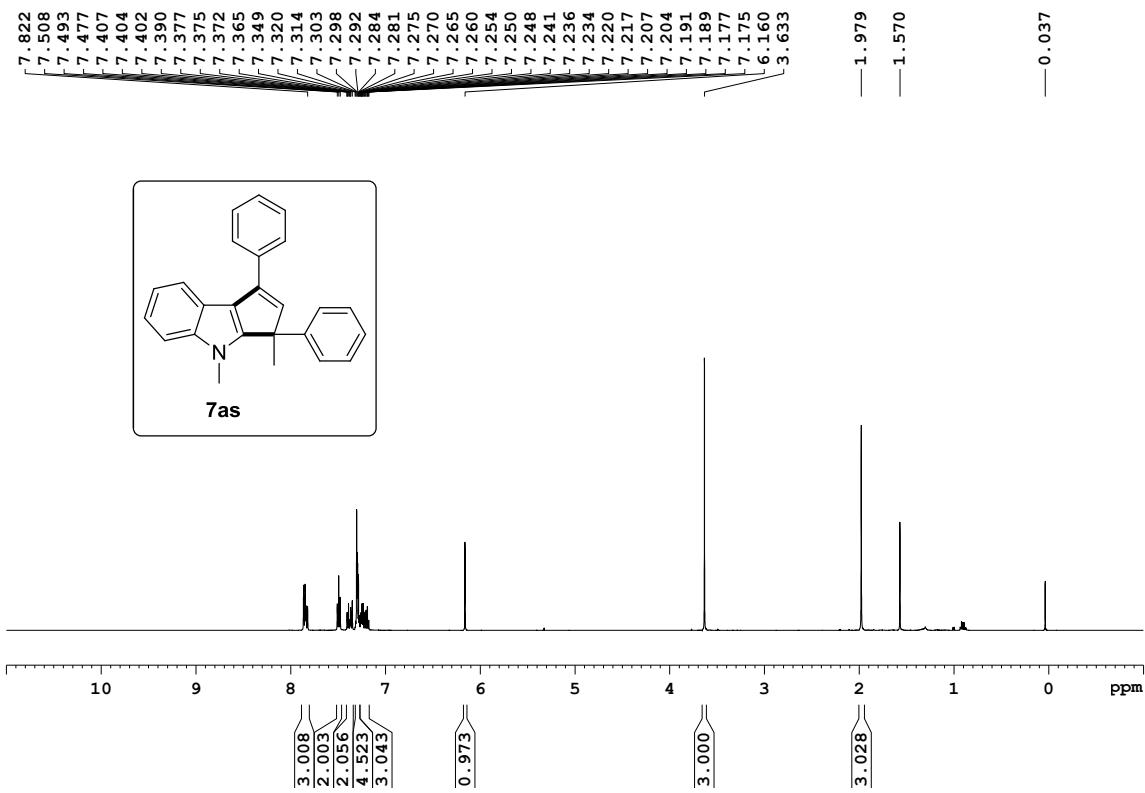


Figure S65. ¹H NMR spectrum of compound 7as

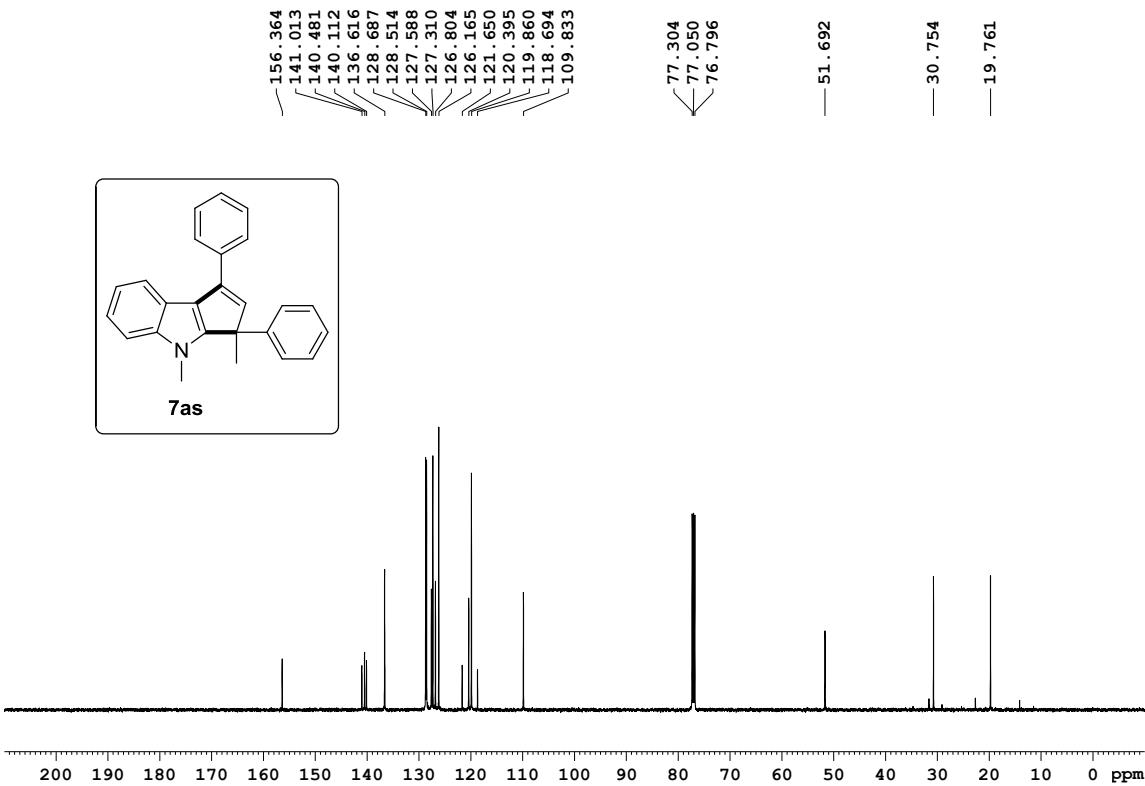


Figure S66. ¹³C NMR spectrum of compound 7as

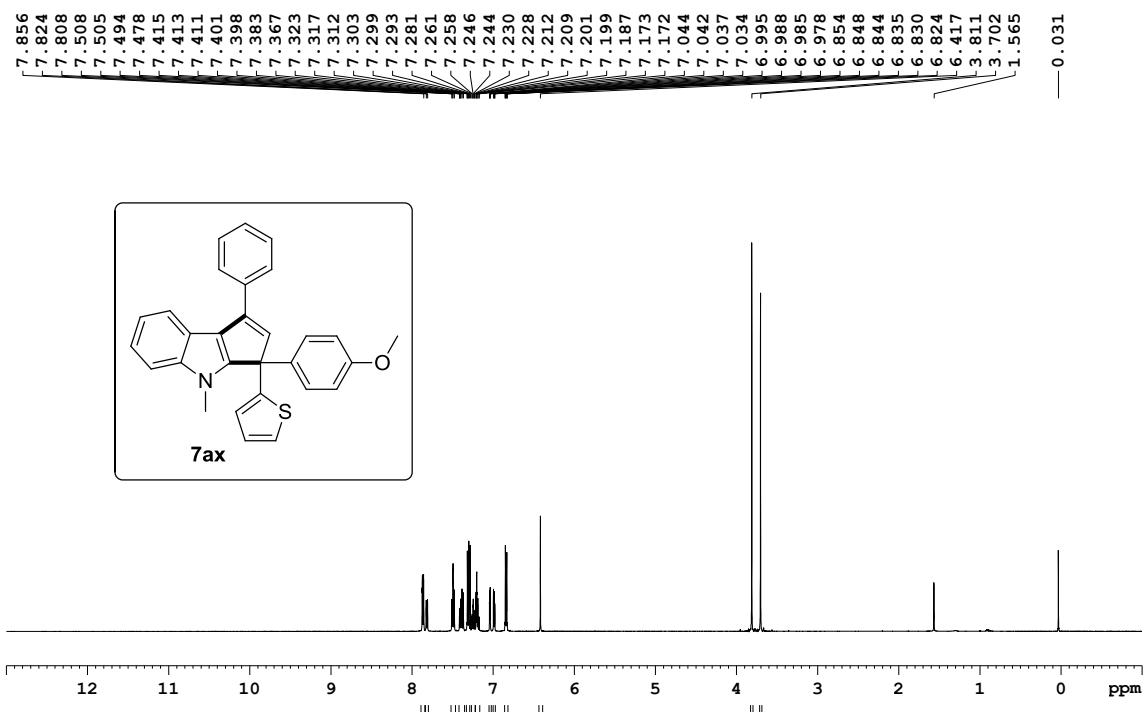


Figure S67. ¹H NMR spectrum of compound 7ax

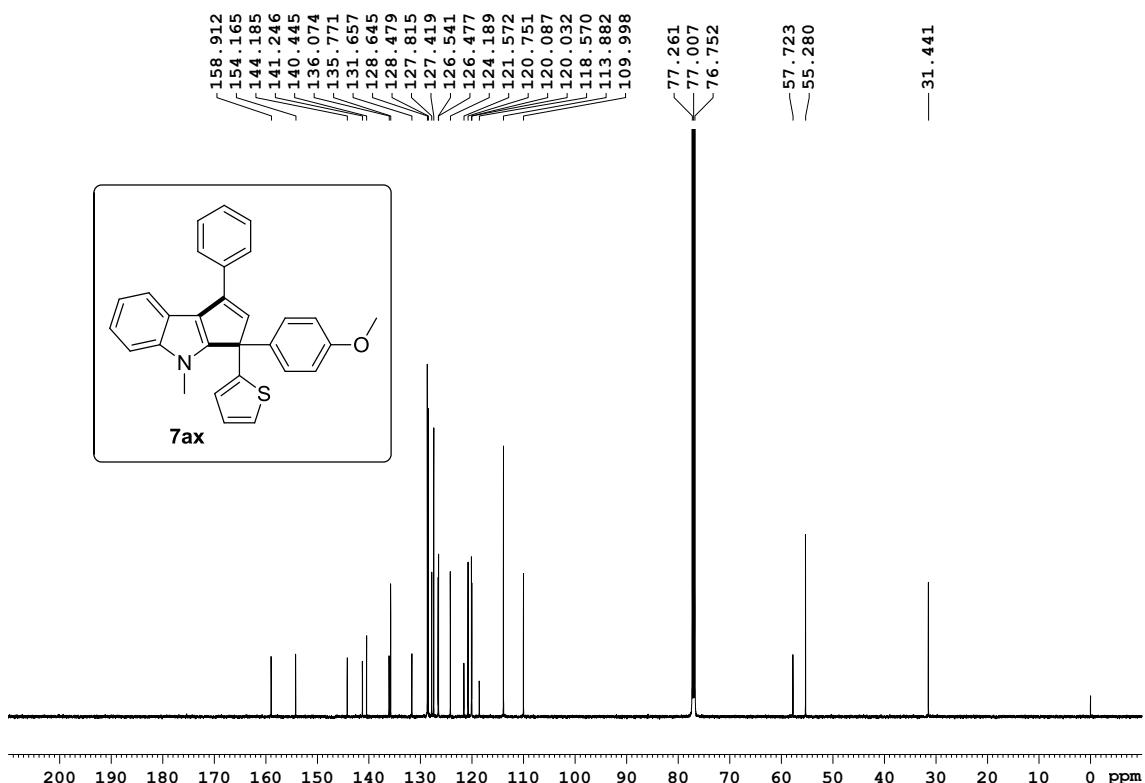


Figure S68. ¹³C NMR spectrum of compound 7ax

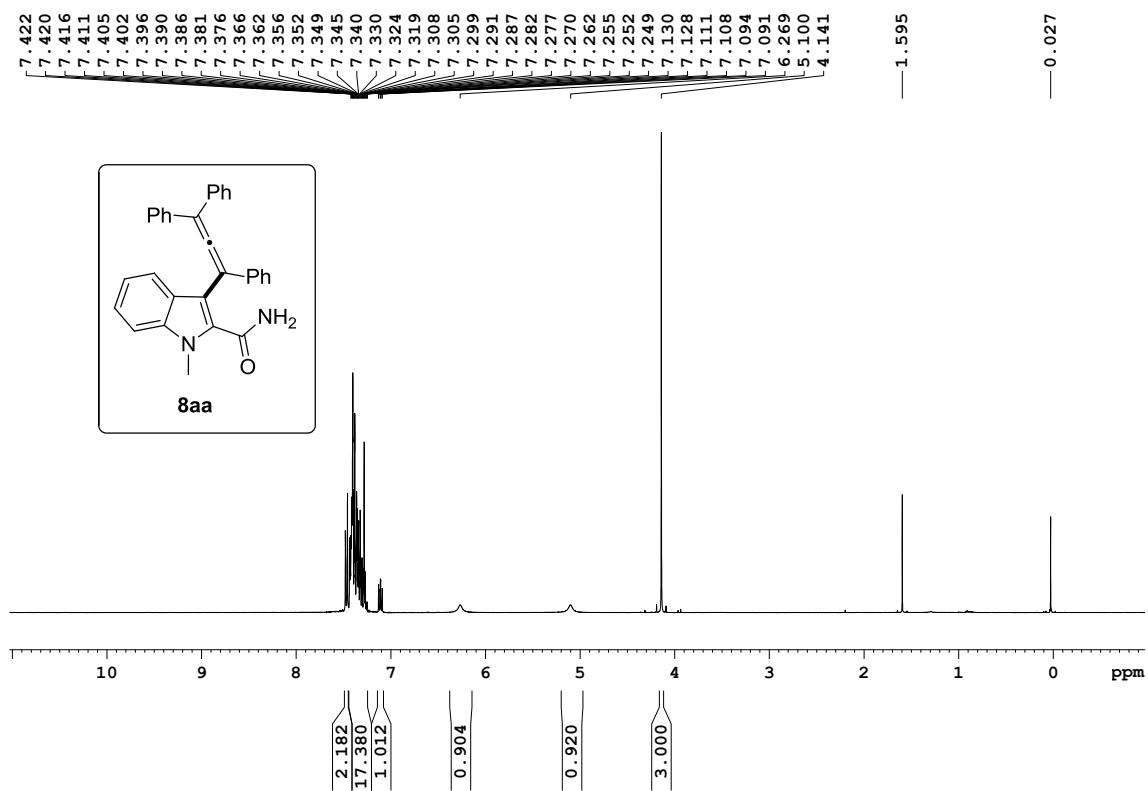


