1	Supporting information
2	Graphene quantum dots wrapped gold nanoparticles with integrated
3	enhancement mechanisms as sensitive and homogeneous substrates for
4	Surface-Enhanced Raman Spectroscopy
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#### 43 Additional Experimental Section

**Chemicals and Reagents.** Cetyltrimethylammonium bromide (CTAB), tris(hydroxymethyl) 44 aminomethane (Tris), trisodium citrate dihydrate, and hydrogen tetrachloroaurate (III) trihydrate 45 (HAuCl<sub>4</sub>·3H<sub>2</sub>O) were purchased from Shanghai Chemical Reagent Co., Ltd. (Shanghai, China). 46 Phosphate buffered saline (PBS) was ordered from Sangon Biotech Co., Ltd. (Shanghai, China). 47 Cell toxicity assay kits were bought from KeyGEN BioTECH Co., Ltd. (Nanjing, China). Report 48 molecules like 4-cyanobenzenethiol (MBN), 4-nitrobenzenethiol (4-NBT), 49 5,5'-dithiobis-(2-nitrobenzoic acid) (DTNB), rhodamine B (RB), rhodamine 6G (R6G), crystal 50 violet (CV), 4-mercaptobenzoic acid (MBA), 4-mercaptophenylboronic acid (MPBA), 51 52 4-aminothiophenol (4-APT) were bought from Sigma-Aldrich(USA). All chemicals were of analytical reagent grade and used as received. Deionized water (DI water, 18 MQ/cm) from a 53 Millipore Auto purifier system was used for all the experiments. 54

Apparatus. Transmission electron microscopy (TEM) images were carried out on a JEM-2100 55 (200 kV) transmission electron microscope. High-resolution TEM, HAADF-STEM, and 56 STEM-EDS images were obtained on a JEM-2800 transmission electron microscope. 57 Field-emission scanning electron microscopy (FE-SEM) images were collected using a 58 JSM-7800F scanning electron microscope (Hitachi Co., Japan). X-ray diffraction (XRD) patterns 59 were recorded on a Philip-X'Pert X-ray diffractometer with a Cu Ka X-ray source. Raman 60 spectra were collected with a Renishaw inVia confocal Raman spectrometer (Renishaw, UK) 61 configured with a  $50\times$  objective lens (NA = 0.75) and an excitation laser of 633 nm. For 62 recording SERS spectra, the static scan mode was used at a center of 1200 cm<sup>-1</sup> with 10 s 63 1-exposure time and 100% laser power. 64

Preparation of citrated-stabilized Au seeds. Au seeds with an average size of 13 nm were synthetized according to a modified sodium citrate reduction procedure.<sup>1</sup> Typically, 100 mL of 1.0 mM HAuCl<sub>4</sub> aqueous solution was refluxed in a four-neck round bottom flask under vigorous agitation, followed by rapidly adding 10 mL of 1wt.% sodium citrate solution. When the mixed solution showing a wine red in color, the reaction was terminated immediately. Finally, the obtained colloidal Au seeds solution was filtered with a 0.22 μm nitrocellulose membrane, and then stored at 4 °C before use.

73 Synthesis of NGQDs. The NGQDs were synthesized with the same hydrothermal process of 74 Au-NGQDs without addition of Au NPs. 30 mL of 0.1 M CTAB solution were transferred to a 75 50-mL Teflon-lined autoclave and heated at 165 °C in an oven for 1 h. The as-synthesized 76 NGQDs were then dialyzed to release the redundant CTAB and stored in 4 °C for further use.

FDTD simulation. For simulating the electric filed intensity and distribution of Au-NGQDs, 77 three-dimensional FDTD simulations from Lumerical Solutions, Inc. (Vancouver, Canada) were 78 performed. We constructed a model composed of an inner 40 nm-diameter Au core coated with 2 79 nm-diameter and 0.35 nm-thickness NGQDs nanosheets, which were the mean sizes of the 80 81 as-synthesized nanostructures. The boundary conditions of the simulation domain perfectly matched the layer absorbing boundaries. A total-field/scattered field source served as the incident 82 light in the simulation region. The calculation region was  $0.2 \times 0.2 \times 0.2 \ \mu\text{m}^3$ , in which the grid 83 resolution was set to 2 nm. Optical constants of the dielectric permittivity for gold as a function 84 of the wavelength were adapted from the Johnson and Christy database included in the FDTD 85 simulation. The refractive index of graphene in the visible range is governed by  $n_g=3.0+C(\lambda_0/3)i$ , 86 which the constant C  $\approx$  5.446  $\mu$ m<sup>-1</sup> is obtained from the opacity measurement of previous report,<sup>2</sup> 87 and  $\lambda_0$  is the vacuum wavelength. The refractive index of the surrounding medium was set to be 88