Figure S69. ¹H NMR spectrum of compound 8aa

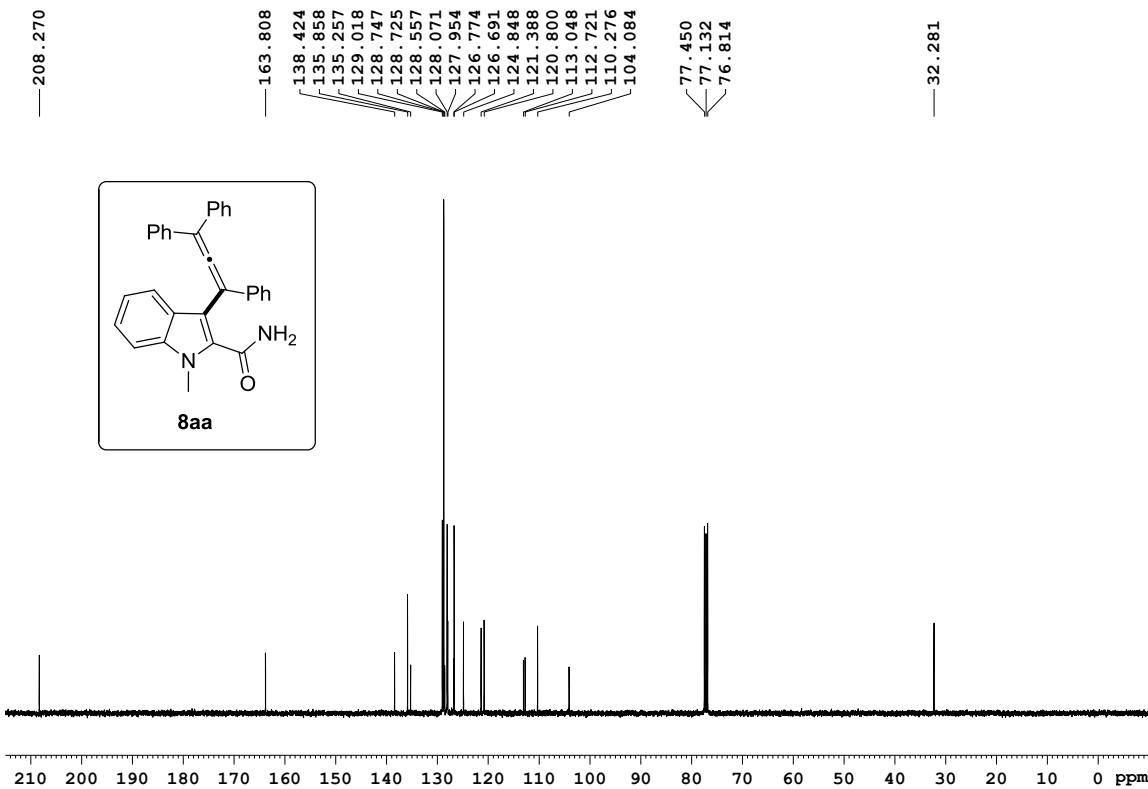


Figure S70. ¹³C NMR spectrum of compound 8aa

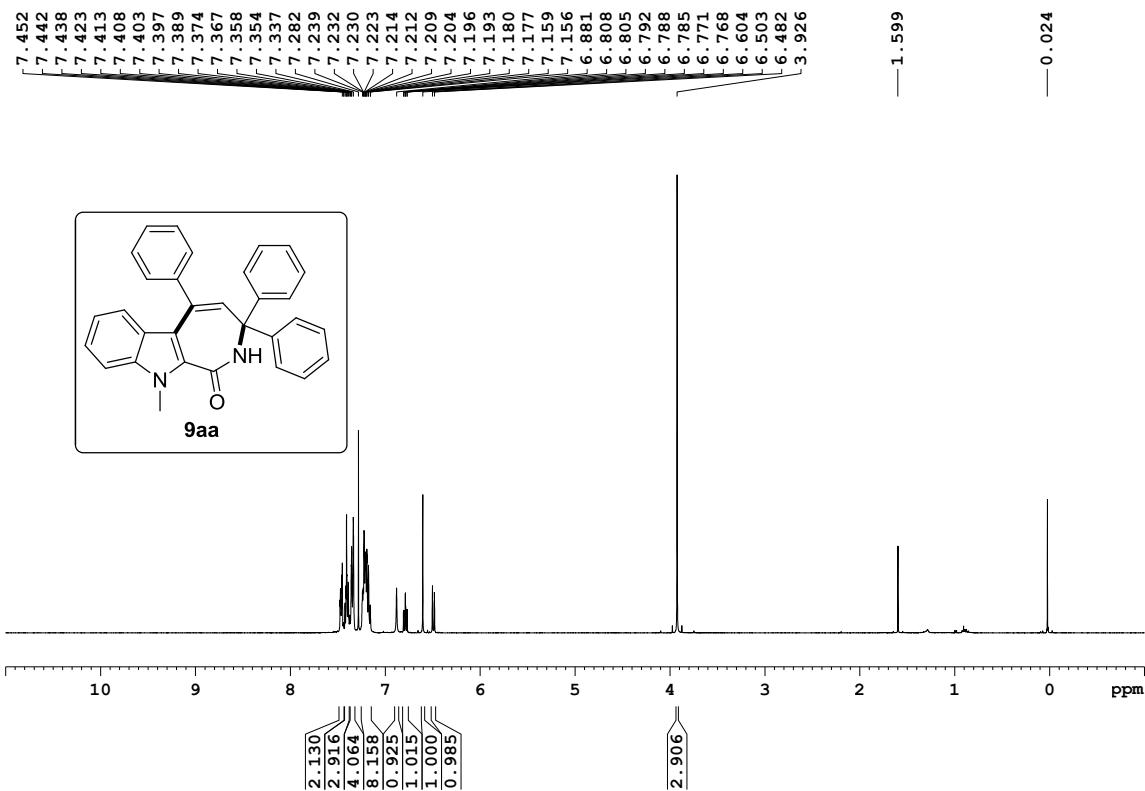


Figure S71. ¹H NMR spectrum of compound 9aa

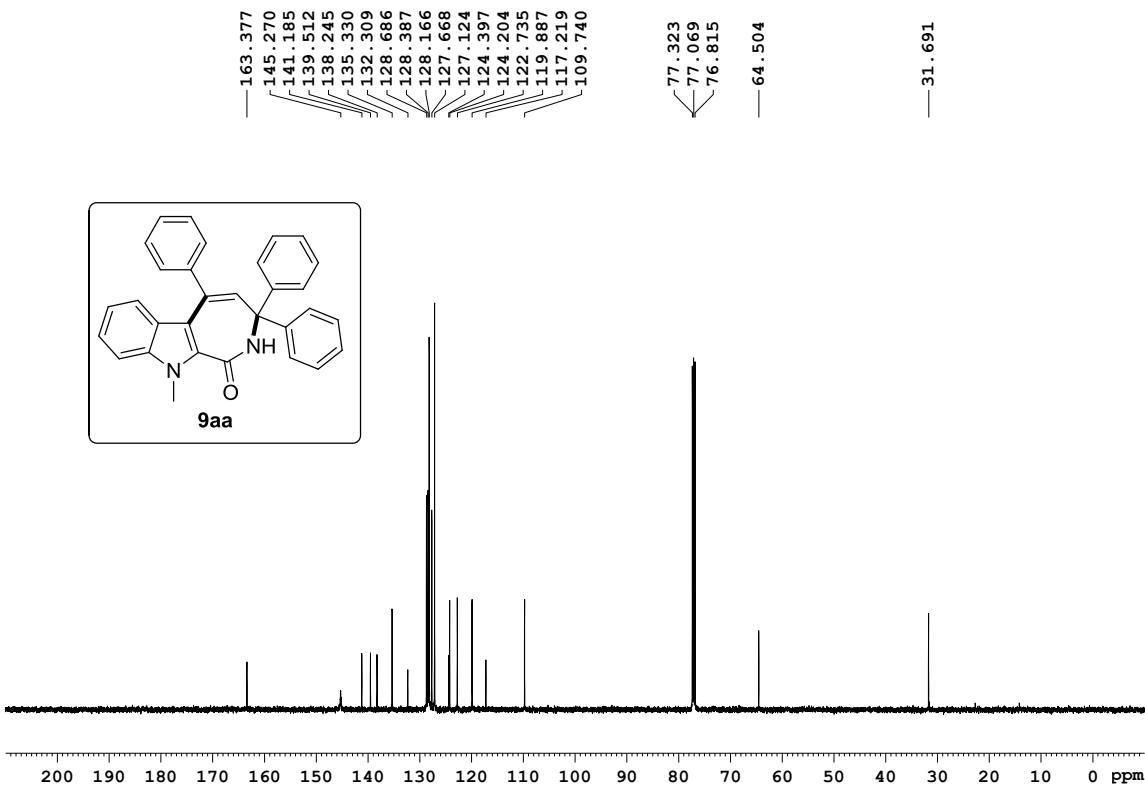


Figure S72. ¹³C NMR spectrum of compound 9aa

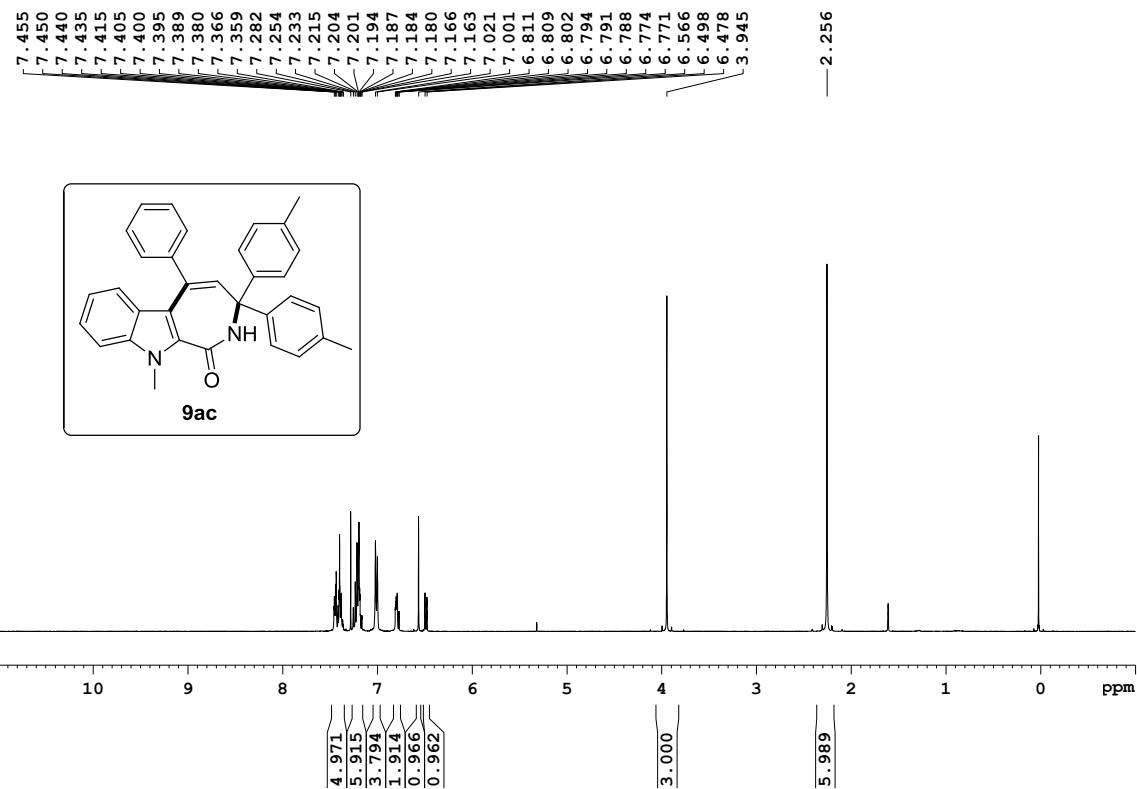


Figure S73. ¹H NMR spectrum of compound 9ac

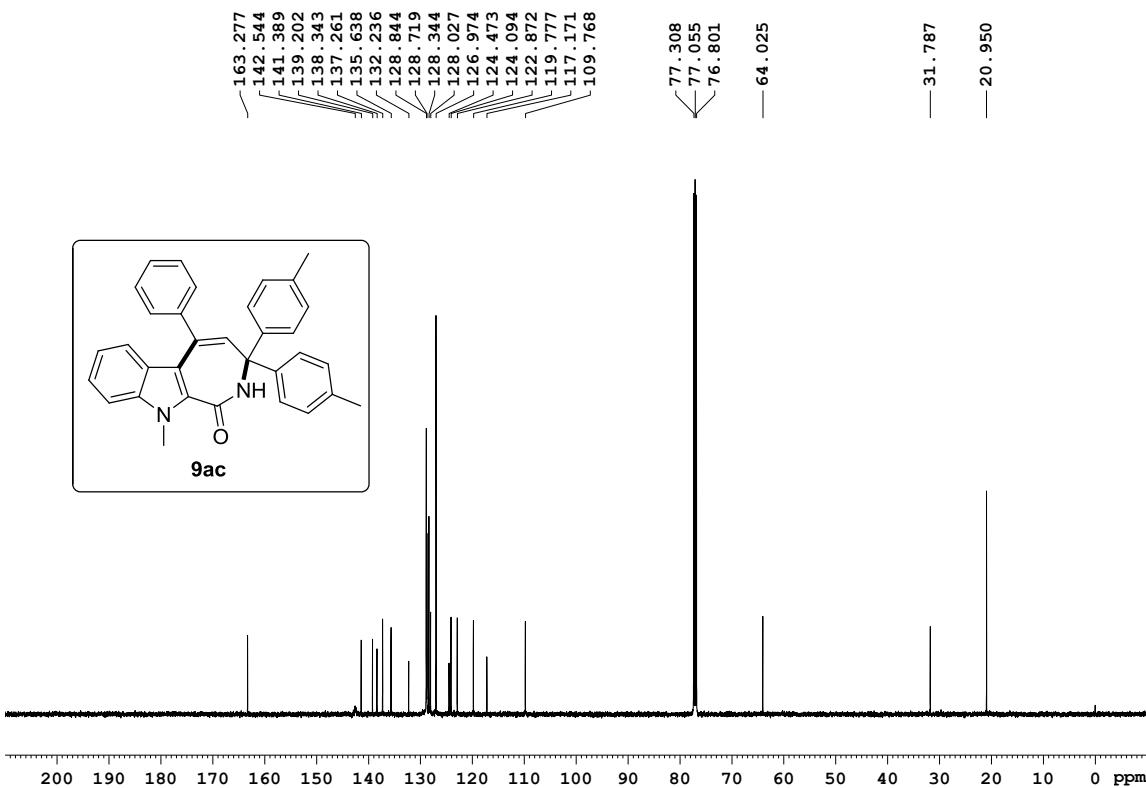


Figure S74. ¹³C NMR spectrum of compound 9ac

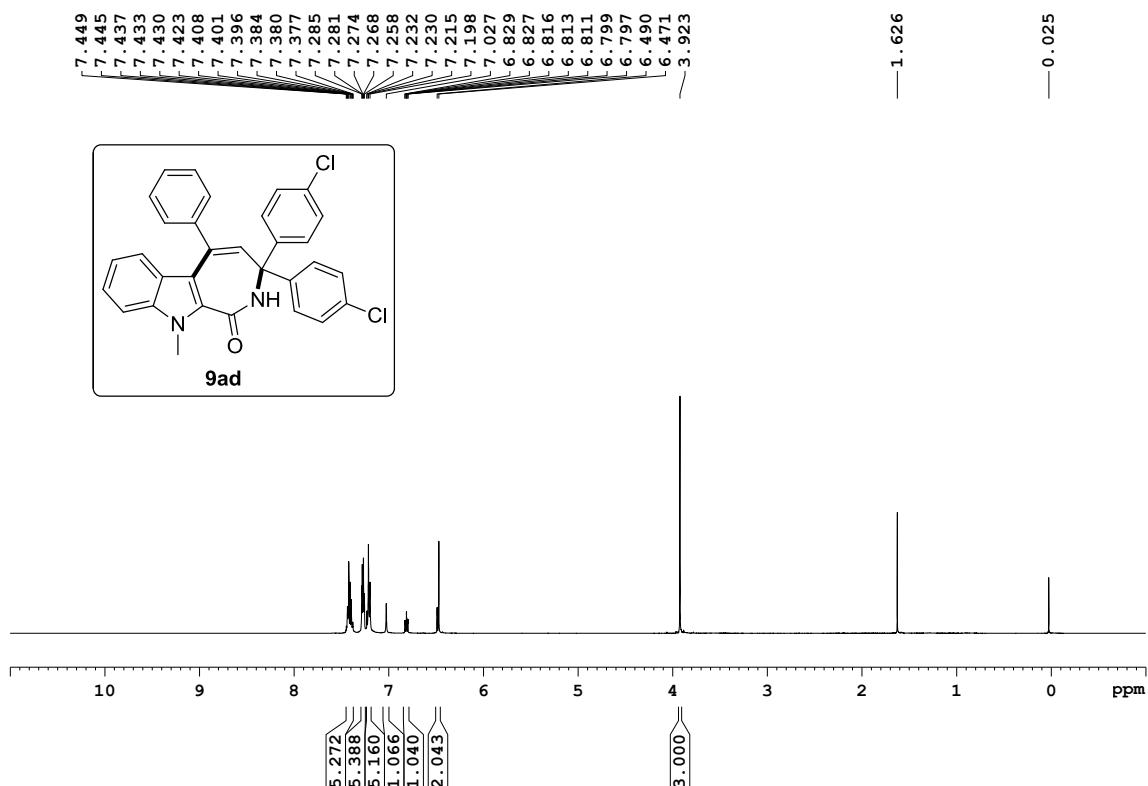


Figure S75. ¹H NMR spectrum of compound 9ad

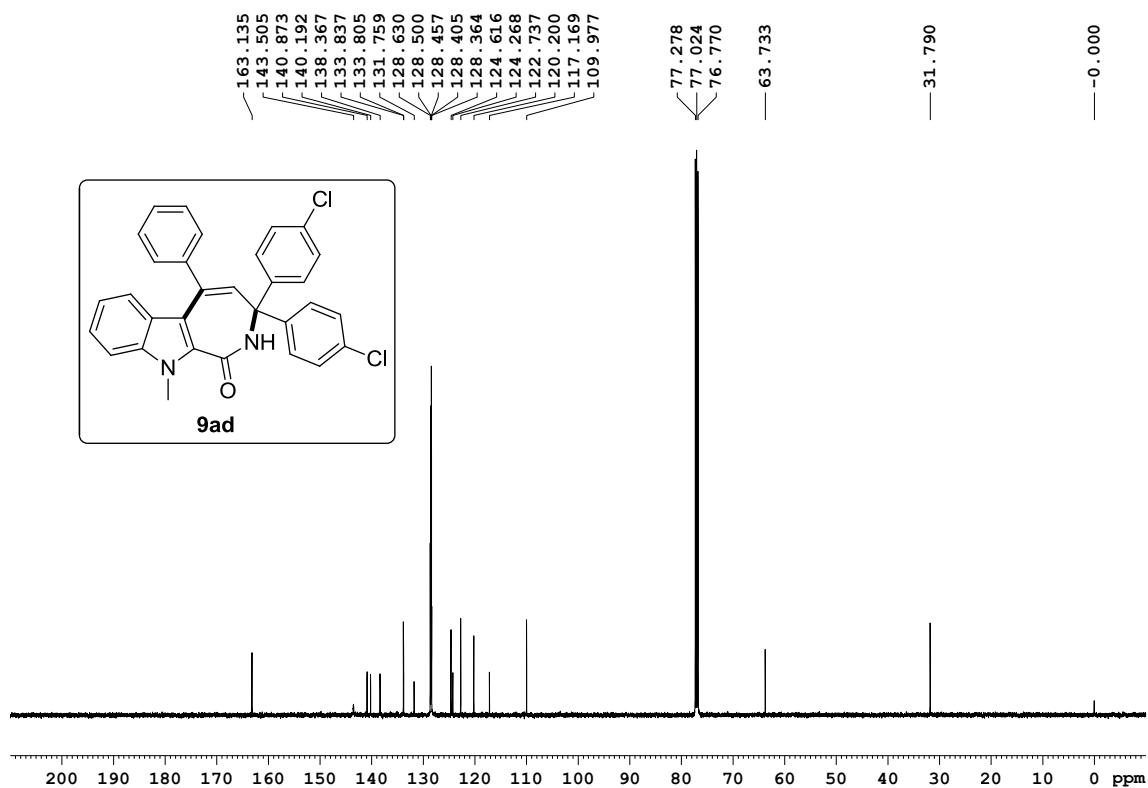


Figure S76. ¹³C NMR spectrum of compound 9ad

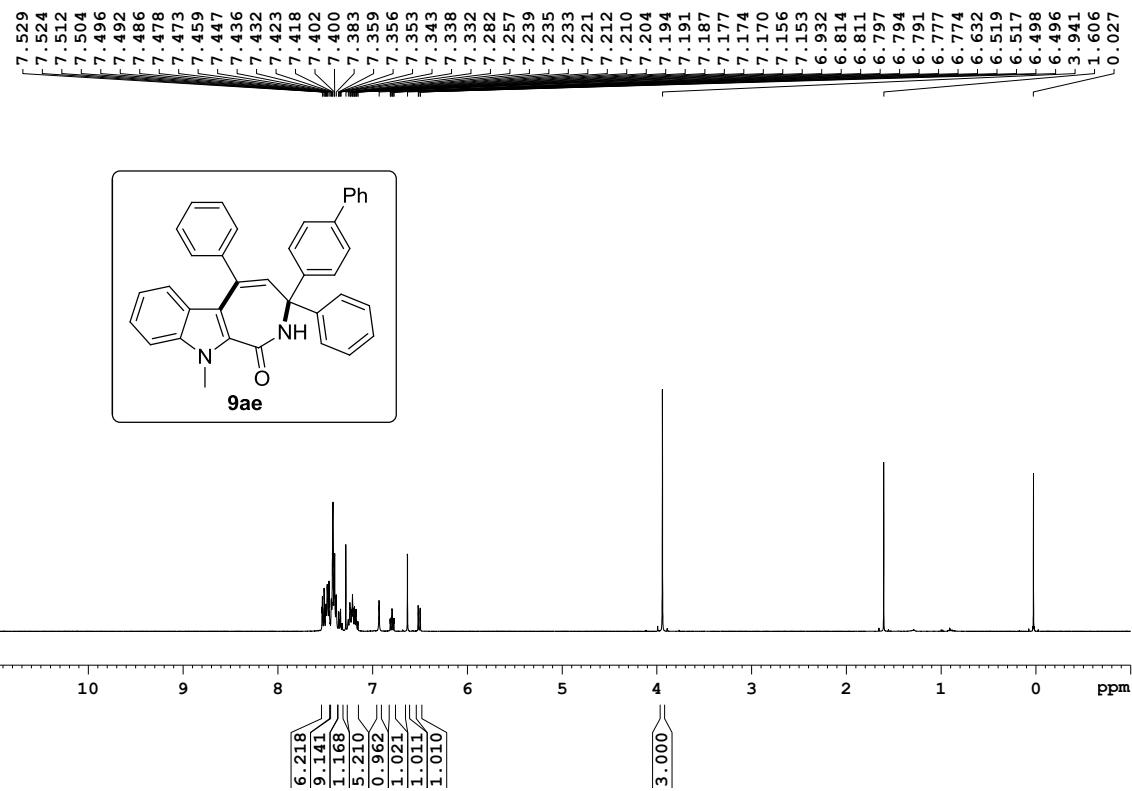


Figure S77. ¹H NMR spectrum of compound **9ae**

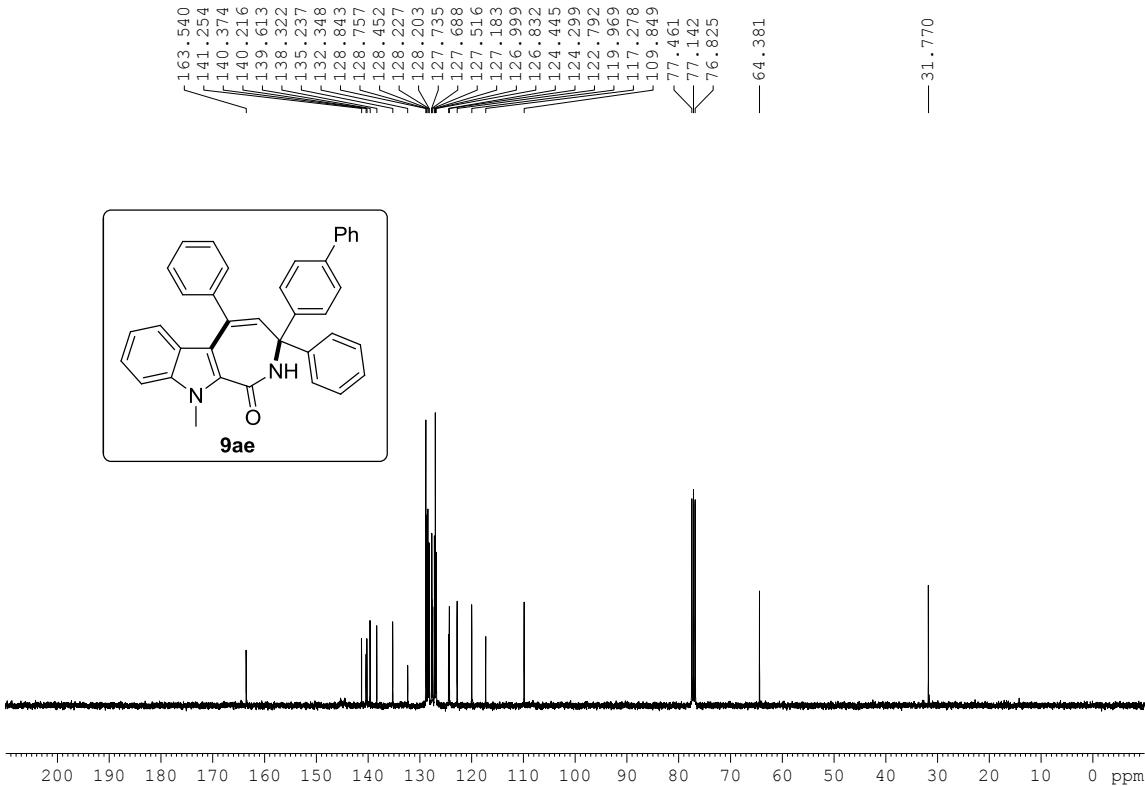


Figure S78. ¹³C NMR spectrum of compound **9ae**