S-4

89 1.33 for water.

DFT calculation. The charge distribution and band gap of Au, NGQDs and Au-NGQDs were 90 theoretically calculated in the VASP codes,<sup>3</sup> based on density functional theory (DFT)<sup>4</sup> within the 91 projector augmented wave (PAW).<sup>5</sup> The generalized gradient approximation (GGA) methods in 92 the scheme of Perdew-Burke-Ernzerhof (PBE)<sup>6</sup> to describe the exchange and correlation 93 potential. The electron wave functions were expanded in plane wave with an energy cutoff of 94 300 eV. The convergence criterion of optimal geometry which were based on the energy and 95 force convergence are  $1 \times 10^{-4}$  and  $2 \times 10^{-2}$  eV/Å, respectively. Considering the calculated 96 accuracy and efficiency, we modelled the 21×21 Å<sup>2</sup> unit cell of Au (111) plane as the platform 97 98 for the adsorption of CV molecules. The lattice constants of supercell (containing vacuum layer in vertical direction) are larger than 20 Å, which is large enough to eliminate the interaction 99 between the layer and its images. 100

SERS detection method. Typically, the flat PDMS film (thickness, 1 mm) composed of many 101 open cavities (diameter/height, 5 mm/1 mm) was first prepared, and tightly pasted onto a clean 102 glass slide to construct sample cells. After that, the solution with a fixed volume was added to the 103 104 as-prepared PDMS cell, and then placed under a 633 nm Raman laser for further detection. Before signal collection, the laser beam focused on the glass surface at the bottom of PDMS cell, 105 whose Z-axis position was set as the reference. Thereafter, Raman signals of the solution were 106 collected after rising a 500 µm of Z-axis height. All the tests were conducted without changing 107 Z-axis height to eliminate the detection depth effects. 108

109 Cell culture. MCF-7, HeLa, and L02 cells were cultured in Dulbecco's modified Eagle's

- 110 medium (DMEM, GIBCO) supplemented with 10% of fetal calf serum, 100  $\mu$ g mL<sup>-1</sup> penicillin
- and 100 µg mL<sup>-1</sup> streptomycin at 37 °C in a humidified atmosphere with 5% CO<sub>2</sub>, respectively. In

the logarithmic growth phase, these cells were harvested by trypsinogen, and thereafter resuspended in DMEM. Cell number was counted on a hemocytometer before all experiments.

SERS imaging of sialic acids (SAs) on MCF-7, HeLa, and L02 cell surfaces. MCF-7, HeLa, 114 115 and L02 cells were separately seeded on confocal dishes and incubated at 37 °C for 24 h. Then the cell samples were subjected to incubate with 200 µL of MPBA/4-NBT@Au-NGQDs (1.0 nM 116 MN-Au-NGQDs) for 60 min each. After each incubation step, the cells were washed twice with 117 PBS to remove excess probe. Then the cells were fixed with paraformaldehyde solution for 15 118 min before Raman imaging. The Raman images of cells were obtained by the map image 119 acquisition mode using static scan type at a center wavenumber of 1200 cm<sup>-1</sup> with 1-s exposure 120 time, 1-time accumulation, and 100% laser power.<sup>7</sup> The imaging step was 1  $\mu$ m ×1  $\mu$ m. The 121 strongest characteristic peak of 4-NBT was at 1333 cm<sup>-1</sup>, so the Raman images of cells were 122 generated using signal to baseline map review mode from 1300 cm<sup>-1</sup> to 1360 cm<sup>-1</sup> by a WiRE 3.4 123 software, and the color scale of images were chosen as black to red, which corresponded to the 124 background noise intensity and maximum signal intensity, respectively. 125