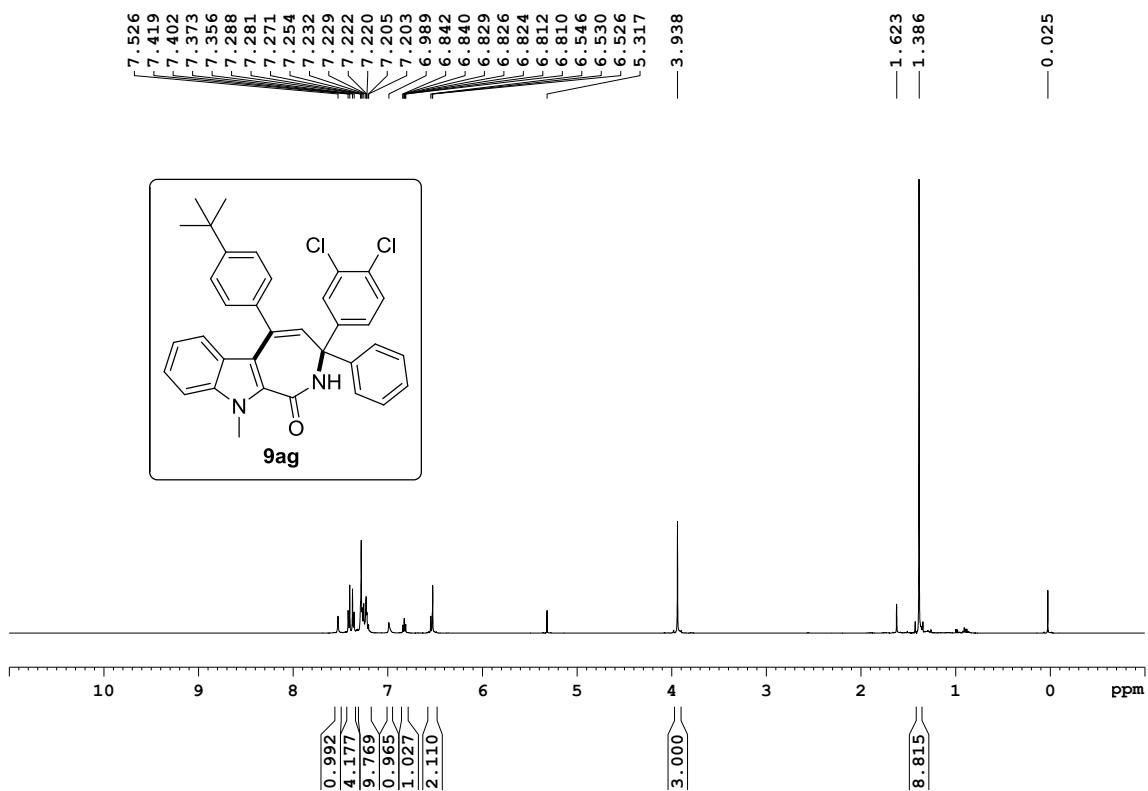


Figure S79. ^1H NMR spectrum of compound **9ag**

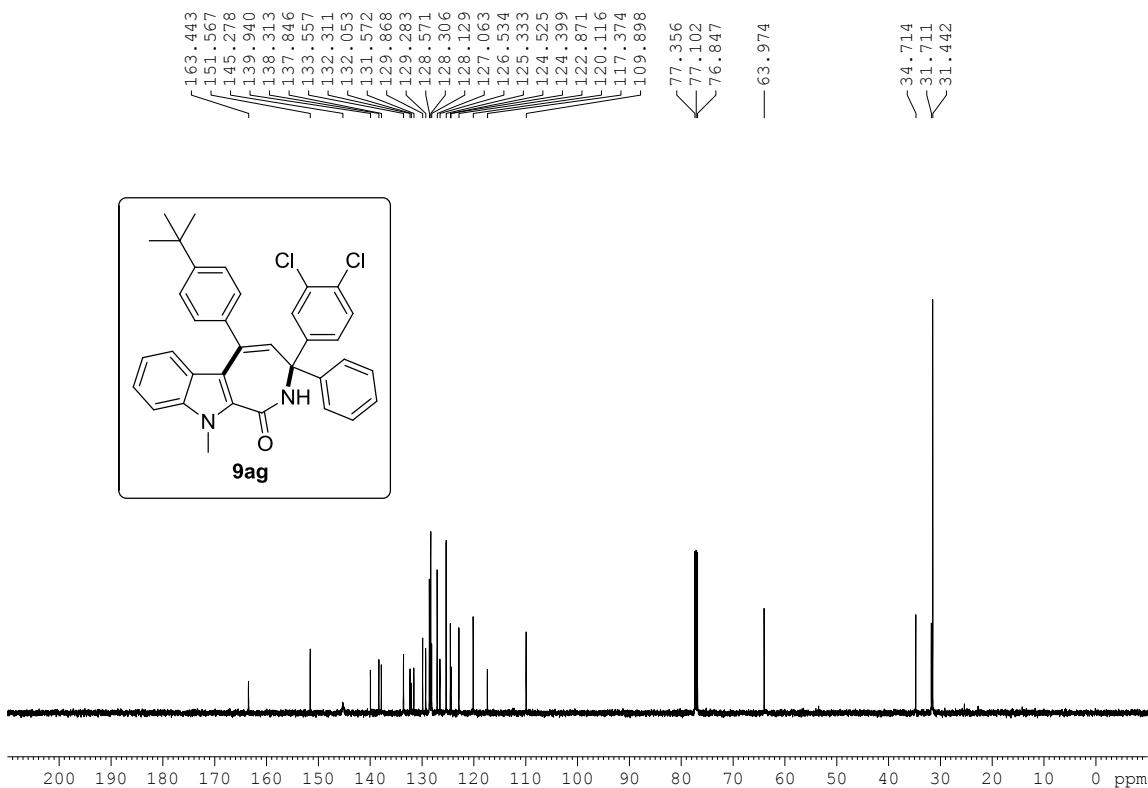


Figure S80. ^{13}C NMR spectrum of compound **9ag**

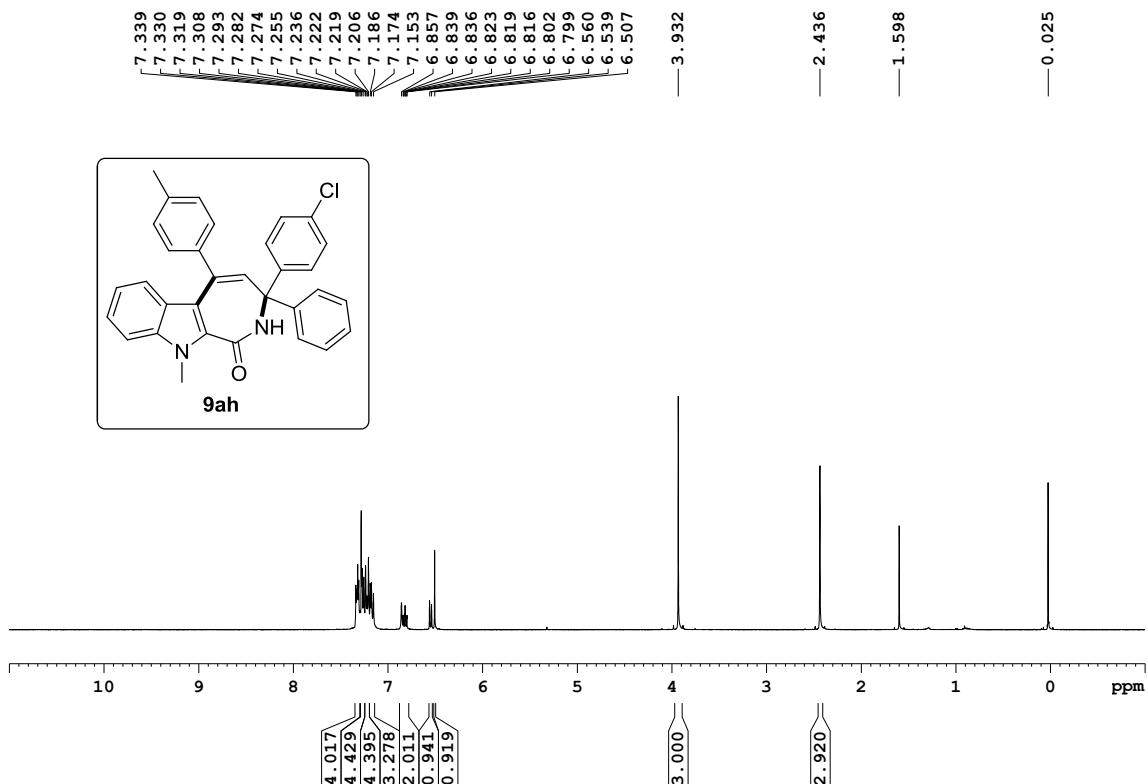


Figure S81. ¹H NMR spectrum of compound 9ah

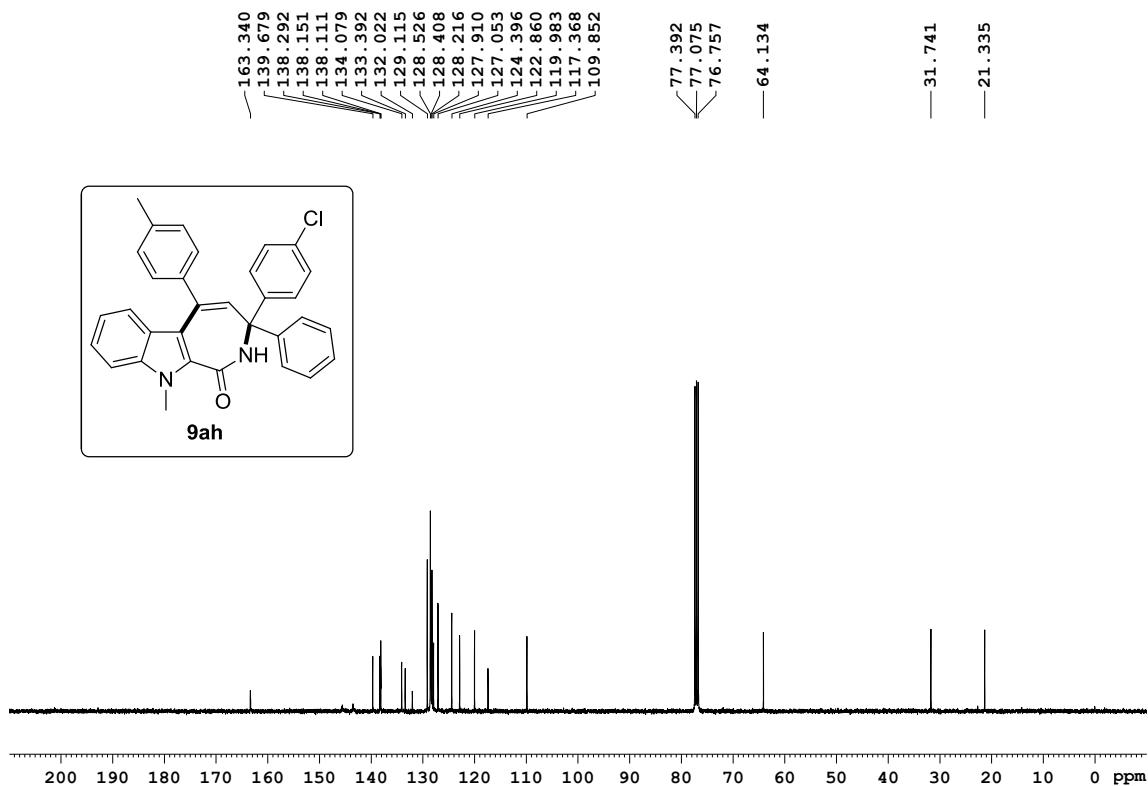


Figure S82. ¹³C NMR spectrum of compound 9ah

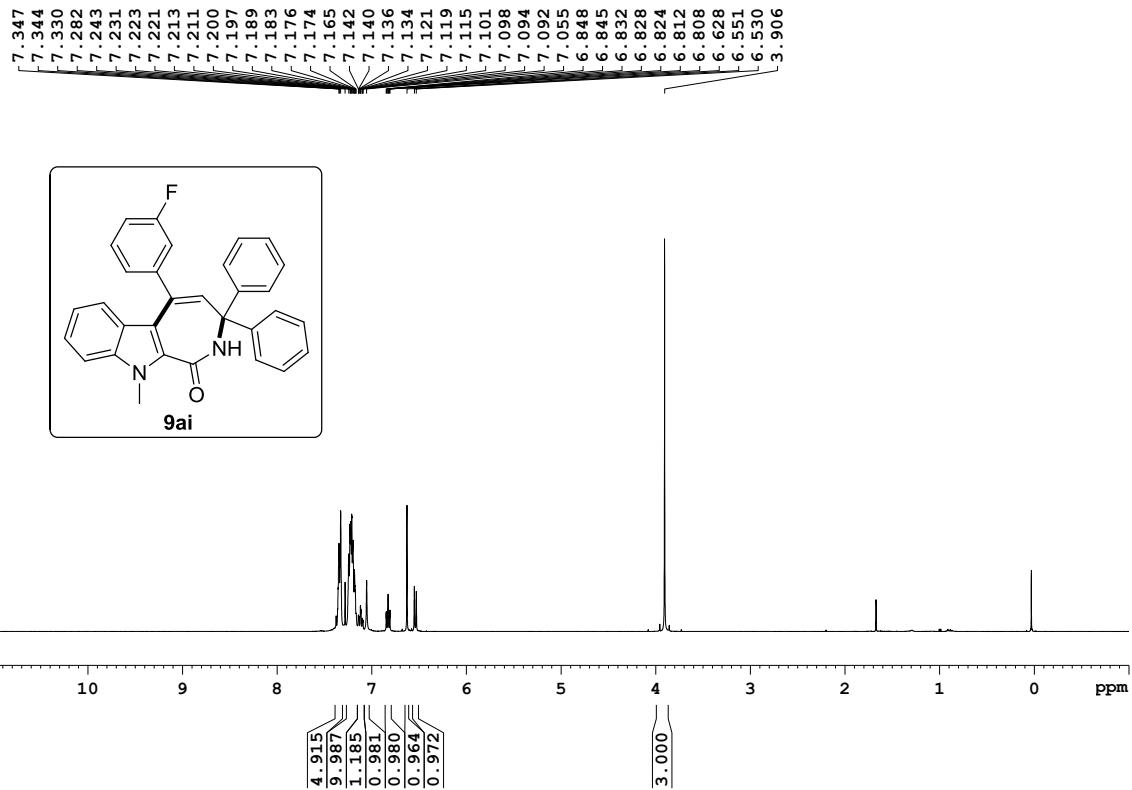


Figure S83. ¹H NMR spectrum of compound 9ai

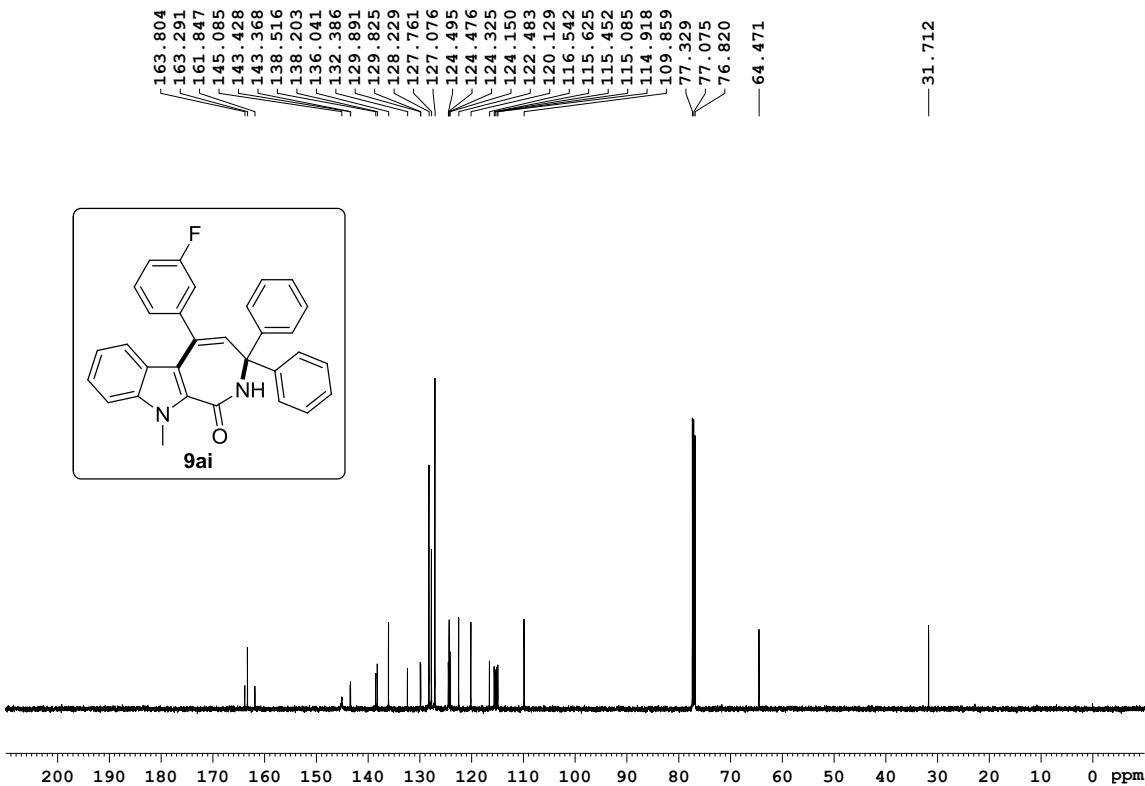


Figure S84. ¹³C NMR spectrum of compound 9ai

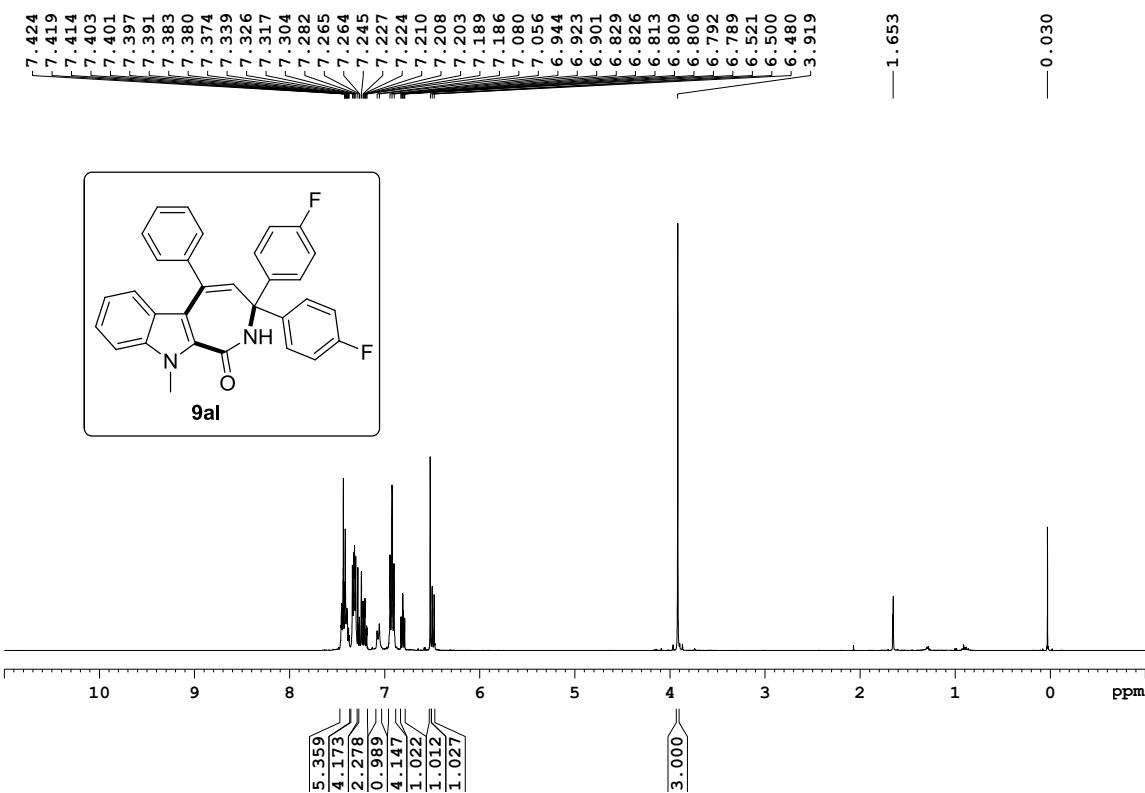


Figure S85. ¹H NMR spectrum of compound 9al

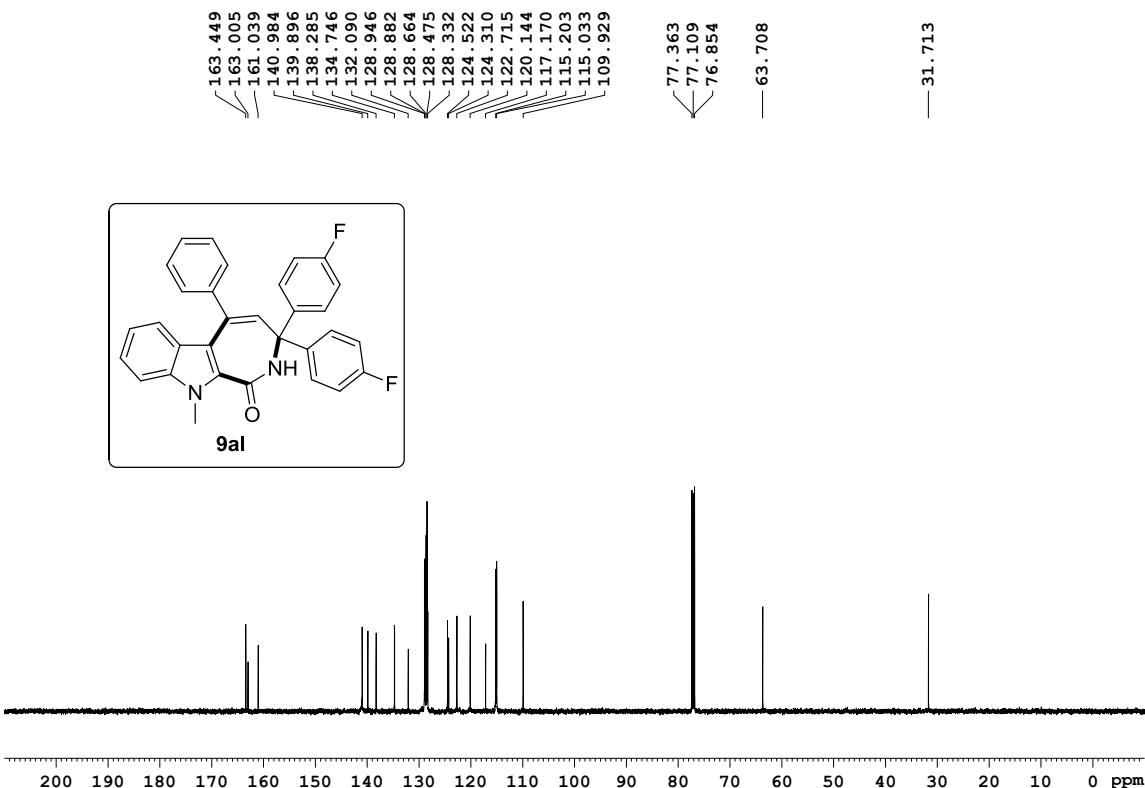


Figure S86. ¹³C NMR spectrum of compound 9al

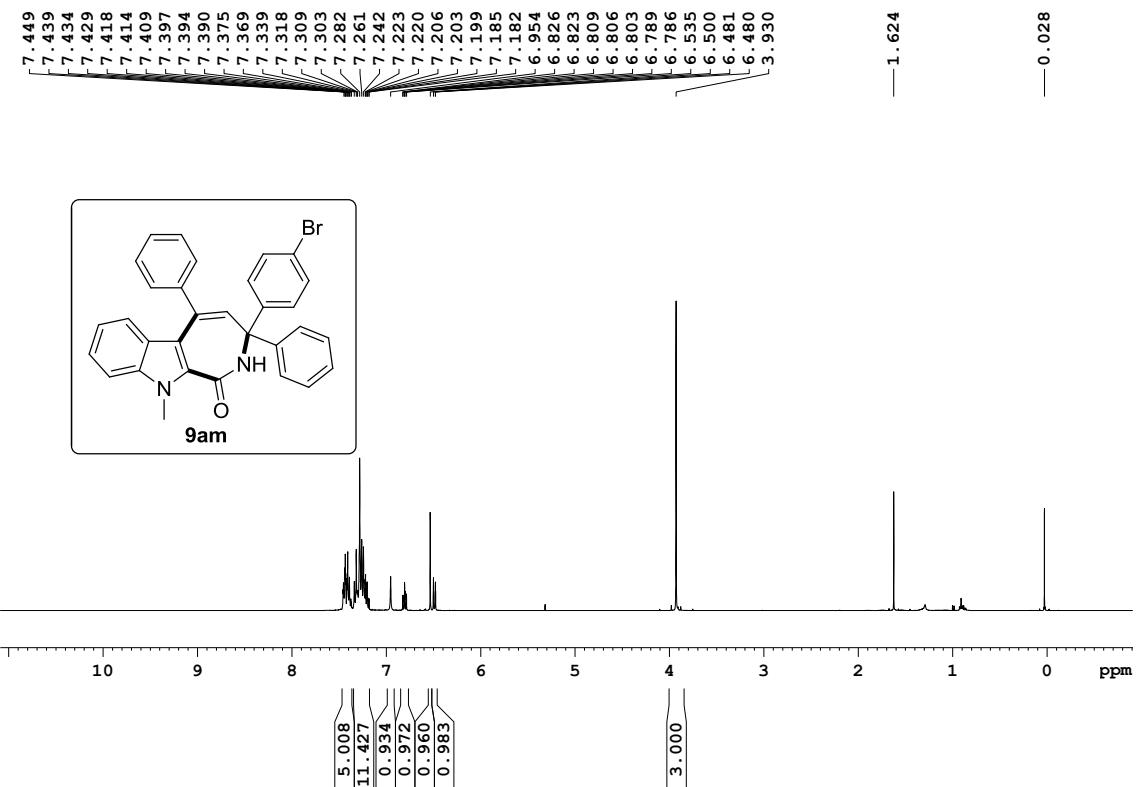


Figure S87. ¹H NMR spectrum of compound 9am

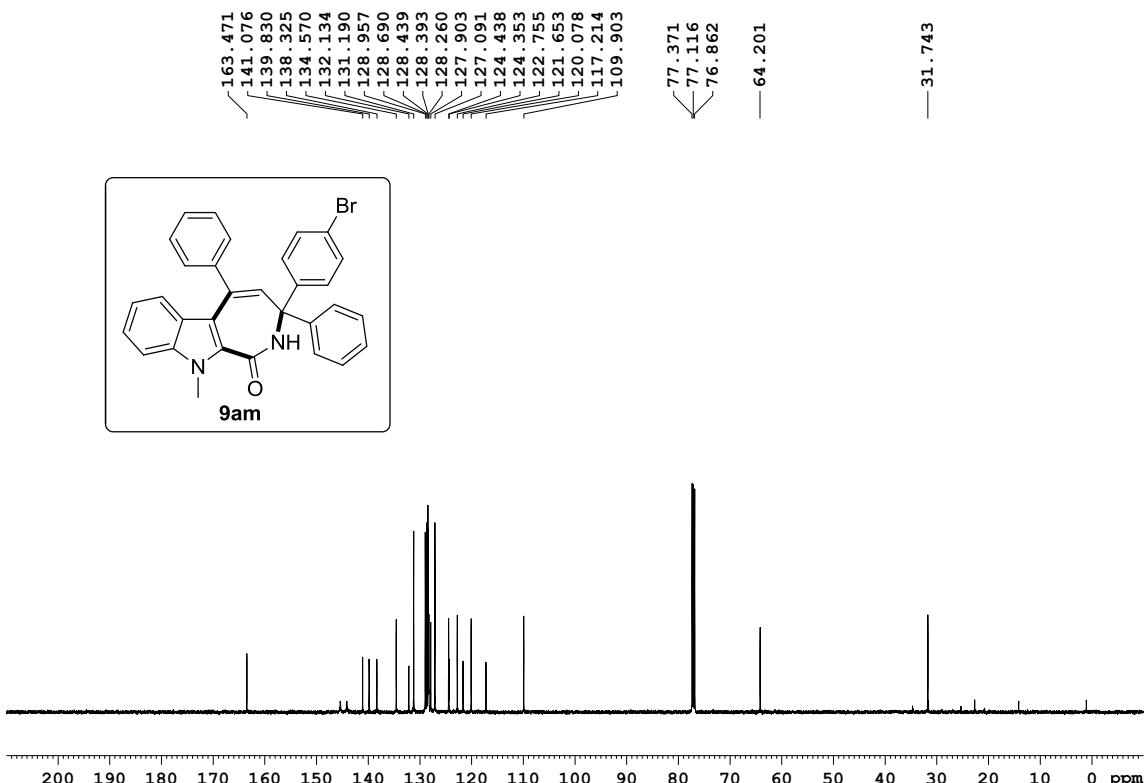