Cytotoxicity assay. The cytotoxicity experiments were carried out using a MTT assay kit.<sup>8</sup> In 126 brief, 150  $\mu$ L of MCF-7 cells suspension (6×10<sup>4</sup> cells per well) were respectively incubated with 127 128 different concentrations of MN-Au-NGQDs probes in a 96-well plate for 1 h. After the incubation, MCF-7 cells were continuously cultured with 150 µL of DMEM containing MTT 129 (0.5 mg L<sup>-1</sup>) at 37 °C for another 4 h. Then, MCF-7 cells were washed via PBS, and dissolved in 130 131 100 µL DMSO. The absorbance of the resulting solution was measured on a Varioskan Flash multifunctional microplate reader (Thermo Fisher Scientific, USA) at the excitation of 570 nm. 132 All experiments were repeated at least five times. The absorbance reflects the cell viability of 133

134 MCF-7 cells.

135 **Calculation of SERS enhancement factor.** Figure S1b illustrates the relationship between 136 4-NBT molecule concentration and SERS intensity, and a concentration of 4-NBT solution lower 137 than 1.0  $\mu$ M was used to prevent the supersaturated adsorption of probe molecule on Au-NGQDs 138 generating false EF value. EF was calculated using the following equation (*Eq.* 1):<sup>9</sup>

139 
$$EF = \frac{I_{SERS}}{N_{SERS}} / \frac{I_{Bulk}}{N_{Bulk}}$$
(1)

where  $I_{\text{SERS}}$  and  $I_{\text{Bulk}}$  are the intensities of the Raman peak at 1333 cm<sup>-1</sup> for the individual 140 Au-NGQDs NPs and the pure 4-NBT solution (0.1 nM, 10 mM), respectively; and N<sub>SERS</sub> and 141  $N_{\rm BULK}$  are the number of 4-NBT molecules on a single Au-NGQDs nanoparticle and within 142 solution, respectively. The number of 4-NBT molecules on a single Au-NGQDs (NSERS) was 143 estimated by assuming that the maximum number of 4-NBT molecules were packed on the 144 Au-NGQDs (average diameter of 40 nm). To estimate  $I_{\text{Bulk}}$  and  $N_{\text{Bulk}}$ , 10 µL of a 4-NBT solution 145 146 (10 mM) was introduced into a sticker chamber placed on a glass substrate and illuminated with a 633 nm laser for 10 s through an objective lens ( $50 \times$ , NA = 0.5). Assuming that the effective 147 excitation volume ( $V_{Bulk}$ ) was a cylinder, the height (h) was calculated using the Eq. 2:<sup>10</sup> 148

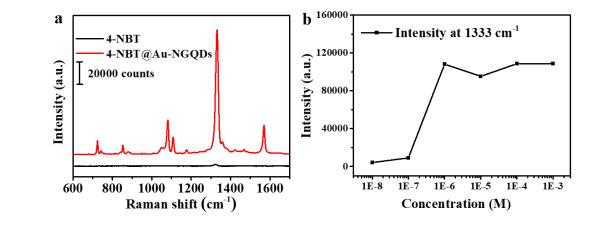
149 
$$\frac{h}{2r} = \frac{3.28\eta}{NA} \tag{2}$$

150 where  $\eta$  is the refractive index of the medium (water; 1.33) and r is the radius of the laser beam 151 (1 µm). Further, N<sub>Bulk</sub> was calculated using the *Eq.* 3: <sup>10</sup>

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$$N_{BULK} = \left(V_{Bulk} \times \frac{D}{M}\right) \times N_A$$
 (3)

where D is the density of 4-NBT, M is the molar mass of 4-NBT, and NA is Avogadro's constant. As shown in Figure S1B,  $I_{SERS}$  and  $I_{Bulk}$  were found to be 115786.3 and 1052 counts, respectively. Therefore, the EF was calculated to be  $2.01 \times 10^6$ .