Figure S88. ¹³C NMR spectrum of compound 9am

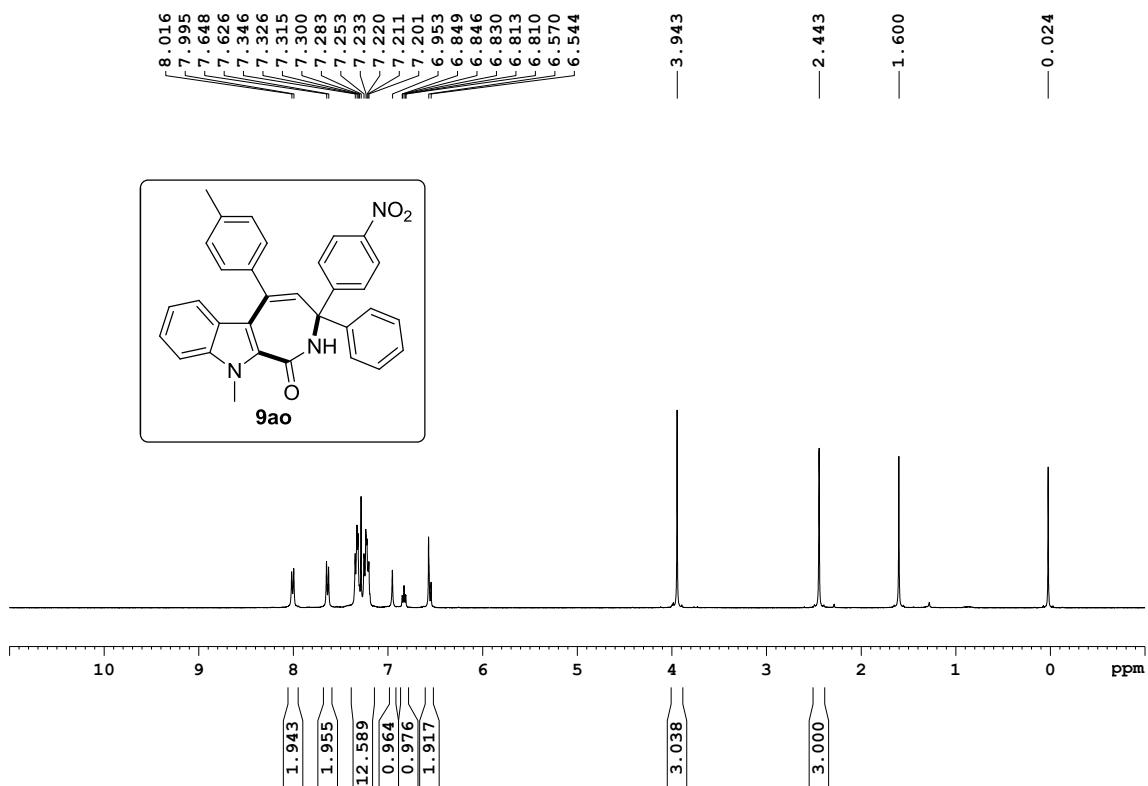


Figure S89. ¹H NMR spectrum of compound 9ao

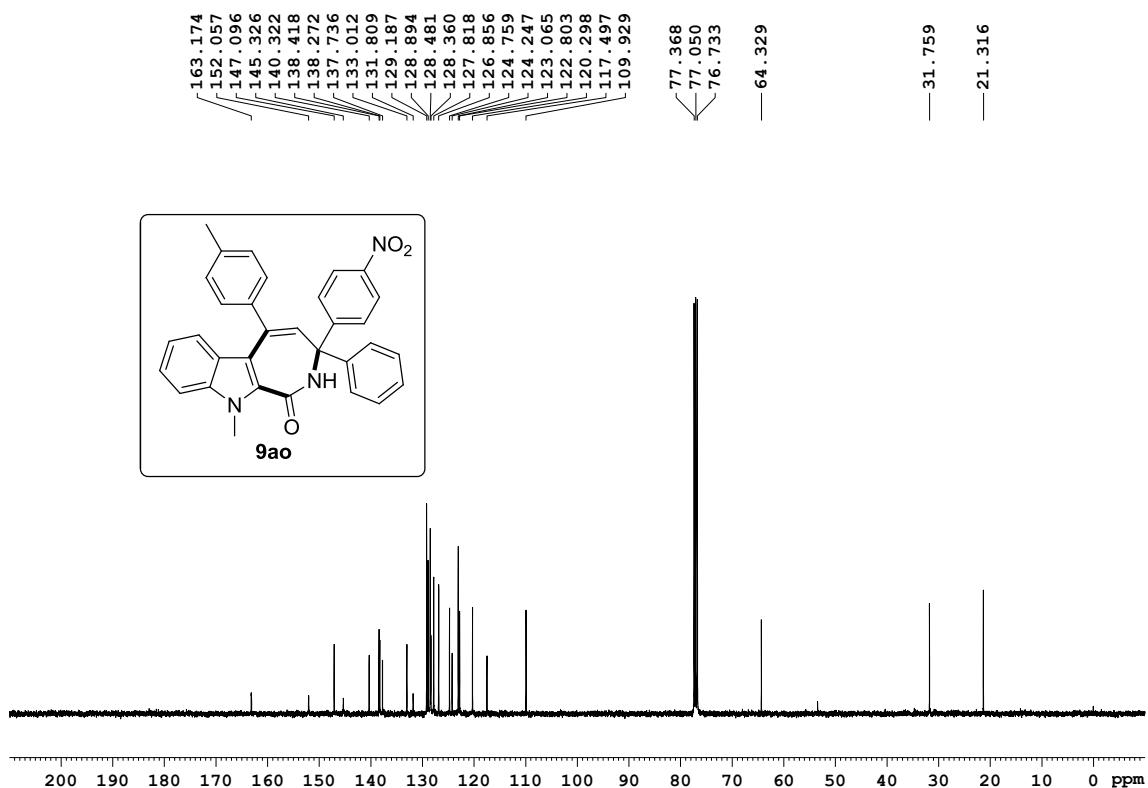


Figure S90. ¹³C NMR spectrum of compound 9ao

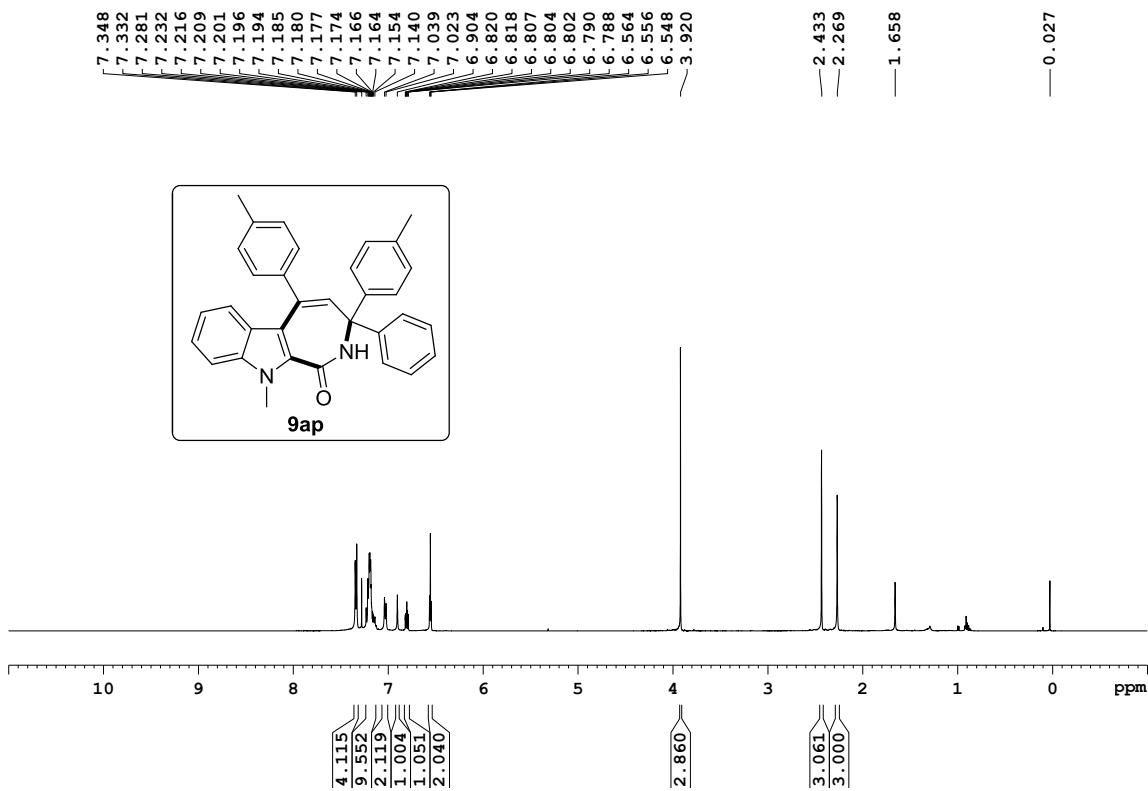


Figure S91. ¹H NMR spectrum of compound 9ap

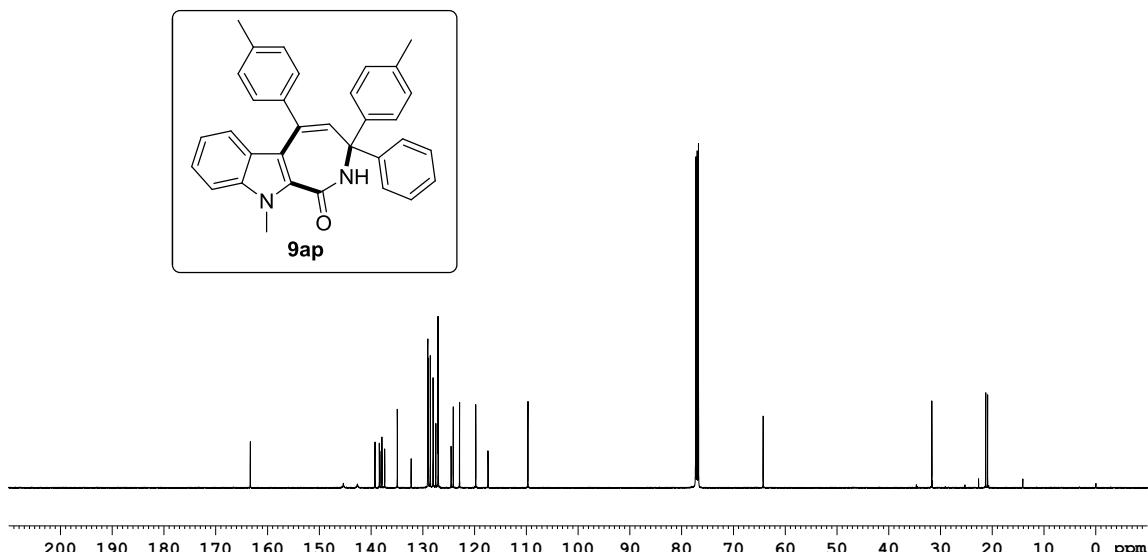
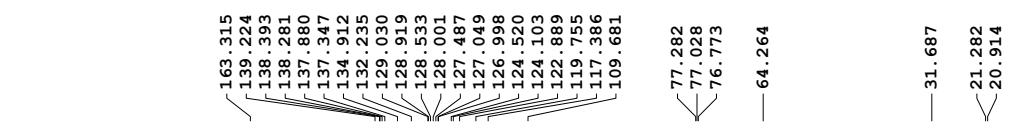