#### 156 Additional Results



#### 157 **<u>1. Calculation of the enhancement factor for Au-NGQDs</u>**

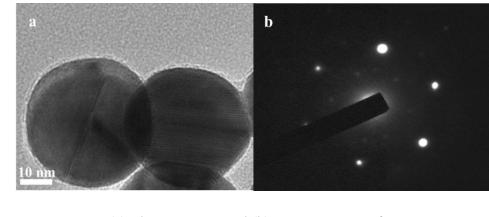
Figure S1. (a) Representative Raman spectra of 4-NBT solution (10 mM) and 4-NBT@Au-NGQDs NP (0.1 nM). (b) The relationship between the peak intensity at 1333 cm<sup>-1</sup> and various concentrations of 4-NBT adsorbed on single Au-NGQDs NP. The average SERS spectrum was calculated from 10 times independent replicates.

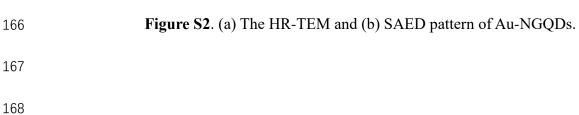
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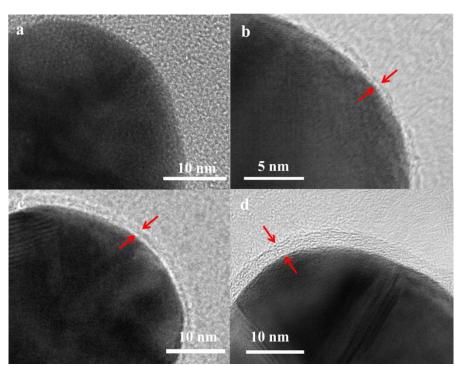
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#### 164 2. The HR-TEM and SAED pattern of Au-NGQDs



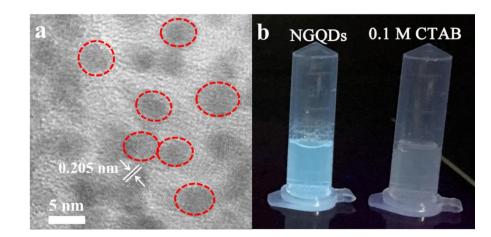


# 169 3. HR-TEM characterization of Au-NGQDs under different hydrothermal time





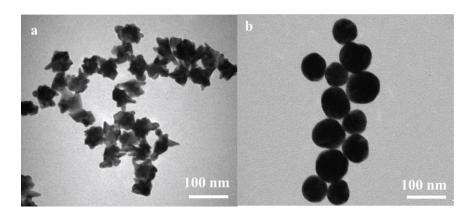
- 171 Figure S3. HR-TEM images of Au-NGQDs NPs and morphology of NGQDs of different
- 172 hydrothermal time. (a) 0.5 h; (b) 1 h; (c) 2 h; (d) 3 h.
- 173
- 174 **<u>4. Characterization of NGQDs</u>**



176 **Figure S4.** (a) The HR-TEM image of NGQDs synthesized by 0.1 M CTAB. (b) Photographs of

- 177 NGQDs (left), and 0.1 M CTAB solution (right) under UV light irradiation.
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### 180 **<u>5. The TEM characterization of Au nanostar and Ag NPs</u>**



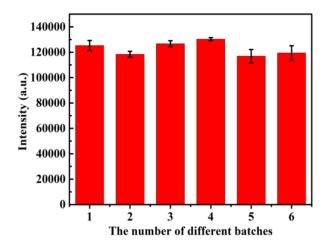
**Figure S5**. The TEM image of (a) Au nanostar; (b) Ag NPs

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### 184 6. Raman reproducibility of Au-NGQDs



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Figure S6. Raman signal intensity at 1333 cm<sup>-1</sup> of 4-NBT on different batches of Au-NGQDs. The results show that a relative standard deviation (RSD) of 4.4% was obtained for Raman intensities at 1333 cm<sup>-1</sup>, indicating high signal reproducibility between different batches of Au-NGQDs. Error bars represent the standard deviations of signal intensity collected from 15 random spots on the same sample.