Figure S92. ¹³C NMR spectrum of compound 9ap

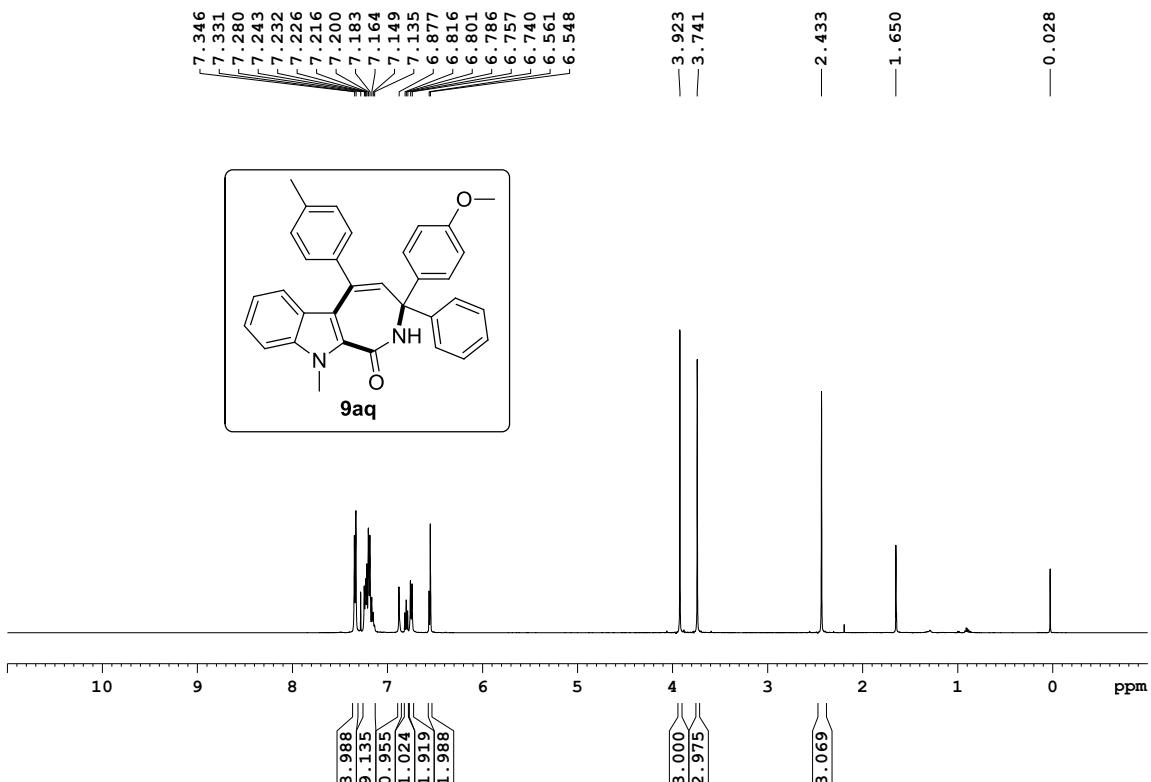


Figure S93. ¹H NMR spectrum of compound 9aq

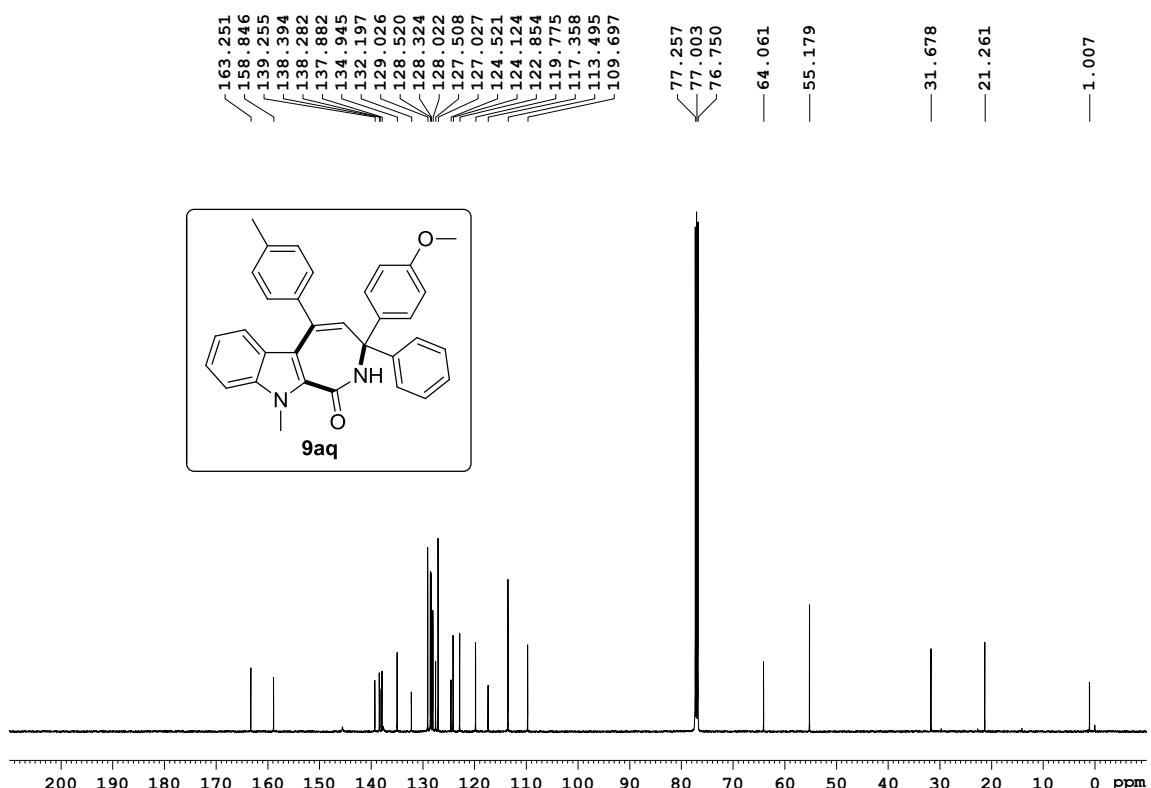


Figure S94. ¹³C NMR spectrum of compound 9aq

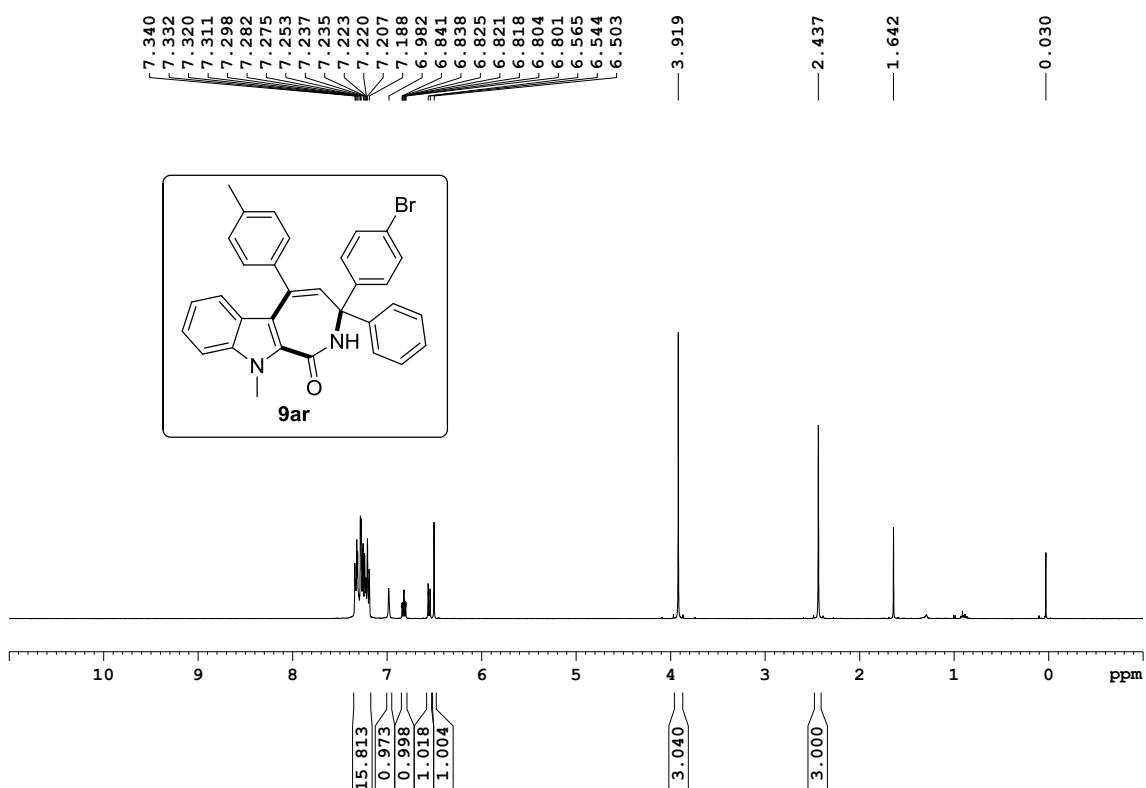


Figure S95. ¹H NMR spectrum of compound **9ar**

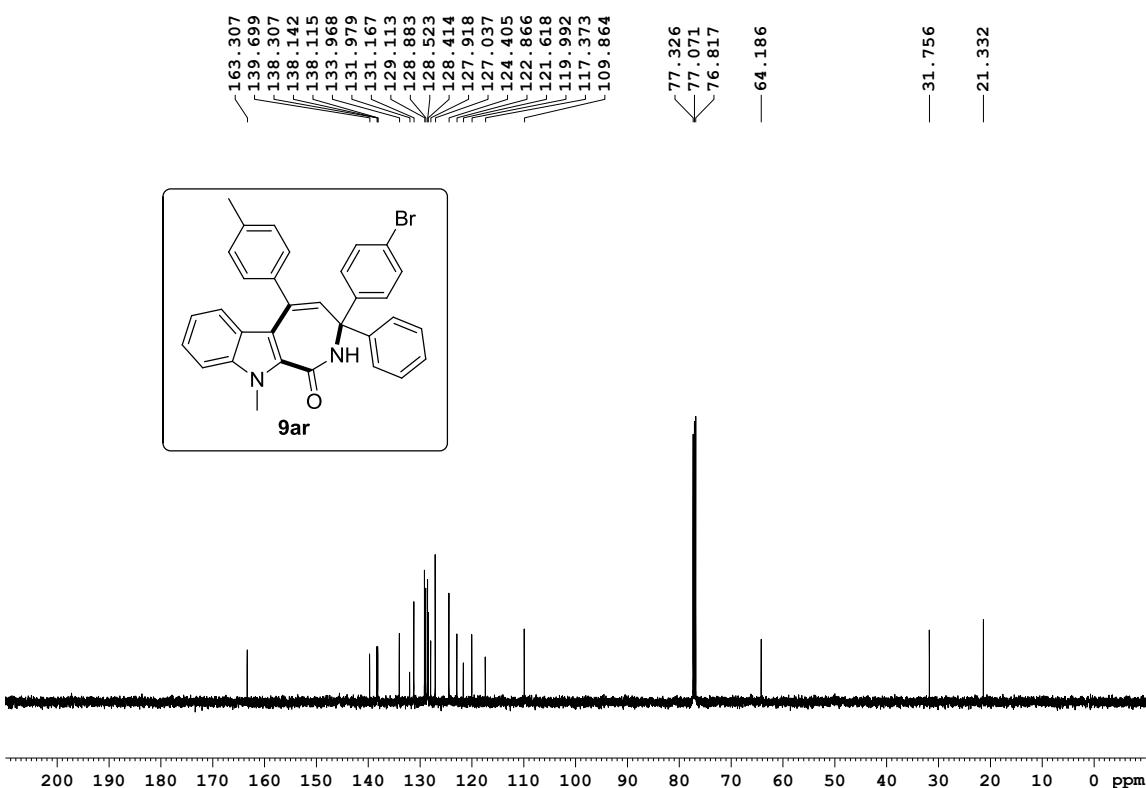


Figure S96. ¹³C NMR spectrum of compound **9ar**

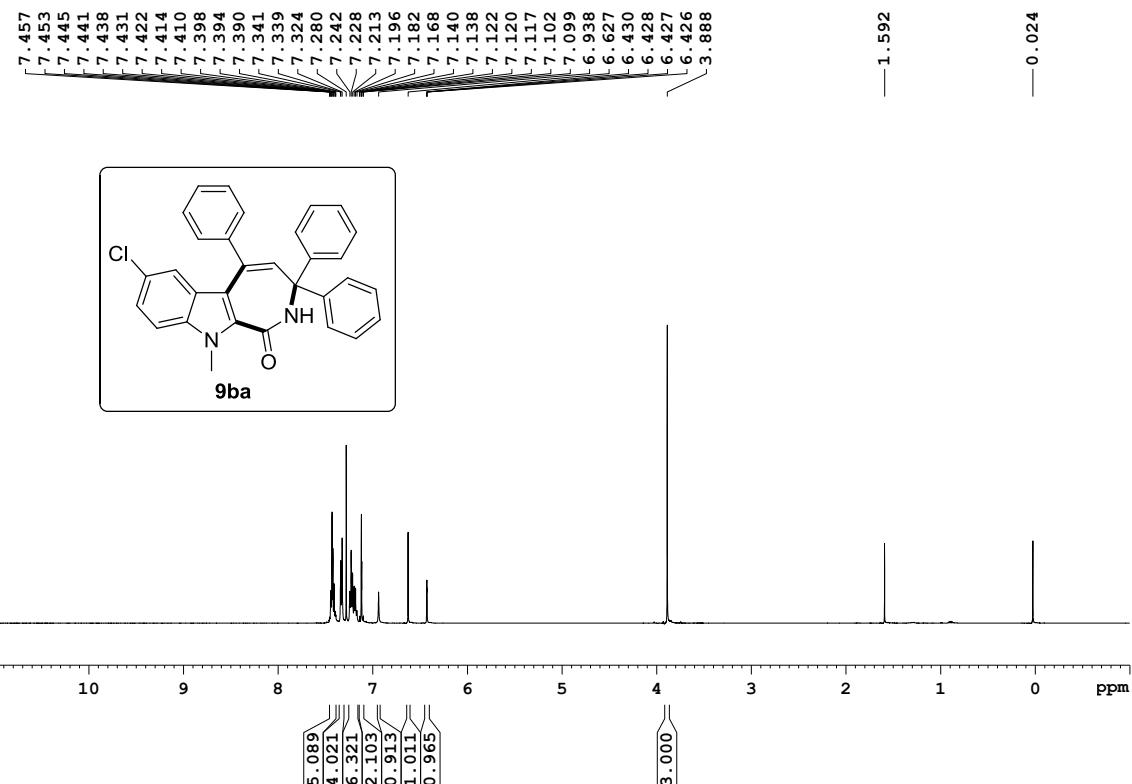