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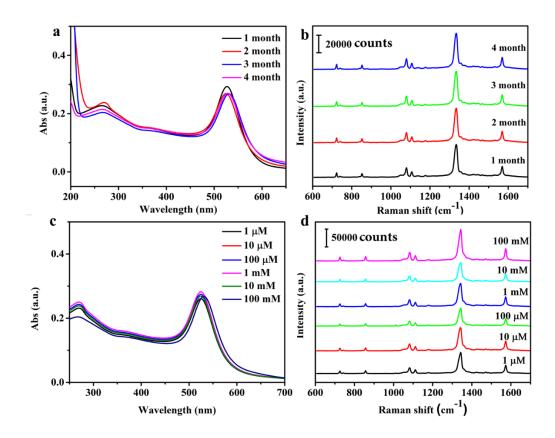


Figure S7. Investigating the colloidal stability of the Au-NGQDs during the long time storage and NaCl existing by measuring (A) UV-vis spectra and (B) SERS spectra of 4-NBT on the nanoparticles in the periods of 1 to 4 month, the results show that a relative standard deviation of 4.0% was obtained for Raman intensities at 1333 cm<sup>-1</sup>. (C) UV-vis spectra of Au-NGQDs in different concentrations of NaCl solutions. (D) Comparing the SERS spectra of 4-NBT obtained from Au-NGQDs dispersed in different concentrations of NaCl solutions, the RSD of obtained Raman intensities at 1333 cm<sup>-1</sup> is 5.6%.

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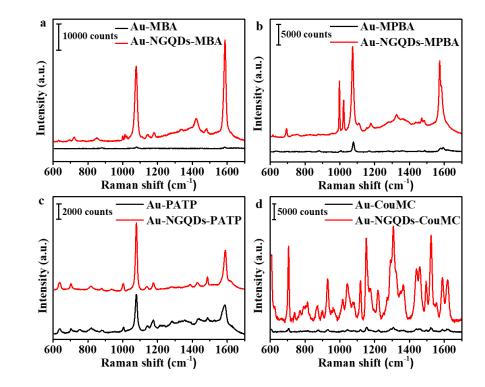
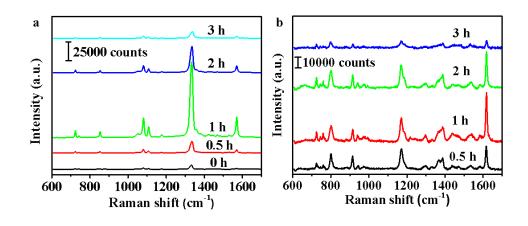




Figure S8. Raman spectra of (a) MBA; (b) MPBA; (c) PATP; (d) CouMC on Au NPs (black line)

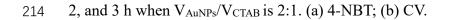
210 and Au-NGQDs NPs (red line).

## 211 9. Raman effect of Au-NGQDs synthesized at different hydrothermal time

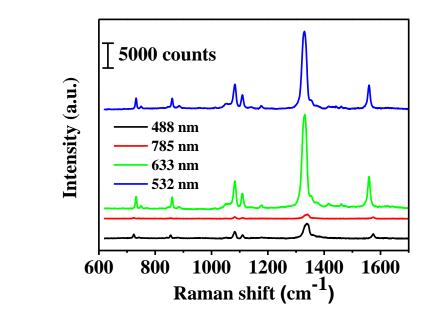


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Figure S9. Raman spectra of Au-NGQDs synthesized at different hydrothermal time at 0, 0.5, 1,



# 216 **<u>10. Selection of laser excitation</u>**

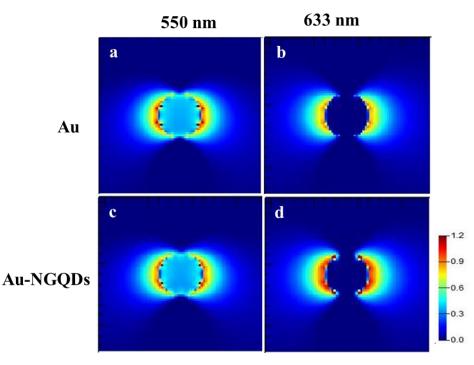


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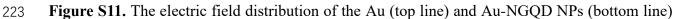
Figure S10. The SERS spectrum of 4-NBT@Au-NGQDs NP (0.1 nM) under different laser

- 219 excitation.
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# 221 11. The electric field distribution of the Au and Au-NGQDs



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obtained at the wavelength of 550 nm (left line) and 633 nm (right line) respectively.