Figure S97. ¹H NMR spectrum of compound 9ba

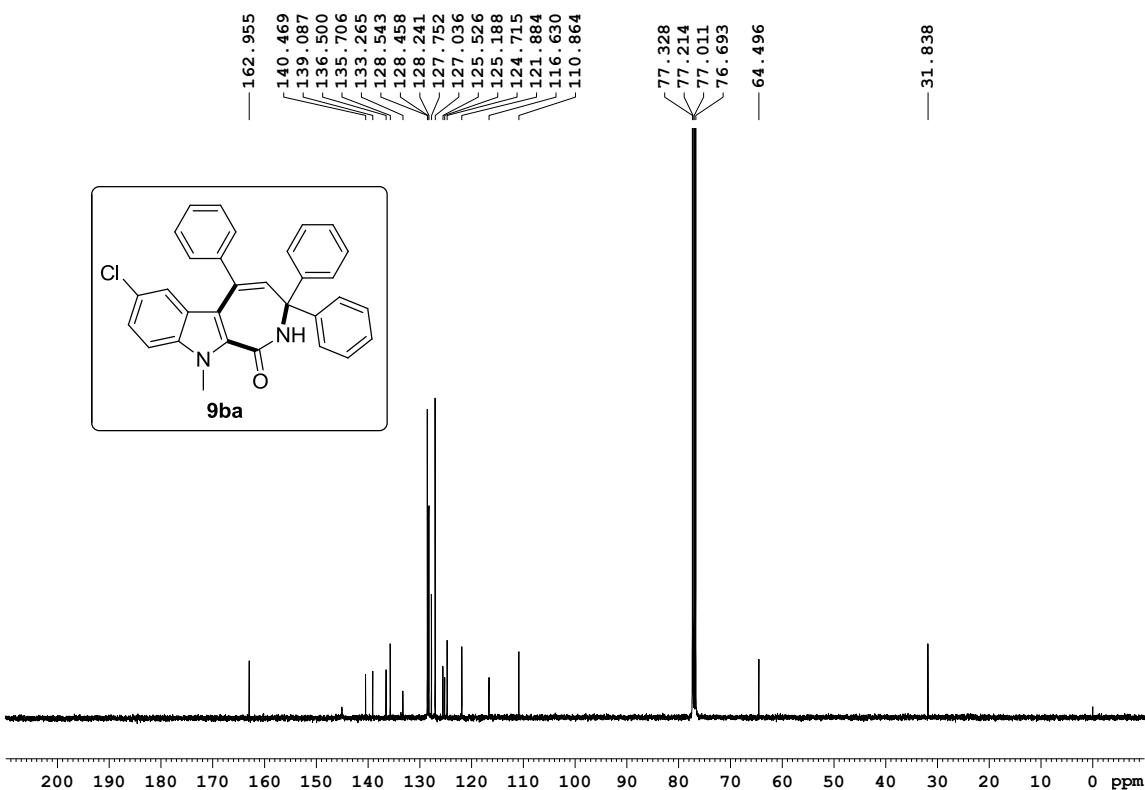


Figure S98. ¹³C NMR spectrum of compound 9ba

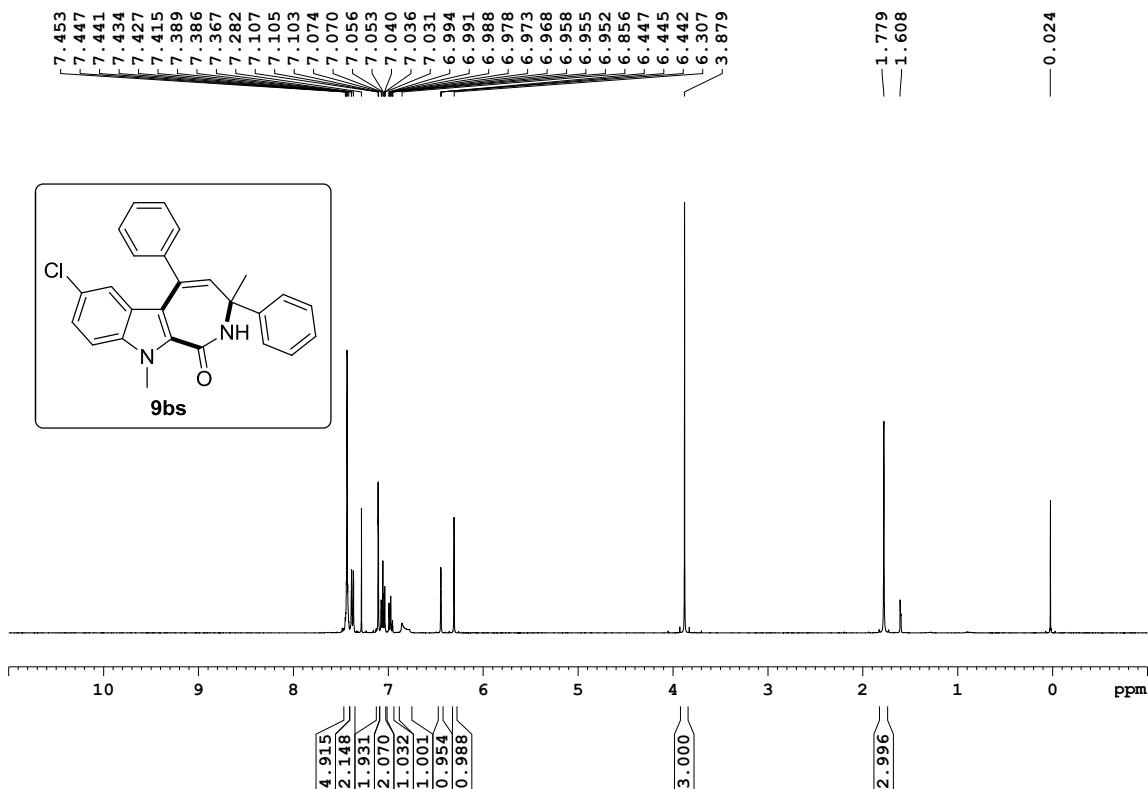


Figure S99. ¹H NMR spectrum of compound 9bs

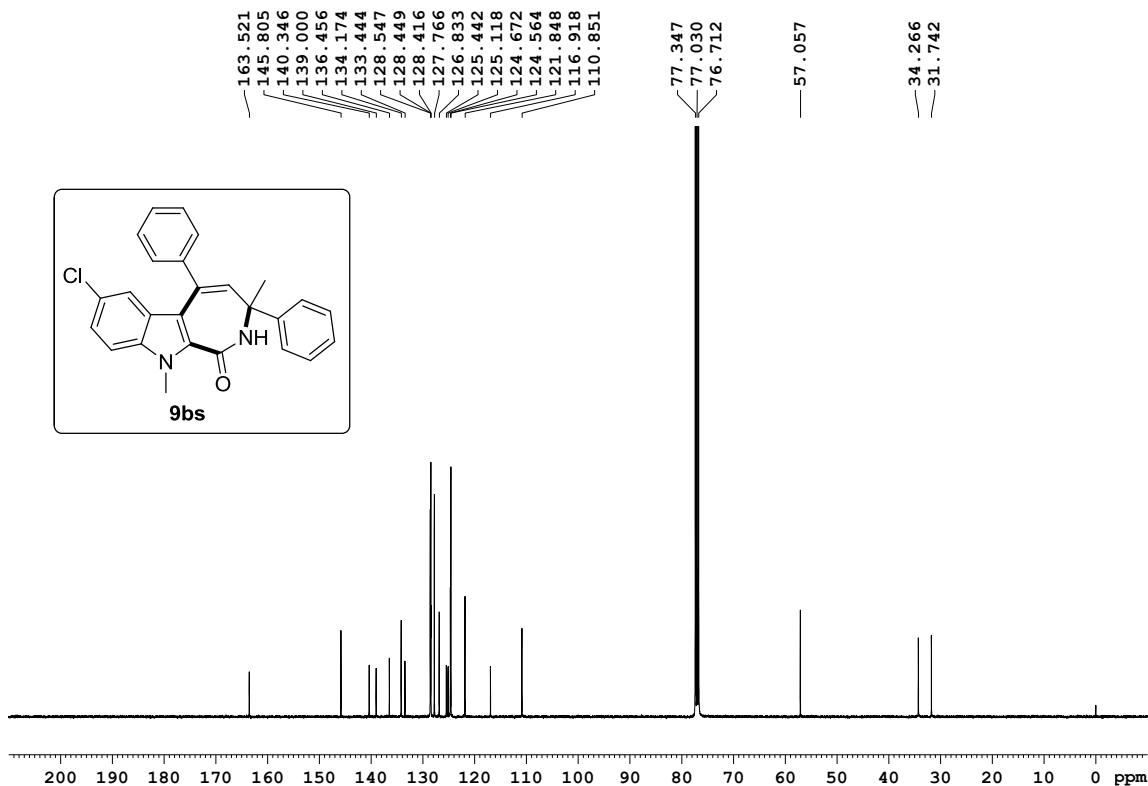


Figure S100. ¹³C NMR spectrum of compound 9bs

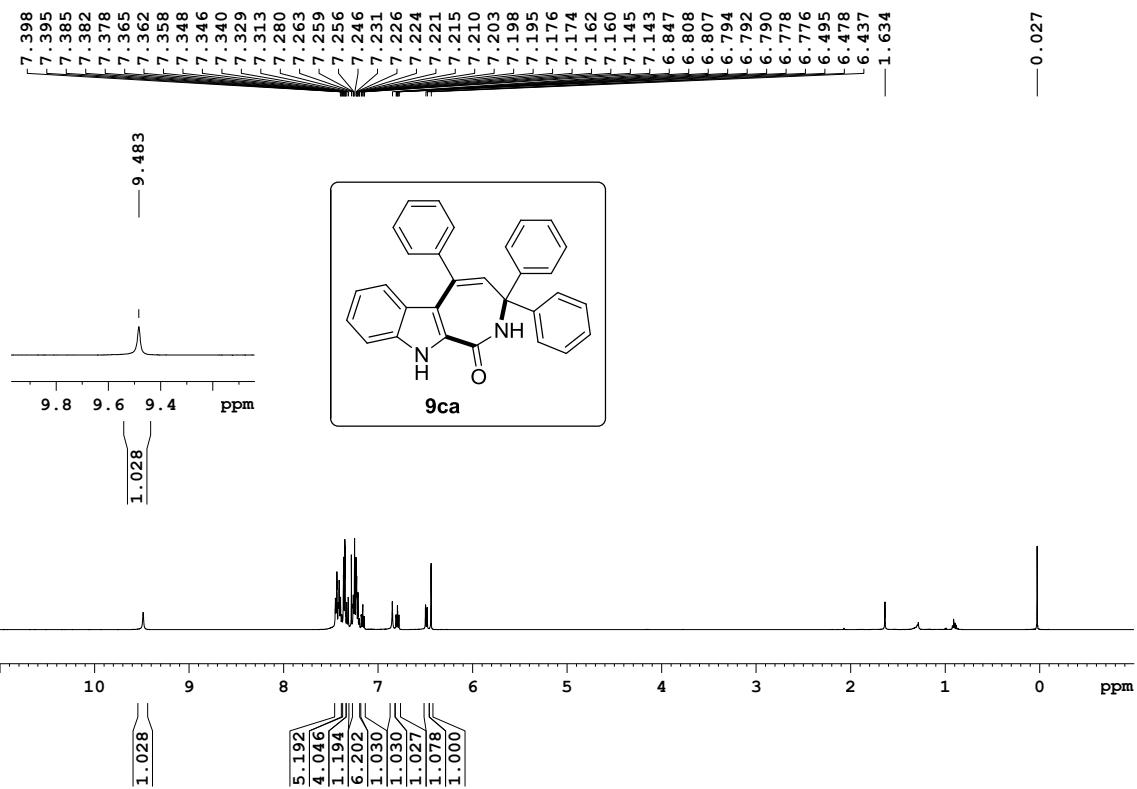


Figure S101. ¹H NMR spectrum of compound 9ca

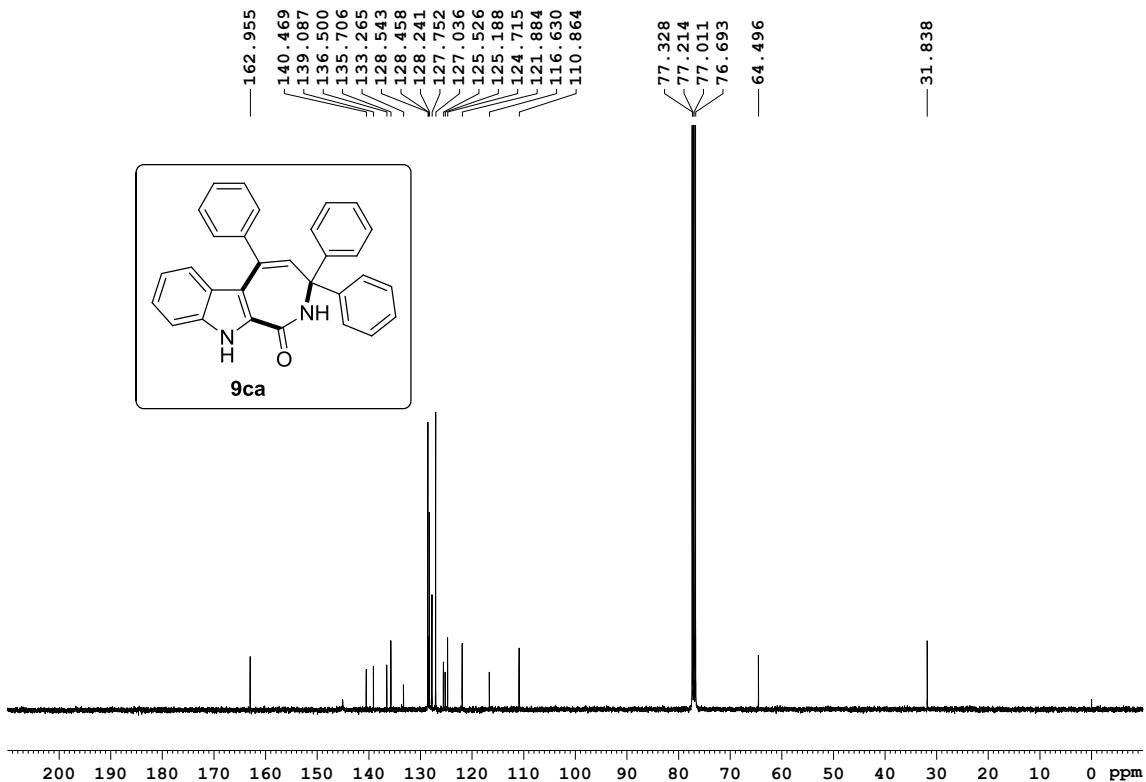


Figure S102. ¹³C NMR spectrum of compound 9ca