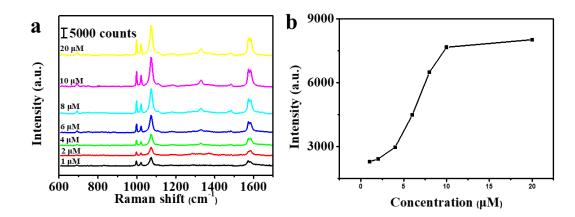


Figure S12. (a) The SERS spectrum of MPBA@Au-NGQDs NPs with the different concentration of MPBA. (b) The relationship between the peak intensity at 1333 cm<sup>-1</sup> and various concentrations of MPBA adsorbed on Au-NGQDs NPs. The measured SERS spectrum was obtained by taking the average of thrice measurements.

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## 232 13. Toxicity test of MN-Au-NGQDs

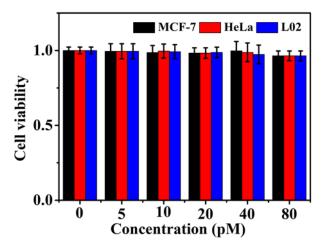
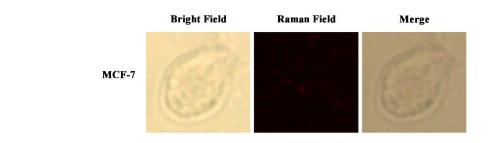


Figure S13. Cell viability of MCF-7, HeLa, and L02 cells incubated with the different concentration of Au-NGQDs probes for 1 h. Error bars represent the standard deviations of cell viability calculated from five sample replicates.

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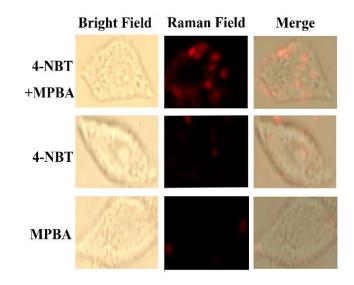
## 239 14. SERS mapping background



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- Figure S14. SERS images of MCF-7 cell without using any SERS probe (left: bright field;
- 242 middle: SERS mapping images based on the intensity of 1333 cm<sup>-1</sup>; right: merged images)
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## 245 **<u>15. Specificity and selectivity of MN-Au-NGQDs</u>**



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Figure S15. SERS images of MCF-7 cell using MPBA@Au-NGQDs, 4-NBT@Au-NGQDs and

248 MPBA/4-NBT@Au-NGQDs NPs probes (left: bright field; middle: SERS mapping images

based on the intensity of 1333 cm<sup>-1</sup>; right: merged images)

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# **<u>16. Optimization of probe incubation time</u>**

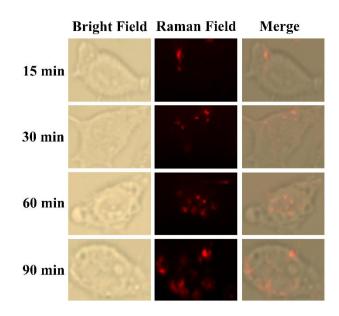


Figure S16. SERS images of MCF-7 cell under different probe incubation time (left: bright field;

257 middle: SERS mapping images based on the intensity of 1333 cm<sup>-1</sup>; right: merged images)

# **17. Reproducibility of MN-Au-NGQDs in cell mapping** Bright Field Raman Field Merge

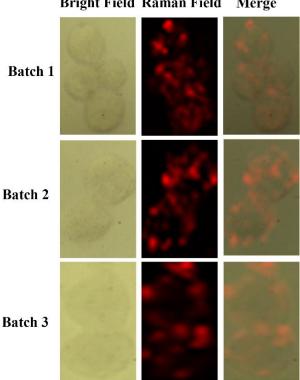


Figure S17. SERS images of MCF-7 cell with different batches (left: bright field; middle: SERS

273 mapping images based on the intensity of 1333 cm<sup>-1</sup>; right: merged images).

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